## IIX

## WHY I AM NOT A BAYESIAN\*

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judgements, confirmation theory ought at least sometimes to suggest some example, reveal the structure and fallacies, if any, in Newton's argument of deductive inference. Any successful confirmation theory should, for serve as a critical and explanatory instrument quite as much as do theories does not purport to be, of a deductive kind. A confirmation theory should ciples that guide scientific argument in so far as that argument is not, and The aim of confirmation theory is to provide a true account of the prinalmost always treat such arguments as enthymematic, the premisses we good arguments that may have been lurking misperceived. Theories of ations. Where scientific judgements are widely shared, and sociological the atomic theory, in Freud's arguments for psychoanalytic generalizfor universal gravitation, in nineteenth-century arguments for and against claims; differences of this kind result in (or from) different formalizations matter is under discussion, there is a common set of suppressed premisses. interpolate are not arbitrary; in many cases, as when the same subjecttheory to assess the validity of scientific arguments, and although we must reasoning is supposed to be demonstrative. We can apply quantification deductive inference do that much for scientific reasoning in so far as that vided by confirmation theory reveals no good explicit arguments for the factors cannot explain their ubiquity, and analysis through the lens proscientific reasoning. interest for many purposes, but not for the purpose of understanding theory should do as well in its own domain. If it fails, then it may still be of difference for the assessment of validity in actual arguments. Confirmation Again, there may be differences about the correct logical form of scientific for example, of classical mechanics. But such differences often make no

The aim of confirmation theory ought not to be simply to provide precise replacements for informal methodological notions, that is, expli-

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\* Who cares whether a pig-farmer is a Bayesian?—R. C. Jeffrey.

cations of them. It ought to do more; in particular, confirmation theory ought to explain both methodological truisms and particular judgements that have occurred within the history of science. By 'explain' I mean at least that confirmation theory ought to provide a rationale for methodological truisms, and ought to reveal some systematic connections among them and, further, ought, without arbitrary or question-begging assumptions, to reveal particular historical judgements as in conformity with its principles.

have attempted to apply probabilistic analyses to derive and to explain some historical cases. particular methodological practices and precepts, and even to elucidate that probability is degree of belief. In very recent years a few philosophers ingly, logical interpretations of probability are giving way to the doctrine controversial, as does the interpretation of probability, although, increastions of various meta-scientific notions. The meta-scientific notions remain interpretation of probability and on the appropriate probabilistic explicadebates over confirmation theory seem to have focused chiefly on the with Carnap and inductive reasoning. After Carnap's Logical Foundations, tion of a systematic and profound theory of demonstrative reasoning, so collection of principles of deductive inference, but after him the foundajust as before Frege there was only a small and theoretically uninteresting Carnap's achievement in inductive logic with Frege's in deductive logic: An eminent contemporary philosopher (Putnam 1967) has compared although of course many probabilistic accounts had preceded Carnap's. the publication of Carnap's Logical Foundations of Probability (1950), Probabilistic accounts of confirmation really became dominant only after the canons and patterns of scientific inference result. It was not always so. functions of probabilities and conditional probabilities, and showing that 'confirmation', 'explanatory power', 'simplicity', and so on in terms of mation involves, developing explications of such meta-scientific notions as of explicating and showing how to determine the probabilities that confiron evidence. The basic tasks facing confirmation theory are thus just those proceeds through the formation of conditional probabilities of hypotheses and appendices. Moreover, almost everyone believes that confirmation tions. Confirmation theory is the theory of probability plus introductions confirmation relations ought to be analysed in terms of probability rela-Almost everyone interested in confirmation theory today believes that

I believe these efforts, ingenious and admirable as many of them are, are none the less misguided. For one thing, probabilistic analyses remain at too

<sup>1</sup> A third view, that probabilities are to be understood exclusively as frequencies, has been most ably defended by Wesley Salmon (1969).

analyses of data, have been great rarities in the history of science. In the probabilistic. Although considerations of probability have played an imand so on. It is to say that, explicitly, probability is a distinctly minor note and analysis of variance in experimental searches for gravitational waves is not uncommon in discussions of the origins of cosmic rays, correlation science where probability figures large in confirmation; regression analysis This is not to deny that there are many areas of contemporary physical scientific hypotheses but who discuss methodology in probabilistic terms scarcely give a probabilistic argument when making a case for or against nomical theories. And there are people-Maxwell, for example-who probabilistic terms; Laplace, of course, gave Bayesian arguments for astromade an extended comparison of Ptolemaic and Copernican theories in discussed a seventeenth-century Ptolemaic astronomer who apparently Einstein or Schrödinger or.... There are exceptions. Jon Dorling has for their theories; nor does Maxwell or Kelvin or Lavoisier or Dalton or Copernicus, Newton, Kepler, none of them give probabilistic arguments physical sciences at any rate, probabilistic arguments have rarely occurred istic arguments for the confirmation of various theories, or probabilistic portant part in the history of science, until very recently, explicit probabiltive about that practice, and in part they do so exactly because they are great a distance from the history of scientific practice to be really informain the history of scientific argument.

towards accounting for methodological truisms. My own inclination is some of the people working in this tradition have made interesting steps students, seem to lie at a great distance from the history of science. Still cal theories, whether Carnap's or those developed by Hintikka and his an embarrassment for some accounts of probability than for others. Logiso that the frequencies involved do not come out to be zero, is a question eses to which to assign it, and the prior probability of the hypothesis is the hypothesis to be assessed there is an appropriate reference class of hypothmesh with these insights. Frequency interpretations suppose that for each among evidence and hypotheses than to the probability measures they the insights they obtain into syntactic versions of structural connections to believe that the interest such investigations have stems more from that has only been touched upon by frequentist writers. More to the point matter of how such reference classes are to be determined, and determined for statements of evidence, whether they be singular or general. The frequency of true hypotheses in this reference class. The same is true we have no statistics, and cannot plausibly imagine those who figure in the for many of the suggested features that might determine reference classes The rarity of probability considerations in the history of science is more

history of our sciences to have had them. So conceived, the history of scientific argument must turn out to be largely a history of fanciful guesses. Further, some of the properties that seem natural candidates for determining reference classes for hypotheses—simplicity, for example—seem likely to give perverse results. We prefer hypotheses that view should give them high prior probabilities, and so on a frequentist although often very useful approximations, have most often turned out to be literally false.

At present, perhaps the most philosophically influential view of probability understands it to be degree of belief. The subjectivist Bayesian (hereafter, for brevity, simply Bayesian) view of probability has a growing number of advocates who understand it to provide a general framework by the rarity of explicit probabilistic arguments in the history of science, for probabilistic in the Bayesian sense. Indeed, a number of Bayesians have and its apparent applicability, in what follows it is to the subjective Bayesian account that I shall give my full attention.

probabilities, or they don't. My claim is that many of the principles proone of two kinds: either they depend on general principles restricting prior accounts of methodological truisms and of particular historical cases are of tions, there are not plausible Bayesian explications of others. Bayesian Although there are plausible Bayesian explications of some of these nosibly the same bit) by another (or possibly the same) bit of evidence. evidence stronger than the confirmation of another bit of theory (or posif anything, makes the confirmation of one bit of theory by one bit of determines when a piece of evidence is relevant to a hypothesis, and what, often admired, why 'de-Occamized' theories are so often disdained, what to a theory from one 'tacked on' to the theory, simplicity and why it is so plain: for example, variety of evidence and why we desire it, ad hoc hypotheses and why we eschew them, what separates a hypothesis integral truisms involving these notions that a confirmation theory ought to exas insufficient. Second, there are a variety of methodological notions a new one, and I think many Bayesians do regard these a priori arguments that an account of confirmation ought to explicate and methodological ought, I shall maintain, to be unconvincing. My thesis in this instance is not onstrate a priori the rationality of the restrictions on belief and inference that Bayesians advocate. These arguments are altogether admirable, but My thesis is several-fold. First, there are a number of attempts to dem-

posed by the first kind of Bayesian are either implausible or incoherent, and that, for want of such principles, the explanations the second kind of Bayesians provide for particular historical cases and for truisms of method are chimeras. Finally, I claim that there are elementary but perfectly common features of the relation of theory and evidence that the Bayesian scheme cannot capture at all without serious—and perhaps not very plausible—revision.

It is not that I think the Bayesian scheme or related probabilistic accounts capture nothing. On the contrary, they are clearly pertinent where the reasoning involved is explicitly statistical. Further, the accounts developed by Carnap, his predecessors, and his successors are impressive systematizations and generalizations, in a probabilistic framework, of certain principles of ordinary reasoning. But so far as understanding scientific reasoning goes, I think it is very wrong to consider our situation to be analogous to that of post-Fregean logicians, our subject-matter transformed from a hotchpotch of principles by a powerful theory whose outlines are clear. We flatter ourselves that we possess even the hotchpotch. My opinions are outlandish, I know; few of the arguments I shall present in their favour are new, and perhaps none of them is decisive. Even so, they seem sufficient to warrant taking seriously entirely different approaches to the analysis of scientific reasoning.

The theories I shall consider share the following framework, more or less. There is a class of sentences that express all hypotheses and all actual or possible evidence of interest; the class is closed under Boolean operations. For each ideally rational agent, there is a function defined on all sentences such that, under the relation of logical equivalence, the function is a probability measure on the collection of equivalence classes. The probability of any proposition represents the agent's degree of belief in that proposition. As new evidence accumulates, the probability of a proposition changes according to Bayes's rule: the posterior probability of a hypothesis on the new evidence is equal to the prior conditional probability of the hypothesis on the evidence. This is a scheme shared by diverse accounts of confirmation. I call such theories 'Bayesian', or sometimes 'personalist'.

We certainly have *grades* of belief. Some claims I more or less believe, some I find plausible and tend to believe, others I am agnostic about, some I find implausible and far-fetched, still others I regard as positively absurd. I think everyone admits some such gradations, although descriptions of them might be finer or cruder. The personalist school of probability theorists claim that we also have *degrees* of belief, degrees that can have any value between 0 and 1 and that ought, if we are rational, to be represent-

able by a probability function. Presumably, the degrees of belief are to co-vary with everyday gradations of belief, so that one regards a proposition as preposterous and absurd just if his degree of belief in it is somewhere near zero, and he is agnostic just if his degree of belief is somewhere near a half, and so on. According to personalists, then, an ideally rational agent always has his degrees of belief distributed so as to belief, the axioms of probability, and when he comes to accept a new accepted belief. There are any number of refinements, of course; but that is the basic view.

Why should we think that we really do have degrees of belief? Personalists have an ingenious answer: people have them because we can measure the degrees of belief that people have. Assume that no one (rational) will accept a wager on which he expects a loss, but anyone (rational) will accept any wager on which he expects a gain. Then we can measure a person's amount u such that the person will pay u in order to receive u + v if P is true, but receive nothing if P is not true. If u is the greatest amount the agent is willing to pay for the wager, his expected gain on paying u must be zero. The agent's gain if P is the case is v; his gain if P is not the case is v.

$$v \cdot \operatorname{prob}(P) + (-u) \cdot \operatorname{prob}(\sim P) = 0.$$

Since prob  $(\sim P) = 1 - \text{prob}(P)$ , we have

$$\operatorname{prob}(P) = u/(u+v).$$

The reasoning is clear: any sensible person will act so as to maximize his expected gain; thus, presented with a decision whether or not to purchase a bet, he will make the purchase just if his expected gain is greater than zero. So the betting odds he will accept determine his degree of belief.<sup>2</sup>

I think that this device really does provide evidence that we have, or can produce, degrees of belief, in at least some propositions, but at the same time it is evident that betting odds are not an unobjectionable device for the measurement of degrees of belief. Betting odds could fail to measure degrees of belief for a variety of reasons: the subject may not believe that

<sup>&</sup>lt;sup>2</sup> More detailed accounts of means for determining degrees of belief may be found in Jeffrey 1965. It is a curious fact that the procedures that Bayesians use for determining subjective degrees of belief empirically are an instance of the general strategy described in Glymour 1981, ch. 5. Indeed, the strategy typically used to determine whether or not actual people behave as rational Bayesians involves the bootstrap strategy described in that chapter.

the bet will be paid off if he wins, or he may doubt that it is clear what constitutes winning, even though it is clear what constitutes losing. Things he values other than monetary gain (or whatever) may enter into his determination of the expected utility of purchasing the bet: for example, he may place either a positive or a negative value on risk itself. And the very fact that he is offered a wager on P may somehow change his degree of helief in P

changes in degrees of belief ought to proceed by conditionalization? axioms of probability, and why should we think that, again for rationality, we think that, for rationality, one's degrees of belief must satisfy the measured on an interval from 0 to 1. There are two questions: why should propositions, and that in some cases they can be at least approximately a degree of belief, as it were, in the role of a probability. But why should gain. The betting quotient determined the degree of belief by determining belief, it was assumed that the subject would act so as to maximize expected One question at a time. In using betting quotients to measure degrees of of our degrees of belief in each possible outcome of the action and the the expression for the expected gain. So the betting quotient determines stance, a combination of wagers, that one would enter into if they were believes will be paid off if it is accepted and won), then there is a circumproposition there were a possible wager (which, if it is offered, one belief function that is not a probability function, and if for every ment: if one acts so as to maximize his expected gain using a degree-ofenter into this sum be probabilities? Again, there is an ingenious argugain (or loss) to us of that outcome. Why must the degrees of belief that that we do choose those actions that maximize the sum of the product the things, degrees of belief, that play this role be probabilities? Supposing the coefficient by which the gain is multiplied in case that P is true in offered, and in which one would suffer a net loss whatever the outcome. That is what the Dutch-book argument shows; what it counsels is Let us suppose, then, that we do have degrees of belief in at least some

rate measures of degrees of belief are also reasons why the Dutch-book argument is not conclusive: there are many cases of propositions in which we may have degrees of belief, but on which, we may be sure, no acceptable wager will be offered us; again, we may have values other than the value we place on the stakes, and these other values may enter into our determination whether or not to gamble; and we may not have adopted the policy of acting so as to maximize our expected gain or our expected utility: that is, we may save ourselves from having book made against us by

refusing to make certain wagers, or combinations of wagers, even though we judge the odds to be in our favour.

The Dutch-book argument does not succeed in showing that in order to avoid absurd commitments, or even the possibility of such commitments, one must have degrees of belief that are probabilities. But it does provide a kind of justification for the personalist viewpoint, for it shows that if one's degrees of belief are probabilities, then a certain kind of absurdity is avoided. There are other ways of avoiding that kind of absurdity, but at least the personalist way is one such.<sup>3</sup>

One of the common objections to Bayesian theory is that it fails to provide any connection between what is inferred and what is the case. The Bayesian reply is that the method guarantees that, in the long run, everyone will agree on the truth. Suppose that  $B_i$  are a set of mutually exclusive, jointly exhaustive hypotheses, each with probability B(i). Let  $\bar{x}_i$  be a sequence of random variables with a finite set of values and conditional distribution given by  $P(\bar{x}_i = x_i|B_i) = \epsilon(x_i|B_i)$ ; then we can think of the values  $x_i$  as the outcomes of experiments, each hypothesis determining a likelihood for each outcome. Suppose that no two hypotheses have the same likelihood distribution; that is, for  $i \neq j$  it is not the case that for all values  $x_i$  of  $\bar{x}_i$ ,  $\epsilon(x_i|B_i) = \epsilon(x_i|B_i)$ , where the  $\epsilon$ 's are defined as above. Let  $\bar{x}$  denote the first n of these variables, where x is a value of  $\bar{x}$ . Now imagine an observation of these n random variables. In Savage's words:

Before the observation, the probability that the probability given x of whichever element of the partition actually obtains will be greater than  $\alpha$  is

$$\sum_{i} B(i) P(P(B_{i}|x) > \alpha |B_{i}),$$

where summation is confined to those i's for which  $B(i) \neq 0$ . (1972: 49)

In the limit as n approaches infinity, the probability that the probability given x of whichever element of the partition actually obtains is greater than  $\alpha$  is 1. That is the theorem. What is its significance? According to Savage, 'With the observation of an abundance of relevant data, the person is almost certain to become highly convinced of the truth, and it has also been shown that he himself knows this to be the case' (p. 50). That is a little misleading. The result involves second-order probabilities, but these too, according to personalists, are degrees of belief. So what has been shown seems to be this: in the limit as n approaches infinity, an ideally rational Bayesian has degree of belief 1 that an ideally rational Bayesian (with degrees of belief as in the theorem) has degree of belief, given x, greater than  $\alpha$  in whichever element of the partition actually

<sup>&</sup>lt;sup>3</sup> For further criticisms of the Dutch-book argument see Kyburg, 1978.

obtains. The theorem does not tell us that in the limit any rational Bayesian will assign probability 1 to the true hypothesis and probability 0 to the rest; it only tells us that rational Bayesians are certain that he will. It may reassure those who are already Bayesians, but it is hardly grounds for conversion. Even the reassurance is slim. Mary Hesse points out (1974: 117–19), entirely correctly I believe, that the assumptions of the theorem do not seem to apply even approximately in actual scientific contexts. Finally, some of the assumptions of stable estimation theorems can be dispensed with if one assumes instead that all of the initial distributions considered must agree regarding which evidence is relevant to which hypotheses. But there is no evident a priori reason why there should be such

of learning-indeed, a theory of personal learning. But arguments are explanation of scientific argument; what the Bayesians give us is a theory with the Bayesian scheme by assigning degrees of belief more or less ad different: particular inferences can almost always be brought into accord and vagaries of scientific reasoning and inference by applying their scheme and others, can claim something like the authority of common sense, and some hypothesis, very unlikely to occur, then that occurrence renders the accord well with common sense. Thus, with minor restrictions, one obtains or none at all, the Bayesian scheme generates principles that seem to autobiography. To ascribe to me degrees of belief that make my slide from formed of the premisses, and in doing so I am not reporting any bit of more or less impersonal; I make an argument to persuade anyone inhoc, but we learn nothing from this agreement. What we want is an alleged features of scientific reasoning and inference. My own view is Bayesianism, she sets out to show that the view can account for a host of After admitting the insufficiency of the standard arguments for belief. This seems, for instance, to be Professor Hesse's line of argument. together with plausible assumptions about the distribution of degrees of ian philosophers of science may reasonably hope to explain the subtleties device of probability theory at once so precise and so flexible, that Bayesrestrictions placed a priori on rational degrees of belief are so mild, and the Bayesian doctrine provides a systematic explication of them. Second, the hypothesis less likely than it would otherwise have been. These principles, and, again, one obtains the result that if an event that actually occurs is, on the principle that hypotheses are confirmed by positive instances of them; with only very weak or very natural assumptions about prior probabilities, that the appeal of Bayesian doctrine derives from two other features. First, theorems, or other a priori arguments. Their frailty is too palpable. I think ness of Bayesian doctrine by Dutch-book arguments, stable estimation I think relatively few Bayesians are actually persuaded of the correct-

> seeing in more detail what the difficulties may be. a plausible way within the Bayesian framework. At any rate, it is worth turn on relating evidence to theory, it is very difficult to explicate them in framework. Sometimes they can, perhaps; but I think that when arguments be understood as conditions restricting prior probabilities in a Bayesian nothing controversial about this suggestion, and I endorse it. What is controversial is that the general principles required for argument can best principles have other beliefs, those we are trying to establish. There is that, supposing our audience has certain beliefs, they must in view of these widely subscribed to, and in giving arguments we are attempting to show because there are general principles restricting belief, principles that are more hopefully, Bayesians may suggest that we give arguments exactly bother with them, but would simply state one's opinion. Alternatively, and others' beliefs because of the respect they have for his opinion. This is not very plausible; if that were the point of giving arguments, one would not two ways. In the first place, one might give arguments in order to change might bridge the gap between personal inference and argument in either of influence on others, or why I might hope that it should. Now, Bayesians what I am doing is arguing—why, that is, what I say should have the least my premisses to my conclusion a plausible one fails to explain anything, it is a correct assignment of my degrees of belief, it does not explain why not only because the ascription may be arbitrary, but also because, even if

nately, as we shall see, her restrictive principle is incoherent.4 with a postulate that restricts assignments of prior probabilities. Unfortuscientific method do result when the Bayesian scheme is supplemented later chapters of her book to an attempt to show that certain features of simplicity, but only to argue that it is overvalued.) Mary Hesse devotes the and qualifies hypothetico-deductive arguments. (Shimony does discuss Shimony (1970) discusses only how his version of Bayesianism generalizes There is little to nothing of this in Carnap, and more recent, and more ing. In a lengthy discussion of what he calls 'tempered personalism', Abner personalist, statements of the Bayesian position are almost as disappointof evidence, about why, in some circumstances, we should prefer simpler various than another body of evidence, and why we should prefer a variety makes a hypothesis ad hoc, about what makes one body of evidence more seems to have been written, from a Bayesian point of view, about what and notions that are usually canonized as scientific method; very little theories, and what it is that we are preferring when we do. And so on. There is very little Bayesian literature about the hotchpotch of claims

One aspect of the demand for a variety of evidence arises when there is 'Moreover, I believe that much of her discussion of methodological principles has only the loosest relation to Bayesian principles.

complex theory may contain a great many logically independent hypothcannot be fitted into the Bayesian scheme. But there is still more. A account such as Shimony's it is taken care of so directly as hardly to require easy and natural one for Bayesians to take account of, and within an most helpful in eliminating false competitors. This aspect of variety is an decide. In such cases we naturally prefer the body of evidence that will be some definite set of alternative hypotheses between which we are trying to account of the relevance of evidence to pieces of theory. How Bayesians account of this aspect of the demand for a variety of evidence is just taking it that the various independent parts of our theories are tested. Taking variety of evidence, and an important part, derives from a desire to see to those hypotheses but not for others. Surely part of the demand for a eses, and particular bodies of evidence may provide grounds for some of I see no special reason why this kind of demand for a variety of evidence motion that subjected all matter to the same dynamical laws. Once again, both terrestrial and celestial evidence for seventeenth-century theories of the tradition of Aristotelian distinctions, there was some reason to demand evidence of certain kinds is obtained and compared. For example, given reason to suspect that if a theory is false, its falsity will show up when comment. But there is more to variety. In some situations we have some may do this we shall consider later.

a theory has only with respect to a certain body of evidence, and it is not just don't seem to count for de-Occamized theories to be explained? Not other. How then is the fact (for so I take it to be) that pieces of evidence as did the original theory. If the old theory entailed the evidence, so will of some body of evidence for the theory, then the new, de-Occamized occurs in the statement of the theory, by an algebraic combination of new tion, when a single property would do. Such theories can be generated by is the disdain for 'de-Occamized' hypotheses, for theories that postulate preference for the simple that seems beyond Bayesian capacities, and that Bayesians have attempted to account. There is one aspect of the scientific than un-de-Occamized theories, for being 'de-Occamized' is a feature that by supposing that de-Occamized theories have lower prior probabilities hypothesis is neither 1 nor 0), if e confirms one of them, it confirms the if two theories both entail e, then (provided the prior probability of each the new, de-Occamized one. Now, it follows from Bayesian principles that theory will have the same entailment relations with that body of evidence quantities. If the original quantity was not one that occurs in the statement taking any ordinary theory and replacing some single quantity, wherever it the operation of a number of properties, determinable only in combina-Simplicity is another feature of scientific method for which some

hard to imagine artificially restricted bodies of evidence with respect to which perfectly good theories might count as de-Occamized. Having extra only is a feature a theory has only in relation to a body of evidence; the preference is the likelihood of the evidence on the theory, and unfortunately the likelihood is the same for a theory and for its de-Occamized of the evidence.

relations between experimentally determined quantities that have withible that they would be rational to do so. I can think of very few simple tributed according to any plausible simplicity ordering, and still less plausimplausible that scientists typically have their prior degrees of belief disthere are plenty of ways to do that. The trouble is that it is just very finite probabilities to an infinite number of incompatible hypotheses, for from this difficulty. The problem is not really one of finding a way to assign proposed a slightly more complex assignment of priors that did not suffer that the prior probability of every hypothesis is zero. Earlier, Jeffreys ability of a hypothesis decreases as the number of arbitrary parameters have the same prior probability. This leads immediately to the conclusion increases, but hypotheses having the same number of arbitrary parameters In his Theory of Probability Jeffreys (1967) proposed that the prior probbusiness depends, of course, entirely on the ordering of prior probabilities. has not included enough terms and parameters in the equation. The whole relating the measured quantities. Roughly, if one's equation fits the data parameters; and if the equation does not fit the data well enough, then one too well, then the equation has too many terms and too many arbitrary cance test for the introduction of higher-degree terms in the equation puted from measurement results. Jeffreys constructed a Bayesian signifithese priors and likelihoods, ratios of posterior probabilities may be comtion relating the measured quantities. It should be clear, then, that with compute the likelihood of any set of measurement results given an equameasurement error has a known probability distribution, we can then tion between two or more quantities, the greater is its prior probability. If equations may be ordered by simplicity; the simpler the hypothetical relaan explanation (1979) along the following lines. Algebraic and differential to account for this preference. Harold Jeffreys, a Bayesian of sorts, offered algebraic combinations of measured quantities, and so on. The problem is choose the 'simplest' curve that will fit the data. Thus linear relations are tions of measured quantities are preferred to exponential functions of preferred to polynomial relations of higher degree, and exponential funcabsence of an established theory relating the quantities measured, to It is common practice in fitting curves to experimental data, in the

can hardly be a rational requirement. quires that we proceed in ignorance of our scientific experience, and that absence of a well-confirmed theory of the matter. Jeffreys' strategy remeasured quantities will truly stand in a simple relation, especially in the Surely it would be naïve for anyone to suppose that a set of newly relations that are infinitely complex: consider the fate of Kepler's laws. stood continued investigation, and often simple relations are replaced by

is the argument: economical, the simplest, hypotheses compatible with the evidence. Here postulate, she claims, will lead us to choose, ceteris paribus, the most conjunction of r + 1 positive instances of a hypothesis is more probable puts a 'clustering' constraint on prior probabilities: for any positive r, the than a conjunction of r positive instances with one negative instance. This Consider another Bayesian attempt, this one due to Mary Hesse. Hesse

hypothesis of equal content h': 'All x up to  $a_n$  that are P and Q, and all other x that For h ='All P are Q' is certainly more economical than the 'gruified' conflicting data and of sufficient content to make a prediction about the application of Q to  $a_{n+1}$ . possible consistently with the data. . . . But this is also the prediction that would be properties P and Q. Now consider an individual  $a_{n+1}$  with property P. Does  $a_{n+1}$  have Consider first evidence consisting of individuals  $a_1, a_2, \ldots, a_n$ , all of which have made by taking the most economical general law which is both confirmed by the  $Q_{a+1}$  since, ceteris paribus, the universe is to be postulated to be as homogeneous as Q or not? If nothing else is known, the clustering postulate will direct us to predict

will yield the same predictions as the clustering postulate. tie in content, the most economical hypothesis on those of equal content, this rule resulting from the most probable hypothesis on grounds of content, or, in case of a If follows in the [case] considered that if a rule is adopted to choose the prediction

Here is the argument applied to curve-fitting:

prediction given by the 'simplest' hypothesis on almost all accounts of the simplicity most economical prediction is about the point  $g = (x_3, a + bx_3)$ , which is also the contained in f, that is, the calculable values of a, b rather than a prediction which x-value of g is  $x_3$ ? Clearly it is the prediction which uses only the information already  $+ \dots + a_n x$ , where the values of  $a_0, \dots, a_n$  are not determined by  $(x_1, y_1), (x_2, y_2)$ experiments.... The two points are consistent with the hypothesis y = a + bx, and general hypotheses, and the relevant initial probability is that of a universe containto that in which the third point is inexpressible in terms of a and b alone. In this of curves. Translated into probabilistic language, this is to say that to conform to assigns arbitrary values to the parameters of a higher-order hypothesis. Hence the also of course with an indefinite number of other hypotheses of the form  $y = a_0 + a_1$ formulation economy is a function of finite descriptive lists of points rather than that points  $(x_1, a + bx_1)$ ,  $(x_2, a + bx_2)$ ,  $(x_3, a + bx_3)$  are satisfied by the experiment than intuitions about economy we should assign higher initial probability to the assertion What is the most economical prediction of the y-value of a further point g, where the Let f be the assertion that two data points  $(x_1, y_1)$ ,  $(x_2, y_2)$  are obtained from

> may therefore be regarded as another aspect of homogeneity or clustering of the general law is true. ... Description in terms of a minimum number of parameters ing these particular points rather than that of a universe in which the corresponding

Hesse's first two data points can be equally well described by  $(x_1, a_1 + b_1 x_1^2)$ predicate, then so is  $y = a_1 + b_1 x^2$ , for any definite values of  $a_1$  and  $b_1$ . Now assumptions, everything results. For, surely, if y = a + bx is a legitimate tulate and the probability axioms. Unfortunately, with trivial additional linear hypothesis in the next instance results from Hesse's clustering posthird pair of values will satisfy the predicate. So the preference for the satisfy the predicate y = ax + b, then it is more probable than not that a her clustering postulate then requires that if two paired values of x and yHesse's clustering postulate applies directly to the curve-fitting case, for

$$b_1 = \frac{y_1 - y_2}{x_1^2 - x_2^2} \qquad a_1 = y_1 - x_1^2 \left( \frac{y_1 - y_2}{x_1^2 - x_2^2} \right)$$

must also be greater than one-half, which is impossible. half, and the probability that the third point satisfies the linear expression predicate  $y = a_1 + b_1 x^2$ . So, by the clustering postulate, the probability that the third point satisfies the quadratic expression must be greater than one-Hence her first two data points satisfy both the predicate y = a + bx and the

obtain a measure of comparative sample coverage. compare the volumes of such regions for different hypotheses, and thus ness-of-fit criterion is always bounded for all relevant hypotheses, we can comes are infinite, if the region of possible outcomes meeting the goodcompare the number of such possible outcomes that meet the goodness-of-'observed sample coverage' of the hypothesis. Where the possible outfit criterion with the number that do not. This ratio Rosencrantz calls the than some figure. Where the number of possible outcomes is finite, we can curve-fitting perhaps that the average sum of squared deviations is less confidence regions based on the  $\chi^2$  distribution for categorical data, or in some criterion for 'goodness of fit' of a hypothesis to data-for example, recently been offered by Roger Rosencrantz (1976). Suppose that we have Another Bayesian account of our preference for simple theories has

ameters, and  $H_{
m l}$  is a special case of  $H_{
m 2}$  obtained by letting a free parameter hypotheses about categorical data: if  $H_1$  and  $H_2$  are hypotheses with parage, the simpler the hypothesis. But further, he proves the following for Rosencrantz's first proposal is this: the smaller the observed sample coverof a hypothesis, the more severely it is tested by observing outcomes. It seems plausible enough that the smaller the observed sample coverage

in  $H_2$  take its maximum likelihood value, then if we average the likelihood of getting evidence that fits each hypothesis well enough over all the possible parameter values, the average likelihood of  $H_1$  will be greater than the average likelihood of  $H_2$ . The conclusion Rosencrantz suggests is that the simpler the theory, the greater the average likelihood of data that fit sufficiently well. Hence, even if a simple theory has a lower prior probability than more complex theories, because the average likelihood is higher for the simple theory, its posterior probability will increase more rapidly than that of more complex theories. When sufficient evidence has accumulated, the simple theory will be preferred. Rosencrantz proposes to

identify average likelihood with support.

Rosencrantz's approach has many virtues; I shall concentrate on its vices. First, observed sample coverage does not correlate neatly with simplicity. If H is a hypothesis, T another utterly irrelevant to H and to the phenomena about which H makes predictions, then H & T will have the same observed sample coverage as does H. Further, if H\* is a desame observed sample coverage as does H. Further, if he is a desame observed sample coverage. Second, Rosencrantz's theorem does not establish nearly enough. It does not establish, for example, that in curve-fitting the average likelihood of a linear hypothesis is greater than the average likelihood of a quadratic or higher-degree hypothesis. We cannot explicate support in terms of average likelihood unless we are willing to allow that evidence supports a de-Occamized hypothesis as much as un-de-Occamized ones, and a hypothesis with tacked-on parts as much as one without such super-

greater than the probability of the hypothesis. That is what the condition of Bayesian scheme of things? The natural answer is that it does so when the When does a piece of evidence confirm a hypothesis according to the of degrees of belief; at discrete intervals he learns new facts, and each time Bayesian agent moves along in time having at each moment a coherent set advanced by philosophical Bayesians. The picture is a kinematic one a positive relevance requires, and that condition is the one most commonly that is, if the conditional probability of the hypothesis on the evidence is posterior probability of the hypothesis is greater than its prior probability, on e. The discovery that e is the case has confirmed those hypotheses whose probability after the discovery is higher than their probability be he learns a new fact, e, he revises his degrees of belief by conditionalizing I doubt that its difficulties are remediable without considerable changes in fore. For several reasons, I think this account is unsatisfactory; moreover the theory Finally, we come to the question of the relevance of evidence to theory.

> wherever a distinction between theory and evidence is plausible, it leads to way of setting things up is a natural one, but it is not inevitable, and credence in a theory than in its observational consequences. The Bayesian tion makes it impossible for a piece of evidence to give us more total Bayesian way already guarantees that a theory can be no more credible evidential relevance. Making degrees of belief probability measures in the than any collection of its consequences. The Bayesian account of confirmaare generated by probability measures and with the Bayesian account of difficulty has to do both with the assumption that rational degrees of belief tional consequences do not, the Bayesian must deny the linkage. The be, we should certainly expect that goodness of explanation will go hand in hand with warrant for belief; yet, if theories explain, and their observaever sage this suggestion may be, it only makes more vivid the difficulty of explain; their collection of observational consequences do not. But howserve; the function most frequently suggested is explanation-theories the Bayesian why of seeing things. For whatever explanatory power may special function that their collection of observational consequences cannot they are a gratuitous risk. The natural answer is that theories have some then, should we entertain theories at all? On the probabilist view, it seems, established than is the collection of its observational consequences. Why, probable than its observational consequences. A theory is never any better at least as probable as the theory itself; generally, the theory will be less the collection of 'observational' consequences of the theory will always be the one hand; and on the other hand, sentences that are theoretical. Then consequences of a theory into sentences consisting of reports of actual or possible observations, and simple generalizations of such observations, on The first difficulty is a familiar one. Let us suppose that we can divide the

A second difficulty has to do with how praise and blame are distributed among the hypotheses of a theory. Recall the case of Kepler's laws (displanet (and, of course, the sun) might provide evidence for or against kepler's first law (all planets move on ellipses) and for or against Kepler's tions of a single planet move according to the area rule), but no observations of a single planet would constitute evidence for or against Kepler's of the ratio of their distances). Earlier [in Ch. 2 of Glymour's Theory and have great difficulty explaining this elementary judgement. Can the said) is that our degrees of belief are distributed—and historically were

seems to me that we never succeed in explaining a widely shared judgeview observations of Mars as a test of the third law, but not of the first? It reasons why people had their degrees of belief so distributed? If their additional claims) what it is that we want to be explained. Are there any intuition at all; on the contrary, it seems merely to restate (with some of belief in the third law.5 I don't see that this is an explanation for our change our degrees of belief in the first and second laws, but not our degree distributed-so that conditionalizing on evidence about one planet may generate those judgements according to the Bayesian scheme. Bayesians by asserting that degrees of belief happened to be so distributed as to ment about the relevance or irrelevance of some piece of evidence merely beliefs had been different, would it have been equally rational for them to second laws-that is, the conditional probability of the evidence given not, then it follows that the likelihood of the evidence on the first and first and second laws entail their description, but Kepler's third law does hypotheses. If it is supposed that the observations are such that Kepler's likelihood of the evidence about a single planet on various combinations of among the hypotheses; the only gadget that appears to be available is the may instead try to explain the case by appeal to some structural difference of many others that could be adduced) is a general principle restricting count. The problem is reduced to one already unsolved. What is needed to on these facts alone is simply an attempt at a hypothetico-deductive aclaw may be less than unity. But any attempt to found an account of the case of the bearing of evidence on theory. them the Bayesian scheme does not explain even very elementary features that no such principles exist; it does, I believe, make it plain that without ture between evidence and hypothesis. The case does nothing to establish any such principles will have to make use of relations of content or structhe bearing of evidence that have been noted here do result. Presumably, conditional probabilities and having the effect that the distinctions about provide a genuine Bayesian explanation of the case in question (as well as those hypotheses—is unity, but the likelihood of the evidence on the third

A third difficulty has to do with Bayesian kinematics. Scientists commonly argue for their theories from evidence known long before the theories were introduced. Copernicus argued for his theory using observations made over the course of millennia, not on the basis of any startling new predictions derived from the theory, and presumably it was on the basis of such arguments that he won the adherence of his early disciples. Newton argued for universal gravitation using Kepler's second and third

<sup>5</sup> This is the account suggested by Horwich 1978.

laws, established before the *Principia* was published. The argument that Einstein gave in 1915 for his gravitational field equations was that they explained the anomalous advance of the perihelion of Mercury, established more than half a century earlier. Other physicists found the argument enormously forceful, and it is a fair conjecture that without it the Old evidence can in fact confirm new theory, but according to Bayesian theory T is introduced at time t. Because e is known at t, prob,(e) = 1. We then have

$$\operatorname{prob}_{i}(T, e) = \frac{\operatorname{prob}_{i}(T) \times \operatorname{prob}_{i}(e, T)}{\operatorname{prob}_{i}(e)} = \operatorname{prob}_{i}(T).$$

that good scientists are, about science at least, approximately ideal to explain scientific inference and argument by means of the assumption never face a novel theory, for the idea of Bayesian confirmation theory is anyway; and, finally, it is beside the point that an ideal Bayesian would certain interval may very well be entailed, and that is what is believed known initial conditions, that the value of the measured quantity lies in a (of, e.g., the perihelion advance) may not be entailed by the theory and of belief in some bit of evidence ever is; although the exact measured value evidence may make the degree of belief in it as close to unity as our degree ment of a novel theory. None of these replies will do: the acceptance of old exact values obtain; an ideal Bayesian would never suffer the embarrassmeasured or observed values, the theory does not really entail that those Bayesians may object, is not really unity; when the evidence is stated as problem? Red herrings abound. The prior probability of the evidence,  $\operatorname{prob}_{i}(T)$ . How might Bayesians deal with the old evidence/new theory will still be unity if T entails e, and so prob(T, e) will be very close to fairly stable. If the probability of e is very high but not unity, prob.(e, T)the absurdity that old evidence cannot confirm new theory. The result is Bayesian mechanisms apply, and if we are strictly limited to them, we have relevance condition nor in virtue of the likelihood of e on T. None of the probability of T: e cannot constitute evidence for T in virtue of the positive The conditional probability of T on e is therefore the same as the prior

<sup>&</sup>lt;sup>6</sup> All of the defences sketched below were suggested to me by one or another philosopher sympathetic to the Bayesian view, I have not attributed the arguments to anyone for fear of tlacking, Patrick Suppes, Richard Jeffrey, and Roger Rosencrantz for valuable discussions and

Bayesians, and we have before us a feature of scientific argument that seems incompatible with that assumption.

A natural line of defence lies through the introduction of counterfactual degrees of belief. When using Bayes's rule to determine the posterior probability of a new theory on old evidence, one ought not to use one's actual degree of belief in the old evidence, which is unity or nearly so; one ought instead to use the degree of belief one would have had in e if. . . The problem is to fill in the blanks in such a way that it is both plausible that we have the needed counterfactual degrees of belief, and that they do serve to determine how old evidence bears on new theory. I tend to doubt that there is such a completion. We cannot merely throw e and whatever entails e out of the body of accepted beliefs; we need some rule for determining a counterfactual degree of belief in e and a counterfactual likelihood of e on e. To simplify, let us suppose that e does logically entail e, so that the likelihood is fixed.

value as had Newcomb. 7 For actual historical cases, unlike the coin-flipping ally—in some cases, it may have even waxed, waned, and waxed again. So ready to hand, for belief in the evidence sentence may have grown graducase, there is no single counterfactual degree of belief in the evidence cal error. In 1912 Eric Doolittle calculated the anomaly by a wholly differopen the possibility that the entire anomaly was the result of a mathematinite series by its first terms without any proof of convergence, thus leaving Newcomb and Leverrier had, in their calculations, approximated an infimasses, and obtained a substantially higher value than had Leverrier. Both around 1890, using Leverrier's method but new values for planetary middle of the nineteenth century; Simon Newcomb calculated it again virtually certain. Rather, Leverrier first computed the anomaly in the no single event, like the coin flipping, that makes the perihelion anomaly derivation of the perihelion advance confirmed general relativity. There is alternative distribution of degree of belief is available. Consider someone trouble with the scientific cases is that no such immediate and natural degree of belief that is used in conditionalizing by Bayes's rule. The what it is after the flipping—namely, unity—but what it was before the ent method, free of any such assumption, and obtained virtually the same trying, in a Bayesian way, to determine in 1915 how much Einstein's flipping. In this case there is an immediate and natural counterfactual coin), one does not take the probability of two heads and one tail to be in using this evidence to confirm hypotheses (e.g. of the fairness of the If one flips a coin three times and it turns up heads twice and tails once,

the old evidence/new theory problem cannot be assimilated to coin flipping.

The suggestion that what is required is a counterfactual degree of belief is tempting, none the less; but there are other problems with it besides the absence of any unique historical degree of belief. A chief one is that various ways of manufacturing counterfactual degrees of belief in the evidence threaten us with incoherence. One suggestion, for example, is the following, used implicitly by some Bayesian writers. At about the time T is able; call them  $T_1, T_2, \ldots, T_k$ , and suppose that they are mutually exclusive of T and of each other. Then P(e) is equal to

$$P(T_1)P(e, T_1) + P(T_2)P(e, T_2) + ... + P(T_k)P(e, T_k) + P(\neg(T_1 \lor ... \lor T_k)P(e, T_1 \lor ... \lor T_k)),$$

and we may try to use this formula to evaluate the counterfactual degree of belief in e. The problem is with the last term. Of course, one could suggest that this term just be ignored when evaluating P(e), but it is difficult to see within a Bayesian framework any rationale at all for doing so. For if one does ignore this term, then the collection of prior probabilities used to the likelihood of e on T is zero or the prior probability of T is zero. One could remedy this objection by replacing the last term by

but this will not do either, for if one's degree of belief in

$$P(T_1 \lor T_2 \lor ... \lor T_k \lor T)$$

is not unity, then the set of prior degrees of belief will still be incoherent. Moreover, not only will it be the case that if the actual degree of belief in *e* is replaced by a counterfactual degree of belief in *e* according to either of these proposals, then the resulting set of priors will be incoherent, it will probabilities will be incoherent. For example, if we simply delete the last term, one readily calculates that

$$P(T_1 \vee \ldots \vee T_k, e) = \frac{P(T_1 \vee \ldots \vee T_k) P(e, T_1 \vee \ldots \vee T_k)}{P(e, T_1 \vee \ldots \vee T_k) P(T_1 \vee \ldots \vee T_k)} = 1,$$

and further that

$$P(T, e) = \frac{P(T)P(e, T)}{P(e, T_1 \vee \ldots \vee T_k)P(T_1 \vee \ldots \vee T_k)}.$$

<sup>&</sup>lt;sup>7</sup> The actual history is still more complicated. Newcomb and Doolittle obtained values for the anomaly differing by about 2 seconds of arc per century. Early in the 1920s, Grossmann discovered that Newcomb had made an error in calculation of about that magnitude.

But because T is supposed inconsistent with  $T_1 \vee ... \vee T_k$  and P(T, e) is not zero, this is incoherent.

such a counterfactual value for the prior probability of e and change of belief you would have had in e in the relevant historical period, call it Starting with your actual degree of belief function P, consider the degree rather elaborate procedure will work when a new theory is introduced with our actual degrees of belief. It does seem to me that the following combine the resulting completely counterfactual conditional probabilities on e, incoherence presumably will not arise; but it is not at all clear how to degree of belief they would have had in the relevant historical period degree of belief we would have had at that time. We cannot just stick in not yet been established and use for the prior probability of e whatever dence, we should look backwards to the time when the old evidence e had (supposing we somehow know what period that is) and then conditionalize ditionalized probabilities incoherent. If we give all of our sentences the nothing else without, as before, often making both prior and conbelief in e and using Richard Jeffrey's (1965) rule, H(e). Now change P by regarding H(e) as an arbitrary change in degree of Let us return to the proposal that when new theory confronts old evi-

$$P'(S) = H(e) P(S, e) + (1 - H(e)) P(S, \sim e).$$

Jeffrey's rule guarantees that P' is a probability function. Finally, conditionalize on e:

$$P''(S) = P'(S, e),$$

and let P'' be your new actual degree of belief function. (Alternatively, P'' can be formed by using Jeffrey's rule a second time.)

There remain a number of objections to the historical proposal. It is not obvious that there are, for each of us, degrees of belief we personally would have had in some historical period. It is not at all clear which historical period is the relevant one. Suppose, for example, that the gravitational deflection of sunlight had been determined experimentally around 1900, well before the introduction of general relativity.8 In trying to assess the confirmation of general relativity, how far back in time should a twen-

tieth-century physicist go under this supposition? If only to the nineteenth, gravitational deflection of light would have seemed quite probable. Where ought he to stop, and why? But laying aside these difficulties, it is implausproposal, is an accurate account of the principles by which scientific judge-condemn a great mass of scientific judgements on the grounds that those making them had not studied the history of science with sufficient closeness to make a judgement as to what their degrees of belief would have required to make counterfactual degrees of belief fit coherently with actual counterfactual degrees of belief fit of how to old evidence bears on new theory.

Finally, consider a quite different Bayesian response to the old evidence/ new theory problem. Whereas the ideal Bayesian agent is a perfect logician, none of us are, and there are always consequences of our hypothold evidence is taken to confirm a new theory, it may be argued that there old evidence is entailed by the new theory. Some old anomalous result is rather the new discovery that the new theory entails (and thus explains) of belief about the entailment relations among sentences in their language, and that

$$P(h|-e)=1$$
 implies  $P(e, h)=1$ ,

this makes a certain amount of sense. We imagine the semi-rational Bayesian changing his degree of belief in hypothesis h in light of his new discovery that h entails e by moving from his prior degree of belief in h to background beliefs there may be. Old evidence can, in this vicarious way, confirm a new theory, then, provided that

$$P(h, b \& e \& (h - e)) > P(h, b \& e).$$

Now, in a sense, I believe this solution to the old evidence/new theory problem to be the correct one; what matters is the discovery of a certain logical or structural connection between a piece of evidence and a piece of

<sup>8</sup> Around 1900 is fanciful, before general relativity is not. In 1914 E. Freundlich mounted an expedition to Russia to photograph the eclipse of that year in order to determine the gravitational deflection of starlight. At that time, Einstein had predicted an angular deflection for light passing near the limb of the sun that was equal in value to that derived from Newtonian principles by Soldner in 1801. Einstein did not obtain the field equations that imply a value for the deflection equal to twice the Newtonian value until late in 1915. Freundlich was caught in Russia by the outbreak of World War I, and was interned there. Measurement of the deflection had to wait until 1919.

relevant to theory. correct, would simply be the least interesting part of what makes evidence features may be. The condition of positive relevance, even if it were what is really important and really interesting is what these structural statements of evidence and statements of theory. But if that is correct, relation depends somehow on structural, objective features connecting features of the determination of evidential relevance suggest that that quently buttressed by detailed calculations and arguments. All of these evance to some hypothesis of some observation or experiment are frewould not be evidence relevant to a novel theory; claims as to the reltions, scientists seem to be in close agreement regarding what would or people advocating different theories. Save for the most radical innovaapparent connection with degrees of belief, which are shared alike by on theory, there seem to be mechanisms and stratagems that have no is, at best, epiphenomenal. In the determination of the bearing of evidence tion of a structural connection between the two, and that degree of belief judgement of the relevance of evidence to theory depends on the percep-2) is inaccurate; but the suggestion is at least correct in sensing that our the reasons why the hypothetico-deductive account (see Glymour 1981, ch. reasons that the relation cannot be simply that of entailment are exactly between the theory, on the one hand, and the evidence, on the other. The believe is that the relation that matters is simply the entailment relation to be true, is thought to be evidence for the bit of theory. What I do not theory, and it is in virtue of that connection that the evidence, if believed

suggest that there must be relations between evidence and hypotheses that doubtedly correct. But taken together, I think they do at least strongly things, nor should they be; for in important respects that scheme is un-Bayesian scheme has not yet penetrated. are important to scientific argument and to confirmation but to which the None of these arguments is decisive against the Bayesian scheme of

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