From Black Box to Generative System

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Under the umbrella of the digital turn, novel computational workflows and distinct aesthetical principles are becoming an integral part of architectural education. Nonetheless, in current educational settings, there is not much scope for a deep understanding nor the development of custom computational design methods beyond standard toolkits. To fill this gap, we outline an educational framework for the development of new generative systems. The proposed framework combines canonical techniques for generative systems from different fields with recent advancements in Artificial Intelligence. It comprises eight schemas: unstructured constructive, structured constructive, variational, improvement, discrete simulation, continuous simulation, generative learning and behavioral learning. Each schema consists of a different formulation of design space and navigation, providing a knowledge base and a common language for design. Their adoption in design education can potentially expand the boundaries of design both within the agendas of the authorial design, nurtured in the studios, or even expand the boundaries of the profession to address future demands from society.

1. ARCHITECTURE IN A BLACK BOX

Reyner Banham consistently criticized architectural design for its mysterious mode of operation and superficial incorporation of new technologies. In early works, he claimed that the avant-garde only adopted an aesthetic of the industrial revolution, while preserving the academic composition as an implicit design method¹. Later, he depicted the modus operandi of architecture as a black box², "recognized by its output, though unknown in its content"³. In this polemic analogy, architecture has nothing to do with the quality of the built environment; it is an exercise of an arcane, privileged and unspoken aesthetic code, inculcated in the studios and glorified in the draftsmanship of architectural drawing.

In this black box hypothesis, computer-aided design and the binary logic of the computers would represent a "probably fatal blow"⁴ to the mystique of Architecture. Coincidentally, its original publication in 1990 was followed by a digital turn in architecture⁵. This turn invoked a paradigm shift in design education and practice, marked by the emergence of computational design models and a new conceptual vocabulary⁶. In the 1990s, architects started to manipulate digital geometry with the new CAD, modeling and animation software, challenging the limits of traditional architectural representation. In the following decade, programming was rediscovered by designers with scripting languages and the rise of graphbased parametric editors integrated in CAD systems. The use of algorithms in design required the externalization of the instructions for design generation, moving the designer away from the autographic domain of architectural drawing and fracturing the black box.

However, the incorporation of computational techniques in digital practices did not promote the type of rationality in design and education aimed for by Banham. As in the past, the claims of technological shift were saturated by aesthetical disputes. Associated with digital fabrication and new advancements in architectural geometry, the computational methods supported a new repertoire of non-standard forms that were developed in research pavilions and, eventually, applied to the design of the building surfaces, components, and envelope. Practical architects intertwined design methods and aesthetic for the digital architecture.⁷ Even specific technologies, such as animation or parametric modeling, were assimilated as a blend of method and design content.⁸ In this sense, the draftsmanship associated with architectural drawing was replaced by digital variations.

This recurrence of techno-ideological feuds in architecture is related to the socialization of the aesthetical code and formation of the professional behavior, in particular with the prominence of the "design crit in the architectural school studio"⁹. The studio culture is reminiscent of the Beaux Arts, where students were educated by the guidance of one or more experienced architects, who critique their solutions ¹⁰. In this setting, design success is measured by satisfying the expectations of the circle of educated "patrons". Despite the aesthetic and technical differences, the first digital educational experiences, such as the paperless studios, also revolved around the exploration of an aesthetic agenda of experienced architects¹¹.

Notwithstanding, the studio, being a well-established and tested educational setting, in face of new technologies establishes a problematic relation between design method and the expectations of the critic. For instance, it is usual to adopt pre-defined computational workflows and plug-ins as a platform to explore a certain design agenda. This setting comes with the cost of immediacy and bias, as there is no time to investigate the potential of computation for design beyond the agenda and toolbox provided by the studio.¹² This relation is even more critical in face of design automation and Artificial Intelligence (AI), which have long been encroaching on the territory of architecture. Al acquired new momentum with the recent wave of deep neural networks, which succeeded in automating activities such as painting style transfer, playing go, medical imaging, speech recognition, face recognition and synthesis. Not surprisingly, Mario Carpo's response to this momentum was the announcement of a new digital turn for architecture ¹³. This time, the turn is based on the computational logic of search and the availability of big data¹⁴, resulting in a movement from the non-standard forms and smooth surfaces to a focus on voxelization and the generation of form with search and simulation.

The complex relation between the terms computation and digital is crucial for the future of design education. Computation strictly refers to the use of algorithms or models to perform operations on symbolic representations. For example, computational design methods use these algorithms and models to represent, analyze and synthesize design alternatives. In contrast, the term digital has been used fluidly to describe a certain cultural condition or state of being related to the advent of different information technologies. Design benefits from historical and philosophical interpretations of the influence of these technologies on our society and environment. However, pursuing legitimate expressions of the digital with restricted computational schemas prevents the access to a more general knowledge on computational logic and structural changes in design practice.

2. REVIEWING GENERATIVE SYSTEMS

While computation logic can address different aspects of architecture, in this paper we focus on the synthesis of forms and spatial patterns. A proper knowledge base of computational synthesis can be developed based on generative systems (GS) – systems that can generate design alternatives automatically for a certain problem. While current digital studios and programming textbooks focus on parametric, NURBS and mesh modeling, GS comprehends a wider variety of techniques from different fields and domains. Design education would benefit from a systematic and historical understanding of the different computational schemas available for GS.

The definition and systematization of GS have been developed in computational design books¹⁵, courses, articles and research conferences in Computer-aided Architectural Design¹⁶ (CAAD). A brief review is given below.

Christopher Alexander's understanding of "generating system" is as a system composed of a kit of parts and combinatory rules that can generate many variations¹⁷. In his structuralist view, all natural and artificial phenomena are themselves systems generated by a specific set of interacting forces or rules.¹⁸ However, while natural systems are

adaptable to the interaction of forces, the built environment requires artificial methods to capture the existing forces and promote a global behavior based on their equilibrium. In opposition to conventional design, which addresses this task by intuition, Alexander categorized three methods to generate form based on forces¹⁹: (1) numerical methods, which use linear optimization of design variables to look for the best form; (2) analog methods, which use a physical model to represent the forces of the system and look for a stable configuration; (3) relational methods, which use diagrams to represent the different forces of a system and fuse them to generate the proper form.

William Mitchell wrote one of the first overviews of GS in the field of CAAD²⁰. His understanding of GS is as systems that can produce a variety of potential solutions for a problem. He proposed three general categories for GS that are similar to Alexander's methods: (1) analogue GS are composed of analogue elements that enable mechanical operations to change the state of the system; (2) iconic GS are systems that use movies, models, drawings, and geometric operations to generate solutions, (3) symbolic GS use symbols and computational data-structures to represent a solution and rely on arithmetic and logical operations to change it.

While Alexander's work focused on GS based on relational/ iconic GS²¹, Mitchell's overview focused on symbolic GS to produce spatial solutions that meets certain specified criteria automatically - i.e., space planning. In contrast to Alexander's structuralist approach, Mitchell interprets symbolic GS with classical AI concepts. Each GS operates with discrete steps. Related designs that are visited in the computational process are codified in a directed graph called state-action graph, which structures the space of all possible designs and available operations for navigation.²² The goal of GS is to navigate the set of solutions in this state-action graph looking for a subset of solutions that satisfy the design goals.

Mitchell²³ and other researchers, for example Henrion²⁴ and Liggett²⁵, classified and described the solution procedures of symbolic GS for space planning. Most of their categories are inscribed in two computational schemas: search and optimization²⁶, which were the main topics in Simon's famous text on a science of design²⁷. See Table 1.

Since the 1990s, not only metaheuristics but also other computational concepts, such as cellular automata and swarm algorithms became part of architectural experimentation, which were explored in computational design books³¹. Additionally, architects also incorporated animation, NURBS, mesh and parametric modelers in their design toolbox. While most experimentations departed from classical AI, eventually, techniques, such as search and dissection, are revisited³².

In Table 2, we organize authors who try to capture the

Schemas	Simon	Mitchell	Henrion	Liggett
Search	Heuristic search	Heuristic search	Jigsaw (satisficer)Dissection (satisficer)	Constructive procedures
Optimization	 Linear programming Dynamic programming Geometric programming Queuing theory Control theory 	 Generate and Test Improvement Nonlinear and linear programming 	 Additive (optimizer) Permutational (optimizer) Hybrid optimizing (optimizer) 	Improvement proceduresSimulated annealingGenetic algorithm
Hybrid			 "Satisfeasing"²⁸ Dissection with dimensional optimizing 	Hybrid approach
Other	• Generate and Test ²⁹	 Generate and Test²⁹ Analytical procedures (Shape grammars)³⁰ 		

Table 1: Computational schemas and techniques for GS and space planning.

Schemas	Fischer and Herr	Kolarevic	Oxman	Burry and Burry
Complex geometry and topology		TopologyNon-Euclidean geometryNURBS	 Topological formation model (Formation) 	TopologyMathematical surfaces and series
Packing and Tiling				Packing and Tiling
Diagramming and data visualization	 Algorithmic generation and growth (data mapping)³⁷ 	Datascapes		 Datascapes and multi- dimensionality
Animation		MetamorphosisDynamics and fields of forces	 Motion-based formation model (Formation) 	
Optimization and performance	 Algorithmic (re-) production (e.g. genetic algorithms, selective procedures) 	 Genetics (genetic algorithm)³⁸ Performative Architecture 	 Evolutionary design model (Generative) Performance-based formation model (Performance) Performance-based generation model (Performance) 	• Optimization
Parametrics	 Algorithmic generation and growth (parametric design)³⁷ 	Parametrics	 Associative design formation model (Formation) 	
Rule-based modeling and complexity	 Algorithmic generation and growth (e.g. fractals, rewriting rules)³⁷ Emergent systems, selforganization (e.g. cellular automata and swarm modelling) Generative grammars (e.g. L-systems and shapegrammars) 	 Genetics (L-systems)³⁸ Non-linearity, indeterminacy and emergence 	 Grammatical transformative design model (Generative) 	 Chaos, complexity and emergence

Table 2: Recent concepts associated with GS and computational design.

and performance, parametrics, rule-based systems and comdifferent computational and mathematical concepts associplexity. ated with design. We combine (1) the pedagogical categories for the teaching of GS proposed by Fischer and Herr³³, (2) the Recent classifications have moved away from the computaconcepts identified by Kolarevic to characterize the digital tional logic to focus on their source or the application domain, morphogenesis³⁴, (3) the digital models of design beyond indicating the incorporation of GS as a common practice for CAD systems described by Oxman³⁵ and (4) the mathematiarchitectural design. Oxman and Oxman³⁹ provide six general cal concepts identified by Burry and Burry³⁶ in contemporary models of form generation: (1) mathematical: which exploits mathematical formulae for generative procedures; (2) tecdesign. We organize them according to the following schemas: complex geometry and topology, packing and tiling, tonic, which employs tectonic patterns for form generation; diagramming and data visualization, animation, optimization (3) material: which uses tectonic and assembly patterns,

Schemas	Design space	Design navigation	Examples ⁴²
1. Unstructured constructive schema	Possible transformations of the initial state by set of rules	Application of rules or procedures	Fractals, L-systems, mesh subdivision, tessellations and shape grammars
2. Structured constructive schema	Possible transformations of the initial state by set of rules structured by graph or tree	Search, traversal or sampling by application of rules or procedures	Partition trees, uninformed search, heuristic search, random search, colorings and dissections
3. Variational schema	Parameter space with properties and relations between entities	Navigation in parameter space	Early algorithmic art (8-corner, Turbulence centered and Schotter) and parametric models
4. Improvement schema	Parameter space with properties and relations between entities with corresponding fitness value.	Navigation in parameter space, guided by fitness landscape	Hill climbing, gradient descent, simulated annealing, particle swarm optimization and evolutionary algorithms
5. Discrete simulation	Possible states of a composite representation, starting from an initial configuration	Local rules applied on the components of the representation over time	Cellular automata, reaction-diffusion, diffusion-limited aggregation, discrete urban simulations, slime mold and ant foraging
6. Continuous simulation	States resulting from interaction between agents and continuous environment	Local rules followed by each agent in the environment	Rigid/soft body simulation, differential growth and swarms
7. Generative learning	Parameter space and generative functions learned by data distribution	Navigation in the learned parameter space	Autoencoder, GAN and PCA
8. Behavioral learning	The policy space, which combines the state and action spaces of the system	Application of the learned policy	The Bucket Brigade, Monte Carlo learning, Temporal-difference learning and Deep Q- Learning
Hybrid	Combine previous design spaces	Combine previous design navigations	Parametric Shape Grammars (1 + 3), Shape Annealing (1 + 4), Shape GAN (1 + 7), optimization of a partition tree (2 + 4) and learning steering behaviors (6 + 8)

Table 3: A GS framework based on design space and navigation

such as folding, braiding, knitting and weaving, to generate form; (4) natural or neo-biological, which employs biological principles to generate form; (5) fabricational, which uses existing patterns of fabrication for design generation; and (6) performative, which models physical data of the context as the input for a generative process that satisfy certain objectives. This type of categorization reflects the ubiquity of GS in different architectural approaches, which is also reinforced by categorization of solution procedures in specific domains, such as digital fabrication with parametric modeling⁴⁰.

3.A FRAMEWORK FOR GS

The challenge in categorizing GS is that their underlying techniques originate in different fields, they overlap, or they apply to multiple domains of design. While pioneer classifications focused on the computational logic of the GS, recent ones address specific technologies, design inspiration or application domain in architecture. These recent approaches are very productive for education and bring design to the center of attention. However, they limit the scope of GS to the status quo and design instantiation – i.e., to a set of solutions with its own tested workflows and tools.

In the opposite direction, our framework recovers the idea of computation not as a tool for design, but as an alternative logic of design. It does not focus on the representation of the design elements but on the high-level concepts that mediate design and computational generation: design spaces and navigational strategies. Broadly speaking, design space refers to the space of possible alternatives that can be generated given a certain formulation of the problem. Design navigation refers to the operations and control strategies that are available to navigate between these alternatives. In traditional practices, designers use heuristics to formulate and reformulate the problem, building expressive design spaces and navigational strategies to explore solution candidates.⁴¹ In GS, the design spaces and navigation strategies are a consequence of its formulation with specific algorithms and models.

By focusing on computational logic with more abstract categories, this framework comprehends both the existing solution procedures and the potential incorporation of recent advancements in AI. The eight schemas of our proposed framework are in Table 3.

Unstructured constructive schema

The schema is based on the existence of a discrete representation, which can be geometrical or even alphanumerical, that is sequentially constructed by the application of rules or procedures, which might require a certain shape or a certain relation between shapes to be matched. Once the initial

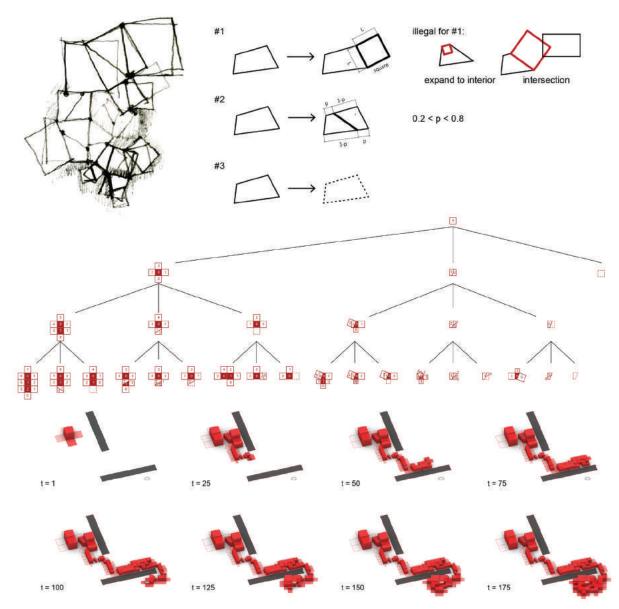


Figure 1: Constructive schema. Top: unstructured constructive schema of the gGrowth grammar. Middle: gGrowth structured in a tree with a greedy best-first search algorithm that orders the frontier based on the Euclidean distance to a target. Bottom: example of qGrowth generated by sampling. It follows the target behind a wall (ellipse).

state of the system is specified, navigation is defined by the selection and application of rules or procedures, manually or automatically, to change the state of the system in discrete steps. The design space is neither explicit nor definitely finite. See example in Figure 1, top.

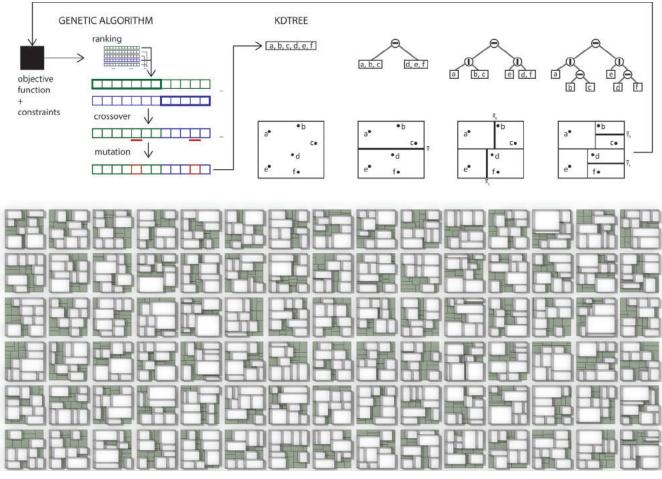
Structured constructive schema

This schema addresses problems structured as a graph or a tree, which are composed of states (nodes) and available actions (edges). The states are the nodes and the possible

constructions or actions are the edges. Navigation combinatorically explores valid states in the design space, which contains "the set of all states reachable from the initial state by any given sequence of actions"43. In a search, the solution is a sequence of actions from an initial state at the root to a desired state. In a traversal, the solution is a systematic way to access some or all the states. In a sampling, a solution is generated by following a single path defined by a certain probability or policy. Different algorithms organize the search in different ways by using strategies to order the exploration, to evaluate the costs of the decisions or to check the consistency of the different constraints of the problem. See example in Figure 1, middle and bottom.

Variational schema

This schema defines geometric entities and relations using



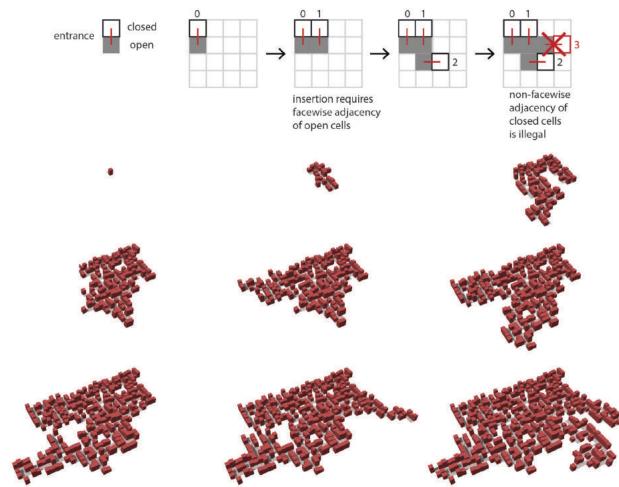


Figure 2: Hybrid (2 + 4). Optimization of floorplans with Genetic algorithm and KDTree.⁵⁰

parameters and constraints through explicit functions defined by the designer. The resulting design space is explicit in the parameter space. It comprehends all the geometrical and numerical variations resulting from all possible combination of the parameter values, which can be done manually or algorithmically, using stochastic or deterministic procedures.

Improvement schema

This schema is based on the transformation of a state by a search for alternatives that perform better according to a metric. The design space is the parameter space, where parameter values describe all possible design solutions. The function space contains the possible results of a single or many evaluative functions applied to a solution. The fitness space is a one-dimensional space that translates the results of the functions to a single measurement of success. The combination of these spaces results in a representation called a fitness landscape that contains all the fitness value for all the solutions in the parameter space. Improvement procedures can be solved by the application of calculii, optimization

strategies or metaheuristics. See hybrid example in Figure 2.

Discrete Simulation

This schema is based on a discrete representation, such as a grid or a graph. In combination, the states of the local units characterize the global state. Once an initial global state is defined, the rules or procedures affect some elements of the collection, based on local states and neighborhoods, whilst preserving the global characteristics of the representation. The design space comprehends all possible variations of the global representation, considering the initial state and the set of rules applied over time. The examples of discrete simulations are generally associated with mathematical models of urban or natural phenomena. See example in Figure 3.

Continuous simulation

This schema is based on a collection of agents that sense, act and interact. Each agent interweaves local evaluation of its goals and actions on the environment - the space, fixed elements and the other agents - by the application of local rules or procedures. Agents can have differing levels of autonomy. While simpler systems use basic reflex agents, more complex systems have a program to evaluate and act. The design

Figure 3: Discrete simulation. Rules and example of Beady Ring.⁵¹

space is defined by all possible configurations of a simulation, given its initial settings and the agents' policies

The next two categories employ Machine Learning – a multidisciplinary field concerned with programs that automatically improve with experience - to search for the best hypothesis about a given data, behavior or knowledge.⁴⁴ They open the possibility of learning GS from data.

Generative Learning

To solve many learning tasks, researchers employ genera-4. A POST-DIGITAL COMPUTATION? tive models, which after having been exposed to a dataset, "explicitly or implicitly model the distribution of inputs as well The post-digital should not be understood as an emerging as outputs"45. More than simply learning how to perform a era, but as a critical attitude towards the fascination or denial certain task, they model how the data has been generated. of the digital. New formulations of a digital zeitgeist⁴⁸ or re-Thus, a generative model enables the sampling of synthesized mystifications of the architectural representation⁴⁹ are both data based on the data distribution that it learned for the short-sighted acts. In contrast, if we accept the ubiquity of the task. The design space is the space and domain of the input digital, we can use computation to explore complex spatial vector, which is translated to a result by the learned mapping. patterns and interactions, addressing previously ungraspable See example in Figure 4. aspects of society and environment.

Behavioral Learning

This schema is based on learning the actions of agents to customize a GS. Among other approaches, it includes adaptive agents⁴⁶ and reinforcement learning⁴⁷. The system learns a policy (the probability of choosing the available actions in a state) by combining simulation with evolutionary algorithms or by exploring and exploiting actions to maximize a reward in an environment.

In practice, the schemas presented above can be combined to form hybrid GS. By combining the different categories, designers can develop a custom GS with a proper design space and navigation for their problems.



Joan Draper, "The Ecole Des Beaux-Arts and the Architectural Profession in the United States: The Case of John Galen Howard," in Architect Chapters in the History of the Profession, ed. Spiro Kostof (Berkeley: University California Press, 2000), 211; John F. Haberson, The Study of Architectural Desi (New York: Norton, 2008), 182.

11 Stan Allen, "The Paperless Studios in Context," in When Is the Digita in Architecture? (Montreal: CCA and Sternberg Press, 2013), 383-404; Bernal Tschumi, "The Making of a Generation: How the Paperless Studios Came Abo in When Is the Digital in Architecture? (Montreal: CCA and Sternberg Press, 20 405-19.

12 For example, parametric design can be associated with NURBS and mesh modeling to explore complex geometry. If performance is part of the agenda, plug-ins for optimization or physics simulation are added. Whenever some additional customization is necessary, the students try to "hack" the ave able toolbox to satisfice the design task.

Mario Carpo, The Second Digital Turn: Design Beyond Intelligence (Cambridge: The MIT Press, 2017).

Carpo's perception of technological change is legitimate, but his def 14 tion of a digital zeitgeist based on the duality of "don't sort; search" (Ibid., 23. fragile. In his interpretation, the discrete logic of computers and its capacity t operate with bia data – which he refers to as posthuman complexity (Ibid., 48 is opposed to the formulaic and compressive logic of classical science. However, many of the techniques that Carpo uses to represent this logic of computation design (heuristic search, optimization, cellular automata and simulation assoc ated with FEM) are not directly related to bia data. Additionally, computation not opposed to compression. The difficulty of current (or even future) compute to deal with large and continuous state spaces justify the use of heuristics and function approximators, such as deep neural networks, instead of brute-force search or tabular methods. Carpo also opposes Calculus to the discrete logic of computation and its capacity to search (Ibid., 65–70.). However, not only Calo can be discretized for aeometry processing (see discrete differential aeometr but it is also the base for backpropagation, a main technique to train neural networks for big data analysis.

Gabriela Celani and Pedro Veloso, "The Intersection of Theory and 15 Technology: Computational Concepts Applied to Architectural Design since La 1980s - a Literature Review," in Frontiers of Science and Technology: Water Availability, Automation and Sensor Technologies, Digital Fabrication (7th Brazilian-German Conference, Campinas, 2017).

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William J. Mitchell, Computer-Aided Architectural Design (New Yor Mason Charter Pub, 1977).

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23 Ibid., 425-74.

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Word created by Henrion to describe certain formulations of space plan-28 ning that combine constraint satisfaction and goal optimization

Generate and test is a general search paradiam based on the combina 29 tion of a random generator and a tester, which filters the satisfying solutions. It

ReLU ReLU ENCODER

Figure 4 Generative learning: Deepcloud. Top: architecture of autoencoder trained on a dataset of chairs. Bottom: chair series generated by navigation on the resulting feature space.⁵²

Our own approach in this paper situates the logic and history of computation as a way of designing and not as a tool to express a digital condition. In the same way that an algorithm course in computer science might refer to canonical algorithms as a base for new developments – without claims for an algorithmic era –, canonical computational schemas can provide a common background to support research on GS. By exploring and hybridizing the different schemas, the designer can navigate in the complex territory of computation to look for novel design logics.

We introduced this framework in a mini course in our institution, addressing the first five schemas. In the end of the course, the students had to choose a problem in their domain (game, building, landscape, urban design, etc.) and develop a GS to produce alternatives of solutions. We still plan to extend it to a full course where we can discuss all the schemas, incorporating the recent advancements in AI. For a future objective, we intend to refine and formalize the categories and their relations into a book.

ACKNOWLEDGEMENTS

We would like to express our gratitude to the Brazilian National Council for Scientific and Technological Development (CNPq) for granting Pedro Veloso a PhD scholarship (grant 201374/2014-5)

ENDNOTES

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Revner Banham. "A Black Box: The Secret Profession of Architecture," 3 in A Critic Writes: Selected Essays by Reyner Banham (Berkeley: University of California Press, 1999), 293.

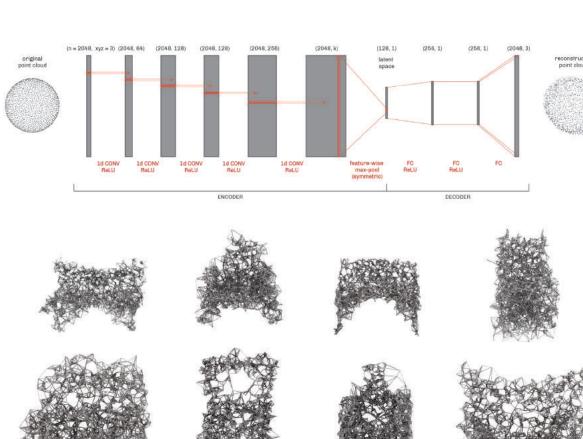
4 Ibid., 298.

Mario Carpo, The Digital Turn in Architecture 1992-2012 (Chichester: John Wiley & Sons, 2013).

Rivka Oxman, "Theory and Design in the First Digital Age," Design Studies 27, no. 3 (2006): 229–265; Rivka Oxman, "Digital Architecture as a Challenge for Design Pedagogy: Theory, Knowledge, Models and Medium," Design Studies 29, no. 2 (2008): 99-120.

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