

From $k + 1$ to k heads
the descriptive trade-off is non-recursive

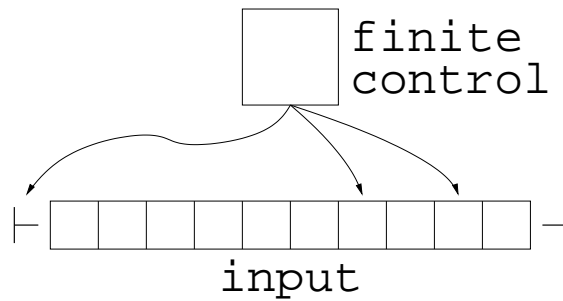
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workshop on the
Descriptive Complexity of Formal Systems
London, Ontario, July 2004

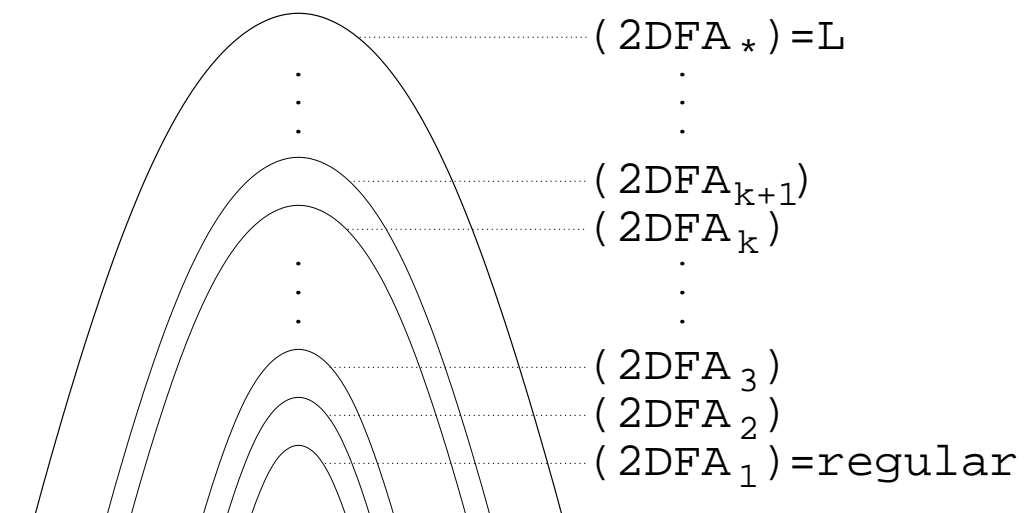
MACHINE MODEL

$2DFA_k$: two-way deterministic finite automaton with k heads

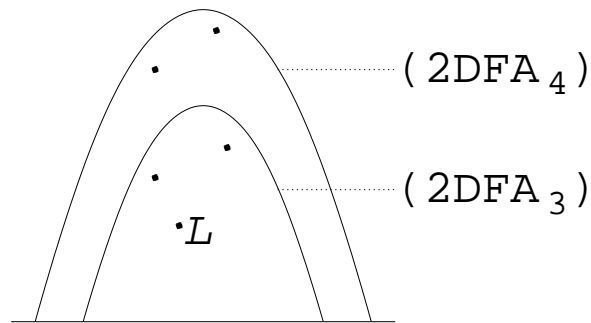
e.g., for $k = 3$:



capabilities:



THE GAP FOR $k = 3$



For $L \in (2DFA_3)$: $M_3 =$ a smallest $2DFA_3$ for L
 $M_4 =$ a smallest $2DFA_4$ for L

We should have: $|M_4| \leq |M_3|$.

But how larger? $|M_4| \leq |M_3| \leq 2|M_4|$?

$|M_4| \leq |M_3| \leq |M_4|^2$?

$|M_4| \leq |M_3| \leq 2^{|M_4|}$?

QUESTION: If $|M_4| \leq |M_3| \leq f(|M_4|)$,
how fast does f need to grow?

ANSWER: It must grow so fast that
we cannot even compute it.

IF WE COULD COMPUTE $f \dots$

\dots then we could semidecide the inadequacy of 3 heads:

$H_3 =$ "Given M a 2DFA₄: is it true that
no 2DFA₃ solves the same problem as M ?"

which is *not* semidecidable.

Need to prove two things:

- i. f computable $\Rightarrow H_3$ semidecidable
- ii. H_3 *not* semidecidable

f COMPUTABLE $\Rightarrow H_3$ SEMIDECIDABLE

Given M a $2DFA_4$:

1. Compute $|M| = 53$.
2. Compute $f(|M|) = f(53) = 1013$.
3. Compute the list

$$D_1, D_2, D_3, \dots, D_{731}$$

of all $2DFA_3$'s of size ≤ 1013 and M 's alphabet.

4. Check that M disagrees with every D_i :
for all inputs x :
 - cross out every D_i such that $D_i(x) \neq M(x)$.
 - if the list of D_i 's got empty, *accept*.

M has no equivalent $2DFA_3$
 \Rightarrow list eventually gets empty
 \Rightarrow we accept

M has an equivalent $2DFA_3$
 \Rightarrow list contains one [*]
 \Rightarrow we loop forever

Hence: if we could compute f , we could semidecide H_3

H₃ NOT SEMIDECIDABLE

We prove:

$$\overline{\text{HALT}} \leq E \leq H_3$$

$\overline{\text{HALT}}$ = “Given M a TM: is it true that M loops on $\langle M \rangle$?”

E = “Given M a *terminating* 2DFA₂^u that *obeys a threshold*: is it true that the language of M is empty?”

H_3 = “Given M a 2DFA₄: is it true that M has no equivalent 2DFA₃?”

H₃ NOT SEMIDECIDABLE: What's E?

The following problem is *not semidecidable* (known):

E_{TM} = “Given M a TM: is it true that the language of M is empty?”

What if, instead of a TM, the input machine M is:

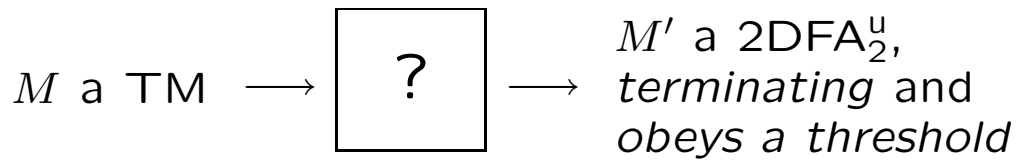
- just a multihead 2DFA?
- ... with exactly 2 heads?
- ... and unary input alphabet?
- ... and promised to always halt?
- ... and promised to obey a threshold?

for some $l \leq \infty$: $L(M) = \{ x \mid l \leq |x| \}$

Does the problem get any easier?

$E =$ “Given M a *terminating* 2DFA₂^u that *obeys a threshold*: is it true that the language of M is empty?”

E NOT SEMIDECIDABLE: $\overline{\text{HALT}} \leq E$



OUTLINE: M a TM

- \longrightarrow A a unary LBA
- \longrightarrow B a 3-counter automaton
- \longrightarrow C a 2-counter automaton
- \longrightarrow M' a 2DFA_2^u

Each of A, B, C, M' will be *terminating and obey a threshold*.

If M loops on its description:

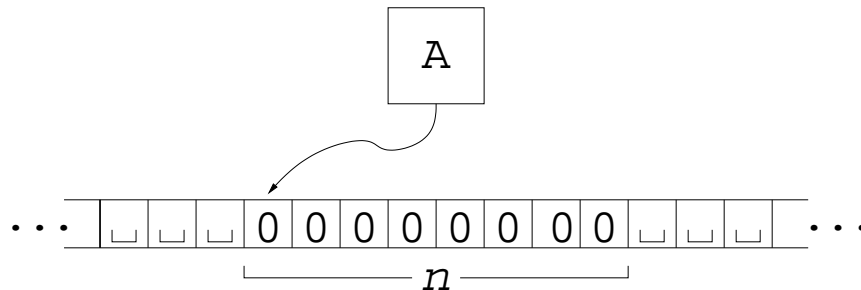
$$L(A) = L(B) = L(C) = L(M') = \emptyset$$

If M halts on its description:

$$L(A), L(B), L(C), L(M') \neq \emptyset$$

E NOT SEMIDECIDABLE: $\boxed{M \rightarrow A} \rightarrow B \rightarrow C \rightarrow M'$

M a TM \longrightarrow A a unary LBA,
terminating and obeys a threshold



input alphabet = $\{0\}$
 tape alphabet = $\{\square, 0, 1, \dot{0}, \dot{1}\}$

$A =$ "On input 0^n :

1. For all $x \in \{0, 1\}^n$:
 — if x is an accepting computation history
 of M on its description, *accept*.
2. *Reject.*"

M loops on its description $\Rightarrow L(A) = \emptyset$

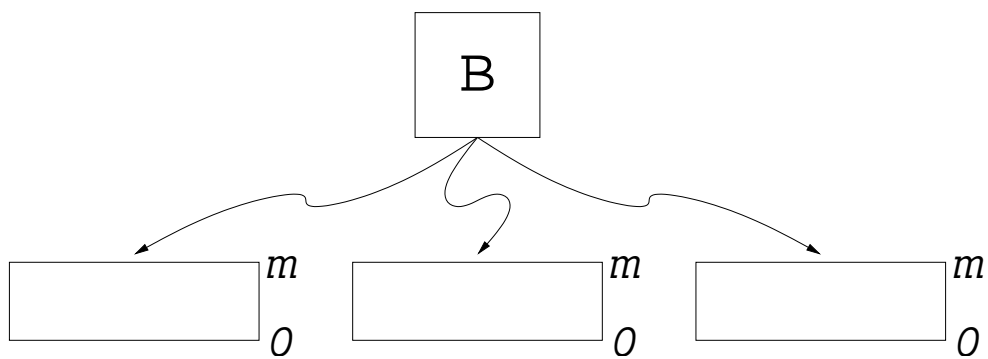
M halts on its description $\Rightarrow L(A) \neq \emptyset$

E NOT SEMIDECIDABLE: $M \rightarrow \boxed{A \rightarrow B} \rightarrow C \rightarrow M'$

A a unary LBA,
terminating and
obeys a threshold

\longrightarrow

B a DCA_3 ,
terminating and
obeys a threshold



input = m (upper bound for the counters)

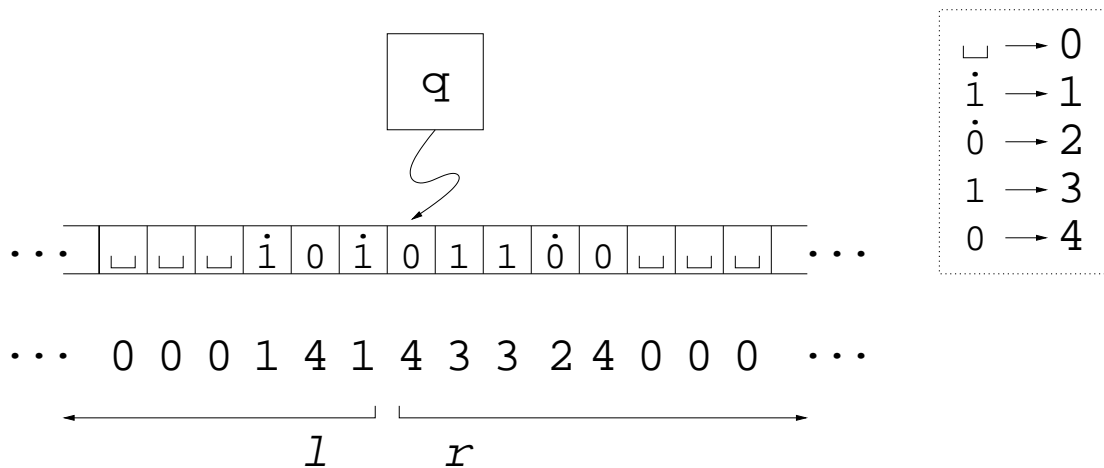
$B =$ "On input m :

— simulate A on input $\lg_5 \lg_{30} m$."

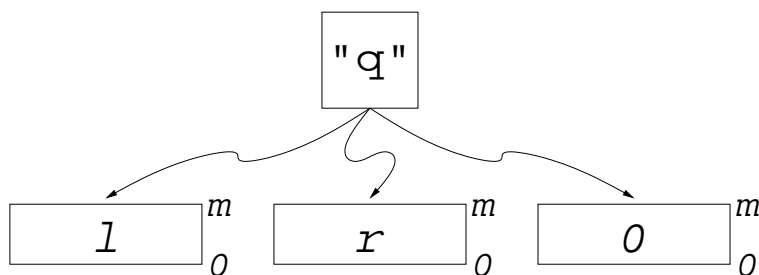
E NOT SEMIDECIDABLE: $M \rightarrow \boxed{A \rightarrow B} \rightarrow C \rightarrow M'$

B on input m simulates A on input $\lg_5 \lg_{30} m$

when A on input $n = \lg_5 \lg_{30} m$ is in configuration:



then B on input $m = 30^{5^n}$ is in configuration:



E NOT SEMIDECIDABLE: $M \rightarrow \boxed{A \rightarrow B} \rightarrow C \rightarrow M'$

input to B
 $m = 30^{5^n}$



values of
 B counters
 $< \lg_{30} m = 5^n$

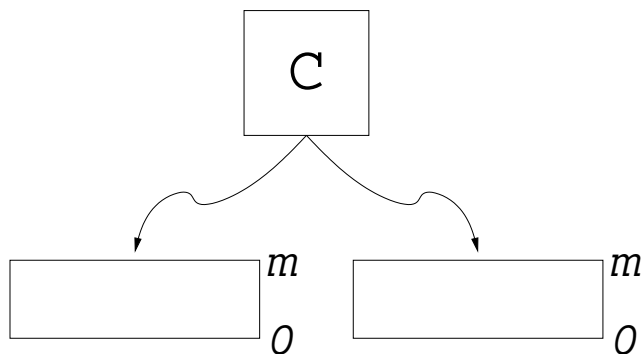


input to the
simulation of A
 $n = \lg_5 \lg_{30} m$

—

E NOT SEMIDECIDABLE: $M \rightarrow A \rightarrow \boxed{B \rightarrow C} \rightarrow M'$

B a DCA_3 ,
terminating and
obeys a threshold \longrightarrow C a DCA_2 ,
terminating and
obeys a threshold



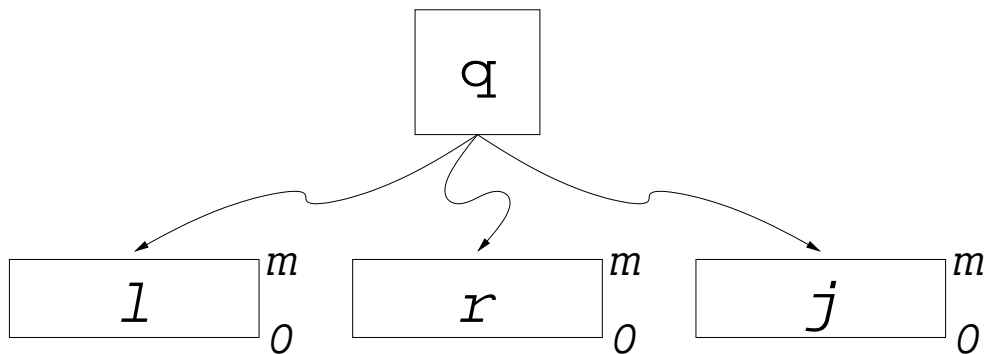
input = m (upper bound for the counters)

$C =$ "On input m :
— simulate B on input m ."

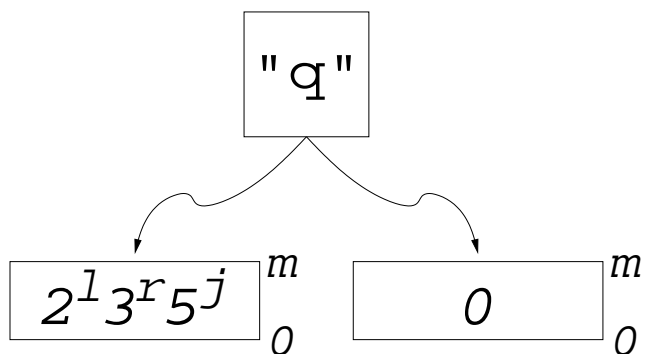
E NOT SEMIDECIDABLE: $M \rightarrow A \rightarrow \boxed{B \rightarrow C} \rightarrow M'$

C on input m simulates B on input m

when B on input m is in configuration:



then C on input m is in configuration:



E NOT SEMIDECIDABLE: $M \rightarrow A \rightarrow \boxed{B \rightarrow C} \rightarrow M'$

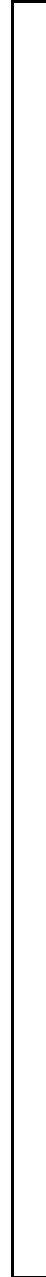
input to C
 m



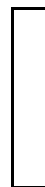
values of
 C counters
 $< m$



input to B
 m



values of
 B counters
 $< \lg_{30} m$

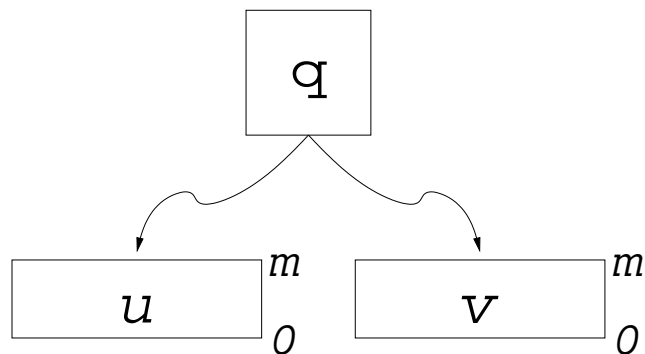


E NOT SEMIDECIDABLE: $M \rightarrow A \rightarrow B \rightarrow \boxed{C \rightarrow M'}$

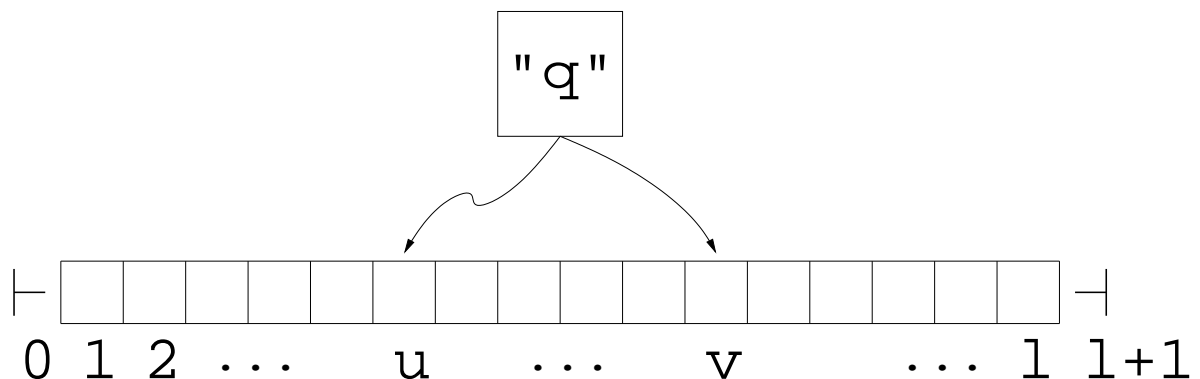
C a DCA_2 , *terminating and obeys a threshold* \longrightarrow M' a $2DFA_2^u$, *terminating and obeys a threshold*

M' on input l simulates C on input $l + 1$

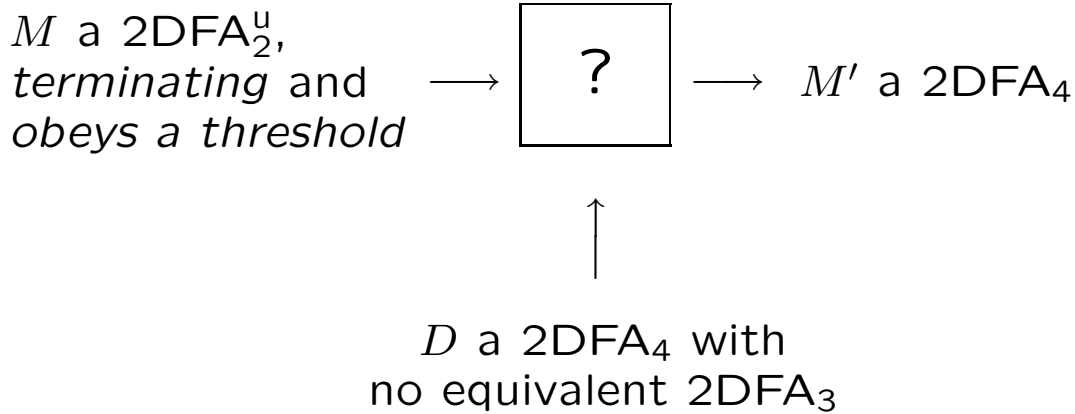
when C on input $m = l + 1$ is in configuration:



then M' on input $l = m - 1$ is in configuration:



H_3 NOT SEMIDECIDABLE: $E \leq H_3$



$M' =$ "On input x :

1. Run M . If it accepts, *accept*.
2. Run D ."

$L(M) = \emptyset$
 $\Rightarrow L(M') = L(D)$
 $\Rightarrow M'$ has no equivalent $2DFA_3$

$L(M) \neq \emptyset$
 $\Rightarrow L(M) =$ exactly all sufficiently long strings
 $\Rightarrow L(M')$ cofinite, hence regular
 $\Rightarrow M'$ has equivalent $2DFA_3$

OVERVIEW

Some *computable* f is such that ,

$$|M_4| \leq |M_3| \leq f(|M_4|)$$

for all $L \in (2\text{DFA}_3)$

- $\Rightarrow H_3$ is semidecidable [Hartmanis71]
- $\Rightarrow E$ is semidecidable [Kutrib03]
- $\Rightarrow \overline{\text{HALT}}$ is semidecidable [because. . .]
- \Rightarrow false

Because: Given M a TM

- $\longrightarrow A$ a *terminating* unary LBA that *obeys a threshold*
- $\longrightarrow B$ a *terminating* DCA_3 that *obeys a threshold* [Minsky61]
- $\longrightarrow C$ a *terminating* DCA_2 that *obeys a threshold* [Wang57]
- $\longrightarrow M'$ a *terminating* 2DFA_2^u that *obeys a threshold*

$$\begin{aligned} M \text{ loops on } \langle M \rangle &\Rightarrow L(M') = \emptyset \\ M \text{ halts on } \langle M \rangle &\Rightarrow L(M') \neq \emptyset \end{aligned}$$

CONCLUSION

THEOREM.

Replacing a $2DFA_4$ with an equivalent $2DFA_3$ causes a blow-up in description size that only non-recursive functions can bound.

... where nothing is special about

- the 3rd gap,
- determinism, or
- the cardinality of the input alphabet.

THEOREM.

For any $k \geq 1$, and $X \in \{2DFA, 2NFA, 2DFA^u, 2NFA^u\}$:
Replacing a X_{k+1} with an equivalent X_k causes a blow-up in description size that only non-recursive functions can bound.

COROLLARY: Same for other types of automata.