

# 18-330 Cryptography Notes: Hashes and Authentication

Note: This is provided as a resource and is not meant to include all material from lectures or recitations. The proofs shown, however, are good models for your homework and exams.

## 1 Hash Functions

**Definition 1.** A *hash function* is any deterministic function that maps arbitrary-length inputs to fixed-length outputs.

**Definition 2.** A *cryptographic hash function* (CHF) must provide at least one of the following (in order of strongest to weakest):

1. Random oracle
2. Collision resistance
3. Second pre-image resistance
4. Pre-image resistance (sometimes known as one-way)

**Definition 3.** Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}^L$ . A *collision* for  $h$  is a pair  $m_0, m_1 \in \{0, 1\}^*$  such that  $h(m_0) = h(m_1)$  and  $m_0 \neq m_1$ .

### 1.1 Pre-Image Resistance

**Definition 4.** Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}^L$ . The *pre-image resistance game* is defined as follows:

1. The Challenger samples  $x$  from  $\{0, 1\}^*$  uniformly at random.
2. The Challenger sends  $h(x)$  to the Adversary.
3. The Adversary runs some logic to output  $x' \in \{0, 1\}^*$ .

**Definition 5.** Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}^L$ , and let  $A$  be an efficient adversary. The *pre-image resistance advantage* is defined as:

$$Adv_{Pre}[A, h, q] := Pr[h(x) = h(x')]$$

**Definition 6.** Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}^L$ .  $h$  is *pre-image resistant* if for all efficient adversaries  $A$ :

$$Adv_{Pre}[A, h, q] < \epsilon$$

## 1.2 Second Pre-Image Resistance

**Definition 7.** Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}^L$ . The *second pre-image resistance game* is defined as follows:

1. The Challenger samples  $x$  from  $\{0, 1\}^*$  uniformly at random.
2. The Challenger sends  $(x, h(x))$  to the Adversary.
3. The Adversary runs some logic to output  $x' \in \{0, 1\}^*$ .

**Definition 8.** Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}^L$ , and let  $A$  be an efficient adversary. The *second pre-image resistance advantage* is defined as:

$$\text{Adv}_{2\text{Pre}}[A, h, q] := \Pr[h(x) = h(x') \wedge x \neq x']$$

**Definition 9.** Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}^L$ .  $h$  is *second pre-image resistant* if for all efficient adversaries  $A$ :

$$\text{Adv}_{2\text{Pre}}[A, h, q] < \epsilon$$

## 1.3 Collision Resistance

**Definition 10.** A function  $h$  is *collision resistant* if for all efficient algorithms  $A$ :

$$\text{Adv}_{\text{CR}}[A, h] = \Pr[A \text{ outputs collision for } h] < \epsilon$$

## 2 Merkle-Damgard Construction

**Definition 11.** Let  $h$  be a one-way compression function. The Merkle-Damgard hash construction  $H$  is roughly as follows (some details are implementation-defined):

---

**Algorithm 1:** Merkle-Damgard construction  $H$  (for fixed  $IV$ ,  $\text{blockSize}$ , and  $h$ )

---

```
1 state  $\leftarrow IV$ 
2  $m \leftarrow$  input to the algorithm
3  $m' \leftarrow \text{pad}(m)$ , where  $|m'| = \text{blockSize} * \text{numBlocks}$ 
4 for  $i \in [0, \text{numBlocks})$  do
5   | state  $\leftarrow h(\text{state}, m'[i])$ 
6 end
7 return state
```

---

Typically, the padding consists of a 1 bit, followed by 0 bits, and then 8 bytes that encode the length of the original message  $m$ .

If  $h$  is collision resistant, then so is  $H$ .

### 3 Password Salts

**Enrollment:** store  $salt||h(password||salt)$

**Verification:** extract  $salt$  and  $h(password||salt)$  from stored file, check  $h(input||salt) == h(password||salt)$

Salts are unique to each user/password. They prevent brute forcing with pre-computed hashes.

### 4 Message Authentication Codes

MACs are used for message integrity. Intuitively speaking, the corruption of messages should be detectable.

A **Cyclic Redundancy Check** (CRC) is only a sanity check for detecting random errors, not malicious attacks.

**Definition 12.** A *Message Authentication Code* (MAC)  $MAC = (S, V)$  defined over  $(\mathcal{K}, \mathcal{M}, \mathcal{T})$  is a pair of algorithms:

1. *Sign:*  $S(k, m)$  outputs  $t \in \mathcal{T}$
2. *Verify:*  $V(k, m, t)$  outputs ‘yes’ or ‘no’

*Correctness:*  $V(k, m, S(k, m)) = \text{‘yes’}$

#### 4.1 Secure MAC Adversarial Game

**Definition 13.** Let  $I = (S, V)$  be a MAC defined over  $(\mathcal{K}, \mathcal{M}, \mathcal{T})$ . The **Secure MAC game** is defined as follows:

1. The Challenger generates a key  $k = \text{KeyGen}(l)$
2. The Adversary selects  $m_1, \dots, m_q \in \mathcal{M}$  and sends them to the Challenger.
3. The Challenger replies with  $S(k, m_1), \dots, S(k, m_q)$ .
4. The Adversary runs some logic to select  $m$  and  $t$ , and then sends  $m, t$  to the Challenger.
5. The Challenger checks: If  $m \in \{m_1, \dots, m_q\}$ , output ‘no’.
6. The Challenger outputs  $V(k, m, t) \in \{\text{yes}, \text{no}\}$ .

#### 4.2 Secure MAC Advantage

Let  $I = (S, V)$  be a MAC defined over  $(\mathcal{K}, \mathcal{M}, \mathcal{T})$ , and let  $A$  be an adversary. We define  $A$ ’s MAC advantage as:

$$\text{Adv}_{MAC}[A, I] = \text{Pr}[\text{Challenger outputs ‘yes’}]$$

### 4.3 Secure MAC

Let  $I = (S, V)$  be a MAC defined over  $(\mathcal{K}, \mathcal{M}, \mathcal{T})$ . We say that  $I$  is a secure MAC if for all efficient adversaries  $A$ :

$$Adv_{MAC}[A, I] < \epsilon$$

### 4.4 HMACs

Below is the HMAC algorithm. The differences from the Merkle-Damgard construction are highlighted.

---

**Algorithm 2:** HMAC  $H$  for a fixed  $IV$ ,  $ipad$ , and  $opad$

---

```
1 state ← IV
2 m ← input to the algorithm
3 m' ← (k ⊕ ipad) || pad(m), where |m'| = blockSize * numBlocks
4 for i ∈ [0, numBlocks) do
5   | state ← h(state, m'[i])
6 end
7 pad_final ← h(IV, k ⊕ opad)
8 return h(pad_final, state)
```

---

$$S(k, m) = H((k \oplus opad) || H((k \oplus ipad) || m))$$

## 5 Authenticated Encryption

### 5.1 Adversarial Game for Ciphertext Integrity

**Definition 14.** Let  $\mathcal{I} = (KeyGen, E, D)$  be a cipher. The **ciphertext integrity game** is defined as follows:

1. The experiment takes as input bit  $b \in \{0, 1\}$ , chosen uniformly at random.
2. The Challenger runs  $k \leftarrow KeyGen(\lambda)$  for security parameter  $\lambda$ .
3. The Adversary runs some logic and selects a message  $m_i \in \mathcal{M}$  to send to the Challenger.
4. The Challenger responds with  $c_i = E(k, m_i)$ .
5. Repeats steps 2 through 3 some polynomial  $q$  number of times.
6. The Adversary sends  $c$  to the Challenger.
7. The Challenger outputs  $b = 1$  if  $D(k, c) \neq \perp \wedge c \notin \{c_1, \dots, c_q\}$ . Otherwise the Challenger outputs  $b = 0$ .
8.  $b$  is the outcome of the experiment.

### 5.2 Ciphertext Integrity Advantage

Let  $\mathcal{I} = (KeyGen, E, D)$  be a cipher, and let  $A$  be an adversary. We define  $A$ 's **ciphertext integrity advantage** as:

$$Adv_{CI}[A, \mathcal{I}] = Pr[\text{Challenger outputs 1}]$$

### 5.3 Ciphertext Integrity

Let  $\mathcal{I} = (\text{KeyGen}, E, D)$  be a cipher. We say that  $\mathcal{I}$  has **ciphertext integrity** iff for all efficient adversaries  $A$ :

$$\text{Adv}_{CI}[A, \mathcal{I}] < \epsilon$$

### 5.4 Authenticated Encryption

**Definition 15.** Let  $\mathcal{I} = (\text{KeyGen}, E, D)$  be a cipher where:

1.  $E : \mathcal{K} \times \mathcal{M} \times \mathcal{N} \rightarrow \mathcal{C}$  (same as before)
2.  $D : \mathcal{K} \times \mathcal{C} \times \mathcal{N} \rightarrow \mathcal{M} \cup \{\perp\}$

The decryption algorithm  $D$  would return  $\perp$  if the ciphertext is determined to be invalid.  $\mathcal{I}$  is said to provide **authenticated encryption (AE)** if it is:

1. IND-CPA secure
2. provides ciphertext integrity

## 6 IND-CCA Security

### 6.1 IND-CCA Adversarial Game

**Definition 16.** Let  $\mathcal{E} = (\text{KeyGen}, E, D)$  defined over  $(\mathcal{K}, \mathcal{M}, \mathcal{C})$ . The **IND-CCA game** is defined as follows:

1. The experiment takes as input bit  $b \in \{0, 1\}$ .
2. The Challenger runs  $k \leftarrow \text{KeyGen}(\lambda)$ .
3. The Adversary runs some logic and selects a  $(m_{i,0}, m_{i,1})$  from  $\mathcal{M} \times \mathcal{M}$ .
4. The Challenger replies with  $c_i = E(k, m_{i,b})$ .
5. The Adversary sends  $c$  to the Challenger, where  $c \notin \{c_1, \dots, c_i\}$
6. The Challenger replies with  $m \leftarrow D(k, c)$
7. Repeat steps 3 through 6 some polynomial  $q$  number of times.
8. The Adversary runs some logic and outputs  $b \in \{0, 1\}$ , which is the output of the experiment.

### 6.2 IND-CCA Advantage

**Definition 17.** Let  $\mathcal{E} = (\text{KeyGen}, E, D)$ , and let  $A$  be an adversary. We define  $A$ 's **IND-CCA advantage** as:

$$\text{Adv}_{CCA}[A, \mathcal{E}] := \Pr[\text{Exp}(1) = 1] - \Pr[\text{Exp}(0) = 1]$$

### 6.3 IND-CCA Secure

**Definition 18.** Let  $\mathcal{E} = (\text{KeyGen}, E, D)$ . We say that  $\mathcal{E}$  is **IND-CCA secure** if for all efficient adversaries  $A$ :

$$\text{Adv}_{\text{CCA}}[A, \mathcal{E}] < \epsilon$$

Claim: Authenticated encryption implies IND-CCA secure.