

# Unparticles and Inflation

Hael Collins

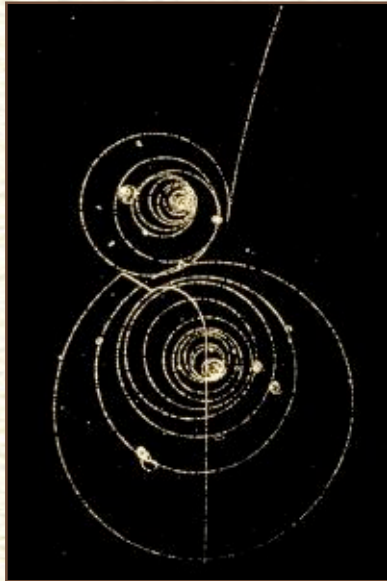
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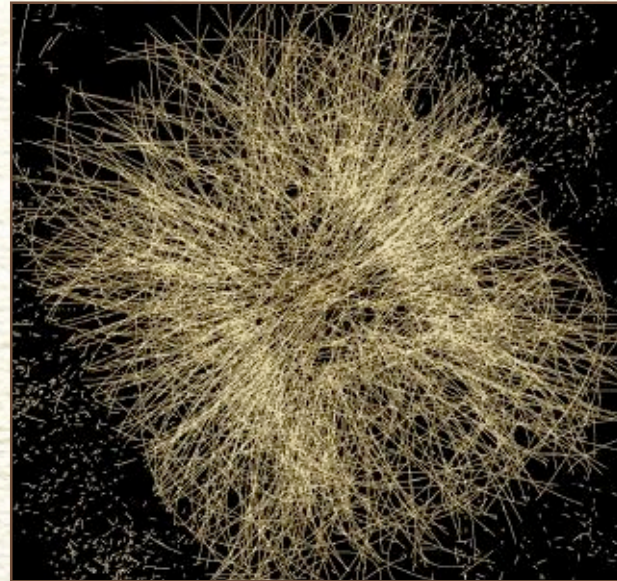


## Prelude: Unparticles as a warning

As particle experiments become more complex,  
how well prepared are we for the unexpected?



Bubble chamber decay of a K<sup>+</sup>  
© 1973, CERN



ALICE (LHC): Simulated Pb-Pb collision  
© 2003, CERN

There is a danger that we might be  
blinded by our expectations



## Overview:

- introducing unparticles
- unparticles in flat space
- the inflaton as an unparticle
- unparticle loops & the inflaton



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# Introducing unparticles

## What is an unparticle?

It is a scale-invariant (or conformal) field  
with scaling dimension  $d$

Georgi, 2007

a few conventions

$\sigma$  = an unparticle in an expanding universe

$\chi$  = an unparticle in flat space

$\varphi$  = an ordinary scalar particle

Conformal invariance provides powerful constraints

- it fixes the propagator
- unparticles in a conformally flat backgrounds are closely related to those in flat backgrounds



# Particles in a conformal background

Before worrying about unparticles, let us look at a conformal *particle* in an expanding universe

a few preliminaries

the metric:  $ds^2 = a^2(\eta) [ d\eta^2 - dx^{\vec{i}} dx^{\vec{i}} ]$

$a(\eta)$  is the *scale factor*

$H = a' / a^2$  is the *Hubble scale*

In flat space, it enough to set  $m = 0$

In curved space, the curvature itself can break the conformal symmetry

$\therefore$  we ought to consider a massless, *conformally coupled* scalar field



# Particles in a conformal background

A massless, conformally coupled field,  $\varphi(\eta, \mathbf{x})$

$$S[\varphi] = \int d^4x \sqrt{-g} \left[ -\frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - \frac{1}{12} R \varphi^2 \right]$$

Expand the field in eigenmodes

$$\varphi(\eta, \vec{x}) = \int \frac{d^3\vec{k}}{(2\pi)^3} \left[ \varphi_k(\eta) e^{ik \cdot \vec{x}} \vec{a}_k + \varphi_k^*(\eta) e^{-ik \cdot \vec{x}} \vec{a}_k^\dagger \right]$$

To obtain the following equation of motion,

$$\varphi_k'' + 2 \frac{a'}{a} \varphi_k' + \frac{a''}{a} \varphi_k + k^2 \varphi_k = 0$$

Rescale by  $a(\eta)$  raised to the scaling dimension ( $d = 1$ )

$$\varphi_k(\eta) = \frac{\chi_k(\eta)}{a(\eta)} \quad \Rightarrow \quad \chi_k'' + k^2 \chi_k = 0$$



# Unparticles in a conformal background

We no longer have an explicit action

$$S[\sigma(x)] = ?$$

But we can still take advantage of  
the conformality of the field

to relate unparticles in curved space ( $\sigma$ )  
to those in flat space ( $\chi$ )

$$\sigma(\eta, \vec{x}) = \frac{\chi(\eta, \vec{x})}{a^d(\eta)}$$

So, we first need to review the behavior of  
unparticles in flat space



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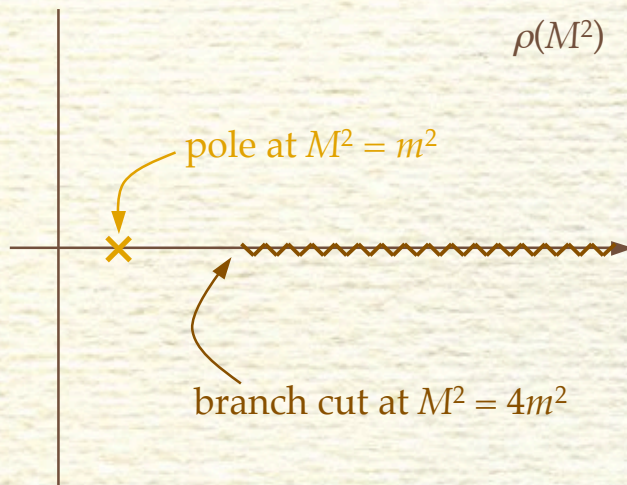


# A short review of particles

In a Lorentz-invariant theory, the propagator can be written in the Lehmann-Källén spectral form

$$\langle 0 | T[\chi(x)\chi(y)] | 0 \rangle = \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot (x-y)} \int_0^\infty dM^2 \frac{i\rho(M^2)}{k_0^2 - k^2 - M^2 + i\varepsilon}$$

What are the properties of  $\rho(M^2)$  for a particle?



Renormalization conditions:

- 1) pole at the physical mass
- 2) with residue  $i$

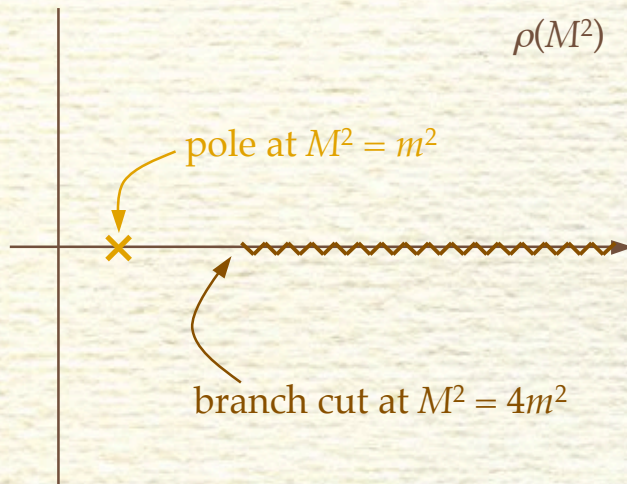


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What are the properties of  $\rho(M^2)$  for a particle?



Renormalization conditions:

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- 2) with residue  $i$

nor a normalization

unparticles have  
no mass poles



## A short review of unparticles

In a Lorentz-invariant theory, the propagator can still be written in the Lehmann-Källén spectral form

$$\langle 0 | T[\chi(x)\chi(y)] | 0 \rangle = \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot (x-y)} \int_0^\infty dM^2 \frac{i\rho(M^2)}{k_0^2 - k^2 - M^2 + i\epsilon}$$

What are the properties of  $\rho(M^2)$  for an unparticle?

- 1) The field is scale invariant  
 $\Rightarrow$  fixes  $\rho(M^2)$  up to a normalization
- 2) No canonical normalization  
 $\Rightarrow$  match with the phase space of  $d$  massless particles



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$2d = 4 + 2 + [\rho] - 2$

What are the properties of  $\rho(M^2)$  for an unparticle?

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$$\rho(M^2) = \frac{A_d}{2\pi} (M^2)^{d-2}$$

- 2) No canonical normalization  
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 $\Rightarrow$  match with the phase space of  $d$  massless particles

$$A_d = \frac{d-1}{(16\pi^2)^{d-1}} \frac{2\pi}{(\Gamma(d))^2}$$



## Limits on $d$

Is any possible scaling dimension  $d$   
allowed for an unparticle?

Unitarity places a lower bound on  $d$  ( $> 1$ )

Grinstein, Intriligator & Rothstein, 2008

But if we simply integrate over the spectral index  $M^2$ ,  
we discover  $1 \leq d < 2$

$$\langle 0 | T[\chi(x)\chi(y)] | 0 \rangle = \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot (x-y)} \frac{A_d}{2} \frac{(-1)^{d-2}}{\sin \pi d} \frac{i}{(k_0^2 - k^2 + i\varepsilon)^{2-d}}$$

Note that a massless scalar particle is an unparticle too

$$d \rightarrow 1: \frac{d-1}{(16\pi^2)^{1-1}} \frac{\pi}{(\Gamma(1))^2} \frac{(-1)^{1-2}}{\sin \pi d} \frac{i}{(k_0^2 - k^2 + i\varepsilon)^{2-1}} \rightarrow \frac{i}{k_0^2 - k^2 + i\varepsilon}$$



# Wightman functions

In a time-dependent background,  
it is often more useful to write the propagator as

$$\begin{aligned} & \langle 0 | T[\chi(x)\chi(y)] | 0 \rangle \\ &= \int \frac{d^3\vec{k}}{(2\pi)^3} e^{i\vec{k}\cdot(\vec{x}-\vec{y})} \left[ \Theta(t-t') \tilde{\Gamma}_k^>(t,t') + \Theta(t'-t) \Gamma_k^<(t,t') \right] \end{aligned}$$

$\tilde{\Gamma}_k^>(t,t') = \tilde{\Gamma}_k^<(t',t)$  is the unparticle Wightman function

$$\tilde{\Gamma}_k^>(t,t') = \frac{A_d}{2} \frac{(-1)^{d-2}}{\sin \pi d} \int_{-\infty}^{\infty} \frac{dk_0}{2\pi} \frac{ie^{-ik_0(t-t')}}{(k_0^2 - k^2 + i\varepsilon)^{2-d}}$$

Integrating over  $k_0$ , we find

$$\tilde{\Gamma}_k^>(t,t') = \frac{-i}{(8\pi^2)^{d-1}} \frac{\sqrt{\pi}}{2\sqrt{2}} \frac{1}{\Gamma(d)} \left[ \frac{t-t'}{k} \right]^{\frac{3}{2}-d} H_{d-\frac{3}{2}}^{(2)}[k(t-t')]$$

Hankel function



# Wightman functions in cosmology

In a conformally flat universe, it is easy to write the cosmological propagator in terms of the flat one,

$$\langle 0 | T[\sigma(\eta, \vec{x}) \sigma(\eta', \vec{y})] | 0 \rangle = \frac{\langle 0 | T[\chi(\eta, \vec{x}) \chi(\eta', \vec{y})] | 0 \rangle}{a^d(\eta) a^d(\eta')}$$

So, the cosmological Wightman function is

$$\Gamma_k^>(\eta, \eta') = a^{-d}(\eta) a^{-d}(\eta') \tilde{\Gamma}_k^>(\eta, \eta')$$

or,

$$\Gamma_k^>(\eta, \eta') = \frac{-i}{(8\pi^2)^{d-1}} \frac{\sqrt{\pi}}{2\sqrt{2}} \frac{1}{\Gamma(d)} \left[ \frac{\eta - \eta'}{k} \right]^{\frac{3}{2}-d} \\ \times a^{-d}(\eta) a^{-d}(\eta') H_{d-\frac{3}{2}}^{(2)}[k(\eta - \eta')]$$

We are now ready to calculate the effects of unparticles on the primordial perturbations



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## Unparticles as inflatons

Perhaps some of the unnatural features of the inflaton could be explained if it were an unparticle

The conformal properties could protect small parameters of the potential

Unfortunately, in the slowly rolling regime, this hope is not realized —

The power spectrum is not flat

Some simplifying assumptions:

- 1) de Sitter space
- 2) neglect mixing with gravity

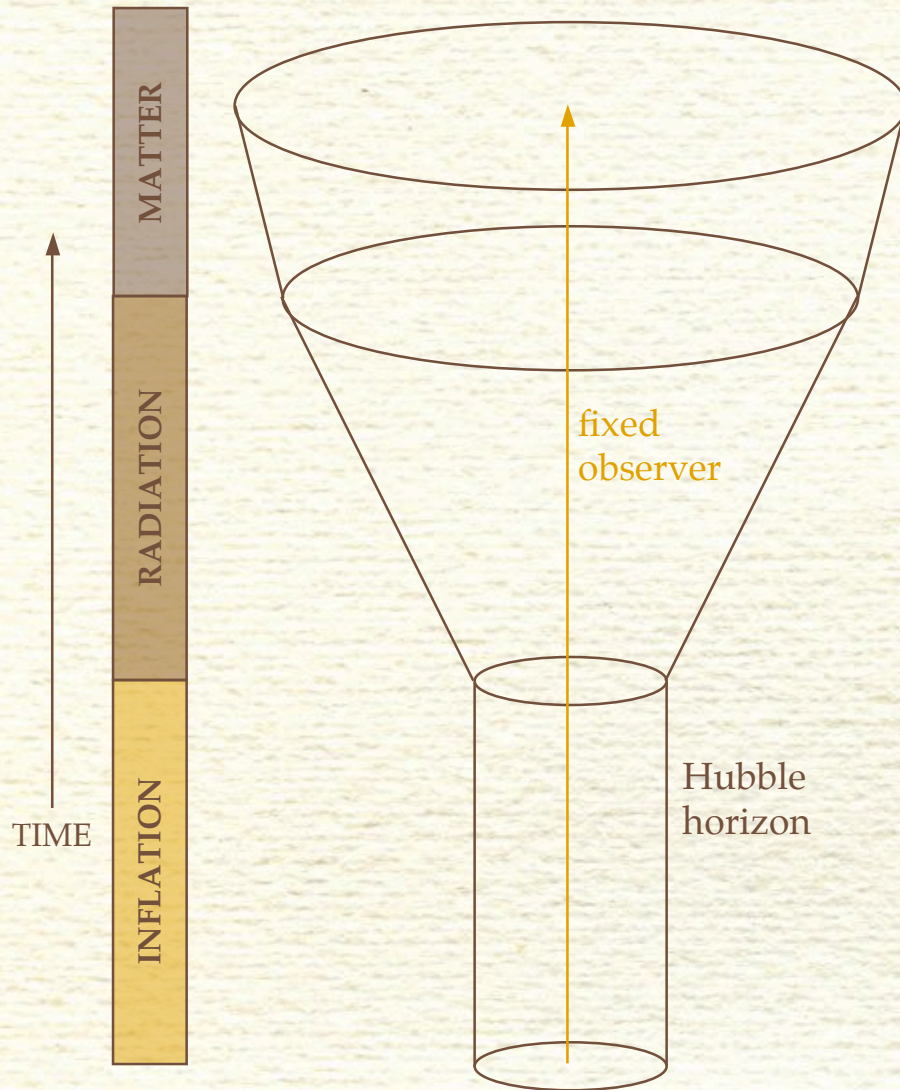
$$a(\eta) = -\frac{1}{H\eta}$$

Let us see how the calculation proceeds



# How inflation makes structure

(a *very* brief review)



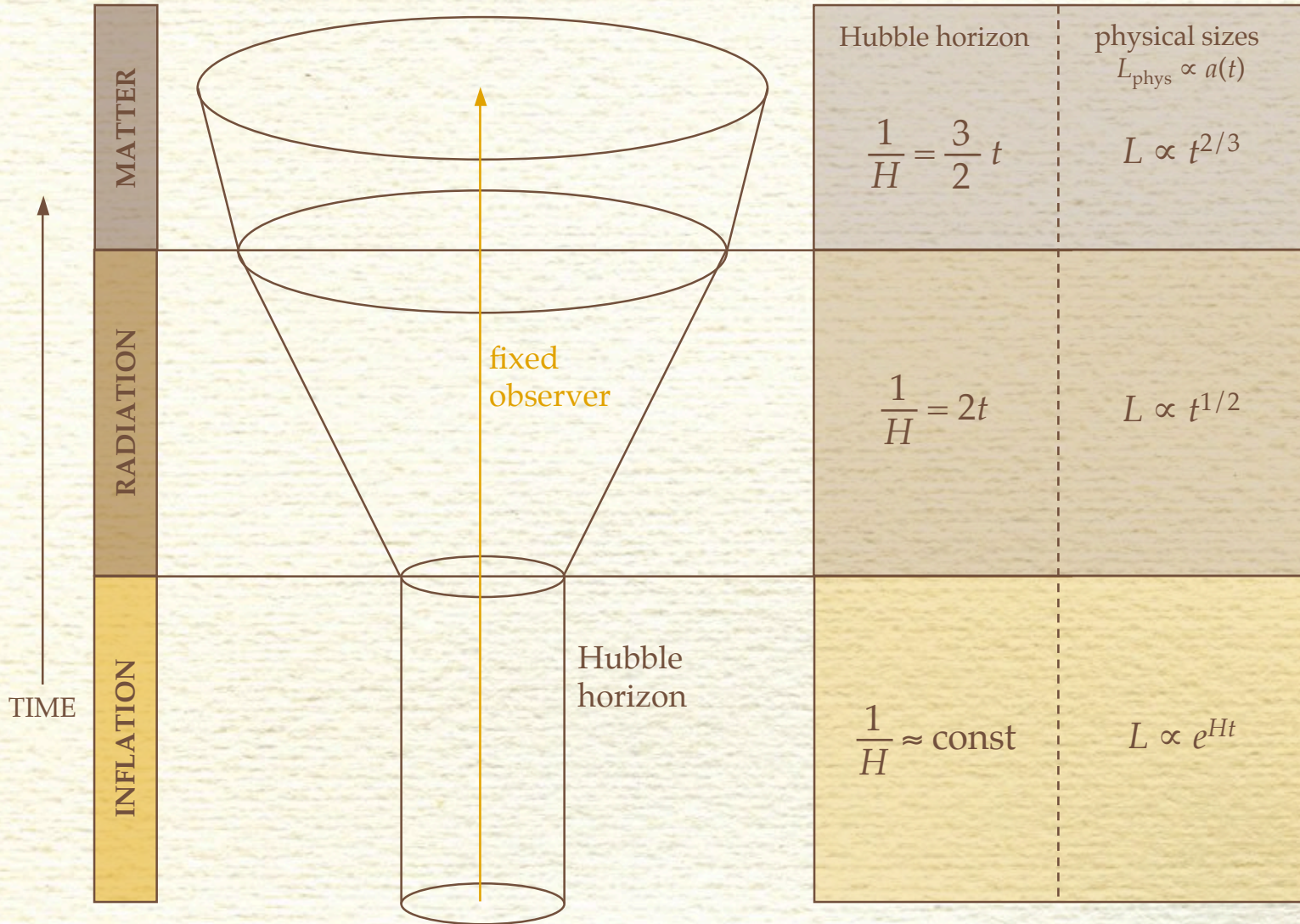
“cosmological coordinates”

$$ds^2 = dt^2 - a^2(t) d\vec{x} \cdot d\vec{x}$$



# How inflation makes structure

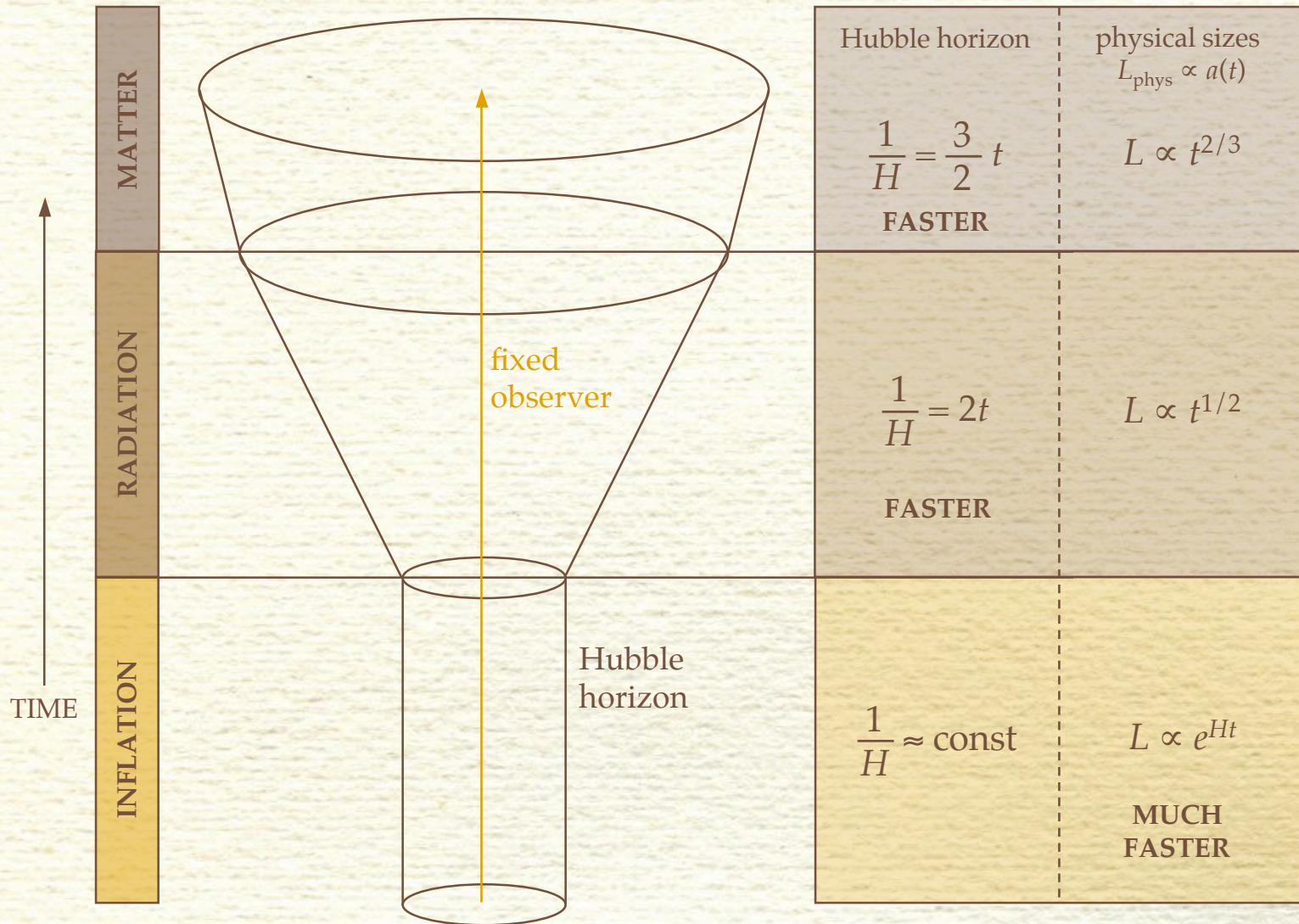
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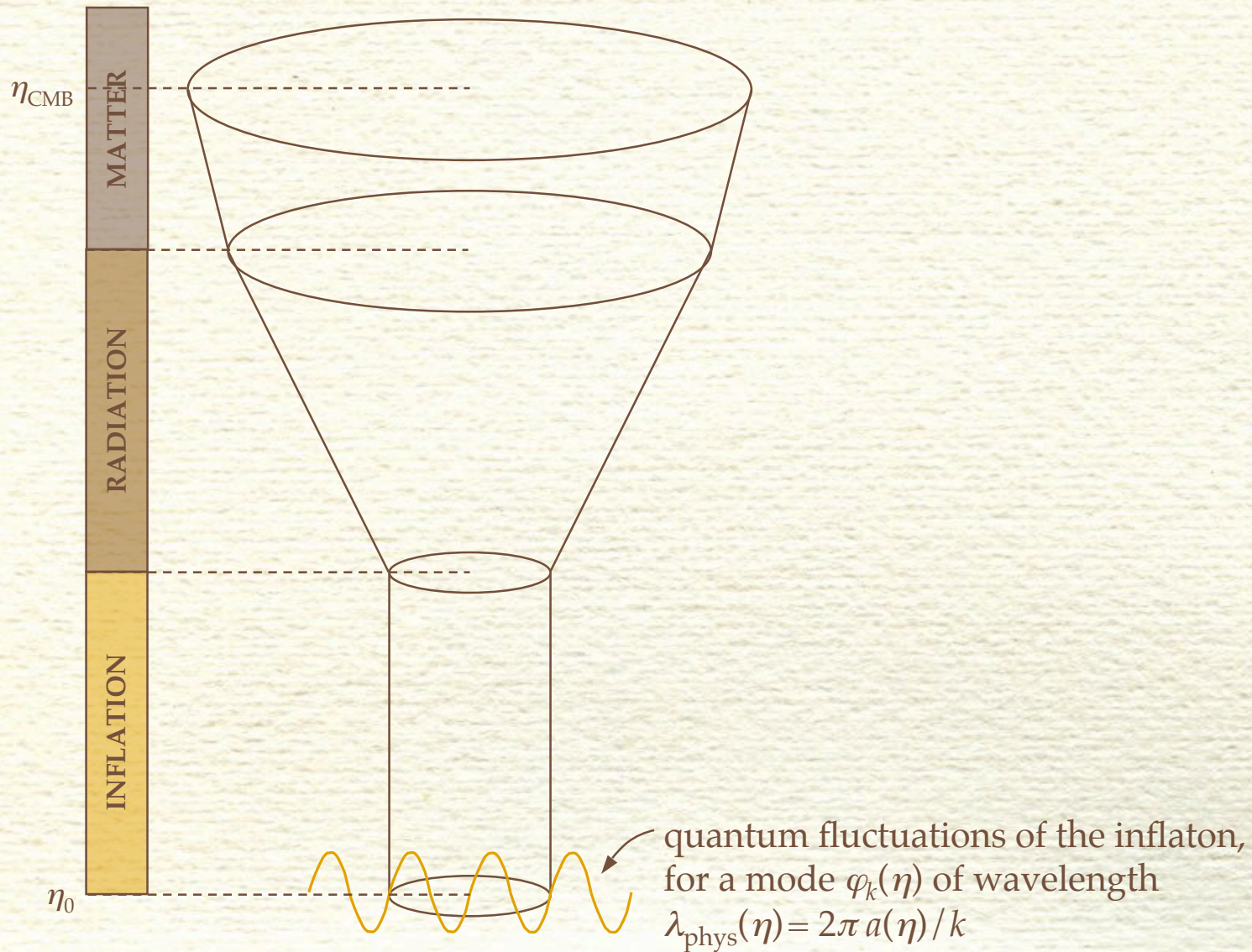
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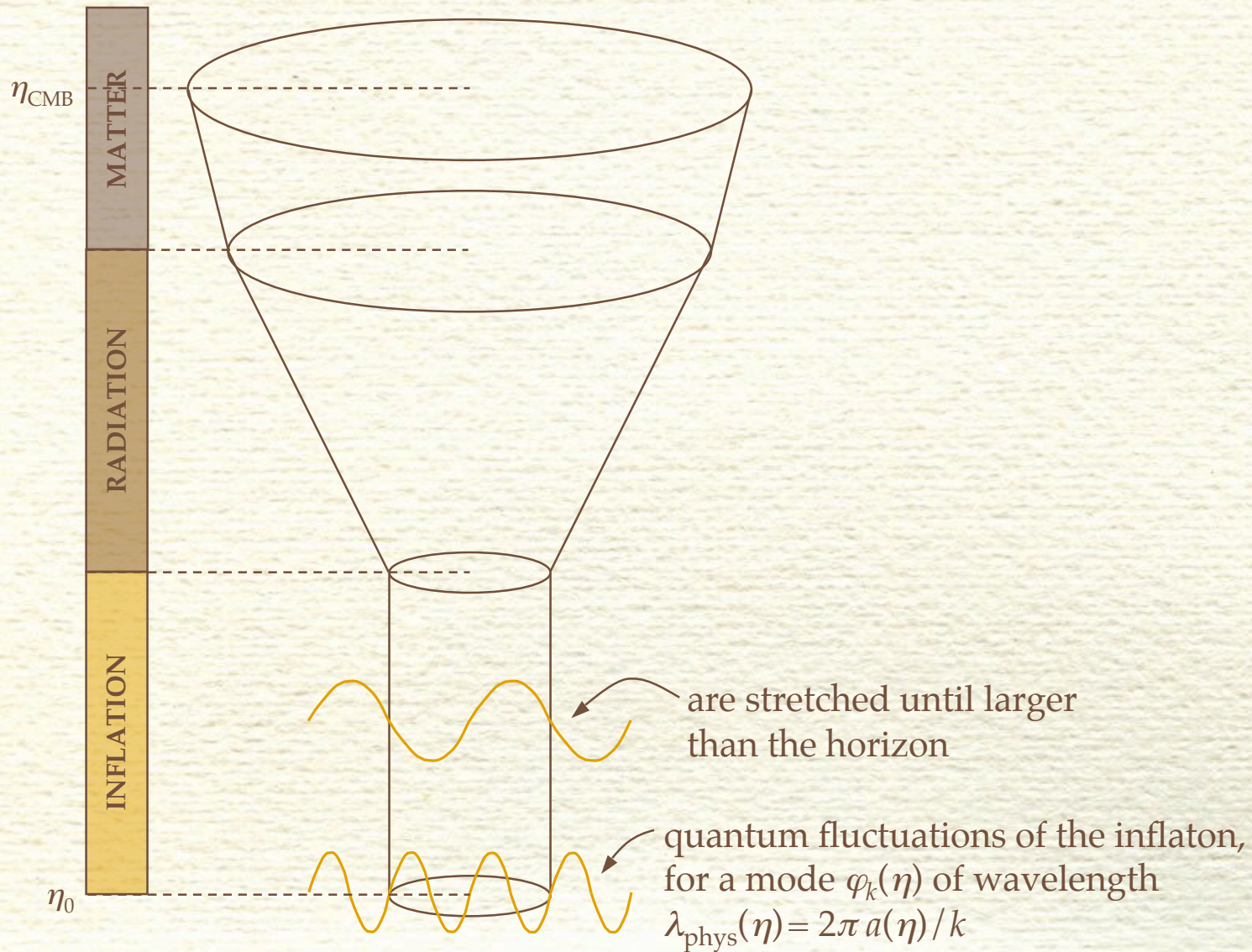
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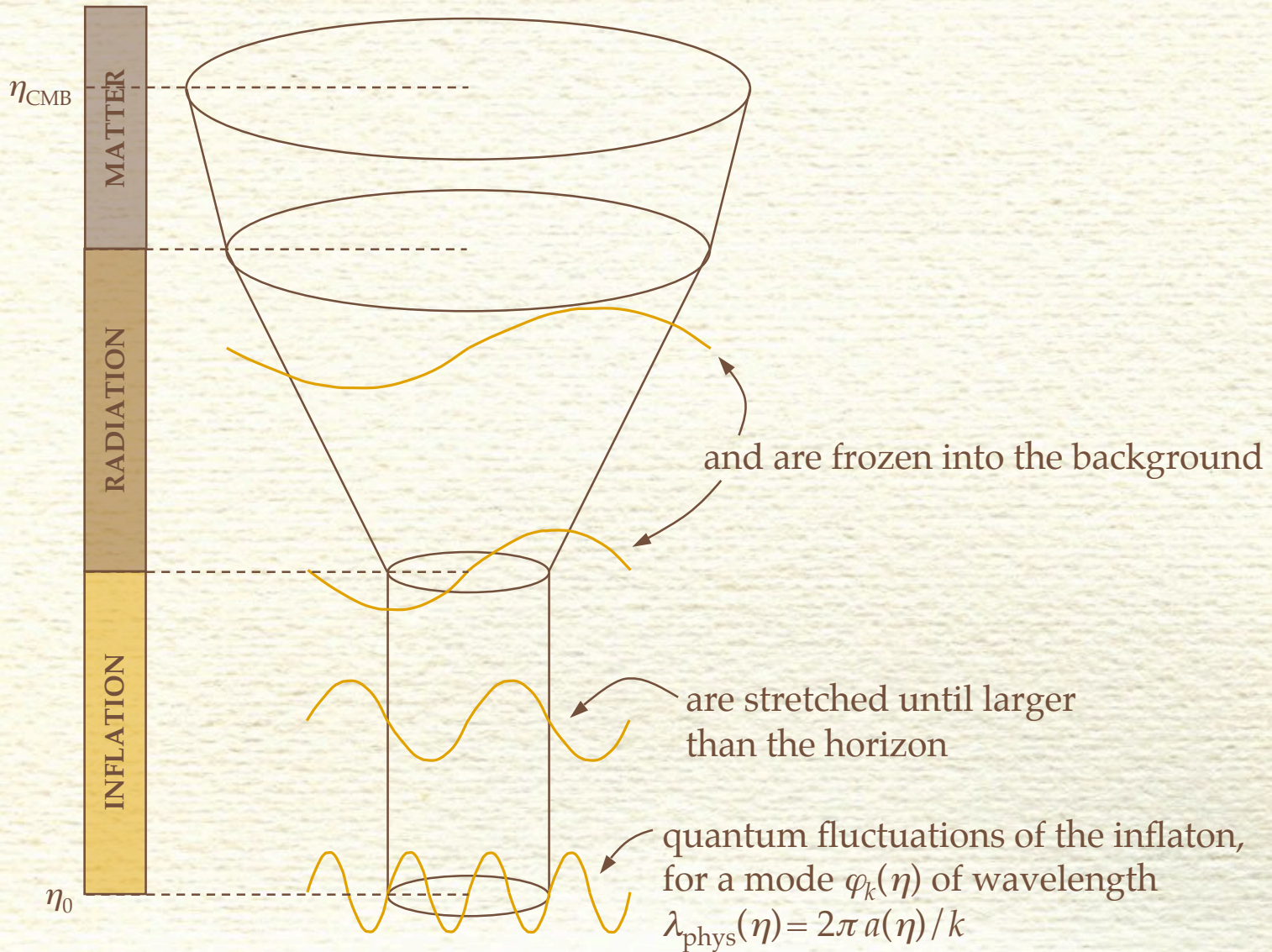
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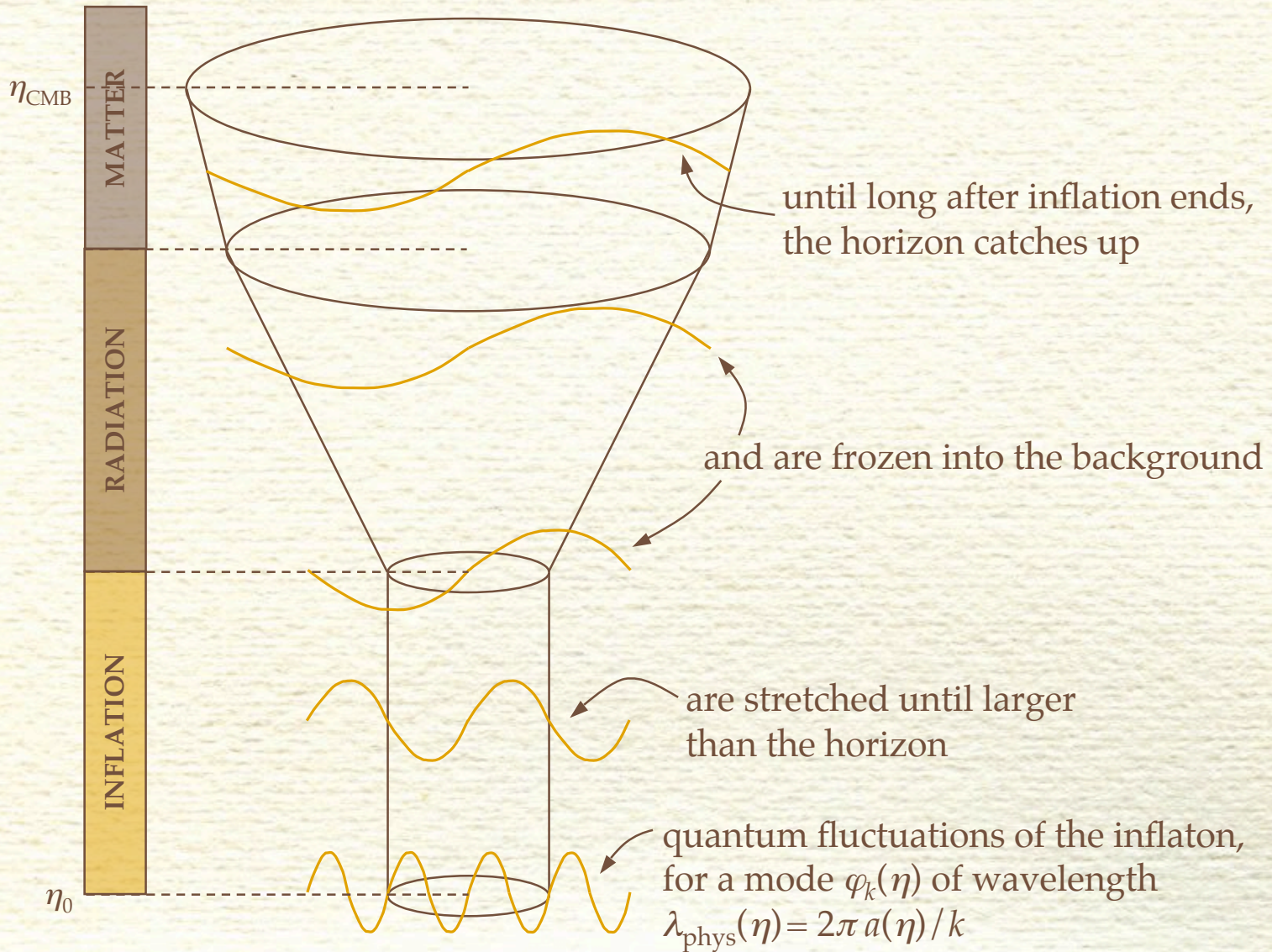
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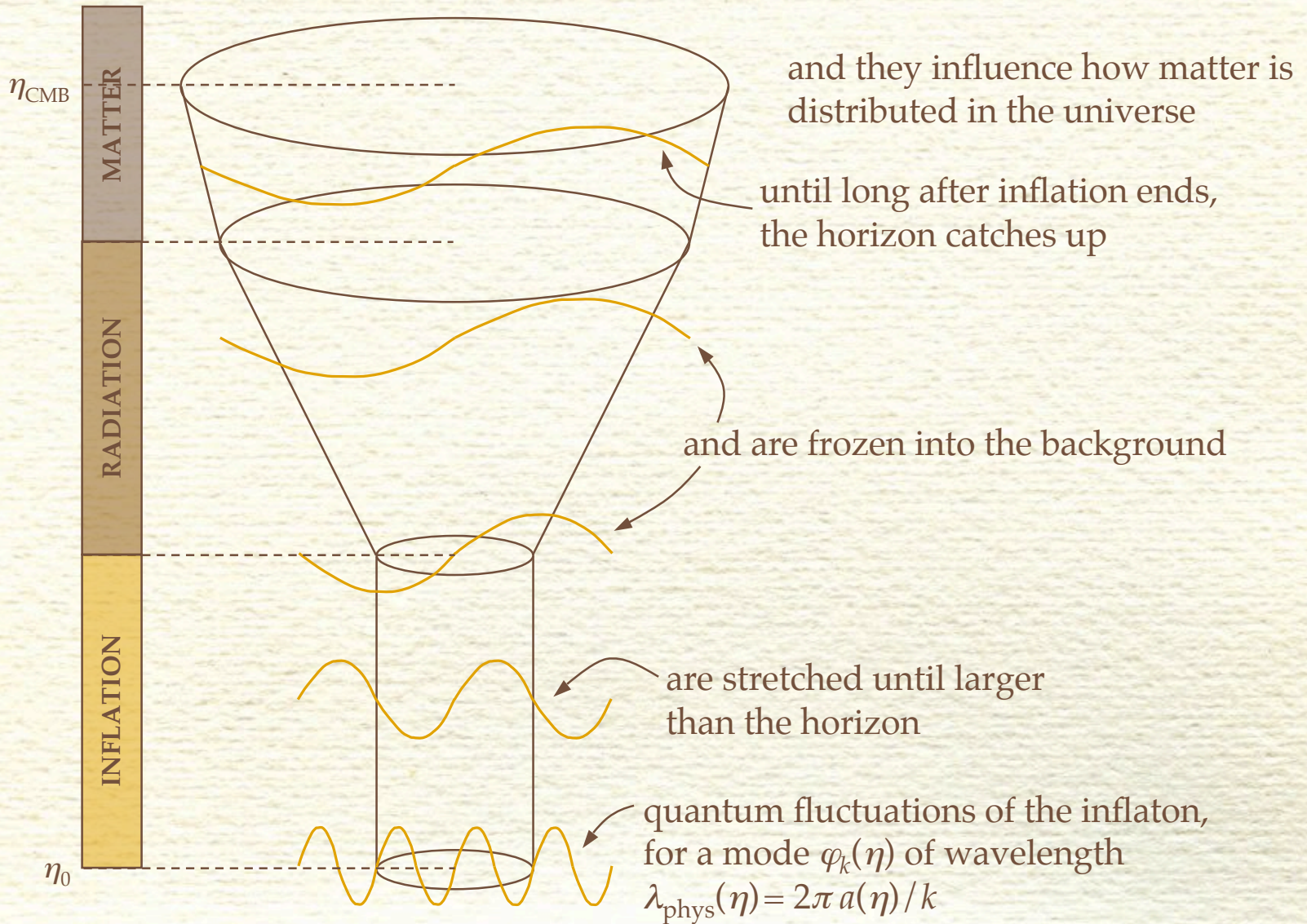
(a *very* brief review)





# How inflation makes structure

(a *very* brief review)





## Unparticles as inflatons

The simplest measure of the pattern of these 'primordial perturbations' to the background is the two-point function

$$\langle 0 | \sigma(\eta, \vec{x}) \sigma(\eta, \vec{y}) | 0 \rangle = \int \frac{d^3 \vec{k}}{(2\pi)^3} e^{ik \cdot (\vec{x} - \vec{y})} \frac{2\pi^2}{k^3} P_k(\eta)$$

or its Fourier transform, the *power spectrum*  $P_k(\eta)$ ,  
just the Wightman function again

$$P_k(\eta) = \frac{k^3}{2\pi^2} \Gamma_k^>(\eta, \eta) = \frac{1}{(16\pi^2)^d} \frac{4}{\sqrt{\pi}} \frac{\Gamma(\frac{3}{2} - d)}{\Gamma(d)} \left[ \frac{k}{a(\eta)} \right]^{2d}$$

diverges unless  $d < \frac{3}{2}$

Since  $1 < d < \frac{3}{2}$ , the power spectrum is not very flat



# Unparticles as inflatons

Experimentally, one assumes  $P_k \propto k^{n_s-1}$ , and finds

$$n_s = 0.960^{+0.014}_{-0.013}$$

WMAP, 5yr data, 2008

In de Sitter space,

$$a(\eta) = -\frac{1}{H\eta}$$

so the power spectrum is

$$P_k(\eta) = \frac{H^{2d}}{(16\pi^2)^d} \frac{4}{\sqrt{\pi}} \frac{\Gamma(\frac{3}{2}-d)}{\Gamma(d)} (-k\eta)^{2d}$$

So, at least for slowly rolling inflation,  
an unparticle is not an especially good inflaton



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# Low energy degrees of freedom

Until now, we have not said how unparticles might arise

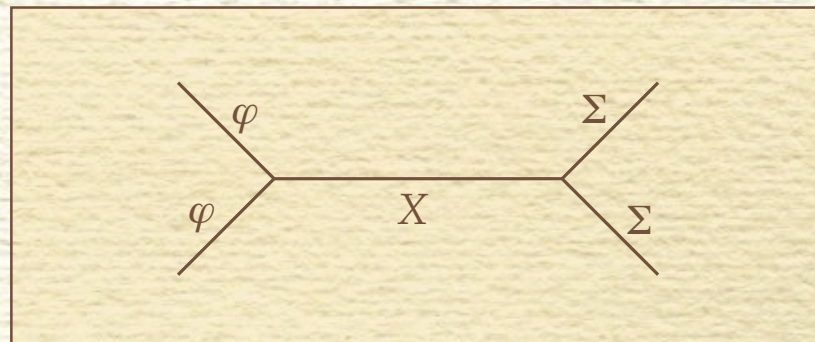
Consider the following scenario with 3 sorts of fields

- some very massive ( $M$ ) fields  $X$
- some 'proto-unparticles' (particles)  $\Sigma$
- the inflaton  $\varphi$

Start at very high energies:

$$M < E$$

$\Sigma$ - $\varphi$  interact  
by exchanging  
an  $X$





# Low energy degrees of freedom

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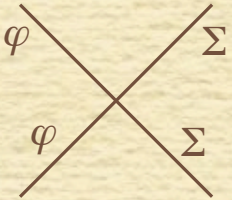
Consider the following scenario with 3 sorts of fields

- some very massive ( $M$ ) fields  $X$
- some 'proto-unparticles' (particles)  $\Sigma$
- the inflaton  $\varphi$

Integrate out the  $X$ :

$$E < M$$

generates  
an effective  
 $\Sigma$ - $\varphi$  interaction



The diagram shows a loop of  $X$  fields. Two external lines labeled  $\varphi$  enter from the left, and two external lines labeled  $\Sigma$  exit to the right. The loop is formed by two  $X$  lines connecting the  $\varphi$  and  $\Sigma$  lines.

$$\sim \frac{1}{M^2} \varphi^2 \Sigma^2$$



# Low energy degrees of freedom

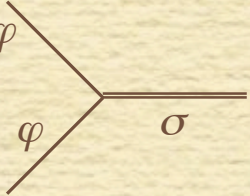
Until now, we have not said how unparticles might arise

Consider the following scenario with 3 sorts of fields

- some very massive ( $M$ ) fields  $X$
- some unparticles  $\sigma$
- the inflaton  $\varphi$

The  $\Sigma$ 's have a non-trivial IR fixed point:  $E < \Lambda < M$

dimensional  
transmutation  
 $\Sigma \rightarrow \sigma$


$$\sim \Lambda^{2-d} \frac{\Lambda^2}{M^2} \varphi^2 \sigma$$



# Low energy effective interactions

From this basic picture, we can write the general form for the possible unparticle-inflaton couplings

The diagram shows a general form for unparticle-inflaton couplings enclosed in a light blue box. The expression is  $c \Lambda^{4-N-N'd} \left( \frac{\Lambda}{M} \right)^n \varphi^N \sigma^{N'}$ . Annotations with arrows point to various parts of the expression:
 

- dimensionless coupling**: points to the coefficient  $c$ .
- dimensional transmutation scale**: points to the  $\Lambda$  terms in the exponent  $4-N-N'd$ .
- heavy mediator mass**: points to the  $M$  in the denominator of the ratio  $\frac{\Lambda}{M}$ .
- integers**: points to the exponents  $N$ ,  $N'$ , and  $n$ .

The natural energy scale is set by the expansion:  $E \rightarrow H$

$$H < \Lambda < M$$

The leading effect is from the most relevant operator

$$c \Lambda^{2-d} \left( \frac{\Lambda}{M} \right)^n \varphi^2 \sigma$$



# Radiative unparticle corrections

So, even if the inflaton is not itself an unparticle,  
any unparticles around during inflation  
can affect the power spectrum

$$\langle 0(\eta) | \varphi(\eta, \vec{x}) \varphi(\eta, \vec{y}) | 0(\eta) \rangle = \int \frac{d^3 \vec{k}}{(2\pi)^3} e^{ik \cdot (\vec{x} - \vec{y})} \frac{2\pi^2}{k^3} P_k(\eta)$$

A technical point:

- we do not use an  $S$ -matrix
- time-evolve the *entire* two-point function

Schwinger, 1961; Keldysh, 1964; Mahanthappa, 1962

Time-evolve with Dyson's equation

$$|0(\eta)\rangle = T e^{-i \int_{\eta_0}^{\eta} d\eta' H(\eta')} |0(\eta_0)\rangle$$

with

$$H_I(\eta) = -c \Lambda^{2-d} \left( \frac{\Lambda}{M} \right)^n a^4(\eta) \int d^3x \vec{\varphi}^2 \sigma$$



## Tree-level prediction

The 0<sup>th</sup> order prediction is just the standard one

$$P_k(\eta) = \frac{k^3}{2\pi^2} |\varphi_k(\eta)|^2$$

$$\varphi(\eta, \vec{x}) = \int \frac{d^3\vec{k}}{(2\pi)^3} [\varphi_k(\eta) e^{ik\cdot\vec{x}} \vec{a}_k + \varphi_k^*(\eta) e^{-ik\cdot\vec{x}} \vec{a}_k^\dagger]$$

Again, a few simplifying assumptions,

- work in de Sitter space
- neglect the inflaton mass  $m$  (it will reappear later)
- use the Bunch-Davies vacuum

so that

$$\varphi_k(\eta) = \frac{H}{2^{1/2}} \frac{(i - k\eta) e^{-ik\eta}}{k^{3/2}}$$

The tree-level power spectrum is flat

$$P_k(\eta) = \frac{H^2}{4\pi^2} [1 + k^2\eta^2] \rightarrow \frac{H^2}{4\pi^2}$$

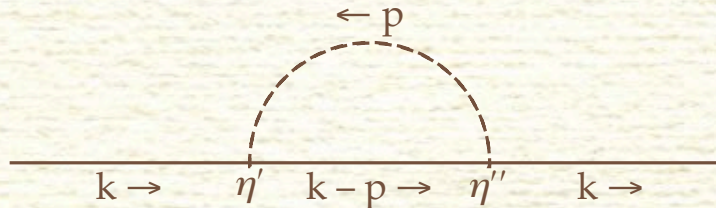


# The loop

The 1<sup>st</sup> order prediction is more complicated

$$P_k(\eta) = P_k^{\text{tree}}(\eta) + P_k^{\text{loop}}(\eta) + \dots$$

Where the loop contribution comes from the graph



The *general* structure of the loop is

$$P_k^{\text{loop}}(\eta) = \frac{H^2}{4\pi^2} \frac{c^2}{\Gamma(d)} \frac{2^{3/2}\pi^{1/2}}{(8\pi^2)^d} \left[\frac{\Lambda}{H}\right]^{4-2d} \left[\frac{\Lambda}{M}\right]^{2n} \Pi(k\eta, k\eta_0)$$

$\Pi(k\eta, k\eta_0)$  is a dimensionless loop integral

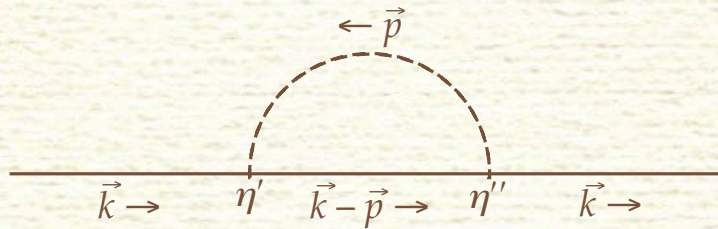
Collins & Holman, hep-ph/0802.4416

How does it scale in  $k$ ?



# UV divergences?

The loop has three places where UV divergences could potentially occur,



1. short distances ( $p \rightarrow \infty$ )
2. coincident times ( $\eta'' \rightarrow \eta'$ )
3. “trans-Planckian” times ( $\eta', \eta'' \rightarrow -\infty$ )

All of these limits turn out to be safe

$\Rightarrow$  no renormalization is needed

Collins & Holman, hep-ph/0802.4416

- #1. safe, even in flat space
- #2. safe, provided  $d < \frac{5}{2}$
- #3. safe, provided  $d < 2$



## An unparticle loop in flat space

The UV behavior is much milder for unparticles

An example, let us look at the same graph, except now in flat space and returning to the  $S$ -matrix formalism

$$iA(k^2) = \text{---} \xrightarrow{k} \text{---} \overset{\leftarrow p}{\text{---}} \text{---} \xrightarrow{k-p} \text{---} \xrightarrow{k} \text{---}$$

Feynman amplitude,

$$A(k^2) = c^2 \Lambda^{2(2-d)} \left[ \frac{\Lambda}{M} \right]^{2n} \frac{1}{(16\pi^2)^d} \frac{\Gamma(1-d)}{\Gamma(d)} \int_0^1 dx \left[ \frac{xm^2 - x(1-x)k^2 - i\epsilon}{1-x} \right]^{d-1}$$

On-shell,

$$A(m^2) = \frac{c^2 \Lambda^2}{(16\pi^2)^d} \left[ \frac{m}{\Lambda} \right]^{2(d-1)} \left[ \frac{\Lambda}{M} \right]^{2n} \frac{\Gamma(1-d)\Gamma(2-d)\Gamma(2d-1)}{\Gamma(d)\Gamma(d+1)}$$



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UV divergence at  $d = 1$

On-shell,

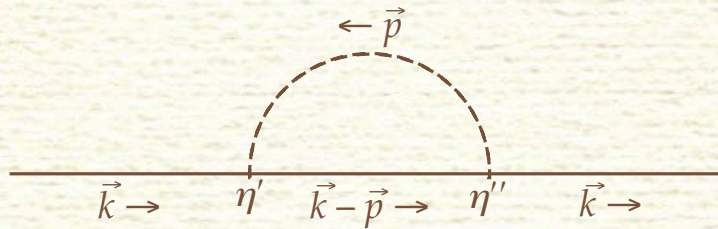
$$A(m^2) = \frac{c^2 \Lambda^2}{(16\pi^2)^d} \left[ \frac{m}{\Lambda} \right]^{2(d-1)} \left[ \frac{\Lambda}{M} \right]^{2n} \frac{\Gamma(1-d)\Gamma(2-d)\Gamma(2d-1)}{\Gamma(d)\Gamma(d+1)}$$

If the dashed line were a particle, we would have a UV divergence (requiring some renormalization)



## IR divergences?

The loop also has three places where IR divergences could potentially occur,



1. soft unparticle ( $p \rightarrow 0$ )
2. soft virtual inflaton ( $p \rightarrow k$ )
3. late times ( $\eta', \eta'' \sim \eta \rightarrow 0$ )

The first is completely finite, but the other two are not

Collins & Holman, hep-ph/0802.4416

- #2. the  $p \rightarrow k$  divergence is cured when  $m \neq 0$
- #3. this divergence means only that  $P_k(\eta)$  has a non-trivial scaling in  $k$



## IR divergences

The loop also has two places where IR divergences actually occur,

$$P_k^{\text{loop}}(\eta) = \frac{H^2}{4\pi^2} \frac{c^2}{\Gamma(d)} \frac{2^{3/2}\pi^{1/2}}{(8\pi^2)^d} \left(\frac{\Lambda}{H}\right)^{4-2d} \left(\frac{\Lambda}{M}\right)^{2n} \Pi(k\eta, k\eta_0)$$

The first is completely finite, but the other two are not  
Collins & Holman, hep-ph/0802.4416

#2. the  $p \rightarrow k$  divergence is cured when  $m \neq 0$

$$\Pi(k\eta) \sim \ln \frac{m}{k} + \dots$$

#3. this divergence means only that  $P_k(\eta)$  has a non-trivial scaling in  $k$

$$\Pi(k\eta) \sim (-k\eta)^{2d-3}$$



## Predictions

If we define some dimensionless integrals,  $\Pi_i$ , which are finite as  $m \rightarrow 0$  and  $k\eta \rightarrow 0$ , we find

$$P_k^{\text{loop}}(\eta) = \frac{H^2}{4\pi^2} \frac{c^2}{\Gamma(d)} \frac{2^{3/2}\pi^{1/2}}{(8\pi^2)^d} \left(\frac{\Lambda}{H}\right)^{4-2d} \left(\frac{\Lambda}{M}\right)^{2n} \\ \times \left\{ \Pi_0 + \Pi_1 \ln \frac{m}{k} + \Pi_2 (-k\eta)^{2d-3} + \Pi_3 (-k\eta)^{2d-3} \ln \frac{m}{k} \right\}$$

So we learn that if the unparticle scaling dimension is

$$d < \frac{3}{2}$$

then there is an enhancement at the “red” end of the power spectrum

Recall that the most recent experimental bounds are

WMAP, 5yr data, 2008

$$n_s = 0.960^{+0.014}_{-0.013}$$



# Conclusions

Can unparticles play any role during inflation?

Unparticle inflatons do not produce  
a realistic power spectrum

---

A unparticle coupled to the usual inflaton produces an  
enhancement at the red end of the power spectrum

provided  $d < \frac{3}{2}$

---

Future bounds on the acoustic oscillations:

CMB precisions: 0.1%    LSS precisions: 0.001%

---

Some more general observations about unparticles:

1. Wightman function diverges for  $d > \frac{3}{2}$ ,
2. loops free of UV divergences,
3. “unparticle regularization”, . . .



*the end*



## An aside: Unparticle regularization

Since unparticle loops are free of divergences, we can use them to regularize massless scalar field theories **without altering the space-time symmetries!**

Example:  $c\Lambda\varphi^2\chi$  coupling in flat space

$$iA(k^2) = \text{---} \xrightarrow{k} \text{---} \overset{\leftarrow p}{\text{---}} \text{---} \xrightarrow{k-p} \text{---} \xrightarrow{k} \text{---}$$

Evaluate in  $4 - 2\delta$  dimensions:

$$A_{\text{dr}} = \frac{c^2\Lambda^2}{16\pi^2} \left[ \frac{1}{\delta} - \gamma + \ln 4\pi - \int_0^1 dx \ln \frac{xm^2 - x(1-x)k^2 - i\epsilon}{\mu^2} \right]$$

Evaluate with a  $d = 1 + \delta$  unparticle:  $(c\Lambda\mu^{1-d}\varphi^2\chi)$

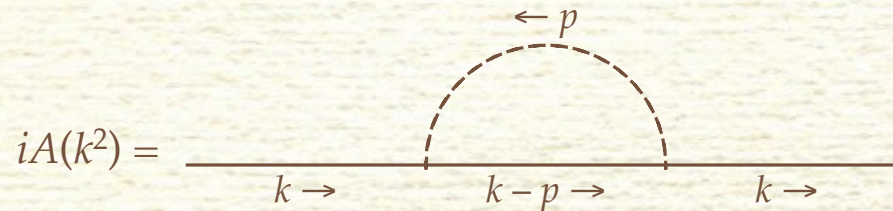
$$A_{\text{un}} = \frac{c^2\Lambda^2}{16\pi^2} \left[ -\frac{1}{\delta} - 2\gamma - 1 + 2 \ln 4\pi - \int_0^1 dx \ln \frac{xm^2 - x(1-x)k^2 - i\epsilon}{\mu^2} \right]$$



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same  
finite parts