

# Idealness and 2-resistant sets

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## Abstract

A subset of the unit hypercube  $\{0, 1\}^n$  is *cube-ideal* if its convex hull is described by hypercube and generalized set covering inequalities. In this note, we study sets  $S \subseteq \{0, 1\}^n$  such that, for any subset  $X \subseteq \{0, 1\}^n$  of cardinality at most 2,  $S \cup X$  is cube-ideal.

## 1 Introduction

Take an integer  $n \geq 1$ . Denote by  $\{0, 1\}^n$  the extreme points of the  $n$ -dimensional unit hypercube  $[0, 1]^n$ . A *sub-hypercube* of  $\{0, 1\}^n$  is a subset of the form

$$\{x \in \{0, 1\}^n : x_i = 0 \ i \in I, x_j = 1 \ j \in J\} \quad I, J \subseteq \{1, \dots, n\}, I \cap J = \emptyset;$$

its *rank* is  $n - |I| - |J|$ . For a coordinate  $i \in [n] := \{1, \dots, n\}$ , we refer to  $x_i \geq 0$  and  $x_i \leq 1$  as *hypercube* inequalities. *Generalized set covering* inequalities are inequalities of the form

$$\sum_{i \in I} x_i + \sum_{j \in J} (1 - x_j) \geq 1 \quad I, J \subseteq [n], I \cap J = \emptyset,$$

which are precisely the inequalities that cut off sub-hypercubes of  $\{0, 1\}^n$ . Interpreted as clause satisfaction inequalities for the Boolean satisfiability problem, generalized set covering inequalities are prevalent in the literature. Also referred to as *cropping* inequalities [7, 13], these inequalities have surfaced as *cocycle* inequalities valid for cycle polytopes of binary matroids [5], as *set covering* inequalities ( $J = \emptyset$ ) for various set covering problems [6, 11, 8], and as *cover* inequalities ( $I = \emptyset$ ) for the knapsack problem [4, 12, 16].

Take a set  $S \subseteq \{0, 1\}^n$ .  $S$  is *cube-ideal* if its convex hull, denoted  $\text{conv}(S)$ , can be described by hypercube and generalized set covering inequalities. This notion was introduced and studied in [1]. Cube-ideal sets form a rich class of objects: Basic classes include the cycle space of a graph [15] and the up-monotone set associated with an ideal clutter (see [1]). Ideal clutters arise from  $T$ -joins and  $T$ -cuts in grafts (Edmonds-Johnson [10]), from dijoins and dicuts in digraphs (Lucchesi-Younger [14]) and other combinatorial structures. In this note, we introduce a new class of cube-ideal sets that is geometric in nature. We need a few definitions first.

Given points  $a, b \in \{0, 1\}^n$ , the *distance* between  $a$  and  $b$ , denoted  $\text{dist}(a, b)$ , is the number of coordinates  $a$  and  $b$  differ on. Denote by  $G_n$  the *skeleton graph* of  $[0, 1]^n$ , whose vertices are the points in  $\{0, 1\}^n$ , where two

vertices  $a, b \in \{0, 1\}^n$  are adjacent if  $\text{dist}(a, b) = 1$ . For a subset  $X \subseteq \{0, 1\}^n$ , denote by  $G_n[X]$  the subgraph of  $G_n$  induced on vertices  $X$ .

Given  $S \subseteq \{0, 1\}^n$ , we refer to the points in  $S$  as *feasible* and to the points in  $\bar{S} := \{0, 1\}^n - S$  as *infeasible*. The connected components of  $G_n[S]$  are *feasible components*, while the components of  $G_n[\bar{S}]$  are *infeasible components*.

**Theorem 1** ([2]). *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . If each infeasible component is a sub-hypercube or has maximum degree at most two, then  $S$  is cube-ideal.*

The various basic classes of cube-ideal sets suggest that finding a structure theorem for cube-ideal sets is a daunting task. In this note, however, we provide a structure theorem for cube-ideal sets  $S \subseteq \{0, 1\}^n$  that remain cube-ideal even after adding one or two points to  $S$ .

**Theorem 2.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$  where, for every subset  $X \subseteq \{0, 1\}^n$  of cardinality at most two,  $S \cup X$  is cube-ideal. Then every infeasible component is a sub-hypercube or has maximum degree at most two.*

To prove this theorem, it will be more convenient to work with the more concrete concept of *2-resistance*. We define and study 2-resistance in §2, and then prove Theorem 2 as well as other applications in §3. In the latter section we will also introduce and discuss the concept of *k-resistance* for integers  $k \geq 1$ .

## 2 A characterization of 2-resistant sets

Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Take a coordinate  $i \in [n]$ . The set obtained from  $S \cap \{x : x_i = 0\}$  after dropping coordinate  $i$  is called the *0-restriction of  $S$  over coordinate  $i$* , and the set obtained from  $S \cap \{x : x_i = 1\}$  after dropping coordinate  $i$  is called the *1-restriction of  $S$  over coordinate  $i$* . A *restriction of  $S$*  is a set obtained after a series of 0- and 1-restrictions. The *projection of  $S$  over coordinate  $i$*  is the set obtained from  $S$  after dropping coordinate  $i$ . A *minor of  $S$*  is what is obtained after a series of restrictions and projections. A minor is *proper* if at least one operation is applied. Denote by  $e_i$  the  $i^{\text{th}}$  unit vector. To *twist coordinate  $i \in [n]$*  is to replace  $S$  by

$$S \triangle e_i := \{x \triangle e_i : x \in S\},$$

where the second  $\triangle$  denotes coordinate-wise addition modulo 2.  $S' \subseteq \{0, 1\}^n$  is *isomorphic* to  $S$ , written as  $S' \cong S$ , if  $S'$  is obtained from  $S$  after relabeling and twisting some coordinates.

Let  $P_3 := \{110, 101, 011\} \subseteq \{0, 1\}^3$  and  $S_3 := \{110, 101, 011, 111\} \subseteq \{0, 1\}^3$ , as displayed in Figure 1. We say that  $S$  is *2-resistant* if, for every subset  $X \subseteq \{0, 1\}^n$  of cardinality at most two,  $S \cup X$  has no  $P_3, S_3$  isomorphic minor.<sup>1</sup> The following is straightforward:

**Remark 3.** *If a set is 2-resistant, then so is every minor of it.*

<sup>1</sup>Going forward, the prefix “isomorphic” will be omitted from “isomorphic minor” and “isomorphic restriction”.

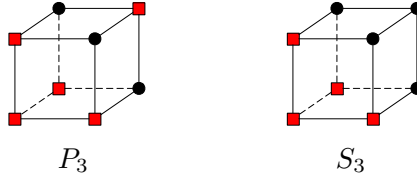


Figure 1: An illustration of  $P_3$  and  $S_3$ . Round points are feasible while square points are infeasible.

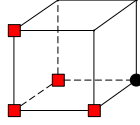


Figure 2: The excluded minor, and restriction, defining 2-resistance.

How is 2-resistance relevant? Notice that

$$\text{conv}(P_3) = \{x \in [0, 1]^3 : x_1 + x_2 + x_3 = 2\} \quad \text{and} \quad \text{conv}(S_3) = \{x \in [0, 1]^3 : x_1 + x_2 + x_3 \geq 2\},$$

implying in turn that  $P_3, S_3$  are not cube-ideal. In fact, up to isomorphism,  $P_3, S_3$  are the only non-cube-ideal sets of dimension at most 3.

**Remark 4** ([1]). *If a set is cube-ideal, then so is every minor of it.*

As a consequence, a cube-ideal set has no  $P_3, S_3$  minor. In particular, if  $S \cup X$  is cube-ideal for every set  $X$  of cardinality at most two, then  $S$  must be 2-resistant.

We are now ready to prove the following characterization of 2-resistant sets:

**Theorem 5.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then the following statements are equivalent:*

- (i)  $S$  is 2-resistant,
- (ii)  $S$  has no restriction  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ ,
- (iii)  $S$  has no minor  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ ,
- (iv) every infeasible component of  $S$  is a sub-hypercube or has maximum degree at most two.

*Proof.* (i)  $\Rightarrow$  (ii): Observe that  $F$  is not 2-resistant, because  $F \cup \{101, 011\}$  is either  $P_3$  or  $S_3$ . Thus, a 2-resistant set has no  $F$  restriction by Remark 3. (ii)  $\Rightarrow$  (iv): Assume that  $S$  has no  $F$  restriction.

**Claim 1.** *Let  $x$  be an infeasible point with at least three infeasible neighbors. If  $x \Delta e_i, x \Delta e_j$  are infeasible for some distinct  $i, j \in [n]$ , then  $x \Delta e_i \Delta e_j$  is also infeasible.*

*Proof of Claim.* Suppose for a contradiction that  $x \Delta e_i \Delta e_j$  is feasible. Since  $x$  has at least three infeasible neighbors, there is a coordinate  $k \in [n] - \{i, j\}$  such that  $x \Delta e_k$  is infeasible. After a possible twisting and

relabeling of the coordinates, we may assume that  $x = \mathbf{0}$  and  $i = 1, j = 2, k = 3$ . Let  $F \subseteq \{0, 1\}^3$  be the restriction of  $S$  obtained after 0-restricting coordinates  $4, \dots, n$ . Then  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ , a contradiction.  $\diamond$

**Claim 2.** *Let  $x$  be an infeasible point with at least three infeasible neighbors. Let  $k \geq 3$  be the number of infeasible neighbors of  $x$ . Then the sub-hypercube of rank  $k$  containing  $x$  and its infeasible neighbors is infeasible.*

*Proof of Claim.* After a possible twisting and relabeling, if necessary, we may assume that  $x = \mathbf{0}$  and its infeasible neighbors are  $e_1, \dots, e_k$ . We need to show that for all subsets  $I \subseteq [k]$ ,  $\sum_{i \in I} e_i \in \bar{S}$ . We will proceed by induction on  $|I| \geq 0$ . The base cases  $|I| \in \{0, 1\}$  hold by assumption, and the case  $|I| = 2$  follows from Claim 1. For the induction step, assume that  $|I| \geq 3$ . After a possible relabeling, if necessary, we may assume that  $I = [\ell]$ . Let  $y := \sum_{i=1}^{\ell-2} e_i$ . By the induction hypothesis,  $y$  and its three neighbors  $y \Delta e_{\ell-2}, y \Delta e_{\ell-1}, y \Delta e_\ell$  are infeasible. It therefore follows from Claim 1 that  $y \Delta e_{\ell-1} \Delta e_\ell = \sum_{i=1}^{\ell} e_i$  is infeasible, thereby completing the induction step.  $\diamond$

Let  $K$  be an infeasible component, and let  $k$  be the maximum number of infeasible neighbors of a point in  $K$ . If  $k \leq 2$ , then  $K$  has maximum degree at most two. Otherwise,  $k \geq 3$ . It then follows from Claim 2 that  $K$  contains a sub-hypercube of rank  $k$ . Our maximal choice of  $k$  in turn implies that  $K$  is in fact the sub-hypercube of rank  $k$ . Thus, every infeasible component is a sub-hypercube or has maximum degree at most two. **(iv)**  $\Rightarrow$  **(iii)**: Assume that every infeasible component is a sub-hypercube or has maximum degree at most two.

**Claim 3.** *If  $S'$  is a minor of  $S$ , then every infeasible component of  $S'$  is a sub-hypercube or has maximum degree at most two.*

*Proof of Claim.* It suffices to prove this for single restrictions and single projections. The claim clearly holds for single restrictions. As for projections, assume that  $S'$  is obtained from  $S$  after projecting away coordinate  $n$ . Let  $K' \subseteq \{0, 1\}^{n-1}$  be an infeasible component of  $S'$ . Clearly,  $\{(x, 0), (x, 1) : x \in K'\} \subseteq \{0, 1\}^n$  is connected in  $G_n$  and infeasible for  $S$ , so it is contained in an infeasible component  $K$  of  $S$ . If  $K$  has maximum degree at most two, then so does  $\{(x, 0), (x, 1) : x \in K'\}$ , implying in turn that  $K'$  has maximum degree at most two. Otherwise,  $K$  is a sub-hypercube. In this case, as  $K'$  is an infeasible component of  $S'$ , it must be that  $K = \{(x, 0), (x, 1) : x \in K'\}$ , implying in turn that  $K'$  is a sub-hypercube. Thus,  $K'$  is a sub-hypercube or has maximum degree at most two, as claimed.  $\diamond$

Thus, since the infeasible component of  $F$  containing  $000$  is neither a sub-hypercube or of maximum degree at most two,  $S$  does not have an  $F$  minor. **(iii)**  $\Rightarrow$  **(i)**: Assume that  $S$  is not 2-resistant. Then there is a subset  $X \subseteq \{0, 1\}^n$  of cardinality at most two such that  $S \cup X$  has a  $P_3, S_3$  minor. Thus there is a subset  $Y \subseteq \{0, 1\}^3$  of cardinality at most two such that  $S$  has a  $P_3 - Y, S_3 - Y$  minor. After relabeling the coordinates, if necessary, we see that both  $P_3 - Y, S_3 - Y$  are the desired minor.  $\square$

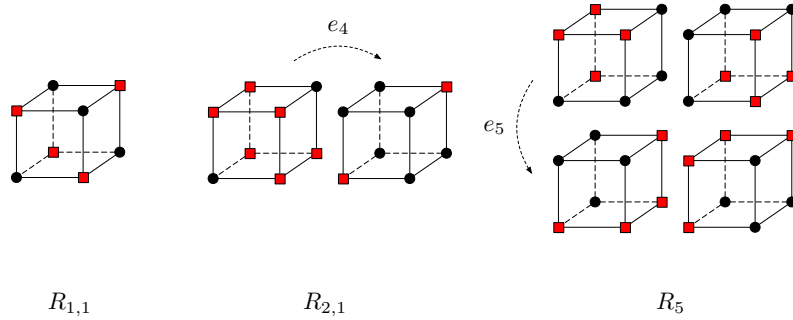


Figure 3: The 2-resistant strictly non-polar sets.

### 3 Consequences of Theorem 5

The first application of Theorem 5 is Theorem 2:

*Proof of Theorem 2.* Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$  where, for every subset  $X \subseteq \{0, 1\}^n$  of cardinality at most two,  $S \cup X$  is cube-ideal. In particular,  $S$  is 2-resistant, so by Theorem 5, every infeasible component of  $S$  is a sub-hypercube or has maximum degree at most two, as required.  $\square$

Using Theorem 1 we get the following immediate consequence:

**Corollary 6.** *A 2-resistant set is cube-ideal.*

For the third application, we need another concept. Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ .  $S$  is *polar* if either it contains antipodal points, or all of its points agree on a coordinate:

$$\{x, \mathbf{1} - x\} \subseteq S \quad \text{for some } x \in \{0, 1\}^n \quad \text{or} \quad S \subseteq \{x : x_i = a\} \quad \text{for some } i \in [n] \text{ and } a \in \{0, 1\}.$$

$S$  is *strictly polar* if every restriction of it, including  $S$  itself, is polar. Introduced and studied in [1], strict polarity is a notion closely tied with cube-idealness as the authors used the two notions to reformulate the  $\tau = 2$  Conjecture of Cornuéjols, Guenin and Margot [9]. We will characterize when 2-resistant sets are strictly polar. To this end, consider the sets

$$\begin{aligned} R_{1,1} &:= \{000, 110, 101, 011\} \subseteq \{0, 1\}^3 \\ R_{2,1} &:= \{0000, 1110, 1001, 0101, 0011, 1101, 1011, 0111\} \subseteq \{0, 1\}^4 \\ R_5 &:= \{00000, 10000, 11000, 11100, 11110, 01110, 00110, 00010\} \\ &\quad \cup \{01001, 01101, 00101, 10101, 10111, 10011, 11011, 01011\} \subseteq \{0, 1\}^5, \end{aligned}$$

as displayed in Figure 3. Notice that these sets are 2-resistant, as every infeasible component has maximum degree at most two, and non-polar. (These three sets are part of an infinite class  $\{R_{k,1} : k \geq 1\}$  of non-polar sets, introduced and studied in [2], and “correspond” to an infinite class  $\{Q_{k,1} : k \geq 1\}$  of ideal minimally non-packing clutters [9].) We will prove the following characterization:

**Theorem 7.** *A 2-resistant set is strictly polar if, and only if, it has no  $R_{1,1}, R_{2,1}, R_5$  restriction.*

$S$  is strictly non-polar if it is not polar, but every proper restriction is polar. Notice that a set is strictly polar if, and only if, it has no strictly non-polar set as a restriction. Examples of strictly non-polar sets include the sets  $R_{1,1}, R_{2,1}, R_5$  [3]. As an application of Theorem 5, we will prove that up to isomorphism, these three sets are the only 2-resistant strictly non-polar sets, thereby proving Theorem 7. We will need the following result:

**Theorem 8** ([3]). *Up to isomorphism,  $R_{1,1}, R_{2,1}, R_5$  are the only strictly non-polar sets where every infeasible point has at most two infeasible neighbors.*

We will also need the following two lemmas:

**Lemma 9.** *Take an integer  $n \geq 5$  and a set  $S \subseteq \{0, 1\}^n$ , where every infeasible point has at most two infeasible neighbors. Then  $|S| \geq 2^{n-1}$ .*

*Proof.* Let us proceed by induction on  $n \geq 5$ . The base case is the crux of the proof, as the induction step is straightforward. Assume that  $n = 5$ . Suppose for a contradiction that  $|S| \leq 15$ . For  $i, j \in \{0, 1\}$ , let  $S_{ij} \subseteq \{0, 1\}^3$  be the restriction of  $S$  obtained after  $i$ -restricting coordinate 4 and  $j$ -restricting coordinate 5. As  $|S| \leq 15$ , we may assume after a possible relabeling and twisting of coordinates 4, 5 that  $|S_{00}| + |S_{10}| \leq 7$  and  $|S_{00}| \leq 3$ . Since every infeasible point of  $S_{00}$  has at most two infeasible neighbors, it follows that  $S_{00}$  has antipodal points. Thus, as  $|S_{00}| \leq 3$ , we may assume after a possible twisting of coordinates 1, 2, 3 that  $\{000, 111\} \subseteq S_{00} \subseteq \{000, 111, 110\}$ . Since

( $\star$ ) every infeasible point of  $S$  has at most two infeasible neighbors,

it follows that  $\{001, 101, 011\} \subseteq S_{10}$ . If  $S_{00} = \{000, 111\}$ , then  $\{001, 101, 011, 100, 010, 110\} \subseteq S_{10}$ , which is not possible because  $|S_{00}| + |S_{10}| \leq 7$ . As a result,  $S_{00} = \{000, 111, 110\}$ . This implies that  $3 \leq |S_{10}| \leq 4$ , and together with ( $\star$ ), we see that in fact  $S_{10} = \{001, 101, 011, 110\}$ . It now follows from ( $\star$ ) that  $\{100, 010, 001, 101, 011\} \subseteq S_{01}$  and  $\{000, 100, 010\} \subseteq S_{11}$ , implying in turn that  $|S_{01}| + |S_{11}| \geq 8$ . Our contrary assumption implies that  $|S| = 15$  and  $S_{01} = \{100, 010, 001, 101, 011\}$  and  $S_{11} = \{000, 100, 010\}$ . But then the infeasible point 11111 of  $S$  has 5 infeasible neighbors, contradicting ( $\star$ ). This proves the base case  $n = 5$ . For the induction step, assume that  $n \geq 6$ . For  $i \in \{0, 1\}$ , let  $S_i \subseteq \{0, 1\}^{n-1}$  be the  $i$ -restriction of  $S$  over coordinate  $n$ ; note that every infeasible component of  $S_i$  has maximum degree at most two. By the induction hypothesis,  $|S| = |S_0| + |S_1| \geq 2^{n-2} + 2^{n-2} = 2^{n-1}$ , thereby completing the induction step.  $\square$

**Lemma 10.** *Take an integer  $n \geq 5$  and a nonempty set  $S \subseteq \{0, 1\}^n$ , where every infeasible component is a sub-hypercube or has maximum degree at most two. If  $S$  has no  $R_{1,1}$  restriction and one of its infeasible components is a sub-hypercube of rank at least 3, then*

- $|S| \geq 2^{n-1}$ , and
- if  $|S| = 2^{n-1}$ , then  $S$  is either a sub-hypercube of rank  $n - 1$  or the union of antipodal sub-hypercubes of rank  $n - 2$ .

*Proof.* We will prove this by induction on  $n \geq 5$ .

Consider first the base case  $n = 5$  is clear. For  $i, j \in \{0, 1\}$ , let  $S_{ij} \subseteq \{0, 1\}^3$  be the restriction of  $S$  obtained after  $i$ -restricting coordinate 4 and  $j$ -restricting coordinate 5. After a possible twisting and relabeling of the coordinates, we may assume that  $S_{00} = \emptyset$ . If  $S_{10} = \emptyset$ , then  $S = \{x \in \{0, 1\}^5 : x_5 = 1\}$ , so  $S$  is a sub-hypercube of rank 4. Similarly, if  $S_{01} = \emptyset$ , then  $S$  is a sub-hypercube of rank 4. Otherwise,  $S_{10} = S_{01} = \{0, 1\}^3$ , so  $|S| \geq 16$ . Moreover, if equality holds, then  $S = \{x \in \{0, 1\}^5 : x_4 = x_5\}$ , so  $S$  is the union of antipodal hypercubes of rank 3. This proves the case case.

For the induction step, assume that  $n \geq 6$ . For  $i \in \{0, 1\}$ , let  $S_i \subseteq \{0, 1\}^{n-1}$  be the  $i$ -restriction of  $S$  over coordinate  $n$ . If one of  $S_0, S_1$  is empty, then the other one must be  $\{0, 1\}^{n-1}$ , so  $S$  is a sub-hypercube of rank  $n - 1$  and the induction step is complete. We may therefore assume that  $S_0, S_1$  are nonempty.

Assume in the first case that  $S$  has an infeasible sub-hypercube of rank  $\geq 4$  active in, say, direction  $e_n$ ; that is, the infeasible sub-hypercube intersects both  $\{x : x_n = 0\}$  and  $\{x : x_n = 1\}$ . Then both  $S_0, S_1$  have infeasible sub-hypercubes of rank  $\geq 3$ . Thus by the induction hypothesis,  $|S_0| \geq 2^{n-2}$  and  $|S_1| \geq 2^{n-2}$ , implying in turn that  $|S| = |S_0| + |S_1| \geq 2^{n-1}$ . Assume next that  $|S| = 2^{n-1}$ . Then  $|S_0| = |S_1| = 2^{n-2}$ . By the induction hypothesis, one of the following cases holds:

- $S_0$  is a sub-hypercube of rank  $n - 2 \geq 4$ : In this case, we may assume that  $S \cap \{x : x_n = 0\} = \{x : x_{n-1} = x_n = 0\}$ . Since every infeasible component of  $S$  is a sub-hypercube or has maximum degree at most two, the sub-hypercube  $\{x : x_{n-1} = 0, x_n = 1\}$  is either totally feasible or totally infeasible. Since  $|S_1| = 2^{n-2}$ , it follows that  $S \cap \{x : x_n = 1\}$  is either

$$\{x : x_{n-1} = 0, x_n = 1\} \quad \text{or} \quad \{x : x_{n-1} = x_n = 1\}.$$

Thus,  $S$  is either a sub-hypercube of rank  $n - 1$  or the union of antipodal sub-hypercubes of rank  $n - 2$ .

- $S_1$  is the union of two antipodal sub-hypercubes of rank  $n - 3 \geq 3$ : In this case, we may assume that  $S \cap \{x : x_n = 0\} = \{x : x_{n-2} = x_{n-1}, x_n = 0\}$ . Since every infeasible component of  $S$  is a sub-hypercube or has maximum degree at most two, and  $|S_1| = 2^{n-2}$ , it follows that  $S \cap \{x : x_n = 1\}$  is either

$$\{x : x_{n-2} = x_{n-1}, x_n = 1\} \quad \text{or} \quad \{x : x_{n-2} + x_{n-1} = 1, x_n = 1\}.$$

However, since  $S$  has no  $R_{1,1}$  restriction, the latter is not possible. Thus,  $S = \{x : x_{n-2} = x_{n-1}\}$ , so  $S$  is the union of antipodal sub-hypercubes of rank  $n - 2$ .

Thus,  $S$  is either a sub-hypercube of rank  $n - 1$  or the union of antipodal sub-hypercubes of rank  $n - 2$ , thereby completing the induction step in this case.

Assume in the remaining case that every infeasible component of  $S$  has maximum degree at most two or is a cube (i.e. a sub-hypercube of rank 3). By assumption, one of the infeasible components is a cube, which we may assume is contained in  $S_0$ . By the induction hypothesis,  $|S_0| \geq 2^{n-2}$  and if equality holds, then  $S_0$  is either a sub-hypercube of rank  $n - 2$  or the union of antipodal sub-hypercubes of rank  $n - 3$ . If  $S_1$  has an infeasible

component that is a cube, then the induction hypothesis implies that  $|S_1| \geq 2^{n-2}$ , and if not,  $S_1$  has maximum degree at most two, so by Lemma 9,  $|S_1| \geq 2^{n-2}$ . Either way,  $|S_1| \geq 2^{n-2}$ , so  $|S| = |S_0| + |S_1| \geq 2^{n-1}$ . We claim that equality does not hold. Suppose for a contradiction that  $|S| = 2^{n-1}$ . Then  $|S_0| = |S_1| = 2^{n-2}$ . So  $S_0$  is either a sub-hypercube of rank  $n - 2 \geq 4$  or the union of antipodal sub-hypercubes of rank  $n - 3 \geq 3$ . As  $S$  has no infeasible sub-hypercube of rank  $\geq 4$ , it follows that  $n = 6$  and  $S_0$  is the union of antipodal cubes, say

$$S \cap \{x : x_6 = 0\} = \{x : x_4 = x_5, x_6 = 0\},$$

and so

$$S \cap \{x : x_6 = 1\} = \{x : x_4 + x_5 = 1, x_6 = 1\}$$

as  $|S_1| = 2^{n-2}$ . But then  $S$  has an  $R_{1,1}$  restriction, a contradiction to our assumption. This completes the induction step.  $\square$

We are now ready the following characterization:

**Theorem 11.** *Up to isomorphism,  $R_{1,1}, R_{2,1}, R_5$  are the only 2-resistant strictly non-polar sets.*

*Proof.* We know that  $R_{1,1}, R_{2,1}, R_5$  are strictly non-polar sets, and since their infeasible components have maximum degree at most two, they are 2-resistant by Theorem 5. To prove that they are up to isomorphism the only 2-resistant strictly non-polar sets, pick an integer  $n \geq 1$  and a 2-resistant set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}, R_{2,1}, R_5$  restriction. It suffices to show that  $S$  is polar. By Theorem 5, every infeasible component is a sub-hypercube or has maximum degree at most two. If  $S$  has maximum degree at most two, then by Theorem 8,  $S$  is polar. Otherwise,  $S$  has an infeasible sub-hypercube of rank at least 3. If  $n = 4$  or  $S = \emptyset$ , then  $S$  is clearly polar. Otherwise,  $n \geq 5$  and  $S \neq \emptyset$ . By Lemma 10,  $|S| \geq 2^{n-1}$ ; if equality holds, then  $S$  is either a sub-hypercube or the union of antipodal sub-hypercubes, so  $S$  is clearly polar. Otherwise,  $|S| > 2^{n-1}$ , implying in particular that there are antipodal feasible points, so  $S$  is polar, as required.  $\square$

Theorem 7 follows as an immediate consequence.

For the fourth and final application of Theorem 5, take an integer  $k \geq 1$ . We say that  $S$  is  $k$ -resistant if  $S \cup X$  has no  $P_3, S_3$  minor, for every subset  $X \subseteq \{0, 1\}^n$  of cardinality at most  $k$ .

**Theorem 12.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then for any integer  $k \geq 3$ ,  $S$  is  $k$ -resistant if, and only if, every infeasible component of  $S$  has maximum degree at most two.*

*Proof.* ( $\Leftarrow$ ) Assume that every every infeasible component of  $S$  has maximum degree at most two. Take an integer  $k \geq 3$ . To prove that  $S$  is  $k$ -resistant, let  $X$  be a subset of  $\{0, 1\}^n$  of cardinality at most  $k$ . Then every infeasible component of  $S \cup X$  also has maximum degree at most two, so by Theorem 5,  $S$  has no  $P_3, S_3$  minor. Thus,  $S$  is  $k$ -resistant. ( $\Rightarrow$ ) Assume that  $S$  is  $k$ -resistant. In particular,  $S$  is 2-resistant by Theorem 5, so every infeasible component of  $S$  is a sub-hypercube or has maximum degree at most two. Notice however that  $S$  cannot have an infeasible component that is a sub-hypercube of rank at least 3, for if not, then  $S \cup X$  would have a  $P_3$  restriction for some  $X \subseteq \{0, 1\}^n - S$  of cardinality 3, which is not possible as  $S$  is 3-resistant. Thus, every infeasible component of  $S$  has maximum degree at most two.  $\square$



In particular,  $R_{1,1}, R_{2,1}, R_5$  are  $k$ -resistant for any integer  $k \geq 3$ , so

**Corollary 13.** *For an integer  $k \geq 3$ , a  $k$ -resistant set is strictly polar if, and only if, it has no  $R_{1,1}, R_{2,1}, R_5$  restriction.*

What about 1-resistant sets? It turns out that these sets, simply referred to as *resistant* sets, form a very rich class of cube-ideal sets and are much more complex than  $k$ -resistant sets for any integer  $k \geq 2$ . These sets are studied in detail in [2].

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