Instructions

• This exam is closed-book, but one two-sided sheet of notes is permitted. The last pages of the exam recap some rules you may find useful.

• There are 4 problems on 10 pages. Not all problems are the same size or difficulty. You have 80 minutes to complete the exam.

• When writing proofs, remember to label each inference with the rule used.

• You may find it helpful to construct your proofs on scratch paper (such as the back of a page) before writing it clearly in the space provided.

• Stay cool and good luck!

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Please keep in mind that this is a sample solution, not a model solution. Problems admit multiple correct answers, and the answer the instructor thought of may not necessarily be the best or most elegant.
Problems

1. Inversion and G4ip

(a) (20 points) Show that in the restrictive sequent calculus (Figure 2), the rule $\supset R$ is invertible, i.e. show that if there is a proof of the conclusion then there is a proof of the premise. You may use the lemmas for weakening, contraction, identity and cut.

Solution:

$$
\begin{align*}
\Gamma \rightarrow A \supset B \\
\Gamma, A \rightarrow A \supset B & \text{ weaken} & \Gamma, A, A \supset B \rightarrow A & \text{ init} & \Gamma, A, B \rightarrow B & \text{ init} \\
\Gamma, A, A \supset B \rightarrow B & \supset L & \Gamma, A, A \supset B \rightarrow B & \text{ cut} \\
\Gamma, A \rightarrow B
\end{align*}
$$
(b) (25 points) Recall that the G4ip calculus (Figure 1) is derived from the restrictive sequent calculus (Figure 2) by refining the rules for implication on the left. For instance, we justify the rule \( \supset \supset \)

\[
\Gamma, (D \supset E) \supset B, D, E \rightarrow B \\
\Gamma, D, E \rightarrow B \\
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2. **Prolog programming**

(It would be a good idea to read all of this section before solving any part.)

Consider the following program. The intended meaning of \( \text{replace}(+X,+Y,+Xs,-Ys) \) is that \( Ys \) is derived from the list \( Xs \) by replacing all occurrences of \( X \) by \( Y \).

\[
\begin{align*}
\text{replace}(X,Y,\[\],\[]) & . \\
\text{replace}(X,Y,\[X|Xs\],[Y|Ys]) & : - \text{replace}(X,Y,Xs,Ys). \\
\text{replace}(X,Y,\[Z|Xs\],[Z|Ys]) & : - \text{replace}(X,Y,Xs,Ys).
\end{align*}
\]

(a) (10 points) Describe the set of all outputs of \( \text{replace} \) (using the intended modes) with standard Prolog depth-first search with backtracking.

**Solution:** It is the set of all lists derived from \( Xs \) by replacing 0 or more occurrences of \( X \) by \( Y \).

(b) (15 points) Use cut to transform the definition of \( \text{replace} \) to obtain the intended meaning.

**Solution:**

\[
\begin{align*}
\text{replace}(X,Y,\[\],\[]) & . \\
\text{replace}(X,Y,\[X|Xs\],[Y|Ys]) & : !, \text{replace}(X,Y,Xs,Ys). \\
\text{replace}(X,Y,\[Z|Xs\],[Z|Ys]) & : \text{replace}(X,Y,Xs,Ys).
\end{align*}
\]
(c) (15 points) Use \( \neq \) (but not cut) to transform the definition of \texttt{replace} to obtain the intended meaning.

\begin{verbatim}
Solution:
replace(X,Y,[],[]).
replace(X,Y,[X|Xs],[Y|Ys]) :- replace(X,Y,Xs,Ys).
replace(X,Y,[Z|Xs],[Z|Ys]) :- X \neq Z, replace(X,Y,Xs,Ys).
\end{verbatim}

(d) (15 points) Use arithmetic to transform the definition of \texttt{replace} to define a new predicate \texttt{replace_n(+N, +X, +Y, +Xs, -Ys)'}, so that \texttt{Ys} is derived from the list \texttt{Xs} by replacing \texttt{N} occurrences of \texttt{X} by \texttt{Y}. Don’t use any unnecessary non-logical constructs.

\begin{verbatim}
Solution:
replace_n(0,X,Y,[],[]).
replace_n(N,X,Y,[X|Xs],[Y|Ys]) :- N1 is N-1, replace_n(N1,X,Y,Xs,Ys).
replace_n(N,X,Y,[Z|Xs],[Z|Ys]) :- replace_n(N,X,Y,Xs,Ys).
\end{verbatim}

(e) (10 points) Here is a Prolog predicate intended to compute the length of a difference list. Assume that the \texttt{-} operator has been declared appropriately.

\begin{verbatim}
dl_length(X-X, 0).
dl_length([H|T]-T1, N) :- dl_length(T-T1, M), N is M+1.
\end{verbatim}

Show that this definition is incorrect in the absence of the occurs check by giving the substitution that would be created as a result of the query \texttt{dl_length([a | D] - D, N)}.

(It may help to remember that \texttt{[a | D]} is internally represented as \texttt{cons(a,D)}, and \texttt{X-X} is represented as \texttt{-(X,X)}). What is the (incorrect) value for \texttt{N} that results?

\begin{verbatim}
Solution: Without the occurs check, the query unifies with the first clause, yielding the substitution \texttt{[a | D]/X, [a | D]/D}. A circular term is created and \texttt{N} is bound to \texttt{0}.
\end{verbatim}
3. (15 points) **Uniform provability**

Recall the definition of a *uniform proof*: a proof in the (intuitionistic) sequent calculus where each occurrence of a sequent with non-atomic right-hand side is the conclusion of the right rule for its top-level connective. E.g., any sequent of the form

\[ \Gamma \rightarrow A \land B \]

must be the conclusion of the rule \( \land R \).

Show that in the intuitionistic propositional sequent calculus (ipMNPS) of Figure 3 there is no uniform proof of \( A \lor B \rightarrow B \lor A \). You may assume \( A \) and \( B \) are atomic.

**Solution:** Any attempt to construct a uniform proof must apply \( \lor R \), then \( \lor R_1 \) or \( \lor R_2 \) before any left rules. The attempt arrives at a subgoal of either \( A \rightarrow B \) or \( B \rightarrow A \), where no rule applies.
4. Operational semantics of Prolog

This question concerns the “lifting” of a ground calculus for the operational semantics of pure Prolog.

Below are the rules for a system that does not have an explicit goal stack or backtracking, but does have an explicit representation of the program as a context $\Gamma$. The judgment $\Gamma \vdash \text{solve}(A)$ means that the interpreter solves the (ground) goal $A$ given program $\Gamma$.

\[
\begin{array}{l}
\frac{
\Gamma \vdash \text{solve}(\top) & \Gamma \vdash \text{solve}(A) & \Gamma \vdash \text{solve}(B)
}{
\Gamma \vdash \text{solve}(A \land B)
}
\end{array}
\]

\[
\begin{array}{l}
\frac{
\text{dom}(\tau) = x & \text{cod}(\tau) = \emptyset & \forall x. \ P' \leftarrow B' \in \Gamma & P'\tau = P
}{
\Gamma \vdash \text{solve}(B'\tau)
}
\end{array}
\]

(a) (8 points) Below is a sketch of the rule for atomic goals in a lifted version of this calculus with judgment $\Gamma \vdash A \mid \theta$. But we have omitted the subgoal to be solved and the answer substitution in the conclusion. Fill in the omitted parts of the rule.

For reference, the unification algorithm is given in Figure 4. As a reminder, we write the application of substitution $\theta$ to term $t$ as $t\theta$, and the composition of substitutions $\theta_1$ and $\theta_2$ as $\theta_1 \theta_2$.

\[
\begin{array}{l}
\forall x. \ P' \leftarrow B' \in \Gamma & P'\rho = P \mid \theta_1 & \Gamma \vdash \text{solve}(P'\rho \theta_1) \\
\end{array}
\]

\[
\begin{array}{l}
\forall x. \ P' \leftarrow B' \in \Gamma & \text{dom}(\rho) = x & \text{cod}(\rho) \cap \text{FV}(P) = \emptyset
}{
\Gamma \vdash \text{solve}(P \mid \theta_1 \theta_2)
\end{array}
\]

Solution:

\[
\begin{array}{l}
\forall x. \ P' \leftarrow B' \in \Gamma & P'\rho = P \mid \theta_1 & \Gamma \vdash \text{solve}(P'\rho \theta_1) \\
\end{array}
\]

(b) (7 points) Give the other rules for the lifted version of the calculus.

Solution:

\[
\begin{array}{l}
\Gamma \vdash \text{solve}(\top) \mid () & \Gamma \vdash \text{solve}(A) \mid \theta_1 & \Gamma \vdash \text{solve}(A \land B) \mid \theta_1 \theta_2
}{
\end{array}
\]

\[
\begin{array}{l}
\frac{
\Gamma \vdash \text{solve}(A) \mid \theta_1 & \Gamma \vdash \text{solve}(B\theta_1) \mid \theta_2
}{
\Gamma \vdash \text{solve}(A \land B) \mid \theta_1 \theta_2
}
\end{array}
\]
(c) (10 points) With the program $\Gamma = \forall x. p(x) \leftarrow q(x) \land r(x), q(a) \leftarrow \top, r(a) \leftarrow \top$, here is a deduction in the ground system of $\Gamma \vdash \text{solve}(p(a))$. Since the program $\Gamma$ never changes, we don’t show it explicitly.

\[
\begin{array}{c}
q(a)(\cdot) = q(a) \quad \text{solve(}\top\text{)} \quad r(a)(\cdot) = r(a) \quad \text{solve(}\top\text{)} \\
\downarrow \text{solve}(q(a)) \quad \downarrow \text{solve}(r(a)) \\
p(x)(a/x) = p(a) \quad \text{solve}(q(a) \land r(a)) \\
\downarrow \text{solve}(p(a))
\end{array}
\]

Give a corresponding deduction in the same style for the goal $p(y)$ (with the same program) in your lifted system. Note that $y$ is a variable. Show unification goals and their answer substitutions, but omit the unification deductions. For example you might show $p(x) \equiv p(a) \mid (a/x)$. For the sake of brevity, omit both the program $\Gamma$ and the judgment form solve.

Solution:

\[
\begin{array}{c}
q(a) \equiv q(y) \mid (a/y) \quad \top \mid (\cdot) \\
\downarrow q(y) \mid (a/y) \quad \downarrow r(a) \mid (\cdot) \\
p(x) \equiv p(y) \mid (y/x) \quad q(y) \land r(y) \mid (a/y) \\
\downarrow p(y) \mid (y/x)(a/y)
\end{array}
\]
### Useful Rules

**Note:** $P$ always refers to an atomic proposition; $A, B, C,$ etc. refer to arbitrary propositions.

\[
\begin{align*}
\Gamma, P \rightarrow P & \text{ init} \\
\frac{\Gamma \rightarrow A \quad \Gamma \rightarrow B}{\Gamma \rightarrow A \land B} & \text{ \land R} \quad \frac{\Gamma, A, B \rightarrow C}{\Gamma, A \land B \rightarrow C} \text{ \land L} \\
\frac{\Gamma \rightarrow \top}{\Gamma, \top \rightarrow \top} & \text{ \top R} \quad \frac{\Gamma \rightarrow C}{\Gamma, \top \rightarrow C} \text{ \top L} \\
\frac{\Gamma \rightarrow A}{\Gamma \rightarrow A \lor B} & \text{ \lor R}_1 \quad \frac{\Gamma \rightarrow B}{\Gamma \rightarrow A \lor B} \text{ \lor R}_2 \quad \frac{\Gamma, A \rightarrow C \quad \Gamma, B \rightarrow C}{\Gamma, A \lor B \rightarrow C} \text{ \lor L} \\
\frac{P \in \Gamma}{\Gamma, P \rightarrow B \lor C} & \text{ \lor R} \quad \frac{P \in \Gamma}{\Gamma, P \rightarrow B \lor C} \text{ \lor L} \\
\frac{\Gamma, D \lor (E \lor B) \rightarrow C}{\Gamma, (D \land E) \lor B \rightarrow C} & \text{ \lor L} \quad \frac{\Gamma, B \rightarrow C}{\Gamma, \top \lor B \rightarrow C} \text{ \top L} \\
\frac{\Gamma, D \lor B, E \lor B \rightarrow C}{\Gamma, (D \lor E) \lor B \rightarrow C} \text{ \lor L} \quad \frac{\Gamma \rightarrow C}{\Gamma, \top \lor B \rightarrow C} \text{ \top L} \\
\frac{\Gamma, E \lor B, D \rightarrow E \quad \Gamma, B \rightarrow C}{\Gamma, (D \lor E) \lor B \rightarrow C} \text{ \lor L}
\end{align*}
\]

**Figure 1:** Rules for G4ip.
\[
\frac{\Gamma, P \rightarrow P}{\text{init}}
\]

\[
\frac{\Gamma \rightarrow A}{\Gamma \rightarrow A \land B} \qquad \frac{\Gamma \rightarrow B}{\Gamma \rightarrow A \land B} \quad \frac{\Gamma, A \rightarrow C}{\Gamma \rightarrow A \land B \rightarrow C} \quad \frac{\Gamma, A \land B \rightarrow C}{\land R} \quad \frac{\Gamma \rightarrow C}{\land L} \quad \frac{\Gamma \rightarrow A \rightarrow A \land B}{\land R} \quad \frac{\Gamma \rightarrow C \land B \rightarrow A}{\land L}
\]

\[
\frac{\Gamma \rightarrow A}{\Gamma \rightarrow A \lor B} \quad \frac{\Gamma \rightarrow B}{\Gamma \rightarrow A \lor B} \quad \frac{\Gamma, A \rightarrow C}{\Gamma \rightarrow A \lor B} \quad \frac{\Gamma, B \rightarrow C}{\lor R} \quad \frac{\Gamma \rightarrow C}{\lor L} \quad \frac{\Gamma \rightarrow A \rightarrow A \lor B}{\lor R} \quad \frac{\Gamma \rightarrow C \lor B \rightarrow A}{\lor L}
\]

no \( \bot R \) rule

\[
\frac{\Gamma \rightarrow A \rightarrow \bot}{\bot R} \quad \frac{\Gamma, A \rightarrow \bot}{\bot L} \quad \frac{\Gamma \rightarrow A \rightarrow \bot}{\bot R} \quad \frac{\Gamma \rightarrow \bot \rightarrow \bot}{\bot L}
\]

\[\text{Fig. 2: Rules for the restrictive sequent calculus}\]

\[
\frac{\Gamma, A, B \rightarrow C}{\Gamma, A \land B \rightarrow C} \quad \frac{\Gamma \rightarrow A}{\Gamma \rightarrow A \land B} \quad \frac{\Gamma \rightarrow B}{\Gamma \rightarrow A \land B} \quad \frac{\Gamma \rightarrow A \rightarrow A \land B}{\land R} \quad \frac{\Gamma \rightarrow C}{\land L} \quad \frac{\Gamma \rightarrow A \rightarrow A \lor B}{\lor R} \quad \frac{\Gamma \rightarrow C \lor B \rightarrow A}{\lor L}
\]

\[
\frac{\Gamma, A \rightarrow B}{\Gamma \rightarrow A \rightarrow B} \quad \frac{\Gamma, A \rightarrow C}{\Gamma \rightarrow A \rightarrow B} \quad \frac{\Gamma, B \rightarrow C}{\Gamma \rightarrow A \rightarrow B} \quad \frac{\Gamma, A \rightarrow B \rightarrow C}{\rightarrow R} \quad \frac{\Gamma \rightarrow B}{\Gamma \rightarrow A \rightarrow B \rightarrow C} \quad \frac{\Gamma \rightarrow A \rightarrow B}{\rightarrow L}
\]

\[\text{Fig. 3: Rules for the intuitionistic propositional sequent calculus ipMNPS}\]

\[
\begin{align*}
\frac{t \doteq s}{f(t) \doteq f(s)} & \quad \frac{(t_1) \doteq (s_1)}{\ldots} & \frac{t \doteq s_1}{t_1 \theta_1 \doteq s_1 \theta_1} & \frac{t_1 \doteq s_2}{t \theta_1 \doteq (s_1, s_2)} \\
\frac{\theta}{\theta} \quad \frac{\theta_1 \doteq \theta}{\theta_2} \quad \frac{\theta_1 \doteq \theta_2}{\theta_1 \theta_2}
\end{align*}
\]

\[
\begin{align*}
\frac{x \notin \text{FV}(t)}{x \doteq x} \quad \frac{t = f(t), x \notin \text{FV}(t)}{t \doteq x}
\end{align*}
\]

\[\text{Fig. 4: Rules for unification}\]