# FORMAL LANGUAGES, AUTOMATA AND COMPUTATION

SPACE COMPLEXITY

## SPACE COMPLEXITY

- (Disk) Space the final frontier!
- How much memory do computational problems require?
- We characterize problems based on their memory requirements.
- Space is reusable, time is not!
- We again use the Turing machine as our model of computation.

#### **DEFINITION – SPACE COMPLEXITY**

Let *M* be a deterministic Turing machine that halts on on inputs. The space complexity of *M* is the function  $f : \mathcal{N} \longrightarrow \mathcal{N}$ , where f(n) is the **maximum number of tape cells** that *M* scans on any input of length *n*.

For nondeterministic TMs where all branches halt on all inputs, we take the maximum over all the branches of computation.

# SPACE COMPLEXITY

### DEFINITION – SPACE COMPLEXITY CLASSES

Let  $f : \mathcal{N} \longrightarrow \mathcal{R}^+$ . The space complexity classes are defined as follows:

 $\begin{aligned} \mathsf{SPACE}(f(n)) &= \{L \mid L \text{ is a language decided by an } O(f(n)) \\ &\text{space deterministic TM} \end{aligned}$ 

NSPACE(f(n)) = { $L \mid L$  is a language decided by an O(f(n))space nondeterministic TM}

- SPACE(*f*(*n*)) formalizes the class of problems that can be solved by computers with bounded memory. (Real world!)
- SPACE(*f*(*n*)) problems could potentially take a long time to solve.
- Intutively space and time seem to be interchangeable.
- Just because a problem needs only *linear space* does not mean it can be solved in *linear time*.

(LECTURE 22)

SLIDES FOR 15-453

# DETERMINISTIC SPACE COMPLEXITY OF SAT

- SAT is NP-complete.
- But SAT can be solved in linear space.
- $M_1 =$  "On input  $\langle \phi \rangle$ , where  $\phi$  is a Boolean formula:
  - **(**) For each truth assignment to the variables  $x_1, x_2, \ldots, x_m$  of  $\phi$ :
    - Evaluate  $\phi$  on that truth assignment.
  - Solution If  $\phi$  ever evaluates to 1, *accept*; if not, *reject*."

#### 3SAT ∈ **SPACE(n)**



• Note that  $M_1$  takes exponential time.

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# NONDETERMINISTIC SPACE COMPLEXITY OF *ALL<sub>NFA</sub>*

- Consider  $ALL_{NFA} = \{ \langle A \rangle \mid A \text{ is a NFA and } L(A) = \Sigma^* \}$
- The following nondeterministic linear space algorithm decides  $\overline{ALL_{NFA}}$ .
- Nondeterministically guess an input string rejected by the NFA and use linear space to guess which states the NFA could be at a given time.
- N = "On input  $\langle M \rangle$  where M is an NFA.
  - Place a marker on the start state of NFA.
  - Sepeat  $2^q$  times, where q is the number of states of M.
    - 2.1 Nondeterministically select an input symbol and change the position of the markers on *M*'s states, to simulate reading that symbol.
  - Accept if stages 2 reveals some string that M rejects, i.e., if at some point none of the markers lie on accept states of M. Otherwise, reject."

# NONDETERMINISTIC SPACE COMPLEXITY OF *ALL<sub>NFA</sub>*

- Since there are at most 2<sup>q</sup> subsets of the states of *M*, it must reject one of length at most 2<sup>q</sup>, if *M* rejects any strings.
  - Remember that determinization could end up with at most 2<sup>q</sup> states.
- N needs space for
  - storing the locations of the markers (O(q) = O(n))
  - the repeat loop counter (O(q) = O(n))
- Hence N runs in nondeterministic O(n) space.
- Note that N runs in nondeterministic  $2^{O(n)}$  time.
  - ALL<sub>NFA</sub> is not known to be in NP or coNP.

- Remember that simulation of a nondeterministic TM with a deterministic TM requires an exponentional increase in time.
- Savitch's Theorem shows that any nondeterministic TM that uses f(n) space can be converted to a deterministic TM that uses only f<sup>2</sup>(n) space, that is,

 $NSPACE(f(n)) \subseteq SPACE(f^2(n))$ 

• Obviously, there will be a slowdown.

#### THEOREM

For any function  $f : \mathcal{N} \longrightarrow \mathcal{R}^+$ , where  $f(n) \ge n$ 

 $\mathsf{NSPACE}(f(n)) \subseteq \mathsf{SPACE}(f^2(n))$ 

#### **PROOF IDEA**

- Let *N* be a nondeterministic TM with space complexity f(n).
- Construct a deterministic machine *M* that tries every possible branch of *N*.
- Since each branch of N uses at most f(n) space, then M uses space at most f(n) space + space for book-keeping.
- We need to simulate the nondeterministic computation and save as much space as possible.

- Given two configurations  $c_1$  and  $c_2$  of a f(n) space TM N, and a number t, determine if we can get from  $c_1$  to  $c_2$  within t steps.
- *CANYIELD* = " On input  $c_1$ ,  $c_2$  and t:
  - **1** If t = 0 accept iff  $c_1 = c_2$
  - 2 If t = 1 accept iff  $c_1 = c_2$  or  $c_1$  yields  $c_2$  in one step.
  - If t > 1 then for every possible configuration  $c_m$  of N for w, using space f(n)
  - Run *CANYIELD*( $c_1, c_m, \frac{t}{2}$ ). Run *CANYIELD*( $c_m, c_2, \frac{t}{2}$ ). 4 5
    - - If steps 4 and 5 both accept, then accept.
  - If haven't yet accepted, reject."
- Space is reused during the recursive calls.
- The depth of the recursion is at most log t.
- Each recursive steps uses O(f(n)) space and  $t = 2^{O(f(n))}$  so log t = O(f(n)). Hence total space used is  $O(f^2(n))$ (LECTURE 22) SLIDES FOR 15-453 SPRING 2011 10/24

- *M* simulates *N* using *CANYIELD*.
- If *n* is the length of *w*, we choose *d* so that *N* has no more than 2<sup>df(n)</sup> configurations each using *f*(*n*) tape.
- 2<sup>*df*(*n*)</sup> provides an upper bound on the running time on any branch of *N*.
- *M* = "On input *w*:
  - Output the result of  $CANYIELD(c_{start}, c_{accept}, 2^{df(n)})$ ."
- At each stage, *CANYIELD* stores *c*<sub>1</sub>, *c*<sub>2</sub>, and *t* for a total of O(f(n)) space.
- Minor technical points with the accepting configuration and the initial value of t (e.g., how does the TM know f(n)?) – See the book.

### **DEFINITION – PSPACE**

**PSPACE** is the class of languages that are decidable in polynomial space on a deterministic TM.

$$\mathsf{PSPACE} = \bigcup_k \mathsf{SPACE}(n^k).$$

#### • NSPACE is defined analogously.

 But PSPACE = NSPACE, due to Savitch's theorem, because the square of a polynomial is also a polynomial.

## THE CLASS PSPACE – SOME OBSERVATIONS

• We know  $SAT \in SPACE(n)$ .

•  $\Rightarrow$  *SAT*  $\in$  **PSPACE**.

• We know  $\overline{ALL_{NFA}} \in NSPACE(n)$  and hence  $\overline{ALL_{NFA}} \in SPACE(n^2)$ , by Savitch's theorem.

•  $\Rightarrow \overline{ALL_{NFA}} \in \mathsf{PSPACE}.$ 

 Deterministic space complexity classes are closed under complementation, so ALL<sub>NFA</sub> ∈ SPACE(n<sup>2</sup>).

•  $\Rightarrow$  *ALL*<sub>NFA</sub>  $\in$  PSPACE.

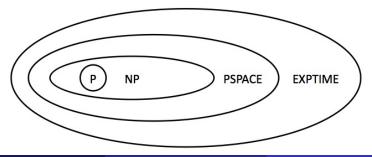
A TM that operates in *f*(*n*) ≥ *n* time, can use at most *f*(*n*) space.

 $\bullet \ \Rightarrow \mathsf{P} \subseteq \mathsf{PSPACE}$ 

• NP  $\subseteq$  NSPACE  $\Rightarrow$  NP  $\subseteq$  PSPACE.

## THE CLASS PSPACE – SOME OBSERVATIONS

- We can also bound the time complexity in terms of the space complexity.
- For  $f(n) \ge n$ , a TM that uses f(n) space, can have at most  $f(n)2^{O(f(n))}$  configurations.
  - f(n) symbols on tape, so  $|\Gamma|^{f(n)}$  possible strings and f(n) possible state positions and |Q| possible states =  $2^{O(f(n))}$
- PSPACE  $\subseteq$  EXPTIME  $= \bigcup_k \text{TIME}(2^{n^k}).$



# **PSPACE-COMPLETENESS**

### DEFINITION – PSPACE-COMPLETE

A language *B* is **PSPACE-complete** if it satisfies two conditions:

- B is in PSPACE, and
- every A in PSPACE is polynomial time reducible to B.
  - Note that we use polynomial-time reducibility!
  - The reduction should be easy relative to the complexity of typical problems in the class.
  - In general, whenever we define complete-problems for a complexity class, the reduction model must be more limited that the model use for defining the class itself.

# THE TQBF PROBLEM

- Quantified Boolean Formulas are exactly like the Boolean formulas we define for the SAT problem, but additionally have existential (∃) and universal (∀) quantifiers.
  - $\forall x[x \lor y]$
  - $\exists x \exists y [x \lor \overline{y}]$
  - $\forall x[x \lor \overline{x}]$
  - $\forall x[x]$
  - $\forall x \exists y [(x \lor y) \land (\overline{x} \lor \overline{y})]$
- A fully quantified Boolean formula is a quantified formula where every variable is quantified.
  - All except the first above are fully quantified.
  - A fully quantified Boolean formula is also called a sentence, and is either true or false.

## DEFINITION-TQBF

 $TQBF = \{\langle \phi \rangle \mid \phi \text{ is a true fully quantified Boolean formula} \}$ 

# THE TQBF PROBLEM

#### THEOREM

 $TQBF = \{\langle \phi \rangle \mid \phi \text{ is a true fully quantified Boolean formula} \}$  is PSPACE-complete.

- Assume T decides TQBF.
- If φ has no quantifiers, it is an expression with only constants! Evaluate φ and accept if result is 1.
- If φ = ∃xψ, recursively call T on ψ, first with x = 0 and then with x = 1. Accept if either returns 1.
- If φ = ∀xψ, recursively call T on ψ, first with x = 0 and then with x = 1. Accept if both return 1.

# THE TQBF PROBLEM

#### CLAIM

Every language A in PSPACE is polynomial-time reducible to *TQBF*.

- We build a polynomial time reduction from A to TQBF
- The reduction turns a string w into a TQBF φ that simulates a PSPACE TM M for A on w.
- Essentially the same as in the proof of the NP-completeness of SAT – build a formula from the accepting computation history.
- But uses the approach in Savitch's Theorem.
- Details in section 8.3 in the book.
- PSPACE is often called the class of games.
  - Formalizations of many popular games are PSPACE-complete.

(LECTURE 22)

# THE CLASSES L AND NL

- We have so far considered time and space complexity bounds that are at least linear.
- We now examine smaller, sublinear space bounds.
  - For time complexity, sublinear bounds are insufficient to read the entire input!
- For sublinear space complexity, the TM is able to read the whole input but not store it.
- We must modify the computational model!

# THE CLASSES L AND NL

- We introduce a TM with two-tapes:
  - A read-only input tape.
  - A read/write work tape.
- On the input tape, the head always stays in the region where the input is.
- The work tape can be read and written in the usual way.
- Only the cells scanned on the work tape contribute to the space complexity.

#### DEFINITIONS-LOG SPACE COMPLEXITY CLASSES

 $L = SPACE(\log n)$ 

 $NL = NSPACE(\log n)$ 

## AN ALGORITHM IN L

- Consider the (good old) language  $A = \{0^k 1^k \mid k \ge 0\}$
- Previous algorithm (zig-zag and cross out symbols) used linear space.
- We can not do this now since the input tape is read-only.
- Once the machine is certain the string is of the desired pattern, it can count the number of 0's and 1's.
- The only additional space needed are for the two counters (in binary).
- A binary counter uses only logarithmic space,  $O(\log k)$ .

## AN ALGORITHM IN NL

- Consider the *PATH* problem  $PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from$ *s*to*t* $}$
- *PATH* is in P, but that algorithm uses linear space.
- It is not known if *PATH* can be solved in deterministic log space.
- It can be solved in nondeterministic log space:
  - Starting with s, the nondeterministic log space TM guesses the next node to go to on the way to t.
  - The TM only records the id or the position of the node (so needs log space).
  - The TM nondeterministically guesses the next node, until either it reaches t or until it has gone for m steps where m is the number of nodes.

# THE CLASSES L AND NL

- Log-space reducibility
- NL-completeness
- PATH is NL-complete.
  - For a given log space nondeterministic TM and input *w*, map the accepting computation history to a graph, with nodes representing configurations.
- $NL \subseteq P$  (remember  $PATH \in P$ )
- NL = coNL.
- $L \subseteq NL = coNL \subseteq P \subseteq PSPACE$ .

# AND WE ARE DONE FOR THE SEMESTER (- THE FINAL)

- Thanks for your patience and for taking the occasional mental pain.
- But then, no pain no gain!
- We do review Thursday and (if you want) on Sunday, please come prepared and let me know what major concepts your still have problems with.
- Final on Monday, April 25, 2010, at 14:30-17:30
- Good luck!