

# First-order theorem (dis)proving for reachability problems in verification and experimental mathematics

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- Preamble: MIU system and MU puzzle
- Reachability as deducibility
- Part I: Verification via disproving by countermodel finding
  - Cache Coherence Protocols
  - **Linear Systems of Automata and Monotonic Abstraction**
  - Regular Model Checking
  - Regular Tree Model Checking
  - Lossy Channel Systems
  - Safety for general TRS and Tree Automata Completion
  - **Limitations and Challenges**
- Part II: Applications to Mathematics
  - Exploration of the Andrews-Curtis Conjecture via FO (dis)proving

## MIU system

**Alphabet:**  $M, I$  and  $U$

**Axiom:**  $MI$

**Derivation rules:**

- I. If  $xI$  is a theorem, so is  $xIU$ .
- II. If  $Mx$  is theorem, so is  $Mxx$ .
- III. In any theorem  $III$  can be replaced by  $U$ .
- IV.  $UU$  can be dropped from any theorem.

## MU puzzle

Is MU a theorem of MIU system?

*Douglas Hofstadter, Goedel, Escher, Bach: An eternal Golden Braid, 1979*

- **Answer:** Negative, that is  $MU \notin L_{MIU}$
- **Condition, 1 (GEB,79):** "the number of  $I$  symbols in any string in  $L_{MIU}$  cannot be multiple of three"
- **Condition, 2 (Swanson, McEliece, 1988):** "any MIU theorem should start with  $M$  followed by an arbitrary word in  $I$ 's and  $U$ 's"

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- **Answer:** Let's apply classical FO logic ...
  - Fully automated solution of the puzzle
  - Puzzle is considered as infinite state safety verification problem
  - Generic Finite Countermodels Method (FCM) is used

FO theory *MIU*:

- 1  $(x * y) * z = x * (y * z)$  (associativity of concatenation);
- 2  $e * x = x$ ;
- 3  $x * e = x$ ;
- 4  $T(M * I)$  (MI is a theorem of MIU);
- 5  $T(x * I) \rightarrow T(x * I * U)$  (rule I of MIU);
- 6  $T(M * x) \rightarrow T(M * x * x)$  (rule II of MIU);
- 7  $T(x * I * I * I * y) \rightarrow T(x * U * y)$  (rule III of MIU)
- 8  $T(x * U * U * y) \rightarrow T(x * y)$  (rule IV of MIU)



## Proposition

*If  $w \in L_{MIU}$  then  $MIU \vdash T(t_w)$*

## Corollary

- *If  $T(t_S)$  is not FO provable from  $T_{MIU}$ , that is  $T_{MIU} \not\vdash_{FO} T(t_S)$  then  $S \notin L_{MIU}$ ;*
- *For any non-ground term  $t(\bar{x})$  in vocabulary  $\{*, M, I, U\}$  over the set of variables  $X$ , if  $T_{MIU} \not\vdash_{FO} \exists \bar{x} T(t(\bar{x}))$  then none of  $S$  such that  $t_S$  is a ground instance of  $t(\bar{x})$  belongs to  $L_{MIU}$ .*

Now to show  $MIU \not\models T(M * U)$  we are looking for

- Finite countermodels for  $MIU \rightarrow T(M * U)$ , or equivalently, for
- Finite models for  $MIU \wedge \neg T(M * U)$

To find a model we apply generic finite model finding procedure, e.g. implemented in Mace4 finite model finder by [W.McCune](#) (see demonstration)

- A model of size 3 is found in less than 0.01s. The property is proven!

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# CounterModel as Invariant

The domain  $D$  of the model is a three element set  $\{0, 1, 2\}$ . Interpretations of constants:  $[I] = [M] = 0$ ,  $[U] = 1$ . Interpretation of the predicate  $T$ :  $[T] = \{1, 2\}$ .

The interpretation of the binary function  $*$  is given by the following table

	0	1	2
0	2	0	1
1	0	1	2
2	1	2	0

*Invariant* property which holds for any MIU theorem  $w$ :

$$[t_w] \in [T] = \{1, 2\}$$

Notice that  $[t_{MU}] = 0 * 1 = 0 \notin [T]$

In summary

- The interpretation  $[*]$  above defines the set of strings  $L_{\mathcal{M}} = \{s \mid [t_s]_{\mathcal{M}} \in \{1, 2\}\}$  for which
  - $L_{MIU} \subseteq L_{\mathcal{M}}$
  - $MU \notin L_{\mathcal{M}}$
- Thus,  $L_{\mathcal{M}}$  is an invariant separating the theorems of MIU system and the string in question,  $MU$

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- Thus,  $L_{\mathcal{M}}$  is an invariant separating the theorems of MIU system and the string in question,  $MU$
- It is easy to see also that the invariant is a *regular* language
- Interestingly,  $L_{\mathcal{M}} \neq L_{MIU}$  as, for example,  $[M * M] = 2 \in [T]$  hence  $MM \in L_{\mathcal{M}}$  but  $MM \notin L_{MIU}$ .

Let us search for countermodels for  $MIU \rightarrow T(M * M)$ .

Mace4 finds a countermodel  $\mathcal{M}'$  of size 2, with the domain  $\{0, 1\}$ , the interpretations of constants M, I and U as 1, 0 and 0, respectively; the interpretation  $[T]$  of  $T = \{1\}$ . the interpretation of  $*$  is given by the table

[*]	0	1
	----	
0		0,1
1		1,0

The corresponding invariant  $\{s \mid [t_s]_{\mathcal{M}'} = 1\}$  captures the “oddness” of  $M$  count in strings, which is sufficient to separate  $MM$  from  $L_{MIU}$ .



# Subsets of configurations in FCM proofs

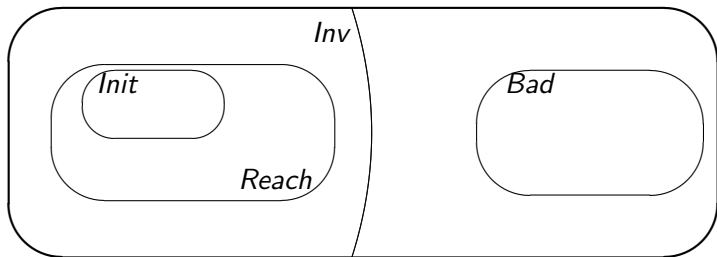


Figure: Subsets of configurations in general position

# MU puzzle via formal verification

- MU puzzle was considered as an example in E. M. Clarke, A. Fehnker, Z. Han, B. Krogh, J. Ouakine, Abstraction and Counterexample-Guided Refinement in Model Checking of Hybrid System, 2002
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- It has been formally verified that MU is not a theorem of MIU, but the proof was not fully automated and required “a good deal of insight”
- Our FCM based verification was fully automated and did not require any insight! Only natural formalization (encoding) in FO is required.

# What about MIU reachable words?

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- $MIUI \in L_{MIU}$
- Can we show this automatically?
- Yes, we can, by the first-order *proving*. Let us see the demonstration.

# Reachability as deducibility

- Many problems in verification can be naturally formulated in terms of *reachability* within transition systems;
- We propose to use deducibility (or derivability) in first-order predicate logic to model reachability in transition systems of interest;
- Then verification can be treated as theorem (dis)proving in classical predicate logic;
- Many automated tools (provers and model finders) are readily available.

- Let  $\mathcal{S} = \langle S, \rightarrow \rangle$  be a transition system with the set of states  $S$  and transition relation  $\rightarrow$
- Let  $e : s \mapsto \varphi_s$  be encoding of states of  $\mathcal{S}$  by formulae of first-order predicate logic, such that
  - the state  $s'$  is reachable from  $s$ , i.e.  $s \rightarrow^* s'$  if and only if  $\varphi_{s'}$  is the logical consequence of  $\varphi_s$ , that is  $\varphi_s \models \varphi_{s'}$  and  $\varphi_s \vdash \varphi_{s'}$ .
- Under such assumptions:
  - Establishing reachability  $\equiv$  theorem proving
  - Establishing non-reachability  $\equiv$  theorem disproving



# Disproving: Verification of safety

- Safety  $\equiv$  non-reachability of “bad” states
- Verification of safety properties  $\equiv$  theorem disproving
- To disprove  $\varphi \models \psi$  it is sufficient to find a countermodel for  $\varphi \rightarrow \psi$ , or which is the same a model for  $\varphi \wedge \neg\psi$
- In general, such a model can be inevitably infinite and the set of satisfiable first-order formulae is not r.e.
- One can not hope for full automation here
- **Our proposal: use automated finite model finders/builders**

- For the verification of safety the weaker assumption on the encoding is sufficient:
  - $s \rightarrow^* s' \Rightarrow \varphi_s \vdash \varphi_{s'}$
- For the verification of parameterized systems general idea of reachability as deducibility should be suitably adjusted
  - depends on particular classes of systems
  - unary or binary predicates modeling reachability can be used

- The idea of using finite model finders for verification is not new (thanks to anonymous referees of FMCAD 2010 conference!)
- It was proposed and developed in the area of verification of security protocols in the following papers (at least):
  - [C. Weidenbach](#) Towards an Automatic Analysis of Security Protocols in First-Order Logic, in H. Ganzinger (Ed.): CADE-16, LNAI 1632, pp. 314–328, 1999.
  - [Selinger, P.](#): Models for an adversary-centric protocol logic. Electr. Notes Theor. Comput. Sci. 55(1) (2001);
  - [Goubault-Larrecq, J.](#): Towards producing formally checkable security proofs, automatically. In: Computer Security Foundations (CSF), pp. 224 [U+FFFD] 238 (2008)
  - [Jan Jurjens and Tjark Weber](#), Finite Models in FOL-Based Crypto-Protocol Verification. Foundations and Applications of Security Analysis, LNCS 5511, 2009.

## AL (2009-...)

- Countermodel finding based verification methods are practically efficient for the verification of various classes of infinite state and parameterized systems:
  - lossy channel systems
  - cache coherence protocols
  - parameterized linear arrays of finite state automata
  - general term rewriting systems
  - etc.
- Completeness (for lossy channel systems verification)
- Relative completeness wrt to regular model checking (RMC); regular tree model checking (RTMC); tree automata completion techniques
- Generic MACE4 finite model finder by [W.McCune](#) has been successfully used to verify above systems

# Case Study I: Parameterized mutual exclusion protocol

- Taken from the paper [Parosh Aziz Abdulla, Giorgio Delzanno, Noomene Ben Henda, Ahmed Rezine](#). Monotonic Abstraction: on Efficient Verification of Parameterized Systems. *Int. J. Found. Comput. Sci.* 20(5): 779-801 (2009)
- Operates on the parameterized linear array of finite state automata

The protocol is specified as a parameterized system  $\mathcal{ME} = (Q, T)$ , where  $Q = \{green, black, blue, red\}$  is the set of local states of finite automata, and  $T$  consists of the following transitions:

- $\forall_{LR}\{green, black\} : green \rightarrow black$
- $black \rightarrow blue$
- $\exists_L\{black, blue, red\} : blue \rightarrow blue$
- $\forall_L\{green\} : blue \rightarrow red$
- $red \rightarrow black$
- $black \rightarrow green$

**The correctness condition:** if the protocol starts with all states being *green* it will never get to a state where there are two or more automata in the *red* state

# Translation to the first-order logic, I

- $(x * y) * z = x * (y * z)$
- $e * x = x * e = x$   
*(\* is a monoid operation and e is a unit of a monoid)*
- $G(e)$
- $G(x) \rightarrow G(x * \text{green})$   
*(specification of configurations with all green states)*
- $GB(e)$
- $GB(x) \rightarrow GB(x * \text{green})$
- $GB(x) \rightarrow GB(x * \text{black})$   
*(specification of configurations with all states being green or black)*

- $G(x) \rightarrow R(x)$

*(initial states assumption: "allgreen" configurations are reachable)*

- $(R((x * \text{green}) * y) \ \& \ GB(x) \ \& \ GB(y)) \rightarrow R((x * \text{black}) * y)$

- $R((x * \text{black}) * y) \rightarrow R((x * \text{blue}) * y)$

- $R((x * \text{blue}) * y) \ \& \ (x = (z * \text{black}) * w) \rightarrow R((x * \text{blue}) * y)$

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- $R((x * \text{blue}) * y) \ \& \ (x = (z * \text{red}) * w) \rightarrow R((x * \text{blue}) * y)$

- $R((x * \text{blue}) * y) \ \& \ G(x) \rightarrow R((x * \text{red}) * y)$

- $R((x * \text{red}) * y) \rightarrow R((x * \text{black}) * y)$

- $R((x * \text{black}) * y) \rightarrow R((x * \text{green}) * y)$

*(specification of reachability by one step transitions from T; one formula per transition, except the case with existential condition, where three formulae are used)*



- If a configuration  $\bar{c}$  is reachable in  $\mathcal{ME}$  then  $\Phi_{\mathcal{P}} \vdash R(t_{\bar{c}})$
- To establish safety property of the protocol (mutual exclusion) it does suffice to show that  $\Phi_{\mathcal{P}} \not\vdash \exists x \exists y \exists z R(\left(\left(\left(x * red\right) * y\right) * red\right) * z)$ .
- Delegate the latter task to the finite model finder MACE4 (see demonstration)

# Adequacy of encoding and Verification

- If a configuration  $\bar{c}$  is reachable in  $\mathcal{ME}$  then  $\Phi_{\mathcal{P}} \vdash R(t_{\bar{c}})$
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- Delegate the latter task to the finite model finder MACE4 (see demonstration)
- It takes approx. 0.01s to find a countermodel and verify the safety property!

- Take a configuration  $\bar{c}$  of the protocol, consider its term representation  $t_{\bar{c}}$
- The following property is an invariant of the system:

$$[t_{\bar{c}}] \in [R]$$

Here  $[..]$  denote the interpretation in the (counter)model.

# Model and Invariant

The domain  $D$  of the model is a four element set  $\{0, 1, 2, 3\}$ .

Interpretations of constants:  $[black] = [blue] = 0$ ,  $[e] = [green] = 1$ ,  $[red] = 2$ . Interpretations of unary predicates:  $[G] = \{1\}$ ;  $[GB] = \{0, 1\}$ ;  $[R] = \{0, 1, 2\}$ .

The interpretation of the binary function  $*$  is given by the following table

	0	1	2	3
0	0	0	2	3
1	0	1	2	3
2	2	2	3	3
3	3	3	3	3

*Invariant* property which holds for any reachable configuration  $\bar{c}$ :

$$[t_{\bar{c}}] \in [R] = \{0, 1, 2\}$$

## Theorem (2010)

*If the safety of parameterized linear system of automata can be demonstrated by monotonic abstraction method then it can be demonstrated by FCM too.*

# FCM is stronger than monotonic abstraction

The parameterized system  $(Q, T)$  where  $Q = \{q_0, q_1, q_2, q_3, q_4\}$  and where  $T$  includes the following transition rules

①  $\forall_{LR}\{q_0, q_1, q_4\} : q_0 \rightarrow q_1$

②  $q_1 \rightarrow q_2$

③  $\forall_L\{q_0\} : q_2 \rightarrow q_3$

④  $q_3 \rightarrow q_0$

⑤  $\exists_{LR}\{q_2\} : q_3 \rightarrow q_4$

⑥  $q_4 \rightarrow q_0$

satisfies mutual exclusion for state  $q_4$ , but this fact *can not* be established by the monotonic abstraction method.

Using FCM we have verified mutual exclusion for this system, demonstrating that FCM method is stronger than monotone abstraction. Mace4 has found a finite countermodel of the size 6 in 341s.

## Theorem (2010)

*If the safety of a linear parameterized system can be demonstrated by **regular model checking** method then it can be demonstrated by FCM too.*

# Further relative completeness results

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## Theorem (2011)

*If the safety of a tree-shape parameterized system can be demonstrated by **regular tree model checking** method then it can be demonstrated by FCM too.*

## Theorem (2011, RTA 2012)

*If the safety of a **term rewriting system** can be demonstrated by **tree automata completion** technique then it can be demonstrated by FCM too.*

# Why does it work?

In all cases the proofs of relative completeness results rely upon existence of regular invariants, that is regular sets (of words or trees) subsuming all reachable states and disjoint with all unsafe states.

- Can we always apply FCM to establish safety?

# Beyond FCM: limitations of the method

- Can we always apply FCM to establish safety?
- No. Here is an example: consider TRS (term rewriting system):
  - $f(x, y) \leftrightarrow f(g(x), g(y))$
  - $f(a, g(x)) \rightarrow a$
  - $f(g(x), a) \rightarrow a$
- Is it true that  $f(a, a) \not\rightarrow^* a$ ?

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- Is it true that  $f(a, a) \not\rightarrow^* a$ ? Yes! But this can not be established by FCM, for there is no a regular invariant here separating reachable terms and  $a$ !
- **Challenge:** Extend the method to infinite countermodels! FCM  $\rightarrow$  ICM

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## Part 2: Applications to Mathematics

# Groups and their presentations

- Groups are algebraic structures which satisfy the following axioms
  - $(x \cdot y) \cdot z = x \cdot (y \cdot z)$
  - $x \cdot e = x$
  - $e \cdot x = x$
  - $x \cdot x' = e$

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  - $(x \cdot y) \cdot z = x \cdot (y \cdot z)$
  - $x \cdot e = x$
  - $e \cdot x = x$
  - $x \cdot x' = e$
- Groups can be defined in different ways, including by **presentations**  $\langle x_1, \dots, x_n; r_1, \dots, r_m \rangle$ , where  $x_1, \dots, x_n$  are *generators* and  $r_1, \dots, r_m$  are *relators*

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- Intuitively, the presentation above defines a group the elements of which are words in the alphabet  $x_1, \dots, x_n, x'_1, \dots, x'_n$  taken up to the equivalence defined by  $r_1 = e, \dots, r_m = e$

- $\langle a, b \mid ab, b \rangle$  (trivial example of the trivial group presentation)



# Trivial group presentations

- $\langle a, b \mid ab, b \rangle$  (trivial example of the trivial group presentation)
- $\langle a, b \mid abab' a' b', aaab' b' b' b' \rangle$  (not so trivial example of the trivial groups presentation)

# Andrews-Curtis Conjecture. Preliminaries

For a group presentation  $\langle x_1, \dots, x_n; r_1, \dots, r_m \rangle$  with generators  $x_i$ , and relators  $r_j$ , consider the following transformations.

**AC1** Replace some  $r_i$  by  $r_i^{-1}$ .

**AC2** Replace some  $r_i$  by  $r_i \cdot r_j$ ,  $j \neq i$ .

**AC3** Replace some  $r_i$  by  $w \cdot r_i \cdot w^{-1}$  where  $w$  is any word in the generators.

# Andrews-Curtis Conjecture

- Two presentations  $g$  and  $g'$  are called *Andrews-Curtis equivalent* (AC-equivalent) if one of them can be obtained from the other by applying a finite sequence of transformations of the types (AC1) - (AC3).
- A group presentation  $g = \langle x_1, \dots, x_n; r_1, \dots, r_m \rangle$  is called *balanced* if  $n = m$ , that is a number of generators is the same as a number of relators. Such  $n$  we call a *dimension* of  $g$  and denote by  $Dim(g)$ .

## Conjecture (1965)

*if  $\langle x_1, \dots, x_n; r_1, \dots, r_n \rangle$  is a balanced presentation of the trivial group it is AC-equivalent to the trivial presentation  $\langle x_1, \dots, x_n; x_1, \dots, x_n \rangle$ .*

- $\langle a, b \mid ab, b \rangle \rightarrow \langle a, b \mid ab, b^{-1} \rangle \rightarrow \langle a, b \mid a, b^{-1} \rangle \rightarrow \langle a, b \mid a, b \rangle$

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- How to find simplifications, algorithmically?
- If a simplification exists, it could be found by the exhaustive search/total enumeration (iterative deepening)
- The issue: simplifications could be very long (Bridson 2015; Lishak 2015)

# Search of trivializations and elimination of counterexamples

- Genetic search algorithms (Miasnikov 1999; Swan et al. 2012)
- Breadth-First search (Havas-Ramsay, 2003; McCaul-Bowman, 2006)
- Todd-Coxeter coset enumeration algorithm (Havas-Ramsay, 2001)
- Generalized moves and strong equivalence relations (Panteleev-Ushakov, 2016)
- ...

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- ...

**Our approach:** apply generic automated FO reasoning instead of specialized algorithms

**Our Claim:** generic automated reasoning is (very) competitive

# ACT rewriting system, $\dim = 2$

Equational theory of groups  $T_G$ :

- $(x \cdot y) \cdot z = x \cdot (y \cdot z)$
- $x \cdot e = x$
- $e \cdot x = x$
- $x \cdot r(x) = e$

For each  $n \geq 2$  we formulate a term rewriting system modulo  $T_G$ , which captures AC-transformations of presentations of dimension  $n$ .

For an alphabet  $A = \{a_1, a_2\}$  a term rewriting system  $ACT_2$  consists the following rules:

$$\text{R1L } f(x, y) \rightarrow f(r(x), y))$$

$$\text{R1R } f(x, y) \rightarrow f(x, r(y))$$

$$\text{R2L } f(x, y) \rightarrow f(x \cdot y, y)$$

$$\text{R2R } f(x, y) \rightarrow f(x, y \cdot x)$$

$$\text{R3L}_i f(x, y) \rightarrow f((a_i \cdot x) \cdot r(a_i), y) \text{ for } a_i \in A, i = 1, 2$$

$$\text{R3R}_i f(x, y) \rightarrow f(x, (a_i \cdot y) \cdot r(a_i)) \text{ for } a_i \in A, i = 1, 2$$

# AC-transformations as rewriting modulo group theory

The rewrite relation  $\rightarrow_{ACT/G}$  for *ACT* modulo theory  $T_G$ :

$t \rightarrow_{ACT/G} s$  iff there exist  $t' \in [t]_G$  and  $s' \in [s]_G$  such that  $t' \rightarrow_{ACT} s'$ .

# Reduced $ACT_2$

Reduced term rewriting system  $rACT_2$  consists of the following rules:

$$R1L \quad f(x, y) \rightarrow f(r(x), y)$$

$$R2L \quad f(x, y) \rightarrow f(x \cdot y, y)$$

$$R2R \quad f(x, y) \rightarrow f(x, y \cdot x)$$

$$R3L_i \quad f(x, y) \rightarrow f((a_i \cdot x) \cdot r(a_i), y) \text{ for } a_i \in A, i = 1, 2$$

## Proposition

*Term rewriting systems  $ACT_2$  and  $rACT_2$  considered modulo  $T_G$  are equivalent, that is  $\rightarrow_{ACT_2/G}^*$  and  $\rightarrow_{rACT_2/G}^*$  coincide.*

## Proposition

*For ground  $t_1$  and  $t_2$  we have  $t_1 \rightarrow_{ACT_2/G}^* t_2 \Leftrightarrow t_2 \rightarrow_{ACT_2/G}^* t_1$ , that is  $\rightarrow_{ACT_2/G}^*$  is symmetric.*

# Equational Translation

Denote by  $E_{ACT_2}$  an equational theory  $T_G \cup rACT^=$  where  $rACT^=$  includes the following axioms (equality variants of the above rewriting rules):

$$\text{E-R1L } f(x, y) = f(r(x), y)$$

$$\text{E-R2L } f(x, y) = f(x \cdot y, y)$$

$$\text{E-R2R } f(x, y) = f(x, y \cdot x)$$

$$\text{E-R3L}_i f(x, y) = f((a_i \cdot x) \cdot r(a_i), y) \text{ for } a_i \in A, i = 1, 2$$

## Proposition

For ground terms  $t_1$  and  $t_2$   $t_1 \rightarrow_{ACT_2/G}^* t_2$  iff  $E_{ACT_2} \vdash t_1 = t_2$

A variant of the equational translation: replace the axioms **E – R3L<sub>i</sub>** by "non-ground" axiom **E – RLZ** :  $f(x, y) = f((z \cdot x) \cdot r(z), y)$

Denote by  $I_{ACT_2}$  the first-order theory  $T_G \cup rACT_2^{\rightarrow}$  where  $rACT_2^{\rightarrow}$  includes the following axioms:

$$\text{I-R1L } R(f(x, y)) \rightarrow R(f(r(x), y))$$

$$\text{I-R2L } R(f(x, y)) \rightarrow R(f(x \cdot y, y))$$

$$\text{I-R2R } R(f(x, y)) \rightarrow R(f(x, y \cdot x))$$

$$\text{I-R3L}_i R(f(x, y)) \rightarrow R(f((a_i \cdot x) \cdot r(a_i), y)) \text{ for } a_i \in A, i = 1, 2$$

## Proposition

For ground terms  $t_1$  and  $t_2$   $t_1 \rightarrow_{ACT_2/G}^* t_2$  iff  $I_{ACT_2} \vdash R(t_1) \rightarrow R(t_2)$



- An equational translation for  $n = 3$  (“non-ground” variant):

$$f(x, y, z) = f(r(x), y, z)$$

$$f(x, y, z) = f(x, r(y), z)$$

$$f(x, y, z) = f(x, y, r(z))$$

$$f(x, y, z) = f(x \cdot y, y, z)$$

$$f(x, y, z) = f(x \cdot z, y, z)$$

$$f(x, y, z) = f(x, y \cdot x, z)$$

$$f(x, y, z) = f(x, y \cdot z, z)$$

$$f(x, y, z) = f(x, y, z \cdot x)$$

$$f(x, y, z) = f(x, y, z \cdot y)$$

$$f(x, y, z) = f((v \cdot x) \cdot r(v), y, z)$$

$$f(x, y, z) = f(x, (v \cdot y) \cdot r(v), z) \quad f(x, y, z) = f(x, y, (v \cdot z) \cdot r(v)).$$

For any pair of presentations  $p_1$  and  $p_2$ ,  
to establish whether they are AC-equivalent one can formulate and try to  
solve first-order theorem proving problems

- $E_{ACT_n} \vdash t_{p_1} = t_{p_2}$ , or
- $I_{ACT_n} \vdash R(t_{p_1}) \rightarrow R(t_{p_2})$

OR, theorem disproving problems

- $E_{ACT_n} \not\vdash t_{p_1} = t_{p_2}$ , or
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# Automated Reasoning for AC conjecture exploration

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**Our proposal:** apply automated reasoning: ATP and finite model building.

## Elimination of potential counterexamples

- **Known cases:** We have applied automated theorem proving using Prover9 prover to confirm that all cases eliminated as potential counterexamples in all known literature can be eliminated by our method too.

## New cases (from Edjvet-Swan, 2005-2010):

**T14**  $\langle a, b \mid ababABB, babaBAA \rangle$

**T28**  $\langle a, b \mid aabbbbABBBB, bbaaaaBAAAA \rangle$

**T36**  $\langle a, b \mid aababAABB, bbabaBBAA \rangle$

**T62**  $\langle a, b \mid aaabbAbABBB, bbbaaBaBAAA \rangle$

**T74**  $\langle a, b \mid aabaabAAABB, bbabbaBBBAA \rangle$

**T16**  $\langle a, b, c \mid ABCacbb, BCAbacc, CABcbaa \rangle$

**T21**  $\langle a, b, c \mid ABCabac, BCAbcba, CABcacb \rangle$

**T48**  $\langle a, b, c \mid aacbcABCC, bbacaBCAA, ccbabCABB \rangle$

**T88**  $\langle a, b, c \mid aacbAbCAB, bbacBcABC, ccbaCaBCA \rangle$

**T89**  $\langle a, b, c \mid aacbcACAB, bbacBABC, ccbaCBCA \rangle$

**T96**  $\langle a, b, c, d \mid adCADbc, baDBAcd, cbACBda, dcBDCab \rangle$

**T97**  $\langle a, b, c, d \mid adCAbDc, baDBcAd, cbACdBa, dcBDaCb \rangle$  [ICMS 2018]

# AC-trivialization for T16

$\langle ABCacbb, BCAbacc, CABcba \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,azA}$   $\langle ABCacbb, BCAbacc, aCABcba \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,zx}$   $\langle ABCacbb, BCAbacc, aCABacbb \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,bzB}$   $\langle ABCacbb, BCAbacc, baCABacbb \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,zy}$   $\langle ABCacbb, BCAbacc, bac \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,czC}$   $\langle ABCacbb, BCAbacc, cba \rangle$

$\xrightarrow{x,y,z \rightarrow x',y,z}$   $\langle BBCAcba, BCAbacc, cba \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,z'}$   $\langle BBCAcba, BCAbacc, ABC \rangle$

$\xrightarrow{x,y,z \rightarrow xz,y,z}$   $\langle BBCA, BCAbacc, ABC \rangle$

$\xrightarrow{x,y,z \rightarrow x',y,z}$   $\langle acbb, BCAbacc, ABC \rangle \xrightarrow{x,y,z \rightarrow x,y,z'}$   $\langle acbb, BCAbacc, cba \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,azA}$   $\langle acbb, BCAbacc, acb \rangle \xrightarrow{x,y,z \rightarrow x,y,z'}$   $\langle acbb, BCAbacc, BCA \rangle$

$\xrightarrow{x,y,z \rightarrow x,y,zx}$   $\langle acbb, BCAbacc, b \rangle \xrightarrow{x,y,z \rightarrow x,y,z'}$   $\langle acbb, BCAbacc, B \rangle$

$\xrightarrow{x,y,z \rightarrow xz,y,z}$   $\langle acb, BCAbacc, B \rangle \xrightarrow{x,y,z \rightarrow xz,y,z}$   $\langle ac, BCAbacc, B \rangle$

# AC-trivialization for T16 (cont.)

$$\xrightarrow{x,y,z \rightarrow x,y',z} \langle ac, CCABacb, B \rangle \xrightarrow{x,y,z \rightarrow x,yz,z} \langle ac, CCABac, B \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,y',z} \langle ac, CAbacc, B \rangle \xrightarrow{x,y,z \rightarrow x,y,z'} \langle ac, CAbacc, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x',y,z} \langle CA, CAbacc, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,yx,z} \langle CA, CABacA, b \rangle \xrightarrow{x,y,z \rightarrow x,y',z} \langle CA, aCABac, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,yx,z} \langle CA, aCAB, b \rangle \xrightarrow{x,y,z \rightarrow x,yz,z} \langle CA, aCA, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x',y,z} \langle ac, aCA, b \rangle \xrightarrow{x,y,z \rightarrow x,yx,z} \langle ac, a, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,y',z} \langle ac, A, b \rangle \xrightarrow{x,y,z \rightarrow x,yx,z} \langle ac, c, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,y',z} \langle ac, C, b \rangle \xrightarrow{x,y,z \rightarrow xy,y,z} \langle a, C, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,yz,z} \langle a, Cb, b \rangle \xrightarrow{x,y,z \rightarrow x,y',z} \langle a, Bc, b \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,y,zy} \langle a, Bc, c \rangle \xrightarrow{x,y,z \rightarrow x,y,z'} \langle a, Bc, C \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,yz,z} \langle a, B, C \rangle \xrightarrow{x,y,z \rightarrow x,y,z'} \langle a, B, c \rangle$$

$$\xrightarrow{x,y,z \rightarrow x,y',z} \langle a, b, c \rangle$$

# What about automated disproving?

## Proposition

*To simplify AK-3 (if at all it is possible) one really needs conjugation with both generators  $a$  and  $b$ .*

Mace4 finite model builder finds countermodels of sizes 12 and 6 for the cases where either of the conjugation rules is omitted.



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We need to search for infinite countermodels to disprove AC-conjecture!

# Observations on ATP for AC-conjecture

- Automated Proving and Disproving is an interesting and powerful approach to AC-conjecture exploration;
- AC-conjecture is a source of interesting challenging problems for ATP/ATD;

# Time to prove simplifications

	<b>T14</b>	<b>T28</b>	<b>T36</b>	<b>T62</b>	<b>T74</b>	<b>T16</b>	<b>T21</b>	<b>T48</b>	<b>T88</b>	<b>T89</b>	<b>T96</b>	<b>97</b>
Dim	2	2	2	2	2	3	3	3	3	3	4	4
Equational	6.02s	6.50s	7.18s	24.34s	57.17s	12.87s	11.98s	34.63s	57.69s	17.50s	114.05s	115.10s
Implicational	1.57s	2.46s	1.34s	22.50s	6.29s	1.61s	1.45s	2.17s	1.97s	2.14s	102.34s	89.65s
Implicational GC	t/o	t/o	t/o	t/o	t/o	3.76s	1.61s	t/o	0.86s	0.75s	t/o	t/o

“t/o” stands for timeout in 200s; “GC” means encoding with ground conjugation rules; all other encodings are with non-ground conjugation rules.

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Thank you!