# A Discrete Spring Model to Generate Fair Curves and Surfaces

Atsushi Yamada<sup>1</sup>, Kenji Shimada<sup>2</sup>, Tomotake Furuhata<sup>1</sup>, and Ko-Hsiu Hou<sup>2</sup>

<sup>1</sup>Tokyo Research Laboratory, IBM Japan Ltd., LAB-S73

1623-14, Shimotsuruma, Yamato, Kanagawa, 242-8502, JAPAN ayamada@jp.ibm.com, furuhata@jp.ibm.com

<sup>2</sup>Mechanical Engineering, Carnegie Mellon University Pittsburgh, PA 15213 shimada@cmu.edu, khou+@andrew.cmu.edu

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## Abstract

To generate fair curves and surfaces is an important tool in the area of computer graphics (CG), computer-aided design (CAD), and other geometric modeling applications. In this paper, we present an iteration-based algorithm to generate fair polygonal curves and surfaces that is based on a new discrete spring model. In the spring model, a linear spring, which length approximately represents a curvature, is attached along the normal line of each polygon node. Energy is assigned to the difference of the lengths, that is, difference in curvature, of nearby springs. Our algorithm then minimizes total energy by iterative approach. Our algorithm accepts as inputs (1) an initial polygonal curve (surface), which consists of a set of polygonal segments (faces) and a set of nodes as polygon-vertices, and (2) constraints for controlling the shape. The outputs are polygonal curve (surface) in smooth shape. We also describe a method for improving performance of our iterative process into a linear execution time. Our algorithm provides a tool for fair curve and surface design in interactive environment.

**Keywords:** geometric modeling, fair surface design, polygonal models, energy minimization

# 1. Introduction

The purpose of this paper is to provide an algorithm to generate fair curves and surfaces used in the fields of computer graphics (CG) and computer-aided design (CAD). Generation of fair shapes is a major topic in shape design [5][10][17][19][22][23][24][26]. It is also required in other applications such as smooth shape fitting to scattered points [7][20], texture mapping [15], and so on.

Curves and surfaces treated in this paper are represented in polygonal form. The inputs of the algorithm are (1) an initial polygonal curve (surface) consisting of a set of nodes and a set of polygonal segments (faces), and (2) constraints for controlling the shape. The algorithm moves the nodes to suitable positions minimizing curvature variation under the given constraints. The outputs are polygonal curve (surface) in smooth shape. The polygonal surfaces treated in this paper are not limited to triangular meshes. Quadrilateral meshes are also available, and, theoretically, *n*-sided faces such as pentagon or hexagon may also be included.

Our algorithm is classified into iterative approach of Gauss-Seidel type: node positions are iteratively updated under the given constraints. To update the node positions, two types of spring forces are applied to each node: (1) a force acting in the normal direction to optimize the curvature variation and (2) a force in the vertical direction of the normal to optimize node distribution. Main idea of this paper lies in the discrete spring model producing the former force. The spring model works to minimize curvature variation. Curvature is a natural measurement of fairness; therefore, our spring model produces fair curves and surfaces.

As Taubin [23] points out, most energy-minimization approaches are expensive in terms of time and space. This paper also provides a method for achieving a linear execution time.

The remainder of the paper is organized as follows. In Section 2, we summarize previous work. After defining a discrete spring model in Section 3, we present a curve (surface) modeling algorithm based on the spring model in Section 4. Section 5 show some results obtained by using the algorithm, and Section 6 summarizes the paper.

# 2. Previous Work

A considerable amount of work has been done on fair surface modeling. We consider that most previous work related to polygonal surface modeling can be classified into two types: finite-difference approaches and finite-element approaches. Our approach belongs to the former category. In both types of approaches, node positions are updated iteratively or are calculated by solving a large sparse linear system. In the finite-difference approaches, values are evaluated only at nodes, while in the finite-element approaches, values are evaluated over faces by using numerical integration. One key-factor to distinguish several approaches in a category is the spring model they employ to move the node positions.

Irrespective of the classifying types, when the displacement of deformation is large, that is, when the shape of the initial polygonal surface is very different from the final shape, the deformation reduces to the non-linear problem. Therefore, once solving a sparse linear system does not yield a suitable answer; the system must be solved iteratively. The cases of large deformation appear often in the process of surface design. To construct a robust algorithm for such cases, we select iterative approach.

Two following subsections survey both types of approaches targeting surface modeling. In the third subsection, some other applications that use the fairing as a part of their algorithm are also surveyed.

### 2.1 Finite-Difference Approaches for Surface Modeling

Laplacian smoothing is the easiest way to generate a fair surface. This approach iteratively moves the position of a node to the barycenter of its neighboring nodes. It has the characteristic that the area of the surface is minimized under given constraints. This approach is often used to improve the geometrical irregularity of a mesh in the field of finite-element meshing [11]. But when this approach is applied to surface modeling, the problem arises that a sharp tip is generated in the neighborhood of a node fixed by a constraint. Moreover, it is not possible to control normals by giving normal constraints.

For surface modeling, Szeliski and Tonnesen [22] used a particle system, in which each particle is defined by its position and normal, and the population of particles is controlled automatically. To obtain a fairly triangulated surface, they minimized the weighted sum of three energy factors: (1) co-planarity, (2) co-normality, and (3) co-circularity. The co-planarity condition causes neighboring nodes to lie on each other's tangent plane, the co-normality condition modifies irregular twisting of two neighboring nodes, and the co-circularity condition preserves constant curvature on an edge connecting neighboring nodes. In comparison with their approach, one factor by our spring model provides a similar effect to their three factors.

Mallet [16][17] provided a general formulation for discrete surface interpolation with many adjustable parameters. When harmonic weighting [17] is selected among his proposed parameters - as in his results -, his approach minimizes the sum of the distances, each of which is from a node to the barycenter of its neighboring nodes.

Taubin [23] proposed a fair surface design approach in which high-frequency terms such as noise are removed by using the technique based on Fourier analysis. Due to its linear execution time, his approach is powerful for polygonal surfaces with millions of nodes, for example, one captured by using a range scanner. A node is iteratively moved to a suitable position on a line connecting a node and the barycenter of its neighboring nodes. The suitable positioning is determined so as to avoid shrinkage of the entire shape. Basically, Taubin's approach is suitable for removing noise from a given initial shape without causing shrinkage.

The main difference between our approach and those of Mallet and Taubin is that in our approach curvature variation is used as a measurement of minimization. Curvature is a natural measurement of fairness; consequently our approach produces a fairer shape than theirs.

Welch and Witkin [26] also proposed a mesh-based modeling method for surfaces with arbitrary topology. After defining a local surface equation in the neighborhood of each node, which fits the latter's neighboring nodes in the least-square sense, they minimized a fairness norm based on curvature, which is calculated from the local surface. The local surface is temporarily used to evaluate the curvature at a node, and the final outputs are only node positions, not surfaces of faces. In the sense that curvature is adopted as a fairing measurement, our approach is similar to theirs. However, their approach has to solve a  $5 \times m$  least-square linear system (where *m* is the number of neighboring nodes) for each node at each iteration. This is a time-consuming process if the number of nodes is large.

#### 2.2 Finite-Element Approaches for Surface Modeling

In comparison with finite-difference approaches, finite-element approaches generally yield a higher-quality surface and generate an explicit surface equation of each face, while their computational time is more expensive.

Celniker and Gossard's approach [5] generates a C1 continuous surface by using a finite-element technique. They applied Zienkiewicz's shape function [27], which was originally proposed for the finite element analysis, to attain C1 continuity in surface modeling. Their approach minimizes a weighted combination of the energy factors of a membrane and a thin plate.

Welch and Witkin [25] also proposed an approach very similar to that of Celniker and Gossard, although the former has more general formulations for both energy factors and shape control constraints.

Moreton and Sequin [19] presented a different type of finite-element approach in which they use biquintic Bezier patches and a fairness norm based on measures of curvature variation. Their approach produces the most impressive surface, but it is too expensive for use in an interactive environment.

### 2.3 Other Applications Using Fairing

Halstead and his colleagues [10] provided a solution to the problem that Catmull-Clark's subdivision surface scheme [4] gives a shrunken surface without interpolating given control points. In their process, a fairness factor proposed by Celniker and Gossard is used in conjunction with the Catmull-Clark scheme. The target of Eck and Hoppe's work [7] is to generate tensor product surfaces from scattered points. They use a thin plate as a fairness factor to remove undulations in surfaces. Levy and Mallet [15] applied their discrete smooth interpolation approach [16][17] to the non-distorted texture-mapping problem. Koch and his colleagues [14] applied the thin-plate approach to a system for simulating facial surgery, and DeCarlo and his colleagues [6] applied the thin-plate approach to geometric modeling of human faces. As can be seen in the above literature in this subsection, fairness factors are widely used in the area of CG and CAD alongside factors unique to each study, which depend on their applications. Our approach has possibility to be applied for such applications.

# 3. Definition of the Discrete Spring Model: V-Spring

Figure 1 shows a planar curve and its normal lines at some sampling points on the curve. Consider the normal lines at two neighboring sampling points  $P_i$  and  $P_j$ . For a curvature continuous curve, if  $P_i$  approaches  $P_j$ , the intersection point H of the normal lines converges to a center of curvature at  $P_j$  [3].

Therefore, our idea is to attach a linear spring, as shown in Figure 2(A), to each normal line of a node consisting in a polygonal curve (surface). The linear spring works to keep equal the spring lengths  $|P_i - H|$  and  $|P_j - H|$  of a V-shape formed by  $P_i$ , H, and  $P_j$ . The spring length approximately represents a curvature; therefore, the action to keep equal the spring length is equal to minimize variation in curvature.

Suppose node  $P_j$  be fixed by a constraint. If length  $|P_i - H|$  is smaller than  $|P_j - H|$ , as shown in Figure 2(A), node  $P_i$  moves to a new position along the normal  $N_i$  in the direction to enlarge length  $|P_i - H|$  to the size of  $|P_j - H|$ . In contract, if  $|P_i - H|$  is larger, node  $P_i$  moves to a new position along the normal  $N_i$  in the direction to shorten length  $|P_i - H|$  to the size of  $|P_j - H|$ . In a stable configuration,  $P_i$  and  $P_j$  are considered to be on a circular arc whose center is at H and whose curvature radius is  $|P_j - H|$  (= $|P_i + dP_i - H|$ ).

Because of this V-shaped configuration of virtual springs, our spring model is named "V-Spring."



Figure 1: Planar curve and normal lines on some sampling points.

Here we formally define a displacement  $dP_i$ , from a current position to a new position, of a node  $P_i$  by the force of the spring model under the supposition that  $P_j$  is fixed. Let  $P_i$  and  $P_j$  be two nodes, and let  $N_i$  and  $N_j$ , be unit normal vectors associated with the nodes, respectively. We assume an inner product dot( $N_i$ ,  $N_j$ ) to be positive; therefore, if two normal vectors with their negative inner product are given, let either  $N_i$  or  $N_j$  be the direction reversed vector. An intersection of the two normal lines is denoted by H (see Figure 2(A)). Let  $t_i$  and  $t_j$  be real values satisfying:

$$\begin{aligned} \boldsymbol{P}_{i} - \boldsymbol{H} &= t_{i} N_{i}, \\ \boldsymbol{P}_{j} - \boldsymbol{H} &= t_{j} N_{j}. \end{aligned} \tag{1}$$

 $|t_i|$  and  $|t_j|$  correspond to the distances  $|P_i - H|$  and  $|P_j - H|$ , respectively, because  $N_i$  and  $N_j$  are unit vectors. We define the displacement  $dP_i$  of node  $P_i$  by our spring model as:

$$dP_i = (t_j - t_i) N_i. \tag{2}$$

 $(t_i - t_i)$  in the equation is calculated as follows. For the equation that H is deleted from Equation (1), by calculating inner products with  $N_i$  and  $N_j$ , following equations are obtained.

$$dot((\mathbf{P}_j - \mathbf{P}_i + t_i N_i - t_j N_j), (N_i)) = 0,$$
  

$$dot((\mathbf{P}_j - \mathbf{P}_i + t_i N_i - t_j N_j), (N_j)) = 0.$$
(3)

By solving Equation (3) in terms of *ti* and *tj*:

$$t_i = \operatorname{dot}((\mathbf{P}_j - \mathbf{P}_i), (-N_i + \cos(a)N_j)) / (1 - \cos^2(a)),$$
  
$$t_j = \operatorname{dot}((\mathbf{P}_j - \mathbf{P}_i), (-\cos(a)N_i + N_j)) / (1 - \cos^2(a)),$$

where  $\cos(a) = \det(N_i, N_j)$ . Consequently,  $(t_j - t_i)$  in Equation (2) is determined as:

$$t_j - t_i = \operatorname{dot}((\boldsymbol{P}_j - \boldsymbol{P}_i), (N_i + N_j)) / (1 + \cos(a)).$$
(4)

Therefore, Equation (2) is written as:

$$d\boldsymbol{P}_{i} = \left(\frac{\operatorname{dot}((\boldsymbol{P}_{j} - \boldsymbol{P}_{i}), (N_{i} + N_{j}))}{1 + \operatorname{dot}(N_{i}, N_{j})}\right) N_{i}.$$
(5)

The denominator of Equation (4) is always non-zero value, because cos(a) (=dot( $N_i, N_j$ ,)) is assumed to be positive. One may consider from Figure 2(A) that numerical error happens when two normal lines are parallel

In case of a planar curve, normal lines along  $N_i$  and  $N_j$  always have an intersection. However, if we consider a non-planar curve or a surface, normal lines do not always intersect at a point. Therefore, we can not use Equation (1) as they are for the non-planar cases. Instead of an intersection point H in Equation (1), we use  $H_i$  and  $H_j$  which are feet of the shortest line segment connecting two normal lines (see Figure 2(B)). Then, for non-planar cases, we modify Equation (1) to the following equations:

$$\begin{aligned} \boldsymbol{P}_i - \boldsymbol{H}_i &= t_i N_i, \\ \boldsymbol{P}_j - \boldsymbol{H}_j &= t_j N_j. \end{aligned} \tag{6}$$

For non-planar cases, the equations corresponding to Equation (3) are:

$$dot((\boldsymbol{H}_{j} - \boldsymbol{H}_{i}), (\boldsymbol{N}_{i})) = 0,$$

$$dot((\boldsymbol{H}_{j} - \boldsymbol{H}_{i}), (\boldsymbol{N}_{j})) = 0.$$
(7)

By solving Equation (7),  $(t_j - t_i)$  in Equation (2) is derived as the same equation as Equation (4).



Figure 2: Definition of the spring model. (A) Displacement  $dP_i$  for a planar curve. (B) Displacement  $dP_i$  for a non-planar curve or a surface.

# 4. Curve/Surface Modeling Using a Discrete Spring Model

# 4.1 Overview of the Algorithm

A polygonal surface is defined as a pair of a set of nodes  $P_i$  (i = 1, ..., n), and a set of polygonal faces. We define neighboring nodes  $P_j$  (j = 1, 2, ..., m) of  $P_i$  to be a set of nodes connected to  $P_i$  by polygonal edges.

Our algorithm can be classified as an iterative method of Gauss-Seidel type. The positions and normals of nodes are updated in each iteration, and the iterations are continued until the termination condition is satisfied. Figure 3 shows an overview of our algorithm.

```
Let P_i be the position of the i-th node

Let n be the number of nodes

While( termination condition is not satisfied ){

For( all nodes P_i (i = 1, ..., n) ){

Step 1: Calculate pseudo-normal N_i

Step 2: Calculate displacement dP_i caused

by the force exerted by V-Spring

Step 3: Calculate displacement dP_u, i caused

by the force for regularizing node distribution

Step 4: P_i = P_i + (dP_i + dP_u, i)

}
```

Figure 3: Overview of the algorithm.

The following subsections 4.2, 4.3, and 4.4 describe Steps 1, 2, and 3 in Figure 3, respectively. In Section 4.5 we describe several constraints. After describing the termination condition in Section 4.6, we give a method for reducing an execution time in Section 4.7. Up to Section 4.7, our discussion concerns surface modeling. Section 4.8 extends the discussion to curve modeling.

#### 4.2 Pseudo-normal Calculation

The first step of the algorithm is to calculate a unit normal vector  $N_i$  for each node  $P_i$  of a polygonal surface (Step 1 in Figure 3). The polygonal surface is a discrete model; therefore, the unit normal vector must be calculated only approximately. In our implementation, we calculate the unit normal  $N_i$  by averaging the normals of polygonal faces adjoining to the node.

There are more sophisticated ways to calculate the normal. For example, one way is to calculate the normal from a sphere fitted to the target node and its neighboring nodes in the least-square sense. However, the way is more time consuming and fails when the target node and its neighboring nodes are on the same plane or the neighboring nodes are placed to have the target node a saddle point. Another way, used by Taubin [23], is calculate the normal as the average of vectors, each of which is from target node to one of neighboring nodes. It is the faster way; however, it fails when the target node and its neighboring nodes are on the same plane.

Our choice is the more basic but the more robust way. In the early phase of iterations, the normal vector is unreliable; however, as the iterations proceed, the normal vector converges in the reliable normal of the fair surface.

### 4.3 Node Displacement by the Force of V-Spring

Node  $P_i$  obtains forces from its neighboring nodes  $P_j$  (j = 1, ..., m). Each force works to keep the edge from  $P_i$  to  $P_j$  in a circular arc. Weighted average of the forces makes the node  $P_i$  move to a new position along normal  $N_i$  by the displacement  $dP_i$  (see Figure 4(B)).

The way to calculate the displacement  $dP_{ij}$  of node  $P_i$  by the force of one neighboring node  $P_j$  is as follows. Let  $N_i$  and  $N_j$  be unit normal vectors of nodes  $P_i$  and  $P_j$ , respectively, and let  $H_i$  and  $H_j$  be feet of the shortest line segment connecting two normal lines along  $N_i$  and  $N_j$ , respectively. From Equation (2) and (4), the displacement  $dP_{ij}$  is calculated as follows:

$$\boldsymbol{dP}_{ij} = \left(t_j - t_i\right) \boldsymbol{N}_i,\tag{8}$$

$$t_j - t_i = \frac{\operatorname{dot}((\boldsymbol{P}_j - \boldsymbol{P}_i), (N_i + N_j))}{1 + \operatorname{dot}(N_i, N_j)}.$$
(9)

In the same manner, we calculate  $dP_{i1}, ..., dP_{im}$  for neighboring nodes. The final displacement  $dP_i$  of node  $P_i$  is determined by weighted-averaging of the displacements  $dP_{ij}$  (j = 1, ..., m) as follows:

$$d\boldsymbol{P}_{i} = \sum_{j=1}^{m} w_{j} d\boldsymbol{P}_{ij} / \sum_{j=1}^{m} w_{j}, \qquad (10)$$

where  $w_j$  (j = 1, ..., m) are weights for averaging. In our implementation, the weight  $w_j$  is determined by the inverse of the length of the edge connecting  $P_i$  and  $P_j$ .

$$w_j = 1 / \| P_i - P_j \|, \quad (j = 1, 2, ..., m).$$



Figure 4: Node displacement by forces exerted by neighboring nodes. (A) Planar curve case. (B) Surface case.

## 4.4 Node Displacement for Regularizing Node Distribution

In finite-difference approaches, it is important to maintain the regular node distribution during the iteration process, because uneven distribution of nodes results in incorrect estimation of curvature. To obtain the regular node distribution, we use a variation of Laplacian smoothing operator [11], which is a popular and effective way to remove the irregularity. The Laplacian operator moves a node to the barycenter of its neighboring nodes. However, applying the regular Laplacian operator offsets the displacement  $dP_i$  in Equation (8). Therefore, our idea is to use only a component  $dP_{u,i}$ , being vertical to the normal  $N_i$ , of the displacement of the Laplacian operator. Only using the component makes two displacements  $dP_i$  and  $dP_{u,i}$  being vertical each other. Therefore, the two displacements do not offset each other. The displacement  $dP_{u,i}$  is written as follows:

$$d\boldsymbol{P}_{u,i} = d\boldsymbol{P}_{u0,i} - d\boldsymbol{P}_{u1,i},$$
  
$$d\boldsymbol{P}_{u0,i} = \left(\sum_{j=1}^{m} \boldsymbol{P}_{j} / m\right) - \boldsymbol{P}_{i}, \quad d\boldsymbol{P}_{u1,i} = \operatorname{dot}(d\boldsymbol{P}_{u0,i}, N_{i}) N_{i}.$$

## **4.5 Constraints**

Constraints are considered to be external forces for controlling shape of a surface. Various kinds of constraints can be considered, depending on the requirements from applications. In this section, we describe several important constraints used in surface modeling. We classify the constraints into two types: direct and indirect constraints.

#### **Direct Constraints**

Direct constraints are given directly for a certain node. Major constraints are:

- Positional Constraint,
- Normal Constraint.

The positional constraint fixes a node to a certain position during the iterations; therefore, Step 2 and 3 in Figure 3 is skipped for the fixed node. The normal constraint fixes a normal of a node to a certain direction. For the normal fixed node, the pseudo-normal calculation (Step 1 in Figure 3) is skipped and the given normal is assigned.

In our approach, positional constraints must be given at least to end nodes for the case of an open polygonal curve and to boundary nodes for the case of an open polygonal surface. That is why usage of Laplacian operator causes the shrinkage of the shape. If it is required to modify the shape of boundary curves of a surface, start from the modeling of boundary curves and go on to the modeling of the surface bounded by the boundary curves.

## **Indirect Constraints**

Indirect constraints do not have a direct connection to a certain node. During the iterations, connection between the constraints and the nodes is updated dynamically. One major constraint we introduce here is scattered points. It is an important application in CAD and CG to generate a smooth surface fitted to scattered points in least-square sense. In the application, the constraint by a scattered point works to its closest point on a surface. Therefore, in our discrete model, we update the connection between the scattered point and its closest node during the iterations.

We have to modify slightly the algorithm to introduce the indirect constraints; the modified algorithm is shown in Figure 5. In Figure 5, all the steps except Step 2 and 5 are the same as in Figure 3.

In Step 2, each scattered point is connected to its nearest node. In practice, it is not necessary to perform Step 2 at every iteration; once in every several iterations is enough. To find the nearest node, it is enough to search neighboring nodes in first and second orders of the previous node except when performing a first search.

In Step 5, external forces from connected scattered points  $V_j$  (j = 1, ..., m) are applied to a node  $P_i$ . The displacement of  $P_i$  by  $V_j$  is calculated as:

$$d\boldsymbol{P}_{c,ij} = k_j \operatorname{dot} \left( \boldsymbol{V}_j - \boldsymbol{P}_i, N_i \right) N_i, \tag{11}$$

where  $k_j$  denotes a weight assigned to  $V_j$ . The displacement  $dP_{c,ij}$  is interpreted as a component of vector  $V_j$ - $P_i$  along the normal  $N_i$ . In the same manner, we calculate  $dP_{c,i1}, ..., dP_{c,jm}$  for all connected scattered points. The final displacement of node  $P_i$  by forces of its connected scattered points is determined by weighted-averaging  $dP_{c,ij}$  (j = 1, ..., m) as follows:

$$dP_{c,i} = \sum_{j=1}^m w_j dP_{c,ij} / \sum_{j=1}^m w_j,$$

where  $w_j$  (j = 1, ..., m) are weights for averaging. In our implementation, the weight  $w_j$  is determined by the inverse of the distance from node  $P_i$  to its foot  $Q_j$  to the tangent plane at  $P_i$  (see Figure 6(B)).

$$w_j = 1 / || \mathbf{P}_i - \mathbf{Q}_j ||, \quad (j = 1, 2, ..., m).$$

When  $Q_i$  is identical or very close to  $P_i$ , sufficiently large value is assigned to its  $w_i$ .

In Equation (11), weight  $k_j$  is used to take the trade-off between fairing and keeping proximity to the scattered point  $V_{j}$ . Larger  $k_j$  approximates  $V_j$  closer.

In the case of a planar curve, least-square fitting is geometrically interpreted that when a spring is attached to each line from a scattered point to the nearest point on a curve, the sum of the internal energies of the springs are minimized (see Figure 6(A)). Our approach is geometrically interpreted as being to attach a spring to a line from a scattered point to its foot in the tangent plane at the nearest node (see Figure 6(B)). We therefore consider that our approach is approximately equivalent to least-square fitting.

The advantage of our approach is that it can be applied to not only surfaces with regular topology, such as tensor product surfaces, but also to surfaces with arbitrary topology. In addition, theoretically, *n*-sided polygons such as pentagons or hexagons may be included in the polygonal surface.

Let <b>P</b> <i>i</i> be the position of the <i>i</i> -th node
Let <i>n</i> be the number of nodes
Let Vi be the i-th scattered point
Let <i>l</i> be the number of scattered points
While( termination condition is not satisfied ){
For( all nodes $P_i$ ( <i>i</i> = 1,, <i>n</i> )){
Step 1: Calculate pseudo-normal Ni
}
For (all scattered points $V_i$ ( $i = 1,, l$ )){
Step 2: Make connection of each scattered point
to its nearest node
}
For(all nodes $P_i$ ( $i = 1,, n$ )){
Step 3: Calculate displacement <b>dP</b> <sub>i</sub> caused
by the force exerted by V-Spring
Step 4: Calculate displacement <b>dP</b> u, i caused
by the force for regularizing node distribution
Step 5: Calculate displacement $dP_{c,i}$ caused
by the force exerted by scattered points
Step 6: $\mathbf{P}_i = \mathbf{P}_i + (\mathbf{dP}_i + \mathbf{dP}_u, i + \mathbf{dP}_c, i)$
}

Figure 5: Overview of the fitting algorithm.



Figure 6: Least-square fitting of a planar curve to scattered points. (A) Geometric interpretation of general least-square fitting. (B) Geometric interpretation of our fitting approach.

# 4.6 Termination Condition

To determine when to terminate iterations, the maximum among the norms of all node displacements is compared with a given threshold e. If the maximum norm is less than the threshold e, the iterations are terminated. The size of the displacement depends on the resolution of the

polygonal surface; therefore the displacement should be normalized by the size of polygonal faces. In our implementation, we normalize the displacement of each node by the average length of its neighboring edges. Then the maximum norm of the normalized displacements is compared with the threshold e.

### 4.7 Performance Improvement

In iterative approaches of the Jacobi or Gauss-Seidel types, high frequencies tend to be removed quickly while it takes many iterations to remove low frequencies. Consequently, if the number of nodes is extremely large, it takes many iterations to achieve convergence. A promising way to reduce the execution time is to employ multi-grid methods [2][9][18]. Kobbelt and his colleagues [13] are positively using the multi-grid method, a linear execution time can be achieved.

Multi-grid method requires polygonal surfaces with several different levels of resolutions. Mesh simplification algorithms [1][8][12] [21][24] can provide the polygonal surfaces with multi-resolutions. For example, let M1, M2, and M3 be three levels of polygonal surfaces where M1 is the finest and M3 is the coarsest. The V-cycle multi-grid method applies iterations for the polygonal surfaces with different levels in the sequence  $\{M1, M2, M3, M2, M1\}$ . The first-half process, going down from M1 to M2, is called pre-smoothing, and the second-half process, coming up from M3 to M1, is called post-smoothing. In the pre-smoothing, some iterations are performed at each level in order to remove noise. On the coarsest level M3, a rough shape is predicted by the solution. As the post-smoothing proceeds, the rough shape approaches the precise shape.

In the post-smoothing, iterations are performed at each level until the termination condition described in Section 4.6 is satisfied. Our termination condition is normalized by the resolution; this provides an efficient way of determining the time at which to move on.

## 4.8 Extension to Curve Modeling

Basically, the algorithm for curve fairing is the same as for surface fairing. In each iteration, the node  $P_i$  is moved to a new position by forces exerted by two neighboring nodes  $P_{i-1}$  and  $P_{i+1}$  (see Figure 4(A)).

In the case of a planar curve, there is no extended matter from in the surface case; however, in the case of a non-planar curve, the calculation of the pseudo-normal  $N_i$  is more difficult than in the surface case. From a sequence of nodes  $P_{i-1}$ ,  $P_i$ , and  $P_{i+1}$ , we calculate the unit tangent  $T_i$  and the unit binormal  $B_i$  as follows:

$$T_{i} = (P_{i+1} - P_{i-1}) / \|P_{i+1} - P_{i-1}\|,$$
  

$$B_{i} = (P_{i} - P_{i-1}) \times (P_{i+1} - P_{i}) / \| (P_{i} - P_{i-1}) \times (P_{i+1} - P_{i}) \|,$$

where  $\times$  denotes the outer product. As the outer product of  $B_i$  and  $T_i$ , we calculate the unit principal normal  $N_i$  as follows:

$$N_i = B_i \times T_i / \| B_i \times T_i \|$$

If  $P_{i-1}$ ,  $P_i$ , and  $P_{i+1}$  are collinear,  $B_i$  and  $N_i$  are zero vectors; then the displacement  $dP_i$  is a zero vector according to Equation (5). The best way to obtain a fair curve is to apply V-Spring forces in both directions of  $B_i$  and  $N_i$ ; however, in practice, applying a force only in the direction of  $N_i$  gives a fair curve, even if the problem is a non-planar case.

# 5. Results

Figure 7 shows a result of fair surface generation by using our algorithm. Figure 7(A) shows the initial mesh with sharp corners, that is, sudden change in curvature, while the figures (B) and (C) show the faired results with two different sets of direct constraints. As seen in the figures, the algorithm produced fairer surfaces. The surface of Figure 7(B) resulted when positions of nodes on the inner- and outer-boundaries are kept unchanged by using the positional constraints. The surface of Figure 7(C) is generated by constraining normals of the nodes on the inner- and outer-boundaries, in addition to positions of these nodes. Figure 9 shows another result of fairing in shaded image.

Figure 8 shows the stability of our algorithm by giving it an extremely noisy mesh as initial data. We added random noise of large amplitude to the mesh of Figure 7(A), then processed the noisy mesh by using our algorithm. Five iterations of pre-smoothing generated a smoother surface of Figure 8(B). Then the mesh converged to a fair surface of Figure 8(C) with further processing.

Table 1 shows the execution time of our algorithm measured for meshes of various resolutions. It says that the time grows approximately linearly to the complexity of given meshes.

Table 1: Execution time for fair surface generation. The execution time is measured for the surface shape with the constraints in Figure 7(C). Column (a) in the table is data for the resolution of Figure 7(C). Column (b), (c), and (d) are data for different resolutions with the same surface shape. These data are measured under the following conditions:

CPU: Pent	PentiumII 450MHz,				
System: Win	WindowsNT4.0,				
Threshold e for termination condition:			0.001,		
Number of level for multi-grid:				6,	
Number of iterations at each level of pre-smoothing: 5.					
	(a)	(b)	(c)	( <b>d</b> )	
Number of nodes	553	1610	3644	14962	
Number of faces (triangles)	985	3018	6983	29316	
Execution time (sec)	2.38	13.8	36.4	177.1	

Figure 10 shows results of least-square fitting of polygonal curves by using indirect constraints as described in Section 4.5. An initial curve shown by a noisy thin line converged to a smooth curve shown by a thick line. Node positions of the initial curve are assigned to scattered points. In Figure 10(A), all weights  $k_j$  in Equation (11) used to take the trade-off between fairing and keeping proximity are set to 0.01, while in Figure 10(B) they are set to 0.00001. As seen in the figures, the algorithm produced fairer curves under the indirect constraints.

### 6. Summary

This paper presented an algorithm to generate fair polygonal curves and surfaces based on iterative approach by using a new discrete spring model. The algorithm produced polygonal curves and surfaces whose local variation in curvature is minimized.

In our discrete spring model, a linear spring, which length approximately represents a curvature, is attached along the normal line of each node. Energy is assigned to the difference of the lengths, that is, difference in curvature, of nearby springs. Our algorithm then tries to minimize total energy by iterative approach. The algorithm accepts various constraints, such as positional, normal, and least-square constraints, so that useful surface models can be generated for computer graphics, computer aided design, and other geometric modeling applications.

The implementation of the algorithm is easy due to its geometrically intuitive interpretation. The results of experiments showed that the algorithm generated fair surfaces that satisfy positional, normal, and other constraints. The algorithm was robust under the presence of positional noise in the initial polygonal data. It also exhibited that computational costs increased approximately linear to the number of nodes consisting in a polygonal curve (surface).

In our experience, the algorithm is significantly robust and stable; however, the convergence of the algorithm should be proved in the future work. The target of this paper is to apply our spring model to shape modeling; however, fairing problem is not limited to the application. Applying our spring model to the other applications is also the future work.

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Figure 7: (A) Initial mesh. (B) Deformed result from (A) under the positional constraints on inner- and outer-boundary nodes. (C) Deformed result from (A) under the positional and normal constraints on inner- and outer-boundary nodes. Arrows on boundary nodes show the directions of normal constraints.



Figure 8: Stability of the algorithm. (A) Initial mesh with random noise on the nodes. (B) Shape (A) after five iterations of pre-smoothing on the finest level. (C) Shape (A) after full convergence.



Figure 9: Shaded image of a deformed result. (A) Initial mesh. (B) Deformed result from (A) under the positional and normal constraints on nodes of upper-, lower-, and inner-boundaries.



Figure 10: Least-square fitting of a polygonal segment to scattered points. A noisy thin line represents an initial segment. A smooth thick line represents a converged segment. Node positions of the initial segment are given as scattered points. (A) All weights  $k_j$  in Equation (11) are set to 0.01. (B) All weights  $k_j$  are set to 0.00001.