Recursion: Introduction



Announcements

Deadlines

- Exam on Thursday: Units 1 5 (inclusive)
- PA 4 due tonight
- PS 4 due now!
- Monday:
 - PA5 is due Mon night
 - OLI Recursion over the weekend
 - Lab 6

Today

- Review of Big-O
- Recursion:
 - Introduction to recursion
 - What it is
 - Recursion and the stack
 - Recursion and iteration
 - Examples of simple recursive functions
 - Geometric recursion: fractals

Big-O Review

Asymptotic Analysis

Goal: understanding behavior of program over the long run, with increasingly large inputs

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□ Assumptions:

- Input (also known as n) changes
- All the other factors/operations are constant
- **As a result**: We are not concerned with constants factors:
 - How many iterations?
 - Not operations in each iteration

Asymptotic Analysis

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- Input (also known as n) changes
- All the other factors/operations are constant
- **As a result**: We are not concerned with constants factors:
 - How many iterations?
 - Not operations in each iteration
- Gives a useful approximation, suppresses details

Worst-case

Order of Complexity

- We express this as the (time) order of complexity
- Normally expressed using Big-O notation.
- Big-O ignores constants, focuses on highest power of n

Number of iterations	Order of Complexity
n	O(n)
□ 3n+3	O(n)
2n+8	O(n)

Why don't constants matter?

For n = 10000



45*n²

n ³			

45*10000*10000 = 450000000 = **45*10**⁸

45*n²

10000*10000 = 100000000 = **10**⁸

n²

For n = 10000

Why don't constants matter?

10000*10000*10000 =100000000000 = **10**¹²

n³

10

45*10000*10000 = 450000000 = **45*10**⁸

45*n²



10000*10000 = 100000000 = **10**⁸

n²

For n = 10000

Why don't constants matter?



10000*10000*10000 =100000000000 = **10**¹²

n³

Order of Complexity

Big-O is ignores constants, focuses on highest power of n

Number of iterations	Order of Complexity
o n	O(n)
o 5 n	O(n)
o 4n+2	O(n)
○ n ²	O(n ²)
○ 4n ²	O(n ²)
○ 3+n ²	O(n ²)
○ 5 n² + 3n +1	O(n ²)
○ n³ + n ² + n + 1	O(n ³)

```
def complex_1(n):
    i = 0
    while i < n:
        # do something</pre>
```





def complex_2(n):
 for i in range(n):
 for j in range(n):
 # do something







```
def complex_3(n):
    i = 0
    while i < n:
        # do something
        complex_2(n)</pre>
```







def complex_2(n):
 for i in range(n):
 for j in range(n):
 # do something
 n times * n times = O(n²)









Linear Search O(n)

Linear Search: Worst Case

let n = the length of list. def search(list, key): index = 0while index < len(list):</pre> n+1 if list[index] == key: n return index index = index + 1n return None

3n+3

Total:

Linear Search: Worst Case Simplified

let n = the length of list.

def search(list, key):

index = 0

while index < len(list): n iterations O(n)</pre>

if list[index] == key:

return index

index = index + 1

return None

O(n) ("Linear")



O(n)



O(1) ("Constant-Time")



Insertion Sort $O(n^2)$

```
# let n = the length of list.
def isort(list):
    i = 1
    while i != len(list):
        move_left(list,i)
        i = i + 1
    return list
```

```
# let n = the length of list.
def isort(list):
    i = 1
    while i != len(list): #n-
```

i = i + 1

move left(list,i)

```
#n-1 iterations
```

```
return list
```

```
# let n = the length of list.
def isort(list):
```

```
i = 1
while i != len(list): #n-1
move_left(list,i)
i = i + 1
return list
What is the cost of move_left?
```

Insertion Sort: cost of move left

```
# let n = the length of list.
def move_left(a, i):
    x = a.pop(i)
    j = i - 1
    while j >= 0 and a[j] > x:
        j = j - 1
    a.insert(j + 1, x)
```

```
# let n = the length of list.
def isort(list):
```

i = 1

```
while i != len(list):
    x = list.pop(i)
    j = i - 1
    while j >= 0 and a[j] > x:
        j = j - 1
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    i = i + 1
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n-1 iterations
n iterations
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n-1 iterations
n iterations
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return list

Total cost (at most): (n-1) * (2n)

let n = the length of list. def isort(list):

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return list

Total cost (at most): (n-1) * (2n) +

let n = the length of list. def isort(list):

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return list

Total cost (at most): (n-1) * (2n) + (1+2+3+..+n-1)
Total cost (at most): (n-1) * (2n) + (1+2+3+..+n-1)

□ How to find (1+2+3+..+n-1) ?

Test for n = 7.



1 + 2 + 3 + 4 + 5 + 6

 $1 + 2 + 3 \dots n - 1$

Our equation ...



(6) * (7) / 2 blue circles (n-1) * (n) / 2 blue circles

(n-1) *n/2 1+2+3...n-1

Total cost (at most): (n-1) * (2n) + (1+2+3+..+n-1)

□ (1+2+3+..+n-1) → $n^*(n-1)/2$

Total cost (at most): (n-1) * (2n) + (1+2+3+..+n-1)

- □ (1+2+3+..+n-1) \rightarrow n*(n-1)/2
- $\square (n-1) * (2n) + (1+2+3+..+n-1)$
- $\square = 2n^2 2n + (n^2 n) / 2$
- $\Box = (5n^2 5n) / 2$

 $\Box = (5/2)n^2 - (5/2)n$

Total cost (at most): (n-1) * (2n) + (1+2+3+..+n-1)

□ $(1+2+3+..+n-1) \rightarrow n^*(n-1)/2$ □ $(n-1) \ast (2n) + (1+2+3+..+n-1)$ □ $=2n^2 - 2n + (n^2 - n) / 2$ □ $= (5n^2 - 5n) / 2$ □ $= (5/2)n^2 - (5/2)n$



O(n²) ("Quadratic")



$O(n^2)$



Big O



How work increases

Input Size	O(n)	O(n²)	O(n ³)	O(2 ⁿ)
2	2	4	8	4
4	4	16	64	16
8	8	64	512	256
16	16	256	4096	65536
32	32	1024	32768	4294967296

Recursion



THE LOOPLESS LOOP



Google	recursion	ا پ م		
	Q All	Settings Tools		
	About 36,800,000 results (1.03 seconds)			
	Did you mean: <u>recursion</u>		Norm Operation Norm	
	Dictionary		Construction of the second secon	
	Search for a word	Q	Decurcion	
			Computer science	
	 MATHEMATICS · LINGUISTICS the repeated application of a recursive procedure or definition. a recursive definition. plural noun: recursions 		Recursion in computer science is a method of solving a problem where the solution depends on solutions to smaller instances of the same problem. The approach can be applied to many types of problems, and recursion is one of the central ideas of computer science. Wikipedia	
	Translations, word origin, and more definitions		Feedback	
		Feedback	See results about	

Recursion

- Algorithmically:
 - Take a problem and solve it by reducing it to a simpler/smaller version of the same problem
- □ In programming:
 - A technique where a function calls itself
 - A recursive function is one that calls itself.

Recursion

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```
def i_am_recursive(x):
    maybe do some work
    if there is more work to do:
        i_am_recursive(next(x))
    return the desired result
```

Infinite loop? Not necessarily, not if next(x) needs less work than x.



Make 4 layer cake



Make 4 layer cake









Make 1 layer cake



Make 2 layer cake











Make 2 layer cake

Make 1 layer cake



Make 3 layer cake

===-1















Recursive Definitions

- Every recursive function definition includes two parts:
 - Base case(s) (non-recursive) One or more simple cases that can be done directly or immediately
 - Recursive case(s) One or more cases that require solving "simpler" version(s) of the original problem.
 - By "simpler", we mean "smaller" or "shorter" or "closer to the base case".



Example: Factorial

- $n! = n \times (n-1) \times (n-2) \times \dots \times 1$ $2! = 2 \times 1$ $3! = 3 \times 2 \times 1$ $4! = 4 \times 3 \times 2 \times 1$ 10! = 3,628,800 $10! = 10 \times 9!$
- alternatively: (Recursive case) 0! = 1 (Base case) $n! = n \times (n-1)!$ So $4! = 4 \times 3! \rightarrow 3! = 3 \times 2! \rightarrow 2! = 2 \times 1! \rightarrow$ $1! = 1 \times 0! \rightarrow 0! = 1$

$$4! = 4(3!)$$

$$3! = 3(2!)$$

$$2! = 2(1!)$$

$$1! = 1 (0!)$$

Base case

make smaller instances of the same problem

6

$$4! = 4(3!)$$

$$3! = 3(2!)$$

$$2! = 2(1!)$$

$$1! = 1 (0!) = 1(1) = 1$$

Compute the base case

make smaller instances of the same problem

$$4! = 4(3!)$$

$$3! = 3(2!)$$

$$2! = 2(1!) = 2$$

$$1! = 1 (0!) = 1(1) = 1$$
Compute the base case
make smaller instances
of the same problem
build up
the result





Recipe for Writing Recursive Functions (by Dave Feinberg)

1. Write if. (Why?)

There must be at least 2 cases: base and recursive

2. Handle simplest case(s).

No recursive call needed (base case).

3. Write recursive calls(s).

Input is slightly simpler to get closer to base case.

4. Assume the recursive call works!

Ask yourself: What does it do? Ask yourself: How does it help?

Recursion in Python

Recursive Factorial in Python

For what n do we know the factorial?

 $n = 0 \rightarrow if n == 0:$

return 1

Recursive Factorial in Python

For what n do we know the factorial?

 $n = 0 \rightarrow if n == 0:$

return 1

How do we reduce the problem? Rewrite in terms of something simpler each time

 $n*(n-1)! \rightarrow else:$

return n * factorial(n-1)
Recursive Factorial in Python

For what n do we know the factorial?

 $n = 0 \rightarrow if n == 0: \# base case$ return 1

How do we reduce the problem? Rewrite in terms of something simpler each time

n*(n-1)! → else: # recursive case
 return n * factorial(n-1)

Recursive Factorial in Python

# Assumes $n \ge 0$	0! = 1	(Base case)
<pre>def factorial(n):</pre>	n! = n × (n-1)!	(Recursive case)
<pre>if n == 0: # base case</pre>		
return 1		
else: # r	ecursive case	
return n * fa	ctorial(n-1)	







$$S = 4 \quad factorial(4)? = 4 * factorial(3)$$

$$T = 3 \quad factorial(3)? = 3 * factorial(2)$$

$$A = 2 \quad factorial(2)?$$

$$C \quad K \quad i = 2 \quad factorial(2)?$$





Recursion vs Iteration

Recursive vs. Iterative Solutions

For every recursive function, < calls itself there is an equivalent iterative solution.

for l

For every iterative function, <</p>

for loop, while loop

there is an equivalent recursive solution.

- But some problems are easier to solve one way than the other way.
- And be aware that most recursive programs need space for the stack, behind the scenes

Factorial Function two ways

```
# Iterative version of factorial
  def factorial(n):
    result = 1  # initialize accumulator var
    for i in range(1, n+1):
        result = result * i
    return result
```

A Strategy for Recursive Problem Solving (hat tip to Dave Evans)

- Think of the smallest size of the problem and write down the solution (base case)
- Be optimistic. Assume you magically have a working function to solve any size. How could you use it on a smaller size and use the answer to solve a bigger size? (recursive case)
- Combine the base case and the recursive case

Do we know how to use iteration to sum the elements in a list?

First we need a way of getting a smaller input from a larger one:

Forming a sub-list of a list:

>>> a = [1, 11, 111, 1111, 11111, 11111] >>> a[1:] [11, 111, 1111, 11111, 11111]

First we need a way of getting a smaller input from a larger one:

Forming a sub-list of a list:

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Forming a sub-list of a list:

>>> sumlist([2,5,7]) =

After reaching the base case, the final result is built up by the computer by adding 0+7+5+2.

def sumlist(items):

list?

if

What is the smallest size

•

def sumlist(items):

The smallest size list is the empty list.

if items == []:

What is the sum of an empty list?

def sumlist(items):

if items == []:

return

Base case: The sum of an empty list is 0.

def sumlist(items):

if items == []:

return 0

else: Recursive case: the list is not empty

- def sumlist(items):
 - if items == []:
 - return 0
 - else:

... sumlist() ... What is a simpler/smaller case?
Recursive sum of a list

def sumlist(items):

if items == []:

return 0

else:

Recursive sum of a list

def sumlist(items):

```
if items == []:
```

return 0

else:

```
return items[0] + sumlist(items[1:])
```

What if **we already know** the sum of the list's tail?

We can just add in the list's first element!

List Recursion: exercise

- Let's create a recursive function rev(items)
- Input: a list of items
- Output: another list, with all the same items, but in reverse order
- Remember: it's usually sensible to break the list down into its *head* (first element) and its *tail* (all the rest). The tail is a smaller list, and so "closer" to the base case.
- □ Soooo... (picture on next slide)

Reversing a list: recursive case



Fibonacci Numbers

11

Multiple Recursive Calls

- So far we've used just one recursive call to build up our answer
- The real conceptual power of recursion happens when we need more than one!
- Example: Fibonacci numbers

Fibonacci Numbers

A sequence of numbers:



. . .

Fibonacci Numbers in Nature

- 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, etc.
- Number of branches on a tree, petals on a flower, spirals on a pineapple.
- Vi Hart's video on Fibonacci numbers (http://www.youtube.com/watch? v=ahXIMUkSXX0)



Recursive Fibonacci

■ Let fib(n) = the nth Fibonacci number, $n \ge 0$

- fib(0) = 0 (base case)
- fib(1) = 1 (base case)
- fib(n) = fib(n-1) + fib(n-2), n > 1

Recursive Fibonacci

■ Let fib(n) = the nth Fibonacci number, $n \ge 0$

- fib(0) = 0 (base case)
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Recursive Call Tree



Recursive Call Tree



Iterative Fibonacci



Simultaneous Assignment

Assign values to multiple variables in a single statement:

sum, diff =
$$x + y$$
, $x - y$
x, $y = y$, x

Iterative Fibonacci



Fractals: More on Recursion

Geometric Recursion (Fractals)

A recursive operation performed on successively smaller regions.



http://fusionanomaly.net/recursion.jpg



Sierpinski's Triangle



Sierpinski's Carpet



(the next slide shows an animation that could give some people headaches)

Mandelbrot set



Source: Clint Sprott, http://sprott.physics.wisc.edu/fractals/animated/

Fancier fractals









Next Lecture



image: Matt Roberts, http://people.bath.ac.uk/mir20/blogposts/bst_close_up.php