

**Fields and Galois Theory**  
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# 1 Introduction

Galois Theory is the interplay between fields and groups.

## 1.1 Motivation

Consider the following historical problems.

- Construct an arbitrary regular  $n$ -gon using only a ruler and a compass. We know how to construct a triangle and square, but what about 5-gon, etc.?
- Square the circle using only a ruler and compass (i.e. construct a square of area  $\pi$ ).
- Solve an arbitrary polynomial using only algebraic means (i.e. plus, minus, times, divides, and  $n^{\text{th}}$  root). The quadratic formula gives a solution for quadratic equations. Cubic and quartic equations can be solved similarly. e.g. if  $x^3 + px = q$  then

$$x = \sqrt[3]{\frac{q}{2} + \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}} + \sqrt[3]{\frac{q}{2} - \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}}$$

- For which quintic equations do we have radical solutions? If we know there is such a solution, what does the solution look like?

How can we solve these problems? The main steps in applying the theory that we develop in this course are as follows:

1. Associate the solution of interest, say  $\alpha = \sqrt{\pi}$  or  $\alpha =$  the root of some quintic, with the field  $\mathbb{Q}(\alpha)$ .
2. Associate  $\mathbb{Q}(\alpha)$  with the group of isomorphisms of  $\mathbb{Q}(\alpha)$  that fix  $\mathbb{Q}$ ,  $\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$ . If  $\alpha$  is algebraic then  $\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$  is finite. If  $\alpha$  is constructible then the order of  $\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$  is in certain forms.

Hard Question: How many intermediate fields between  $\mathbb{Q}$  and  $\mathbb{Q}(\alpha)$ ? There is a 1-1 correspondence between the intermediate fields and the subgroups of  $\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$  (this is the Fundamental Theorem of Galois theory.)

## 1.2 Brief Review of Ring Theory

For this course we will be dealing with commutative rings with identity.

**1.1 Example.** Let  $R$  be a ring. We denote by  $R[x]$  the polynomial ring over  $R$  in indeterminate  $x$ . The degree of a polynomial is the exponent on the leading term. By convention,  $\deg 0 = -\infty$ . If a polynomial has leading coefficient 1 then it is called “monic”.

A ring  $R$  is called a domain if it has no zero divisors. An element  $u \in R$  is called a unit if it is invertible. A field is a commutative ring in which each non-zero element is a unit and  $0 \neq 1$ .

**1.2 Example.** If  $F$  is a field, then  $F[x]$  is a domain (it is sufficient that  $F$  be a domain) and for  $f, g \in F[x]$ ,  $\deg(fg) = \deg(f) + \deg(g)$ . This degree function actually makes  $F[x]$  into a Euclidean domain.

The rational (function) field over a field  $F$  is denoted  $F(x)$  and consists of all quotients of polynomials (with non-zero denominator) from  $F[x]$ . It is the smallest field that contains  $F[x]$ .

An ideal  $I$  of a ring  $R$  is a (not necessarily unital) subring of  $R$  that is absorbing with respect to multiplication by elements of  $R$ . We can now construct  $R/I$ , the quotient ring modulo  $I$ .

$I$  is said to be maximal if  $I \neq R$  and for any ideal  $J$  we have  $I \subseteq J \subseteq R \Rightarrow I = J \vee J = R$ .  $I$  is said to be prime if  $I \neq R$  and  $ab \in I \Rightarrow a \in I \vee b \in I$ . Notice that every maximal ideal is prime, and in PIDs every prime ideal is maximal. Fields have only trivial ideals.

**1.3 Theorem.** *Let  $I$  be a proper ideal of  $R$ . Then*

1.  $R/I$  is a field if and only if  $I$  is maximal
2.  $R/I$  is a domain if and only if  $I$  is prime

**1.4 Theorem.** (First Isomorphism Theorem) *If  $\varphi : R \rightarrow S$  is a ring homomorphism and  $\ker \varphi = I$  then there is an isomorphism*

$$\alpha : R/I \rightarrow \text{Im } \varphi : r + I \mapsto \varphi(r)$$

## 2 Field extensions

**2.1 Definition.** If  $E$  is a field containing another field  $F$  then  $E$  is said to be a field extension of  $F$ , denoted by  $E/F$

### 2.1 Degree of a Field Extension

If  $E/F$  is a field extension then we can view  $E$  as a vector space over  $F$ .

- Addition is given to agree with the field addition
- Scalar multiplication is given to agree with the field multiplication

**2.2 Definition.** The dimension of  $E$  viewed as a vector space over  $F$  is called the degree of  $E$  over  $F$  and is denoted  $[E : F]$ . If this quantity happens to be finite, then  $E/F$  is said to be a finite extension, otherwise it is an infinite extension.

**2.3 Example.** 1.  $\mathbb{C} \cong \mathbb{R} \oplus i\mathbb{R}$ , so  $[\mathbb{C} : \mathbb{R}] = 2$

2.  $[\mathbb{R} : \mathbb{Q}] = \infty$

3. Let  $F$  be a field. The rational field is an infinite extension. An infinite linearly independent set is  $\{\dots, x^{-1}, 1, x, x^2, \dots\}$

**2.4 Theorem.** *If  $E/K$  and  $K/F$  are finite field extensions, then  $E/F$  is finite and*

$$[E : F] = [E : K][K : F]$$

PROOF: Let  $\{a_1, \dots, a_m\}$  be a basis for  $E$  over  $K$  and  $\{b_1, \dots, b_n\}$  be a basis for  $K$  over  $F$ . It suffices to prove  $\alpha := \{a_i b_j \mid 1 \leq i \leq m, 1 \leq j \leq n\}$  is a basis for  $E$  over  $F$ . Every element of  $E$  is a linear combination of elements of  $\alpha$  since each element of  $E$  is a linear combination of elements of  $\{a_1, \dots, a_m\}$ , and each of the  $a_i$ 's (being elements of  $K$ ) can be written as a linear combination of elements from  $\{b_1, \dots, b_n\}$ .  $\alpha$  is linearly independent over  $F$ , for otherwise if  $\sum_{i=1}^m \sum_{j=1}^n c_{i,j} b_j a_i = 0$ , then  $\{a_1, \dots, a_m\}$  a basis implies that  $\sum_{j=1}^n c_{i,j} b_j = 0$  for all  $i$ . Since  $\{b_1, \dots, b_n\}$  is also a basis, we get that  $c_{i,j} = 0$  for all  $i$  and  $j$ .  $\square$

**2.5 Definition.** Let  $E/F$  be a field extension. If  $K$  is a subfield of  $E$  that contains  $F$  then we say that  $K$  is an intermediate field of  $E/F$ .

**2.6 Corollary.** *If  $E/F$  is a finite extension and  $K$  is an intermediate field then  $[E : K]$  and  $[K : F]$  are divisors of  $[E : F]$ .*

## 2.2 Algebraic and Transcendental Numbers

**2.7 Definition.** Let  $E/F$  be a field extension and  $\alpha \in E$ . We say that  $\alpha$  is algebraic over  $F$  if there is  $f(x) \in F[x]$  such that  $f \neq 0$  and  $f(\alpha) = 0$ . Otherwise  $\alpha$  is said to be transcendental over  $F$ .

In particular, for  $\alpha \in \mathbb{C}$  and  $\alpha$  algebraic (transcendental) over  $\mathbb{Q}$ , we say that  $\alpha$  is an algebraic (transcendental) number. For example, all rational numbers are algebraic, as are  $\sqrt{2}$ ,  $\sqrt[3]{2} + i$ , etc. The real numbers  $e$  (Hermite 1873) and  $\pi$  (Lindemann 1882) are transcendental numbers.

**2.8 Theorem.** (Liouville 1884) Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  be a root of a polynomial  $f(x) \in \mathbb{Q}[x]$  of degree  $n$ . Then there exists a constant  $c > 0$  such that for any rational number  $\frac{p}{q}$  with  $q > 0$

$$\left| \alpha - \frac{p}{q} \right| > \frac{c}{q^n}$$

PROOF: Without loss of generality, we can assume  $|\alpha - \frac{p}{q}| < 1$  and that  $f(x) \in \mathbb{Z}[x]$  and  $f$  is irreducible. Then  $f(\alpha) = 0$  and  $f(\frac{p}{q}) \neq 0$ . By the Mean Value theorem,  $|f(\frac{p}{q})| = |f(\alpha) - f(\frac{p}{q})| \leq M|\alpha - \frac{p}{q}|$ , where  $M = \sup |f'(x)|$  for  $|x - \alpha| < 1$ . Since  $\alpha$  is irrational,  $\deg(f) \geq 2$  and  $M \neq 0$ . Furthermore,  $|f(\frac{p}{q})| \geq 1/q^n$ , and thus  $|\alpha - \frac{p}{q}| \geq \frac{1}{M} \frac{1}{q^n}$ , so take  $c = \frac{1}{M}$ .  $\square$

*Remark.* Liouville's Theorem says that algebraic numbers are "harder" to approximate by rational numbers than transcendental numbers. Thue (1909) and Siegel (1921) improved the above theorem by replacing  $n$  with  $\frac{n}{2} + 1$  and  $2\sqrt{n}$ , respectively. In 1955, Roth improved the above theorem to  $|\alpha - \frac{p}{q}| > \frac{c'}{q^{2+\epsilon}}$ . This won him the Fields medal in 1958.

**2.9 Example.**  $z = \sum_{n \geq 1} \frac{1}{10^{n!}}$  is transcendental.

Suppose that  $z$  is algebraic and is a root of a polynomial of degree  $n$ . Then there is a constant  $c > 0$  such that for any rational number  $\frac{p}{q}$  with  $q > 0$

$$\left| z - \frac{p}{q} \right| > \frac{c}{q^n}$$

Consider  $\sum_{n=1}^s \frac{1}{10^{n!}} = \frac{p}{10^{s!}}$ ,  $q = 10^{s!}$  We have

$$\frac{c}{q^n} < \left| z - \frac{p}{q} \right| = \sum_{n=s+1}^{\infty} \frac{1}{10^{n!}} < \frac{1}{10^{(s+1)!-1}}$$

It follows that

$$0 < c < \frac{10^{n-s!}}{10^{(s+1)!-1}} \rightarrow 0$$

as  $s \rightarrow \infty$ . This implies that  $c = 0$ , a contradiction.

## 2.3 Simple Extensions

Let  $E/F$  be a field extension and  $\alpha \in E$ . Let  $F[\alpha]$  denote the smallest subring of  $E$  containing  $F$  and  $\alpha$  and  $F(\alpha)$  denote the smallest subfield of  $E$  containing  $F$  and  $\alpha$ .

**2.10 Definition.** If  $E = F(\alpha)$  then we say that  $E$  is a simple extension of  $F$ .

$[E : F]$  can be either  $\infty$  or finite depending on whether  $\alpha$  is transcendental or algebraic over  $F$ .

**2.11 Definition.** If  $R$  and  $R'$  are two rings containing a field  $F$ , then a ring homomorphism  $\psi : R \rightarrow R'$  such that  $\psi(c) = c \forall c \in F$  is said to be an  $F$ -homomorphism.

**2.12 Theorem.** Let  $E/F$  be a field extension and  $\alpha \in E$ . If  $\alpha$  is transcendental over  $F$  then  $F[\alpha] \cong F[x]$  and  $F(\alpha) \cong F(x)$ . In particular,  $F[\alpha] \not\cong F(\alpha)$ .

PROOF: The  $F$ -homomorphism  $\alpha \mapsto x$  is clearly the desired isomorphism in each case.  $\square$

**2.13 Theorem.** Let  $E/F$  be a field extension and  $\alpha \in E$ . If  $\alpha$  is algebraic over  $F$  then there is a unique monic irreducible polynomial  $p(x) \in F[x]$  such that there is an  $F$ -isomorphism

$$\psi : F[x]/\langle p(x) \rangle \rightarrow F[\alpha]$$

with  $\psi(x) = \alpha$ . From this we conclude that  $F[\alpha] = F(\alpha)$ .

PROOF: Let  $\psi : F[x] \rightarrow F(\alpha)$  be the unique  $F$ -homomorphism with  $\psi(x) = \alpha$ . Thus,  $\text{Im } \psi = F[\alpha]$  and let  $I = \ker \psi$ . Since  $\alpha$  is algebraic,  $I \neq 0$ . We have  $F[x]/I \cong \text{Im } \psi$ , a subring of a field, so it is a (principal ideal) domain. Therefore  $I$  is a prime ideal, so it must be generated by some irreducible polynomial  $p(x)$ . We may assume that  $p(x)$  is monic without loss of generality. It follows that  $F[x]/\langle p(x) \rangle \cong F[\alpha]$  is a field.  $F(\alpha)$  is also a field, and since it is the smallest field that contains  $F[\alpha]$ , we must have  $F[\alpha] = F(\alpha)$ .  $\square$

**2.14 Definition.** The monic irreducible in the last theorem is called the minimal polynomial of  $\alpha$  over  $F$ .

**2.15 Theorem.** Let  $E/F$  be a field extension and  $\alpha \in E$ .

1.  $\alpha$  is transcendental over  $F$  if and only if  $[F(\alpha) : F] = \infty$
2.  $\alpha$  is algebraic over  $F$  if and only if  $[F(\alpha) : F] < \infty$

If  $p(x)$  is the minimal polynomial of  $\alpha$  over  $F$  then we have  $[F(\alpha) : F] = \deg p$  and  $\{1, \alpha, \dots, \alpha^{\deg p - 1}\}$  is a basis of  $F(\alpha)/F$ .

**2.16 Example.** Let  $p$  be a prime and  $\zeta_p$  be the primitive  $p^{\text{th}}$  root of unity. It is a root of the cyclotomic polynomial  $\Phi_p(x)$ . From the assignment, this polynomial is irreducible over  $\mathbb{Q}$  and it is monic, so it is the minimal polynomial of  $\zeta_p$ . Thus  $[\mathbb{Q}(\zeta_p) : \mathbb{Q}] = p - 1$ .  $\mathbb{Q}(\zeta_p)$  is called the  $p^{\text{th}}$  cyclotomic extension of  $\mathbb{Q}$ .

## 2.4 Algebraic Extensions

**2.17 Theorem.** Let  $E/F$  be a field extension. If  $[E : F] < \infty$  there exists  $\{\alpha_1, \dots, \alpha_n\} \subseteq E$  such that  $F \subsetneq F(\alpha_1) \subsetneq F(\alpha_1, \alpha_2) \subsetneq \dots \subsetneq F(\alpha_1, \dots, \alpha_n) = E$

PROOF: By induction on  $[E : F]$ . If  $[E : F] = 1$ ,  $E = F$  and we are done. Suppose that  $[E : F] > 1$ . Then there is  $\alpha_1 \in E \setminus F$  such that  $[E : F] = [E : F(\alpha_1)][F(\alpha_1) : F]$ . Since  $[F(\alpha_1) : F] > 1$ , we get that  $[E : F(\alpha_1)] < [E : F]$ . Applying the induction hypothesis to  $[E : F(\alpha_1)]$ , there is  $\{\alpha_2, \dots, \alpha_n\} \subseteq E$  such that  $F(\alpha_1) = F_1 \subsetneq F_1(\alpha_2) \subsetneq \dots \subsetneq F_1(\alpha_2, \dots, \alpha_n) = E$ . It follows that  $E = F(\alpha_1)(\alpha_2, \dots, \alpha_n) = F(\alpha_1, \dots, \alpha_n)$ .  $\square$

**2.18 Definition.** A field extension  $E/F$  is algebraic if every  $\alpha \in E$  is algebraic over  $F$ . Otherwise the extension is transcendental.

**2.19 Theorem.** Let  $E/F$  be a field extension. If  $[E : F] < \infty$  then  $E/F$  is algebraic.

PROOF: Suppose that  $[E : F] = n$ . For  $\alpha \in E$  the elements  $\{1, \alpha, \dots, \alpha^n\}$  are not linearly independent over  $F$ . Thus there exist  $c_i \in F$ , not all zero, such that

$$\sum_{i=0}^n c_i \alpha^i = 0$$

Hence  $\alpha$  is a root of the polynomial  $\sum_{i=0}^n c_i x^i \in F[x]$ . □

**2.20 Theorem.** Let  $E/F$  be a field extension. Define the set of algebraic elements to be

$$L := \{\alpha \in E \mid [F(\alpha) : F] < \infty\}$$

Then  $L$  is an intermediate field.

PROOF: If  $a, b \in L$ , then  $[F(a) : F] < \infty$  and  $[F(b) : F] < \infty$ . Consider the field  $F(a, b)$ . By assignment 1, we have  $[F(a, b) : F(a)] \leq [F(b) : F]$ . It follows that

$$[F(a, b) : F] = [F(a, b) : F(a)][F(a) : F] \leq [F(b) : F][F(a) : F] < \infty$$

Thus  $F(a, b)/F$  is algebraic, so  $a \pm b$ ,  $ab$ , and  $a/b$  ( $b \neq 0$ ) are all in  $L$ , so  $L$  is a field. □

**2.21 Definition.** Let  $E/F$  be a field extension. The set

$$\bar{F} = \{\alpha \in E \mid [F(\alpha) : F] < \infty\}$$

is called the algebraic closure of  $F$  in  $E$ .

**2.22 Example.** Let  $\bar{\mathbb{Q}}$  be the algebraic closure of  $\mathbb{Q}$  over  $\mathbb{C}$ . Then  $[\bar{\mathbb{Q}} : \mathbb{Q}] = \infty$  (See assignment 2). In particular, the converse of Theorem 2.19 is false.

**2.23 Definition.** A field  $F$  is said to be algebraically closed if for any algebraic extension  $E/F$ , then  $E = F$ .

**Bonus Question:** Let  $F$  be a field with characteristic  $p$ , and assume that  $F \subseteq E$ , where  $E$  is algebraically closed. Is there such a field  $E/F$  such that  $[E : F] < \infty$ ?

## 3 Splitting Fields

**3.1 Definition.** For a field  $F$ , we consider the polynomial ring  $F[x]$ . For  $f(x) \in F[x]$  and a field extension  $E/F$ , we say that  $f(x)$  splits over  $E$  if it is a product of linear factors in  $E[x]$ . In other words,  $E$  contains all roots of  $f(x)$ . If furthermore there is no proper subfield of  $E$  that  $f(x)$  splits over, then we say that  $E$  is a splitting field of  $f(x)$  in  $E$ .

### 3.1 Existence of splitting fields

**3.2 Theorem.** Let  $p(x) \in F[x]$  be irreducible. The quotient ring  $F[x]/\langle p(x) \rangle$  is a field containing  $F$  and a root of  $p(x)$ .

PROOF: Since  $p(x)$  is irreducible, the ideal  $I = \langle p(x) \rangle$  is maximal. Hence  $E := F[x]/I$  is a field. Consider the map

$$\psi : F \rightarrow E : a \mapsto a + I$$

This map is injective since  $\ker \psi$  is an ideal of the field  $F$  (and hence trivial). By identifying  $F$  with  $\psi(F)$ ,  $F$  is a subfield of  $E$ . Moreover, let  $\alpha = x + I \in E$ .

*Claim.*  $\alpha$  is a root of  $p(x)$

Write  $p(x) = a_0 + a_1x + \cdots + a_nx^n \in F[x]$ , so  $p(x) = (a_0 + I) + (a_1 + I)x + \cdots + (a_n + I)x^n \in E[x]$ . Thus we have

$$p(\alpha) = (a_0 + I) + (a_1 + I)(\alpha + I) + \cdots + (a_n + I)(\alpha + I)^n = p(x) + I = 0$$

in  $E$ . Thus  $\alpha = x + I \in E$  is a root of  $p(x)$ .  $\square$

**3.3 Theorem.** (Kronecker) *Let  $f(x) \in F[x]$ . There exists a field  $E/F$  such that  $f(x)$  splits over  $E$*

PROOF: By induction on  $\deg f$ . If  $\deg f = 1$ , then  $E = F$ . If  $\deg f > 1$  then write  $f(x) = p(x)g(x)$  where  $p(x)$  is irreducible. By the previous theorem there is a field  $K/F$  containing a root  $\alpha$  of  $p(x)$ . Hence  $f(x) = (x - \alpha)h(x)g(x) \in K[x]$ , for some  $h(x) \in K[x]$ . Since  $\deg(hg) < \deg f$ , by induction there is a field  $E/K$  over which  $hg$  is a product of linear factors. It follows that  $f(x)$  splits over  $E/F$ .  $\square$

**3.4 Theorem.** *Every  $f(x) \in F[x]$  has a splitting field that is a finite extension of  $F$ .*

PROOF: For  $f(x) \in F[x]$ , there exists a field  $E/F$  such that  $f(x)$  splits over  $E$ . Say  $a_1, \dots, a_n$  are the roots. Consider the algebraic extension  $F(a_1, \dots, a_n)$ . This extension is finite, and  $f(x)$  splits over  $F(a_1, \dots, a_n)$ . Moreover,  $f(x)$  does not split over any proper subfield of  $F(a_1, \dots, a_n)$ , since any such subfield will omit at least one of the  $a_i$ 's. Therefore  $F(a_1, \dots, a_n)$  is a splitting field of  $f(x)$  in  $E$ .  $\square$

## 3.2 Uniqueness of the splitting field

**3.5 Lemma.** *Let  $\varphi : R \rightarrow R_1$  be a ring homomorphism. Then there is a unique ring homomorphism  $\Phi : R[x] \rightarrow R_1[x]$  such that  $\Phi|_R = \varphi$  and  $\Phi(x) = y$ . We say that  $\Phi$  extends the map  $\varphi$ .*

PROOF: Trivial.  $\square$

**3.6 Theorem.** *Let  $\varphi : F \rightarrow F_1$  be an isomorphism of fields, and  $f(x) \in F[x]$ . Let  $\Phi : F[x] \rightarrow F_1[x]$  be the unique ring isomorphism which extends  $\varphi$  and maps  $x$  to  $x$ . Let  $f_1(x) = \Phi(f(x))$  and  $E/F$  and  $E_1/F_1$  be splitting fields of  $f$  and  $f_1$ , respectively. Then there exists an isomorphism  $\psi : E \rightarrow E_1$  which extends  $\varphi$ .*

PROOF: By induction on  $[E : F]$ . If  $[E : F] = 1$ ,  $f$  is a product of linear factors in  $F[x]$ . Thus  $E = F$  and  $E_1 = F_1$ . Take  $\psi = \varphi$  and we are done. If  $[E : F] > 1$  then let  $p(x)$  be an irreducible factor of  $f(x)$  with  $\deg p \geq 2$ . Write  $p_1(x) = \Phi(p(x))$ . Let  $\alpha \in E$  and  $\alpha_1 \in E_1$  be roots of  $p$  and  $p_1$ , respectively. Then we have an  $F$ -isomorphism  $F(\alpha) \cong F[x]/\langle p(x) \rangle$  and an  $F_1$ -isomorphism  $F_1(\alpha_1) \cong F_1[x]/\langle p_1(x) \rangle$ . Consider the isomorphism  $\Phi$ . Since  $p_1(x) = \Phi(p(x))$  there must exist a field isomorphism

$$\Phi_1 : F[x]/\langle p(x) \rangle \rightarrow F_1[x]/\langle p_1(x) \rangle \cong F_1(\alpha_1)$$

which extends  $\varphi$ . It follows that there exists a field isomorphism  $\varphi_1 : F(\alpha) \rightarrow F_1(\alpha_1)$  which extends  $\varphi$  and sends  $\alpha$  to  $\alpha_1$ .

$$\begin{array}{ccc} F & \xrightarrow[\cong]{\varphi} & F_1 \\ \downarrow & & \downarrow \\ F(\alpha) & \xrightarrow{\varphi_1} & F_1(\alpha_1) \\ \downarrow & & \downarrow \\ E & \xrightarrow{\psi} & E_1 \end{array}$$

By induction, since  $[E : F(\alpha)] < [E : F]$ , there exists  $\psi : E \rightarrow E_1$  which extends  $\varphi_1$ , and thus extends  $\varphi$ .  $\square$



**3.7 Corollary.** Any two splitting fields of a non-zero polynomial  $f(x) \in F[x]$  over  $F$  are  $F$ -isomorphic.

**3.8 Corollary.** (E.H. Moore) Any two finite fields of order  $p^n$  for some prime  $p$  are isomorphic.

PROOF: Any finite field  $F$  of order  $p^n$  is a splitting field of  $x^{p^n} - x$  over  $\mathbb{F}_p$  □

**3.9 Theorem.** Let  $F$  be a field and  $f(x) \in F[x]$  have degree  $n \geq 1$ . Let  $E/F$  be a splitting field of  $f(x)$ . Then  $[E : F]$  divides  $n!$ .

PROOF: By induction on  $\deg f$ . If  $\deg f = 1$  then  $[E : F] = 1$  and it's trivial. Suppose  $\deg f > 1$ . If  $f$  is irreducible and  $\alpha \in E$  is a root of  $f$ , then there exists a simple extension  $F(\alpha)/F$  such that  $F(\alpha) \cong F[x]/\langle f(x) \rangle$  and  $[F(\alpha) : F] = \deg f = n$ . Write  $f(x) = (x - \alpha)g(x) \in F(\alpha)[x]$  and  $\deg g = n - 1$ . By induction,  $[E : F(\alpha)]$  is a divisor of  $(n - 1)!$ . It follows that  $[E : F] = [E : F(\alpha)][F(\alpha) : F]$  divides  $n!$ . If  $f(x)$  is not irreducible, write  $f = g \cdot h$ , where  $\deg g = m$  and  $\deg h = k$ . Let  $K$  be a splitting field of  $g$  over  $F$ . By induction,  $[K : F]$  divides  $m!$ . Also,  $[E : K]$  divides  $k!$  ( $E$  is a splitting field of  $h$  over  $K$ ). Thus  $[E : F]$  divides  $m!k!$ , which is a factor of  $n!$ . □

## 4 Separable Polynomials

### 4.1 Prime Fields

**4.1 Definition.** The prime field of a field  $F$  is the intersection of all of the subfields of  $F$ .

**4.2 Theorem.** If  $F$  is a field, then its prime field is isomorphic to  $\mathbb{Q}$  or to  $\mathbb{F}_p$  for some prime  $p$ .

PROOF: Consider the ring map

$$\chi : \mathbb{Z} \rightarrow F : n \mapsto \underbrace{1 + 1 + \cdots + 1}_{n \text{ times}}$$

Let  $I = \ker \chi$ . Then  $\mathbb{Z}/I$  is a domain (since it is isomorphic to the image of  $\chi(\mathbb{Z})$ , a subring of  $F$ ). Hence  $I$  is a prime ideal of  $\mathbb{Z}$ , and so either is  $\langle 0 \rangle$  or  $\langle p \rangle$  for some prime  $p$ . If  $I = \langle 0 \rangle$  then  $\mathbb{Z} \subseteq F$ . It follows that all subfields of  $F$  contain  $\text{Frac}(F) = \mathbb{Q}$ , and so the prime field of  $F$  is  $\mathbb{Q}$ . If  $I = \langle p \rangle$  then by the first isomorphism theorem,

$$\mathbb{F}_p \cong \mathbb{Z}/\langle p \rangle \cong \text{Im } \chi \subseteq F$$

and so the prime field of  $F$  is  $\mathbb{F}_p$ . □

**4.3 Definition.** Given a field  $F$ , if the prime field is isomorphic to  $\mathbb{Q}$  then we say that  $F$  has characteristic 0, denoted  $\text{ch } F = 0$ . On the other hand, if the prime field is isomorphic to  $\mathbb{F}_p$  then we say  $\text{ch } F = p$ . Notice that if  $\text{ch } F = p$  then  $(a + b)^p = a^p + b^p$ .

### 4.2 Formal Derivative and Repeated Roots

**4.4 Definition.** If  $F$  is a field, the monomials  $\{1, x, x^2, \dots\}$  form an  $F$ -basis for  $F[x]$ . Define the linear operator  $D : F[x] \rightarrow F[x]$  by  $D1 = 0$  and  $Dx^n = nx^{n-1}$ .  $D$  is called the formal derivative, and is also denoted with a prime.

The formal derivative has all the usual algebraic properties of the differential operator from calculus, in particular

1.  $D(f + g) = Df + Dg$
2.  $D(fg) = (Df)g + f(Dg)$

**4.5 Theorem.** Let  $F$  be field and  $f(x) \in F[x]$ .

1. If  $chF = 0$  and  $Df = 0$  then  $f(x) = c$  for some  $c \in F$
2. If  $chF = p$  and  $Df = 0$  then  $f(x) = g(x^p)$  for some  $g(x) \in F[x]$

PROOF: Trivial. □

**4.6 Definition.** Let  $E/F$  be a field extension and  $f(x) \in F[x]$ . We say that  $\alpha \in E$  is a repeated root of  $f(x)$  if  $f(x) = (x - \alpha)^2 g(x)$  for some  $g(x) \in E[x]$ .

**4.7 Lemma.** If  $E[x]$ ,  $\alpha$  is a repeated root of  $f(x)$  if and only if  $x - \alpha$  divides both  $f$  and  $Df$ .

PROOF: If  $f(x) = (x - \alpha)^2 g(x)$  then  $Df(x) = 2(x - \alpha)g(x) + (x - \alpha)^2 Dg(x)$ , so  $x - \alpha$  is a common factor of  $f$  and  $Df$ . Suppose conversely that  $x - \alpha$  divides both  $f$  and  $Df$ . Write  $f(x) = (x - \alpha)h(x)$ , for some  $h(x) \in E[x]$ . Then  $Df(x) = h(x) + (x - \alpha)Dh(x)$ .  $Df(\alpha) = 0$  implies that  $h(\alpha) = 0$ , and so we are done. □

**4.8 Theorem.** Let  $f(x) \in F[x]$ . Then  $f$  has no repeated roots in any extension of  $F$  if and only if  $\gcd(f, Df) = 1$  in  $F[x]$

Notice that the condition of repeated roots depends on the extension of  $F$ , while the gcd condition involves only  $F$ .

PROOF: Let  $g = \gcd(f, Df)$ . Write  $g = sf + tDf$  for some polynomials  $s(x), t(x) \in F[x]$  ( $F[x]$  is a Euclidean domain). Suppose  $f(x)$  has a repeated root  $\alpha$  in some extension  $E/F$ . Then clearly  $x - \alpha$  is a common factor of  $f$  and  $Df$ , and so  $g \neq 1$ . Suppose now that  $g \neq 1$ . Then there is an extension  $E/F$  such that  $E$  contains a root  $\alpha$  of  $g$ . Then  $x - \alpha$  divides both  $f$  and  $Df$ , and so  $\alpha$  is a repeated root of  $f$ . □

### 4.3 Separable Polynomials

**4.9 Definition.** Let  $F$  be a field and  $f(x) \in F[x]$  not zero. If  $f(x)$  is irreducible, then we say  $f(x)$  is separable over  $F$  if it has no repeated roots in any extension of  $F$ . If  $f(x)$  is not irreducible, then we say it is separable if all of its irreducible factors are separable.

**4.10 Example.** Consider the polynomial  $f(x) = x^t - a \in F[x]$ , with  $t \geq 2$ . If  $a = 0$ , then  $f$  is clearly separable, as the only irreducible factor of  $f$  is  $x$ . A linear polynomial is always separable. Now we assume that  $a \neq 0$ . Note that  $Df(x) = tx^{t-1}$ .

1. If  $chF = 0$  then  $\gcd(f, Df) = 1$ , so  $f$  is separable.
2. If  $chF = p$  and  $\gcd(p, t) = 1$  then  $\gcd(f, Df) = 1$ , so  $f$  is separable.
3. If  $chF = p$  and  $t = p$  then  $Df = 0$ , so  $\gcd(f, Df) \neq 1$ . However, it is still possible that all of the irreducible factors  $p(x)$  have the property that  $\gcd(p, Dp) = 1$ . To decide, we need to find the irreducible factors of  $f$ . Define  $F^p = \{a^p \mid a \in F\}$ , a subfield of  $F$ . If  $a \in F^p$  then there is some  $b \in F$  such that  $a = b^p$ , and so  $f(x) = (x - b)^p$ , and  $f$  is separable. There is another case, although it only comes up if  $F$  is an infinite field of characteristic  $p$ . If  $a \notin F^p$  then we claim that  $f(x) = x^p - a$  is irreducible. Assume that we may write  $x^p - a = g(x)h(x)$ , where  $g, h \in F[x]$  are monic. Let  $E/F$  be a extension such that  $x^p - a$  has a root  $\beta \in E$ . Then  $\beta^p = a$ , and so  $\beta \notin F$ . We have

$$x^p - a = x^p - \beta^p = (x - \beta)^p$$

Thus  $g(x) = (x - \beta)^r$  and  $h(x) = (x - \beta)^s$  for some  $r + s = p$ . Write  $g(x) = x^r + r\beta x^{r-1} + \dots$ . Then since  $r\beta \in F$ ,  $r = 0$  in  $F$ . Thus  $r = kp$  for some  $k$ . This shows that either  $r = 0$  or  $s = 0$ , and so  $x^p - a$  is irreducible over  $F$ . Therefore  $x^p - a$  is not separable in this case. We say that  $f$  is purely inseparable since all of the roots of  $f$  are the same.

## 4.4 Perfect Fields

**4.11 Definition.** A field  $F$  is called perfect if every irreducible polynomial  $f(x) \in F[x]$  is separable.

**4.12 Theorem.** Let  $F$  be a field.

1. If  $\text{ch}F = 0$  then  $F$  is perfect.
2. If  $\text{ch}F = p$  and  $F^p = F$  then  $F$  is perfect.

PROOF: Let  $r(x) \in F[x]$  be irreducible. Then either  $\gcd(r, Dr) = 1$  or  $\gcd(r, Dr) = r$ .

1. Let  $\text{ch}F = 0$ . Suppose that  $r$  is not separable, that is,  $\gcd(r, Dr) = r$ . Then  $Dr = 0$ , and so  $\deg r = 0$ , a contradiction. Therefore  $r$  is separable and  $F$  is perfect.
2. Let  $\text{ch}F = p$ . Suppose that  $r$  is not separable, that is,  $\gcd(r, Dr) = r$ . Then  $Dr = 0$  in  $F[x]$ . Write

$$r(x) = a_0 + a_1x^p + \cdots + a_mx^{mp}, \quad a_i \in F$$

Since  $F^p = F$ , we can write  $a_i = b_i^p$  for some  $b_i \in F$ . Thus

$$r(x) = b_0^p + b_1^p x^p + \cdots + b_m^p x^{mp} = (b_0 + b_1x + \cdots + b_mx^m)^p$$

which is a contradiction since  $r$  is irreducible. Thus  $r$  is separable and  $F$  is perfect.  $\square$

**4.13 Corollary.** Every finite field is perfect. (Assignment 3)

Recall that if  $E/F$  is a finite extension then there exist  $\alpha_1, \dots, \alpha_n \in E$  such that

$$F \subsetneq F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \dots, \alpha_n) = E$$

**4.14 Theorem.** If  $\text{ch}F = 0$  and  $E/F$  is a finite extension then  $E/F$  is a simple extension.

PROOF: Since  $E = F(\alpha_1, \dots, \alpha_n)$  for some  $\alpha_1, \dots, \alpha_n \in E$ , it suffices to consider the case when  $E = F(\alpha, \beta)$ . The general case follows by induction. Let  $E = F(\alpha, \beta)$ . Our goal is to find  $\gamma \in E$  such that  $E = F(\gamma)$ . It suffices to find  $\lambda \in F$  such that  $\gamma = \alpha + \lambda\beta$  and  $\beta \in F(\gamma)$  because then we will have  $F(\alpha, \beta) \subseteq F(\gamma)$  (the reverse containment is clear).

Let  $a(x)$  and  $b(x)$  be the minimal polynomials of  $\alpha$  and  $\beta$  over  $F$ , respectively. Choose  $\lambda \in F$  such that

$$\lambda \neq \frac{\tilde{\alpha} - \alpha}{\tilde{\beta} - \beta}$$

where  $\tilde{\alpha}$  runs over all the roots of  $a$  in  $E$ , and  $\tilde{\beta}$  runs over all of the roots of  $b$  in  $E$  that are not  $\beta$ . We can do this because there are infinitely many elements in  $F$ , but only finitely many excluded choices. Let  $\gamma = \alpha + \lambda\beta$ . Consider  $h(x) = a(\gamma - \lambda x) \in F(\gamma)[x]$ . Then  $\beta$  is a root of  $h$ . However, for all  $\tilde{\beta} \neq \beta$ , since

$$\gamma - \lambda\tilde{\beta} = \alpha + \lambda(\beta - \tilde{\beta}) \neq \tilde{\alpha}$$

by the choice of  $\lambda$ , we have that  $h(\tilde{\beta}) \neq 0$ . Thus  $h$  and  $b$  have  $\beta$  as a common root, but no others in any extension of  $F(\gamma)$ . The minimal polynomial of  $\beta$  in  $F(\gamma)$ , call it  $b_1(x)$ , must divide  $h$  and  $b$ . Since  $\text{ch}F = 0$  and  $b_1$  is irreducible,  $b_1$  has distinct roots. The roots of  $b_1$  are also roots of  $b$  and  $h$ . Since  $\beta$  is the only common root,  $b_1(x) = x - \beta$ , and so  $\beta \in F(\gamma)$ .  $\square$

*Remark.* This is a special case of a more general result called the Primitive Element Theorem that we will see later.

## 5 Automorphism Groups

### 5.1 Automorphism Groups

**5.1 Definition.** If  $E$  is a field, we say that a map  $\psi : E \rightarrow E$  is an automorphism if it is an isomorphism of  $E$ . If  $E/F$  is a field extension and  $\psi : E \rightarrow E$  is an automorphism which fixes  $F$ , we say that  $\psi$  is an  $F$ -automorphism of  $E$ . By map composition, the set

$$\text{Aut}_F(E) = \{\psi : E \rightarrow E \mid \psi \text{ is an } F\text{-automorphism}\}$$

is called the automorphism group of  $E/F$ . It may also be denoted  $\text{Aut}(E/F)$ .

**5.2 Lemma.** Let  $f(x) \in F[x]$  and  $\alpha \in E$  a root of  $f(x)$ . For  $\psi \in \text{Aut}_F(E)$ ,  $\psi(\alpha)$  is also a root of  $f(x)$ . Notice that  $E$  does not have to be the splitting field of  $f(x)$ .

PROOF: If  $f(x) = a_0 + a_1x + \cdots + a_nx^n$  then we have

$$\begin{aligned} f(\psi(\alpha)) &= a_0 + a_1\psi(\alpha) + \cdots + a_n\psi(\alpha)^n \\ &= \psi(a_0) + \psi(a_1\alpha) + \cdots + \psi(a_n\alpha^n) \\ &= \psi(a_0 + a_1\alpha + \cdots + a_n\alpha^n) \\ &= \psi(0) = 0 \end{aligned}$$

Thus  $\psi(\alpha)$  is a root of  $f(x)$ . □

**5.3 Lemma.** Let  $E = F(\alpha_1, \dots, \alpha_n)$  be a field extension. For  $\psi_1, \psi_2 \in \text{Aut}_F(E)$ , if  $\psi_1(\alpha_i) = \psi_2(\alpha_i)$  for all  $i = 1, \dots, n$  then  $\psi_1 = \psi_2$ .

PROOF: Trivial. □

**5.4 Corollary.** If  $E/F$  is a finite extension then  $\text{Aut}_F(E)$  is a finite group.

### 5.2 Automorphism Groups of Polynomials

**5.5 Definition.** Let  $F$  be a field and  $f(x) \in F[x]$ . The automorphism group of  $f(x)$  over  $F$  is defined to be the group  $\text{Aut}_F(E)$ , where  $E$  is a splitting field of  $f(x)$ . Notice that this definition does not depend on the choice of  $E$ . By a previous theorem all splitting fields of  $f(x)$  are isomorphic, and hence their automorphism groups are isomorphic.

**5.6 Theorem.** Let  $E/F$  be a splitting field of a non-zero polynomial  $f(x) \in F[x]$ . Then  $|\text{Aut}_F(E)| \leq [E : F]$ , and equality holds if and only if  $f(x)$  is separable over  $F$ .

PROOF: Assignment 3. □

**5.7 Example.** 1. Let  $F$  be a field with  $\text{ch } F = p$ . Let  $a \in F \setminus F^p$  and  $E/F$  a splitting field of the polynomial  $f(x) = x^p - a$ . We have seen before that  $x^p - a = (x - \beta)^p$ , for some  $\beta \in E \setminus F$ . Thus  $E = F(\beta)$ , and since  $\beta$  can only map to  $\beta$ ,  $\text{Aut}_F(E)$  is the trivial group. Notice that  $|\text{Aut}_F(E)| = 1$  while  $[E : F] = p$ .

2. Consider  $F = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , which is the splitting field of  $f(x) = (x^2 - 2)(x^2 - 3) \in \mathbb{Q}[x]$ .  $f(x)$  is separable, so  $|\text{Aut}_F(E)| = [E : F] = 4$ . It follows that  $\text{Aut}_F(E)$  is isomorphic to  $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ , as  $\text{Aut}_F(E)$  has not elements of order 4.

3. Consider the irreducible polynomial  $x^3 - 2 \in \mathbb{Q}[x]$ . Let  $\zeta_3 = e^{2\pi i/3}$ . The roots of  $x^3 - 2$  are  $\{\sqrt[3]{2}, \sqrt[3]{2}\zeta_3, \sqrt[3]{2}\zeta_3^2\}$ , and thus the splitting field of  $x^3 - 2$  is

$$E = \mathbb{Q}(\sqrt[3]{2}, \sqrt[3]{2}\zeta_3, \sqrt[3]{2}\zeta_3^2) = \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$$

Let  $L = \mathbb{Q}(\sqrt[3]{2})$  be a subfield of  $E$  containing  $\mathbb{Q}$ . We consider  $\text{Aut}_{\mathbb{Q}}(L)$  and  $\text{Aut}_{\mathbb{Q}}(E)$ .  $L$  contains only one root of  $x^3 - 2$  since it is a real field, and so  $\text{Aut}_{\mathbb{Q}}(L)$  is the trivial group.  $E$  is the splitting field of a separable polynomial, so  $|\text{Aut}_{\mathbb{Q}}(E)| = [E : \mathbb{Q}] = 6$ . By the next theorem, we see that it is a subgroup of  $\mathfrak{S}_3$ , so  $\text{Aut}_{\mathbb{Q}}(E) \cong \mathfrak{S}_3$ . We notice from this example that the automorphism group is not always Abelian.

**Open Problem:** Does every finite group occur as the automorphism group over  $\mathbb{Q}$  of the splitting field of some polynomial? It is known that every finite Abelian group does occur.

**5.8 Theorem.** *If  $f(x) \in F[x]$  has  $n$  distinct roots in its splitting field  $E$  then  $\text{Aut}_F(E)$  is isomorphic to a subgroup of the symmetric group  $\mathfrak{S}_n$ . In particular,  $|\text{Aut}_F(E)|$  divides  $n!$ .*

PROOF: Let  $X = \{\alpha_1, \dots, \alpha_n\}$  be the distinct roots of  $f(x)$  in  $E$ . If  $\psi \in \text{Aut}_F(E)$ , then  $\psi(X) = X$ . From this observation and the fact that  $\psi$  is uniquely determined by its action on  $X$ , it is clear that  $\text{Aut}_F(E)$  is isomorphic to a subgroup of the symmetric group on  $X$ , which itself is isomorphic to  $\mathfrak{S}_n$ , with an injective homomorphism given by  $\psi \mapsto \psi|_X$ .  $\square$

### 5.3 Fixed Fields

**5.9 Definition.** Let  $E/F$  be a field extension and  $\varphi \in \text{Aut}_F(E)$ . Define

$$E^\varphi = \{a \in E \mid \varphi(a) = a\}$$

which is necessarily a subfield of  $E$  that contains  $F$ . We usually call  $E^\varphi$  the fixed field of  $\varphi$ . Let  $G$  be a subgroup of  $\text{Aut}_F(E)$ . The fixed field of  $G$  is defined to be

$$E^G = \bigcap_{\psi \in G} E^\psi = \{a \in E \mid \psi(a) = a \ \forall \psi \in G\}$$

**5.10 Theorem.** *Let  $f(x) \in F[x]$  be a separable polynomial and  $E/F$  its splitting field. Then  $E^{\text{Aut}_F(E)} = F$ .*

PROOF: Let  $G = \text{Aut}_F(E)$  and  $L = E^G$ . Clearly  $F \subseteq L$ , and thus  $\text{Aut}_L(E) \subseteq \text{Aut}_F(E)$ . If  $\psi \in \text{Aut}_F(E) = G$  then for all  $a \in L$ ,  $\psi(a) = a$ . That is,  $\psi \in \text{Aut}_L(E)$ , and thus  $\text{Aut}_L(E) = \text{Aut}_F(E)$ . Because  $f(x)$  is separable over  $F$  and splits over  $E$ ,  $f(x)$  is also separable over  $L$  and has  $E$  as its splitting field over  $L$ . It follows that  $[E : L] = |\text{Aut}_L(E)| = |\text{Aut}_F(E)| = [E : F]$ . Since  $[E : F] = [E : L][L : F]$ , it follows that  $[L : F] = 1$  and so  $L = F$ .  $\square$

## 6 Galois Extensions

### 6.1 Separable Extensions

**6.1 Definition.** Let  $E/F$  be an algebraic field extension. For  $\alpha \in E$ , let  $p(x) \in F[x]$  be the minimal polynomial of  $\alpha$ . We say that  $\alpha$  is separable over  $F$  if  $p(x)$  is separable. If  $\alpha$  is separable for all  $\alpha \in E$  then we say that the extension  $E/F$  is separable.

**6.2 Theorem.** *Let  $E/F$  be a splitting field of  $f(x) \in F[x]$ . If  $f(x)$  is separable then  $E/F$  is a separable extension.*

PROOF: If  $\text{ch} F = 0$  then  $F$  is perfect and every extension is separable. If  $\text{ch} F = p$  then consider  $\alpha \in E$ . Let  $p(x) \in F[x]$  be the minimal polynomial of  $\alpha$ . Let  $\alpha = \alpha_1, \dots, \alpha_n$  be the distinct roots of  $p(x)$  that are contained in  $E$ . We claim that  $p(x) = (x - \alpha_1) \cdots (x - \alpha_n)$ . It suffices to show that

$$\tilde{p}(x) := (x - \alpha_1) \cdots (x - \alpha_n)$$

is in  $F[x]$ , since  $p(x)$  is the minimal polynomial of  $\alpha$  and  $\tilde{p}(x)$  has  $\alpha$  as a root. Let  $\psi \in \text{Aut}_F(E)$ .  $\psi$  permutes  $\alpha_1, \dots, \alpha_n$  and the coefficients of  $\tilde{p}$  are symmetric with respect to  $\alpha_1, \dots, \alpha_n$ , so each coefficient of  $\tilde{p}(x)$  is fixed with respect to  $\psi$ . Therefore  $\tilde{p}(x) \in E^\psi[x]$ . Since  $\psi$  was arbitrary,  $\tilde{p}(x) \in E^{\text{Aut}_F(E)}[x] = F[x]$ .  $\square$

**6.3 Corollary.** *Let  $E/F$  be a finite extension and  $E = F(\alpha_1, \dots, \alpha_n)$ . If each  $\alpha_i$  is separable over  $F$  then  $E/F$  is separable.*

PROOF: For  $1 \leq i \leq n$ , let  $p_i(x) \in F[x]$  be the minimal polynomial of  $\alpha_i$ . Let  $f(x) = \prod_{i=1}^n p_i(x)$ . Then  $f(x)$  is separable. Let  $L$  be the splitting field of  $f$ , so that  $L/F$  is separable. Since  $E = F(\alpha_1, \dots, \alpha_n)$  is a subfield of  $L$ ,  $E$  is also separable.  $\square$

**6.4 Corollary.** *Let  $E/F$  be an algebraic extension and  $L$  be the set of all  $\alpha \in E$  that are separable over  $F$ . Then  $L$  is an intermediate field.*

## 6.2 Normal extensions

**6.5 Definition.** Let  $E/F$  be an algebraic extension. We say that  $E/F$  is a normal extension if given any irreducible polynomial  $p(x) \in F[x]$ , either  $p(x)$  has no root in  $E$  or  $E$  contains all of the roots of  $p(x)$ . In other words, if  $p(x)$  has a root in  $E$  then  $p(x)$  splits over  $E$ .

**6.6 Example.** Let  $\alpha \in \mathbb{R}$  such that  $\alpha^4 = 5$  and let  $\beta = (1 + i)\alpha$ . Consider the field extension  $\mathbb{Q}(\beta)/\mathbb{Q}$ . Notice that  $\beta^2 = 2i\alpha^2$ , and so  $\beta^4 = -20$ . Hence the minimal polynomial of  $\beta$  over  $\mathbb{Q}$  is  $x^4 + 20$  and  $[\mathbb{Q}(\beta) : \mathbb{Q}] = 4$ . The roots of  $x^4 + 20$  are  $\pm\beta, \pm i\beta$ . It is sufficient to show that  $\alpha \notin \mathbb{Q}(\beta)$  to show that  $i\beta \notin \mathbb{Q}(\beta)$ . The minimal polynomial of  $\alpha$  is  $x^4 - 5$ , and so we have that  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 4$ . Notice that if  $\alpha \in \mathbb{Q}(\beta)$  then  $\mathbb{Q}(\alpha) = \mathbb{Q}(\beta)$ , and this is impossible since  $\mathbb{Q}(\alpha)$  is a real field while  $\mathbb{Q}(\beta)$  is not. It follows that the prime factorization of  $x^4 + 20$  over  $\mathbb{Q}(\beta)$  is  $(x - \beta)(x + \beta)(x^2 + \beta^2)$ , and hence it does not split over  $\mathbb{Q}(\beta)$ , so  $\mathbb{Q}(\beta)$  is not a normal extension of  $\mathbb{Q}$ .

**6.7 Theorem.** *A finite extension  $E/F$  is normal if and only if it is the splitting field of some polynomial  $f(x) \in F[x]$ .*

PROOF: Suppose that  $E/F$  is a finite extension and is normal. Let  $E = F(\alpha_1, \dots, \alpha_n)$ . For each  $i$ , let  $p_i(x)$  be the minimal polynomial of  $\alpha_i$ . Define  $f(x) = \prod_{i=1}^n p_i(x)$ . Since  $E/F$  is normal, each  $p_i(x)$  splits over  $E$ , say  $\alpha_{i,1}, \dots, \alpha_{i,r_i}$  are the roots of  $p_i(x)$  over  $E$ . Thus

$$E = F(\alpha_1, \dots, \alpha_n) = F(\alpha_{1,1}, \dots, \alpha_{1,r_1}, \alpha_{2,1}, \dots, \alpha_{n,r_n})$$

Therefore  $E$  is a splitting field of  $f(x)$  over  $F$ .

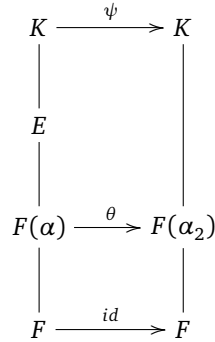
Now suppose that  $E/F$  is the splitting field of  $f(x) \in F[x]$ . Let  $p(x) \in F[x]$  be an irreducible polynomial with a root  $\alpha \in E$ . Let  $K/E$  be a splitting field of  $p(x)$  over  $E$ . Write

$$p(x) = c(x - \alpha_1) \cdots (x - \alpha_n)$$

where  $0 \neq c \in F$  and  $\alpha = \alpha_1, \dots, \alpha_n \in K = E(\alpha_1, \dots, \alpha_n)$ . Define an  $F$ -isomorphism

$$\theta : F(\alpha) \rightarrow F(\alpha_2) : \alpha \mapsto \alpha_2$$

Note that  $p(x) \in F(\alpha)[x], F(\alpha_2)[x]$ . Hence we can view  $K$  as a splitting field of  $p(x)f(x)$  over  $F(\alpha)$  and  $F(\alpha_2)$  respectively. Thus there exists an isomorphism  $\psi : K \rightarrow K$  which extends  $\theta$ .

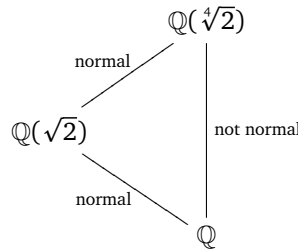


Since  $\psi \in \text{Aut}_F(K)$ ,  $\psi$  permutes the roots of  $f(x)$ . Since  $E$  is generated over  $F$  by the roots of  $f(x)$ , we have  $\psi(E) = E$ . It follows that for  $\alpha \in E$ ,  $\alpha_2 = \psi(\alpha) \in E$ . Since the choice of  $\alpha_2$  was arbitrary,  $\alpha_i \in E$  for all  $i$ . Therefore  $K = E$  and  $p(x)$  splits over  $E$  and  $E$  is normal.  $\square$

**6.8 Example.** Every quadratic extension is normal. Let  $E/F$  be a quadratic extension. For  $\alpha \in E \setminus F$ ,  $E = F(\alpha)$ . Let  $p(x) = x^2 + ax + b$  be the minimal polynomial of  $\alpha$  over  $F$ . Then  $-a - \alpha \in F(\alpha)$  is the other root of  $p$ , and so  $E$  is the splitting field of  $p$ . Therefore  $E/F$  is normal.

$\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$  is not normal since the irreducible polynomial  $x^4 - 2$  does not split over  $\mathbb{Q}(\sqrt[4]{2})$  despite having a root in  $\mathbb{Q}(\sqrt[4]{2})$ . Note that the extension  $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$  is made up of two quadratic extensions

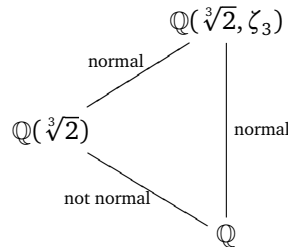
$$\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}(\sqrt{2}) \text{ and } \mathbb{Q}(\sqrt{2})/\mathbb{Q}$$



**6.9 Proposition.** If  $E/F$  is a normal extension and  $K$  is an intermediate field then  $E/K$  is normal.

PROOF: Let  $p(x) \in K[x]$  be irreducible and have a root  $\alpha \in E$ . Let  $f(x) \in F[x]$  be the minimal polynomial of  $\alpha$  over  $F$ . Then  $f(x)$  splits over  $E$  since  $E/F$  is normal, and  $p(x)|f(x)$ . It follows that  $p(x)$  splits over  $E$  as well, so  $E/K$  is a normal extension.  $\square$

Remark.  $K/F$  is not always normal. Take  $F = \mathbb{Q}, K = \mathbb{Q}(\sqrt[3]{2}), E = \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$ . Then  $E/F$  is normal but  $K/F$  is not.



### 6.3 Conjugates

**6.10 Definition.** Let  $E/F$  be a field extension and  $\alpha, \beta \in E$ . If  $\alpha$  and  $\beta$  have the same minimal polynomial then they are said to be *conjugate* over  $F$ .

It is clear that a field extension  $E/F$  is normal if and only if for every  $\alpha \in E$ ,  $E$  contains all of the conjugates of  $\alpha$  over  $F$ .

**6.11 Proposition.** Let  $E/F$  be a finite normal extension and  $\alpha, \beta \in E$ . Then the following are equivalent

1.  $\alpha$  and  $\beta$  are conjugate over  $F$
2. there exists  $\psi \in \text{Aut}_F(E)$  such that  $\psi(\alpha) = \beta$

PROOF: Suppose that  $p(x) \in F[x]$  is the minimal polynomial of both  $\alpha$  and  $\beta$ . Then

$$F(\alpha) \cong F[x]/\langle p(x) \rangle \cong F(\beta)$$

and so there is an  $F$ -isomorphism  $\theta : F(\alpha) \rightarrow F(\beta) : \alpha \mapsto \beta$ . Now  $E/F$  is a finite normal extension, so by an above theorem,  $E$  is the splitting field of some polynomial  $f(x) \in F[x]$ . We can also view  $E$  as a splitting field of  $f(x)$  over  $F(\alpha)$  and  $F(\beta)$  respectively. Thus, there exists an isomorphism  $\psi : E \rightarrow E$  which extends  $\theta$ . It follows that  $\psi \in \text{Aut}_F(E)$  and  $\psi(\alpha) = \beta$ .

Now suppose that there is  $\psi \in \text{Aut}_F(E)$  with  $\psi(\alpha) = \beta$ . Let  $p(x) \in F[x]$  be the minimal polynomial of  $\alpha$  over  $F$ . Then

$$p(\beta) = p(\psi(\alpha)) = \psi(p(\alpha)) = \psi(0) = 0$$

so  $\beta$  is a root of  $p(x)$ . Therefore  $p(x)$  must be the minimal polynomial of  $\beta$  as well.  $\square$

**6.12 Definition.** A normal closure of a finite extension  $E/F$  is a finite normal extension  $N/F$  which has the following properties

1.  $E$  is a subfield of  $N$
2. If  $L$  is any intermediate field of  $N/E$  and  $L$  is normal over  $F$  then  $L = N$ .

**6.13 Theorem.** Every finite extension  $E/F$  has a normal closure  $N/F$ . Moreover,  $N$  is unique up to  $E$ -isomorphism.

PROOF: (Existence) Write  $E = F(\alpha_1, \dots, \alpha_n)$ . Let  $p_i(x) \in F[x]$  be the minimal polynomial of  $\alpha_i$ , and let  $f(x) = \prod_{i=1}^n p_i(x)$ . Let  $N/E$  be the splitting field of  $f(x)$  over  $E$ . Then  $N$  is a normal extension of  $F$  (since it is also the splitting field of  $f(x)$  over  $F$ ) that contains  $E$ . If  $N \supset L \supset E$  is normal then  $f(x)$  splits over  $L$  since each irreducible factor of  $f(x)$  has a root in  $L$ . Thus  $L = N$ , so  $N$  is a normal closure of  $E/F$ .

(Uniqueness) Let  $N_1$  be another normal closure of  $E/F$ . Since  $N_1$  is normal over  $F$  and contains  $\alpha_1, \dots, \alpha_n$ ,  $N_1$  must contain a splitting field  $N_2$  of  $f(x)$  over  $F$  with  $E \subseteq N_2$ . Since  $N_2$  is normal over  $F$ , we must have  $N_1 = N_2$ . Therefore  $N_1$  and  $N$  are splitting fields of  $f(x)$  over  $F$ , and hence over  $E$ , so they are  $E$ -isomorphic by Theorem 3.6.  $\square$

### 6.4 Galois Extensions

**6.14 Definition.** An algebraic extension  $E/F$  is Galois if it is normal and separable. If  $E/F$  is a Galois extension then the Galois group of  $E$  over  $F$  is defined to be  $\text{Aut}_F(E)$ , denoted  $\text{Gal}_F(E)$ .

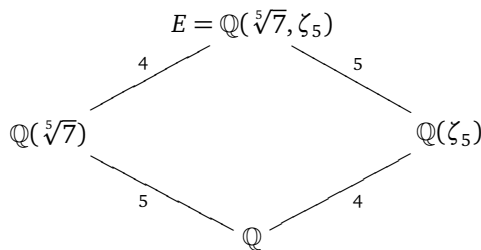
*Remark.* 1. Notice that by the last two sections, the finite Galois extensions of  $F$  are exactly the splitting fields of separable polynomials in  $F[x]$ .

2. If  $E/F$  is a finite Galois extension then  $|\text{Gal}_F(E)| = [E : F]$



3. If  $E/F$  the splitting field of a separable polynomial  $f(x)$  of degree  $n$  then  $\text{Gal}_F(E)$  is a subgroup of  $\mathfrak{S}_n$ .

**6.15 Example.** Let  $E$  be the splitting field of  $x^5 - 7$  over  $\mathbb{Q}$ . Then  $E = \mathbb{Q}(\sqrt[5]{7}, \zeta_5)$ . The minimal polynomials of  $\sqrt[5]{7}$  and  $\zeta_5$  over  $\mathbb{Q}$  are  $x^5 - 7$  and  $x^4 + x^3 + x^2 + x + 1$ , respectively. Since  $[\mathbb{Q}(\sqrt[5]{7}) : \mathbb{Q}] = 5$  and  $[\mathbb{Q}(\zeta_5) : \mathbb{Q}] = 4$  are divisors of  $[E : \mathbb{Q}]$ ,  $[E : \mathbb{Q}]$  is divisible by 20. Since  $[E : \mathbb{Q}] = [E : \mathbb{Q}(\zeta_5)][\mathbb{Q}(\zeta_5) : \mathbb{Q}]$  and  $[\mathbb{Q}(\zeta_5) : \mathbb{Q}] = 4$ , we may conclude that  $[E : \mathbb{Q}(\zeta_5)] \geq 5$ . Also,  $E = \mathbb{Q}(\sqrt[5]{7}, \zeta_5) = \mathbb{Q}(\zeta_5)(\sqrt[5]{7})$  and the minimal polynomial of  $\sqrt[5]{7}$  over  $\mathbb{Q}(\zeta_5)$  is a factor of  $x^5 - 7$ . Thus  $[E : \mathbb{Q}(\zeta_5)] \leq 5$ , and so  $[E : \mathbb{Q}(\zeta_5)] = 5$ .



Then for  $\psi \in \text{Gal}_{\mathbb{Q}}(E)$ ,  $\psi$  is determined by its action on the roots of  $x^5 - 7$ , so denote  $\psi = \psi_{k,s}$  with  $1 \leq s, k \leq 5$  if  $\psi(\sqrt[5]{7}) = \sqrt[5]{7}\zeta_5^k$  and  $\psi(\zeta_5) = \zeta_5^s$ . We have the following identity (Check this)

$$\psi_{k_1, s_1} \circ \psi_{k_2, s_2} = \psi_{k_1 + s_1 k_2, s_1 s_2}$$

There are two ways to view  $\text{Gal}_{\mathbb{Q}}(E)$

1.  $\text{Gal}_{\mathbb{Q}}(E)$  can be viewed as a group of permutations of the roots of  $x^5 - 7$ . Identify the roots of  $x^5 - 7$  with the elements of  $\{1, 2, 3, 4, 5\}$  as  $\ell \leftrightarrow \sqrt[5]{7}\zeta_5^\ell$ . Then, for example, we may view  $\psi_{2,3}$  as  $(5\ 2\ 3\ 1)$ .
2. We can also understand  $\text{Gal}_{\mathbb{Q}}(E)$  in terms of matrix groups. notice that

$$\begin{pmatrix} s_1 & k_1 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} s_2 & k_2 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} s_1 s_2 & k_1 + s_1 k_2 \\ 0 & 1 \end{pmatrix}$$

Thus we can associate  $\psi_{k,s} \in \text{Gal}_{\mathbb{Q}}(E)$  with the matrix

$$\begin{pmatrix} s & k \\ 0 & 1 \end{pmatrix} \in GL_2(\mathbb{F}_5)$$

and the map composition law in  $\text{Gal}_{\mathbb{Q}}(E)$  is preserved by the matrix multiplication. Thus we have that

$$\text{Gal}_{\mathbb{Q}}(E) \cong \left\{ \begin{pmatrix} s & k \\ 0 & 1 \end{pmatrix} \mid s \in \mathbb{F}_5^*, k \in \mathbb{F}_5 \right\}$$

### 6.5 Artin's Theorem

**6.16 Theorem.** (*E. Artin*) Let  $E$  be a field and  $G$  a finite subgroup of  $\text{Aut}(E)$ . Then  $E/E^G$  is a finite Galois extension with  $G = \text{Gal}_{E^G}(E)$ . In particular,  $[E : E^G] = |G|$ .

PROOF: Let  $n = |G|$  and  $F = E^G$ . For any  $\alpha \in E$ , consider the  $G$ -orbit of  $\alpha$ , that is, the set

$$\{\psi(\alpha) \mid \psi \in G\} = \{\alpha = \alpha_1, \dots, \alpha_m\}$$

where the  $\alpha_i$  are distinct and  $m \leq n$ . Let  $f(x) = (x - \alpha_1) \dots (x - \alpha_m)$ . For any  $\psi \in G$ ,  $\psi$  permutes the roots  $\{\alpha_1, \dots, \alpha_m\}$ . Thus  $f(x) \in E^G[x] = F[x]$ . Let  $g(x)$  be a factor of  $f(x)$ . Without loss of generality, we may write  $g(x) = (x - \alpha_1) \dots (x - \alpha_\ell)$  for some  $\ell \leq m$ . If  $\ell \neq m$ , choose  $\psi \in G$  such that  $\{\alpha_1, \dots, \alpha_m\} \neq \{\psi(\alpha_1), \dots, \psi(\alpha_m)\}$ . It follows that  $\psi(g(x)) = (x - \psi(\alpha_1)) \dots (x - \psi(\alpha_\ell)) \neq g(x)$ . Thus, if  $\ell \neq m$  then  $g(x) \notin F[x]$ . Thus  $f(x)$  is irreducible over  $F$ , and so is the minimal polynomial of  $\alpha$  over  $F$ . Since  $f(x)$  is separable and splits over  $E$ , this shows that  $E/F$  is Galois.

Now consider  $[E : F]$ . We show first that  $[E : F] \leq n$ . If  $[E : F] > n = |G|$  then we can choose  $\alpha_1, \dots, \alpha_{n+1} \in E$  which are linearly independent over  $F$ . Consider the system

$$\psi(\alpha_1)v_1 + \dots + \psi(\alpha_{n+1})v_{n+1} = 0 \text{ as } \psi \text{ ranges over } G$$

of linear equations in  $n + 1$  variables  $v_1, \dots, v_{n+1}$ . It has a non-trivial solution in  $(\beta_1, \dots, \beta_{n+1})$  in  $E$ . Assume that  $(\beta_1, \dots, \beta_{n+1})$  has the minimal number of non-zero coordinates, say  $r$ . Clearly,  $r > 1$  and without loss of generality we may assume that  $\beta_1, \dots, \beta_r \neq 0$  and  $\beta_{r+1}, \dots, \beta_{n+1} = 0$ . Furthermore, we may assume that  $\beta_r = 1$ . Thus

$$\psi(\alpha_1)\beta_1 + \dots + \psi(\alpha_r)\beta_r = 0 \text{ for all } \psi \in G \quad (*)$$

and taking  $\psi = id_E$  we get that  $\alpha_1\beta_1 + \dots + \alpha_r\beta_r = 0$ , so we may assume that  $\beta_1 \notin F$  since  $\alpha_1, \dots, \alpha_{n+1}$  are linearly independent in  $F$ . Choose  $\phi \in G$  such that  $\phi(\beta_1) \neq \beta_1$ . Applying  $\phi$  to  $(*)$  yields

$$(\phi \circ \psi)(\alpha_1)\phi(\beta_1) + \dots + (\phi \circ \psi)(\alpha_r)\phi(\beta_r) = 0 \text{ for all } \psi \in G$$

But  $\beta_r = 1$ , so  $\phi(\beta_r) = \beta_r$ , and subtracting this equation from (1) gives us a solution with strictly fewer non-zero coordinates. This contradiction shows that  $[E : F] \leq n$ . We have seen that  $E/F$  is a finite Galois extension, thus  $E$  is a splitting field of some separable polynomial  $g(x) \in F[x]$ . Also, since  $F = E^G$ ,  $G$  is a subgroup of  $\text{Gal}_F(E)$ . But then  $n = |G| \leq |\text{Gal}_F(E)| = [E : F] \leq n$ . Therefore  $[E : F] = n$  and  $G = \text{Gal}_F(E)$ .  $\square$

*Remark.* Let  $E/F$  be a Galois extension with Galois group  $G$ . For  $\alpha \in E$  let  $\{\alpha = \alpha_1, \dots, \alpha_n\}$  be the  $G$ -orbit of  $\alpha$ . This is the set of all conjugate roots of  $\alpha$ . Then the minimal polynomial of  $\alpha$  over  $F$  is  $(x - \alpha_1) \dots (x - \alpha_n)$ .

**6.17 Example.** Let  $E = F(t_1, \dots, t_n)$  be the function field in  $n$  variables over  $F$ . Consider the symmetric group  $\mathfrak{S}_n$  as a subgroup of  $\text{Aut}_F(E)$  which permutes the variables  $t_1, \dots, t_n$ . We would like to find  $E^{\mathfrak{S}_n}$ . The  $\mathfrak{S}_n$ -orbit of  $t_1$  is  $\{t_1, \dots, t_n\}$ . It follows that the minimal polynomial of  $t_1$  over  $E^{\mathfrak{S}_n}$  is

$$f(x) = (x - t_1) \dots (x - t_n)$$

Recall the the elementary symmetric functions in  $t_1, \dots, t_n$  are

$$\begin{aligned} s_0 &= 1 \\ s_1 &= t_1 + \dots + t_n \\ s_2 &= \sum_{1 \leq i < j \leq n} t_i t_j \\ &\vdots \\ s_n &= t_1 \dots t_n \end{aligned}$$

Thus  $f(x) = \sum_{i=0}^n (-1)^{n-i} s_{n-i} x^i$ . Define  $L = F(s_1, \dots, s_n) \subseteq E^{\mathfrak{S}_n}$ . We have  $f(x) \in L[x]$  and  $E$  is a splitting field of  $f(x)$  over  $L$ . Since  $\deg f \leq n$ ,  $[E : L] \leq n!$ . On the other hand,  $[E : E^{\mathfrak{S}_n}] = |\mathfrak{S}_n| = n!$  by Artin's theorem. Since  $L \subseteq E^{\mathfrak{S}_n}$ , we have  $n! = [E : E^{\mathfrak{S}_n}] \leq [E : L] \leq n!$ , and so  $E^{\mathfrak{S}_n} = L$ .

**6.18 Example.** Let  $E = F(t)$  be the function field in one variable over  $F$ . Let  $G$  be the subgroup of  $\text{Aut}_F(E)$  generated by involutions  $\sigma$  and  $\tau$  defined by

$$\sigma : g(t) \mapsto g\left(\frac{1}{t}\right) \text{ and } \tau : g(t) \mapsto g(1-t)$$

Let  $\rho = \sigma\tau$ . Then  $\rho(g(t)) = g(\frac{1}{1-t})$ ,  $\rho^2(g(t)) = g(\frac{t-1}{t})$ , and  $\rho^3(g(t)) = g(t)$ . Hence  $\rho^3 = 1$  in  $G$ . We have  $G = \langle \sigma, \tau \rangle = \langle \rho, \sigma \rangle \cong \mathfrak{S}_3$ . To consider  $E^G$ , notice that the  $G$ -orbit of  $t$  is

$$\begin{array}{ccccc} t & \xrightarrow{\rho} & \frac{1}{1-t} & \xrightarrow{\rho} & \frac{t-1}{t} \\ \downarrow \sigma & & \downarrow \sigma & & \downarrow \sigma \\ \frac{1}{t} & & 1-t & & \frac{t}{t-1} \end{array}$$

Hence the minimal polynomial of  $t$  in  $E^G[x]$  is

$$\begin{aligned} f(x) &= (x-t) \left(x - \frac{1}{1-t}\right) \left(x - \frac{t-1}{t}\right) \left(x - \frac{1}{t}\right) \left(x - \frac{t}{t-1}\right) (x - (1-t)) \\ &= x^6 - 3x^5 + (6-h)(x^4 + x^2) + (2h-7)x^3 - 3x + 1 \end{aligned}$$

where  $h = \frac{(t^2-t+1)^3}{t^2(t-1)^2}$ . Now  $h \in E^G$  (check this) and we have that  $F \subseteq F(h) \subseteq E^G \subseteq E$ . Since

$$(t^2 - t + 1)^3 - ht^2(t - 1)^2 = 0$$

$t \in E$  is a root of  $g(x) = (x^2 - x + 1)^3 - hx^2(x - 1)^2 \in F(h)[x]$ . Since  $\deg g = 6$  and  $E = F(h)(t)$ ,  $[E : F(h)] \leq 6$ . Also,  $[E : E^G] = |G| = 6$  by Artin's theorem. Since  $6 = [E : E^G] \leq [E : F(h)] \leq 6$ , we have that  $E^G = F(h)$  and  $g(x)$  is the minimal polynomial of  $t$  over  $F(h)$ .

## 7 The Galois Correspondence

### 7.1 The Fundamental Theorem

**7.1 Theorem.** (*Fundamental Theorem of Galois Theory*) Let  $E/F$  be a finite Galois extension and  $G = \text{Gal}_F(E)$ . Then there is an order reversing bijection between the intermediate fields of  $E/F$  and the subgroups of  $G$ . More precisely, let  $\text{Int}(E/F)$  denote the set of intermediate fields of  $E/F$  and  $\text{Sub}(G)$  the set of subgroups of  $G$ . Then the maps

- $\text{Int}(E/F) \rightarrow \text{Sub}(G) : L \mapsto L^* := \text{Gal}_L(E)$
- $\text{Sub}(G) \rightarrow \text{Int}(E/F) : H \mapsto H^* := E^H$

are inverses of each other and reverse the inclusion relation. In particular, for  $L_1 \supseteq L_2 \in \text{Int}(E/F)$  and  $H_1 \subseteq H_2 \in \text{Sub}(G)$  then we have

$$[L_1 : L_2] = [L_2^* : L_1^*] \text{ and } [H_1 : H_2] = [H_2^* : H_1^*]$$

$$\begin{array}{ccc}
E & \text{---} & \{1\} = \text{Gal}_E(E) \\
| & & | \\
L_1 & \text{---} & L_1^* = \text{Gal}_{L_1}(E) \\
| & & | \\
L_2 & \text{---} & L_2^* = \text{Gal}_{L_2}(E) \\
| & & | \\
F & \text{---} & G = \text{Gal}_F(E)
\end{array}$$

PROOF: Recall the following theorems:

1. If  $f(x) \in F[x]$  is separable and  $E/F$  is its splitting field then  $E^{\text{Aut}_F(E)} = F$ .
2. If  $E$  is a field and  $G$  is finite subgroup of  $\text{Aut}(E)$  then  $E/E^G$  is a finite Galois extension and  $\text{Gal}_{E^G}(E) = G$ .
3. If  $E/F$  is Galois and  $L$  is an intermediate field then  $E/L$  is also Galois.

Let  $L \in \text{Int}(E/F)$  and let  $H \in \text{Sub}(G)$ . Then

$$E^{\text{Gal}_L(E)} = L \text{ so } (L^*)^* = (\text{Gal}_L(E))^* = L$$

Also,

$$\text{Gal}_{E^H}(E) = H \text{ so } (H^*)^* = (E^H)^* = H$$

Hence we have

$$H \mapsto H^* \mapsto (H^*)^* = H \text{ and } L \mapsto L^* \mapsto (L^*)^* = L$$

so the maps  $L \mapsto L^*$  and  $H \mapsto H^*$  are inverses of each other. For  $L_1, L_2 \in \text{Int}(E/F)$ ,  $E/L_1$  and  $E/L_2$  are also Galois. If  $L_2 \subseteq L_1$  then we have  $\text{Gal}_{L_1}(E) \subseteq \text{Gal}_{L_2}(E)$ . Thus  $L_2 \subseteq L_1 \implies L_1^* \subseteq L_2^*$ . Also,

$$[L_1 : L_2] = \frac{[E : L_2]}{[E : L_1]} = \frac{|\text{Gal}_{L_2}(E)|}{|\text{Gal}_{L_1}(E)|} = \frac{|L_2^*|}{|L_1^*|} = [L_2^* : L_1^*]$$

For  $H_1, H_2 \in \text{Sub}(G)$ , if  $H_2 \subseteq H_1$  then we have  $E^{H_1} \subseteq E^{H_2}$ . Thus  $H_2 \subseteq H_1 \implies H_1^* \subseteq H_2^*$ . Also,

$$[H_1 : H_2] = \frac{|H_1|}{|H_2|} = \frac{|\text{Gal}_{E^{H_1}}(E)|}{|\text{Gal}_{E^{H_2}}(E)|} = \frac{[E : E^{H_1}]}{[E : E^{H_2}]} = [E^{H_2} : E^{H_1}] = [H_2^* : H_1^*] \quad \square$$

*Remark.* Given a finite Galois extension  $E/F$ , we can ask how many intermediate fields are between  $E$  and  $F$ . Without the Fundamental Theorem of Galois Theory, this would be a hard question to answer. In particular, since  $\text{Gal}_F(E)$  is finite for finite Galois extensions, there are only finitely many intermediate fields. This is exactly the spirit of Galois theory: transform a question of infiniteness (fields), which is hard to answer, to a question of finiteness (groups), which is easier to understand.

## 7.2 Applications

**7.2 Lemma.** Let  $E/F$  be a finite Galois extension with Galois group  $G$ . Let  $L$  be an intermediate field. For  $\psi \in G$ , we have

$$\text{Gal}_{\psi(L)}(E) = \psi \text{Gal}_L(E) \psi^{-1}$$

PROOF: For any  $\alpha \in \psi(L)$ ,  $\psi^{-1}(\alpha) \in L$ . If  $\phi \in \text{Gal}_L(E)$ , we have  $\phi \circ \psi^{-1}(\alpha) = \psi^{-1}(\alpha)$ . That is to say,  $\psi \circ \phi \circ \psi^{-1} \in \text{Gal}_{\psi(L)}(E)$  for any  $\phi \in \text{Gal}_L(E)$ . Thus  $\psi \text{Gal}_L(E) \psi^{-1} \subseteq \text{Gal}_{\psi(L)}(E)$ . Since the groups have the same order we conclude that they are the same.  $\square$

**7.3 Theorem.** Let  $E/F$ ,  $L$ ,  $G$  be defined as in the last theorem. Then  $L/F$  is Galois if and only if  $L^*$  is a normal subgroup of  $G$ . In this case

$$\text{Gal}_F(L) \cong G/L^*$$

PROOF:

$$\begin{aligned} L/F \text{ is normal} &\iff \psi(L) = L \ \forall \psi \in \text{Gal}_F(E) \\ &\iff \text{Gal}_{\psi(L)}(E) = \text{Gal}_L(E) \ \forall \psi \in \text{Gal}_F(E) \\ &\iff \psi \text{Gal}_L(E) \psi^{-1} = \text{Gal}_L(E) \ \forall \psi \in \text{Gal}_F(E) \\ &\iff L^* = \text{Gal}_L(E) \text{ is a normal subgroup of } G \end{aligned}$$

If  $L/F$  is a Galois extension, the restriction map  $\psi \mapsto \psi|_L$  from  $G$  to  $\text{Gal}_F(L)$  is well-defined. Moreover, it is surjective and has kernel  $L^*$ . We are done by the first isomorphism theorem.  $\square$

**7.4 Example.** For a prime  $p$ , let  $q = p^n$ . Consider  $\mathbb{F}_q$ , which is an extension of  $\mathbb{F}_p$  of degree  $n$ . The Frobenius Automorphism of  $\mathbb{F}_q$  is defined by

$$\sigma_p : \mathbb{F}_q \rightarrow \mathbb{F}_q : \alpha \mapsto \alpha^p$$

Notice that the above map is really an automorphism (see assignment 3). For all  $\alpha \in \mathbb{F}_q$ , we have that  $\sigma_p^n(\alpha) = \alpha^{p^n} = \alpha$ . Thus  $\sigma_p^n = 1$ . For  $1 \leq m < n$ ,  $\sigma_p^m(\alpha) = \alpha$  implies that  $\alpha$  is a root of  $x^{p^m} - x$ , which has at most  $p^m$  roots. Therefore  $\sigma_p^m \neq 1$ . Hence  $\sigma_p^n$  has order  $n$ . It follows that

$$n = |\langle \sigma_p \rangle| \leq |\text{Gal}_{\mathbb{F}_p}(\mathbb{F}_q)| = [\mathbb{F}_q : \mathbb{F}_p] = n$$

Thus  $\text{Gal}_{\mathbb{F}_p}(\mathbb{F}_q) = \langle \sigma_p \rangle$ .

Consider a subgroup  $H$  of  $\text{Gal}_{\mathbb{F}_p}(\mathbb{F}_q)$  of order  $d$ . Then  $d|n$  and  $[G : H] = \frac{n}{d}$ . By the Fundamental Theorem, we have

$$\frac{n}{d} = [G : H] = [H^* : G^*] = [\mathbb{F}_q^H : \mathbb{F}_p]$$

and thus  $H^* = \mathbb{F}_p^{\frac{n}{d}}$ .

## 7.3 Brief Review of Group Theory

**7.5 Theorem.** (Cauchy) Let  $p$  be prime and  $G$  a finite group. If  $p$  divides  $|G|$  then  $G$  contains an element of order  $p$ .

**7.6 Definition.** Let  $p$  be prime. A group in which every element has order a power of  $p$  is called a  $p$ -group. It follows by Cauchy's theorem that a finite group  $G$  is a  $p$ -group if and only if  $|G|$  is a power of  $p$ .

**7.7 Theorem.** (First Sylow Theorem) Let  $G$  be a group with order  $p^n m$  where  $p$  is prime,  $n > 0$ , and  $\gcd(p, m) = 1$ . Then  $G$  contains a subgroup of order  $p^i$  for each  $1 \leq i \leq n$  and every subgroup of  $G$  of order  $p^i$  for  $i < n$  is normal in some subgroup of order  $p^{i+1}$ .

**7.8 Definition.** A subgroup  $P$  of a group  $G$  is a Sylow  $p$ -subgroup if  $P$  is a maximal  $p$ -subgroup of  $G$ . By the first Sylow theorem, if  $|G| = p^n m$  (as in the theorem) then  $|P| = p^n$ .

**7.9 Theorem.** (Second Sylow Theorem) If  $H$  is a  $p$ -subgroup of a finite group  $G$  and  $P$  is any Sylow  $p$ -subgroup of  $G$ , then there exists  $g \in G$  such that  $H \subseteq gPg^{-1}$ . In particular, any two Sylow  $p$ -subgroups of  $G$  are conjugate.

**7.10 Theorem.** (Third Sylow Theorem) Let  $G$  be a finite group and  $p$  be a prime. Then the number of Sylow  $p$ -subgroups of  $G$  divides  $|G|$  and is of the form  $1 + kp$  for some  $k \geq 0$ .

**7.11 Example.** Determine the lattice of subfields of the splitting field of  $x^5 - 7$ .

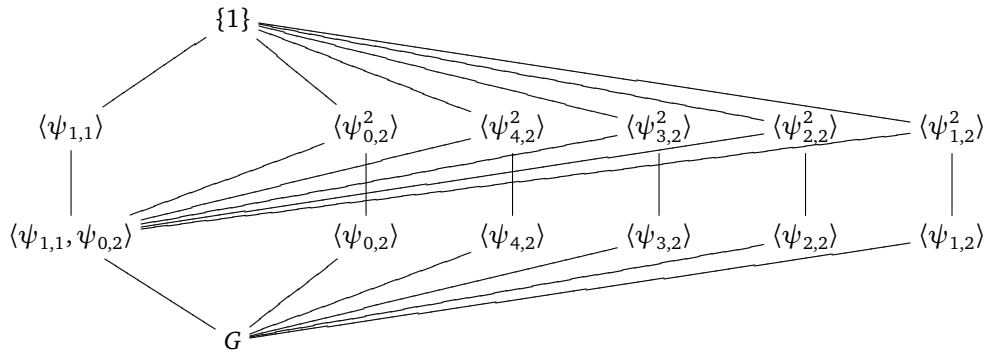
We have seen in the previous section that the splitting field of  $x^5 - 7$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\alpha, \zeta_5)$  where  $\alpha = \sqrt[5]{7}$ . We already know that  $[\mathbb{Q}(\zeta_5) : \mathbb{Q}] = 4$  and  $[E : \mathbb{Q}(\zeta_5)] = 5$ . It follows that  $[E : \mathbb{Q}] = 20$  and  $\text{Gal}_{\mathbb{Q}}(E)$  is a subgroup of  $\mathfrak{S}_5$  of order 20. Also, for each  $\psi \in \text{Gal}_{\mathbb{Q}}(E)$ , we write  $\psi = \psi_{k,s}$  if  $\psi(\alpha) = \alpha\zeta_5^k$  and  $\psi(\zeta_5) = \zeta_5^s$ . Define

$$\sigma : \alpha \mapsto \alpha\zeta_5 : \zeta_5 \mapsto \zeta_5 \text{ and } \tau : \alpha \mapsto \alpha : \zeta_5 \mapsto \zeta_5^2$$

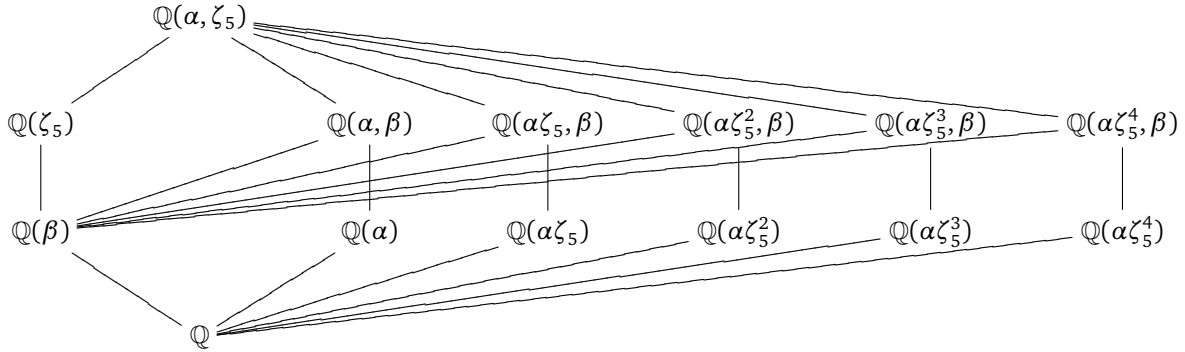
So  $\sigma = \psi_{1,1}$  and  $\tau = \psi_{0,2}$ . It can be checked that  $\tau\sigma = \sigma\tau^2$ . We have

$$G := \text{Gal}_{\mathbb{Q}}(E) = \langle \sigma, \tau \mid \sigma^5 = \tau^4 = 1, \tau\sigma = \sigma\tau^2 \rangle$$

Since  $|G| = 20$ , the possible subgroups of  $G$  are of orders 1, 2, 4, 5, 10, 20. Since  $20 = 4 \cdot 5$ , by the first Sylow theorem,  $G$  has Sylow 2-subgroups and Sylow 5-subgroups. By the third Sylow theorem, there must be only one Sylow 5-subgroup, and it is normal by the second Sylow theorem. Using the same argument, the number of Sylow 2-subgroups of  $G$  is either 1 or 5. But if there is only one Sylow 2-subgroup then it would be normal and hence we would have that  $G \cong \mathbb{Z}_5 \oplus \mathbb{Z}_4$ , a contradiction since  $G$  is not Abelian. Hence there must be 5 Sylow 2-subgroups, and they must all be cyclic (since  $\langle \tau \rangle$  is cyclic and all Sylow 2-subgroups are conjugate). Notice that all the elements of  $G$  are of the form  $\sigma^a \tau^b$ . Conjugating  $\tau$  gives  $\sigma^a \tau \sigma^a$ , and using the relation  $\tau\sigma = \sigma\tau^2$  we get  $\langle \sigma\tau\sigma^{-1} \rangle = \langle \sigma^4\tau \rangle = \langle \psi_{4,2} \rangle$



The corresponding diagram of subfields is



where  $\beta = \zeta_5 + \zeta_5^{-1}$  (notice that  $\beta^2 + \beta - 1 = 0$ ).

### 7.4 The Primitive Element Theorem

Given a field extension  $E/F$ , we may ask

1. Is it simple? That is, is  $E = F(\alpha)$  for some  $\alpha \in E$ ? If this is the case, we say that  $\alpha$  is a primitive element of  $E$ .
2. Are there infinitely many intermediate fields?

We have seen that in characteristic zero every finite extension is simple. However, in characteristic  $p$  there are finite extensions which are not simple.

**7.12 Example.** Let  $F$  be a field with  $\text{ch}(F) = p$  and let  $F(s, t)$  be the rational function field in two variables. We have  $F(s^p, t^p) \subseteq F(s, t^p) \subseteq F(s, t)$ . Since  $t$  is a root of the irreducible polynomial  $x^p - t^p \in F(s, t^p)[x]$  (note that  $t^p \notin F(s, t^p)^p$ ) we have that  $[F(s, t) : F(s, t^p)] = p$ , and similarly  $[F(s, t^p) : F(s^p, t^p)] = p$ . Thus  $F(s, t)$  is a finite extension of  $F(s^p, t^p)$  of degree  $p^2$ . Let  $u \in F(s, t)$ . Notice that  $u^p \in F(s^p, t^p)$ . Thus  $[F(s^p, t^p)(u) : F(s^p, t^p)] \leq p$  since  $u$  is a root of  $x^p - u^p \in F(s^p, t^p)[x]$ . Hence the extension cannot be simple.

**7.13 Theorem.** A finite extension  $E/F$  is simple if and only if it has finitely many intermediate fields.

**PROOF:** Suppose that  $E = F(\alpha)$  is a simple extension. Let  $K$  be any intermediate field. We denote by  $f(x)$  and  $g(x)$  the minimal polynomials of  $\alpha$  over  $F$  and  $K$  respectively. Thus  $g(x)$  is a monic factor of  $f(x)$  in  $E[x]$ . Write  $g(x) = x^m + c_{m-1}x^{m-1} + \dots + c_0$ , where  $c_i \in K$ . Let  $L = F(c_0, \dots, c_{m-1})$ , a subfield of  $K$ . Then  $g(x) \in L[x]$ . Notice that  $E = F(\alpha) = L(\alpha) = K(\alpha)$ . We have

$$m = [E : K] \leq [E : L] = [L(\alpha) : L] \leq m$$

Hence  $K = L = F(c_0, \dots, c_{m-1})$ , so  $K$  is completely determined by  $g(x)$ , a factor of  $f(x)$ . There are only finitely many choices for  $g(x)$ , so there can only be finitely many different intermediate fields.

Suppose conversely that  $E/F$  has only finitely many intermediate fields. Since  $E/F$  is a finite extension,  $E = F(\alpha_1, \dots, \alpha_n)$ . Without loss of generality, we may assume that  $E = F(\alpha, \beta)$  (the general case follows by induction).

*Claim.* There exists  $\lambda \in F$  such that  $F(\alpha + \lambda\beta) = F(\alpha, \beta)$

Since we understand completely a finite extension of a finite field, we may assume that  $F$  is an infinite field. By assumption there are only finitely many intermediate fields, so we can find some  $\lambda, \lambda' \in F$  such that  $\lambda \neq \lambda'$  and  $F(\alpha + \lambda\beta) = F(\alpha + \lambda'\beta)$ . Hence  $\alpha + \lambda\beta, \alpha + \lambda'\beta \in F(\alpha + \lambda\beta)$ , so  $\beta \in F(\alpha + \lambda\beta)$  (since  $\lambda - \lambda' \neq 0$ ). Thus  $E = F(\alpha, \beta) \subseteq F(\alpha + \lambda\beta)$ . The other inclusion is clear, so  $E = F(\alpha + \lambda\beta)$ .  $\square$

**7.14 Theorem.** (*Primitive Element Theorem*) *Every finite separable extension is simple.*

PROOF: Exercise.  $\square$

## 8 Ruler and Compass Constructions

### 8.1 Constructible Points

Consider the Euclidean plane  $\mathbb{R}^2$ . Let  $O, I \in \mathbb{R}^2$  be two distinct points. We take the distance  $OI$  as the unit of length. Introduce an orthogonal coordinate system in  $\mathbb{R}^2$  with the origin  $O$  and  $I$  on the  $x$ -axis with coordinates  $(1, 0)$

**8.1 Definition.** Let  $S$  be any set of points in  $\mathbb{R}^2$ . We call a line  $L$  an  $S$ -line if  $|S \cap L| \geq 2$ . We call a circle  $C$  an  $S$ -circle if the centre of  $C$  is in  $S$  and the radius of  $C$  is equal to the distance between two points in  $S$ .

*Notation.* We denote by  $S'$  the set of points which are either in  $S$  or lie in the intersection of two distinct  $S$ -lines, two distinct  $S$ -circles, or an  $S$ -line and an  $S$ -circle.

**8.2 Definition.** A point  $P \in \mathbb{R}^2$  is constructible if there exists a finite sequence of points  $\{P_1, \dots, P_n\}$  such that  $P_n = P$  and  $P_i \in \{O, I, P_1, \dots, P_{i-1}\}' \forall 1 \leq i \leq n$ .

**8.3 Lemma.** *All rational numbers (i.e. points in  $\mathbb{Q} \times \{0\}$ ) are constructible.*

PROOF: Exercise.  $\square$

**8.4 Theorem.** *For a point  $P = (\alpha, \beta) \in \mathbb{R}^2$ , the following are equivalent*

1.  $P$  is constructible
2. there exists a tower of fields  $\mathbb{Q} = F_0 \subseteq F_1 \subseteq \dots \subseteq F_n \subset \mathbb{R}$  such that  $\alpha, \beta \in F_n$  and  $[F_i : F_{i-1}] \leq 2$  for all  $1 \leq i \leq n$

PROOF: Suppose that  $P$  is constructible. Then there exists a finite sequence of points  $\{P_1, \dots, P_n\}$  such that

$$P_n = P \text{ and } P_i \in \{O, I, P_1, \dots, P_{i-1}\}' \forall 1 \leq i \leq n$$

Write  $P_i = (\alpha_i, \beta_i)$  and define  $F_0 = \mathbb{Q}$  and  $F_i = F_{i-1}(\alpha_i, \beta_i)$ . Let  $S = \{O, I, P_1, \dots, P_{i-1}\}$ , so that  $P \in S'$ . There are two cases

Case 1: If  $P_i \in S$  then  $F_i = F_{i-1}$

Case 2: Suppose  $P_i \in S' \setminus S$ . Then  $P_i$  is the intersection point of two  $S$ -lines, two  $S$ -circles, or an  $S$ -line and an  $S$ -circle. Notice that given two points  $(a, b), (c, d)$ , the equation of the line that contains them is

$$(b - d)x + (c - a)y(ad - bc) = 0$$

Similarly, given the center of a circle  $(a, b)$  and a radius  $r$  then the equation of the circle is

$$(x - a)^2 + (y - b)^2 = r^2$$

There are three subcases



- (a) If  $P_i$  is on the intersection of two  $S$ -lines then we may clearly use the equations of these lines to solve for the coordinates  $P_i$ , and see that  $F_i = F_{i-1}$ .
- (b) If  $P_i$  is on the intersection of an  $S$ -line and an  $S$ -circle then  $\alpha_i$  and  $\beta_i$  are solutions to a equation of degree at most two. Hence  $[F_i : F_{i-1}] \leq 2$ .
- (c) Suppose  $P_i$  is on the intersection of two  $S$ -circles. By subtracting the equations of the circles we get a linear equation that is satisfied by  $\alpha_i$  and  $\beta_i$ , so we may use the last case to see that  $[F_i : F_{i-1}] \leq 2$ .

Now suppose that (2) holds. We prove that  $P$  is constructible by induction on  $n$ . If  $n = 0$  then  $\alpha, \beta \in \mathbb{Q}$ , so  $P$  is constructible by the last lemma. Suppose that for all  $P = (\alpha, \beta)$  with  $\alpha, \beta \in F_{n-1}$  are constructible. Consider  $F_n$ .

1.  $F_n = F_{n-1}$  trivially implies that  $P$  is constructible.
2.  $[F_n : F_{n-1}] = 2$  implies that  $F_n = F_{n-1}(\sqrt{\gamma})$  for some  $\gamma \in F_{n-1}$ ,  $\gamma > 0$ .  $\sqrt{\gamma}$  is constructible (see diagram). In general, for  $\alpha \in F_n$ ,  $\alpha = a + b\sqrt{\gamma}$  with  $a, b \in F_{n-1}$ . Since all of these are constructible so is  $\alpha$ . Therefore  $P$  is constructible.  $\square$

## 8.2 Constructible Numbers

**8.5 Definition.** For  $\alpha \in \mathbb{R}$ ,  $\alpha$  is constructible if the point  $P = (\alpha, 0)$  is constructible. For  $\gamma = \alpha + i\beta \in \mathbb{C}$ ,  $\gamma$  is constructible if the point  $P = (\alpha, \beta)$  is constructible.

**8.6 Corollary.** If  $\alpha \in \mathbb{R}$  is constructible then  $\alpha$  is algebraic and the degree of the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  is a power of 2.

*Remark.* The converse of this corollary is false, as we shall see later.

**8.7 Lemma.** Let  $\gamma = \alpha + i\beta$ . Suppose there is a real field  $L \subseteq \mathbb{Q}(\gamma)$  such that  $[\mathbb{Q}(\gamma) : L] = 2$ . If all elements of  $L$  are constructible then  $\gamma$  is constructible.

PROOF: Since  $[\mathbb{Q}(\gamma) : L] = 2$ ,  $\gamma$  is a root of a polynomial  $ax^2 + bx + c \in L[x]$  where  $a \neq 0$ . Then

$$\gamma = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

so that

$$\alpha = \begin{cases} \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} & \text{if } b^2 - 4ac \geq 0 \\ \frac{-b}{2a} & \text{otherwise} \end{cases} \quad \text{and} \quad \beta = \begin{cases} 0 & \text{if } b^2 - 4ac \geq 0 \\ \pm \frac{\sqrt{4ac - b^2}}{2a} & \text{if } b^2 - 4ac < 0 \end{cases}$$

Recall that if  $\delta \in \mathbb{R}$  is constructible, then so is  $\sqrt{\delta}$ .  $\square$

## 8.3 Applications

**8.8 Example.** 1. The regular pentagon is constructible. It is enough to show that  $\zeta_5$  is constructible. The minimal polynomial of  $\zeta_5$  is  $\Phi_5(x) = x^4 + x^3 + x^2 + x + 1$ . Let  $\beta = \zeta_5 + \zeta_5^{-1} = \frac{\sqrt{5}-1}{2}$ , a real number. The minimal polynomial of  $\beta$  is  $x^2 + x - 1$ , so  $\mathbb{Q} \subseteq \mathbb{Q}(\beta) \subseteq \mathbb{Q}(\zeta)$  is a tower of fields such that the increase of degree at each step is 2.

2. The regular 9-gon is not constructible. Consider  $\zeta_9$  and  $\lambda = \zeta_9 + \zeta_9^{-1}$ . Then  $\zeta_9$  is a root of the polynomial  $x^2 - \lambda x + 1 \in \mathbb{Q}(\lambda)[x]$ . Therefore  $[\mathbb{Q}(\zeta_9) : \mathbb{Q}(\lambda)] = 2$ , so  $\zeta_9$  is constructible if and only if  $\lambda$  is constructible. Since  $x^9 - 1 = (x^3 - 1)(x^6 + x^3 + 1)$  the minimal polynomial of  $\zeta_9$  is  $x^6 + x^3 + 1$ . Notice that

$$\begin{aligned}\lambda^3 &= (\zeta_9 + \zeta_9^{-1})^3 \\ &= \zeta_9^3 + \zeta_9^{-3} + 3(\zeta_9 + \zeta_9^{-1}) \\ &= \zeta_9^3 + \zeta_9^6 + 3\lambda \\ &= -1 + 3\lambda\end{aligned}$$

Therefore  $\lambda$  is a root of the irreducible polynomial  $x^3 - 3x + 1$ , so  $\lambda$  cannot be constructible since 3 is not a power of 2.

Consequently, the angle of  $\frac{2\pi}{3}$  can not be trisected by ruler and compass.

3. The circle cannot be squared. Specifically,  $\sqrt{\pi}$  is not constructible. It is sufficient to show that  $\pi$  is not constructible. But  $\pi$  is not algebraic, so it is not constructible.
4. The unit cube cannot be doubled. Specifically,  $\sqrt[3]{2}$  is not constructible. The minimal polynomial of  $\sqrt[3]{2}$  is  $x^3 - 2$ , which is of degree 3, not a power of two.

**8.9 Theorem.** Let  $\alpha \in \mathbb{R}$  be an algebraic number and  $p(x)$  its minimal polynomial over  $\mathbb{Q}$ . Let  $E/\mathbb{Q}$  be the splitting field of  $p(x)$ . Then  $\alpha$  is constructible if and only if  $\text{Gal}_{\mathbb{Q}}(E)$  is a 2-group.

PROOF: Assume that  $\alpha$  is constructible. Let

$$\mathbb{Q} = F_0 \subseteq F_1 \subseteq \cdots \subseteq F_n \subseteq \mathbb{R}$$

be a tower of real quadratic extensions and  $\alpha \in F_n$ . Since we are in characteristic zero, there is  $\beta \in F_n$  such that  $F_n = \mathbb{Q}(\beta)$ . Let  $p_\beta(x) \in \mathbb{Q}[x]$  be the minimal polynomial of  $\beta$ . Let  $\beta = \beta_1, \dots, \beta_m$  be the roots of  $p_\beta(x)$ . Let  $E_\beta = \mathbb{Q}(\beta_1, \dots, \beta_m)$ , which is a Galois extension. For each  $i = 1, \dots, m$ , define  $\psi_i : \mathbb{Q}(\beta) \rightarrow \mathbb{Q}(\beta_i) : \beta \mapsto \beta_i$  such that  $\psi_i$  fixes  $\mathbb{Q}$ . This is a field isomorphism. We have

$$\begin{aligned}\mathbb{Q} &= F_0 \subseteq F_1 \subseteq \cdots \subseteq F_n = \mathbb{Q}(\beta) \\ &= \mathbb{Q}(\beta)(\psi_2(F_0)) \subseteq \mathbb{Q}(\beta)(\psi_2(F_1)) \subseteq \cdots \subseteq \mathbb{Q}(\beta)(\psi_2(F_n)) = \mathbb{Q}(\beta_1, \beta_2) \\ &= \mathbb{Q}(\beta_1, \beta_2)(\psi_3(F_0)) \subseteq \cdots \subseteq \mathbb{Q}(\beta_1, \beta_2, \beta_3) \\ &\vdots \\ &\subseteq \mathbb{Q}(\beta_1, \dots, \beta_m) = E_\beta\end{aligned}$$

which is a chain of quadratic extensions. Therefore  $[E_\beta : \mathbb{Q}]$  is a power of 2. Since  $\alpha \in \mathbb{Q}(\beta) \subseteq E_\beta$  and  $E_\beta$  is Galois, all of the conjugates of  $\alpha$  are in  $E_\beta$ . It follows that  $E$  is a subfield of  $E_\beta$ , and so the degree of  $E$  over  $\mathbb{Q}$  is a power of 2. Hence  $|\text{Gal}_{\mathbb{Q}}(E)|$  is a power of 2.

Conversely, let  $G = \text{Gal}_{\mathbb{Q}}(E)$ . If  $|G| = 2^n$  for some  $n$ , by the first Sylow theorem there exists a subgroup  $H_{n-1} \subseteq G$  of order  $2^{n-1}$ . Applying the Sylow theorem repeatedly, we get a chain of subgroups of  $G$

$$\{1\} = H_0 \subseteq H_1 \subseteq \cdots \subseteq H_{n-1} \subseteq H_n = G$$

Let  $H_i^* = E^{H_i}$ . By the Fundamental Theorem of Galois Theory,

$$E = H_0^* \supseteq H_1^* \supseteq \cdots \supseteq H_{n-1}^* \supseteq H_n^* = G^* = \mathbb{Q}$$

where  $[H_{i-1}^* : H_i^*] = 2$  for  $i = 1, \dots, m$ . Since  $\alpha \in E$ ,  $\alpha$  is constructible. □

## 9 Cyclotomic Extensions

### 9.1 Cyclotomic Polynomials

For a prime  $p$ , the  $p^{\text{th}}$  cyclotomic polynomial

$$\Phi_p(x) = \frac{x^p - 1}{x - 1} = x^{p-1} + x^{p-2} + \cdots + x + 1$$

is irreducible. However, for general  $n$  the polynomial  $\frac{x^n - 1}{x - 1}$  is not irreducible if  $n$  is not prime. To generalize the definition of cyclotomic polynomial to general  $n$ , we notice that

$$\Phi_p(x) = (x - \zeta_p)(x - \zeta_p^2) \cdots (x - \zeta_p^{p-1})$$

For each  $k = 1, \dots, p - 1$  we have that  $\gcd(k, p) = 1$ . Hence

$$\Phi_p(x) = \prod_{\substack{1 \leq k \leq p \\ (k, p) = 1}} (x - \zeta_p^k)$$

Thus, a natural way to define  $\Phi_n(x)$  is

$$\Phi_n(x) = \prod_{\substack{1 \leq k \leq n \\ (k, n) = 1}} (x - \zeta_n^k)$$

**9.1 Definition.** Let  $n \in \mathbb{N}$  and  $\zeta_n = e^{\frac{2\pi i}{n}}$ . For any  $k \in \mathbb{N}$  with  $(k, n) = 1$ , we call  $\zeta_n^k$  a primitive  $n^{\text{th}}$  root of unity in  $\mathbb{C}$ .

**9.2 Proposition.**  $x^n - 1 = \prod_{d|n} \Phi_d(x)$ , where  $d$  runs through all positive divisors of  $n$ .

**9.3 Example.**  $x^6 - 1 = (x - 1)(x + 1)(x^2 + x + 1)(x^2 - x + 1)$ , so the sixth cyclotomic polynomial is  $\Phi_6(x) = x^2 - x + 1$ .

Notice that if  $\psi \in \text{Gal}_{\mathbb{Q}}(\mathbb{Q}(\zeta_n))$  then  $\psi(\zeta_n) = \zeta_n^k$ , where  $(k, n) = 1$ . It follows that  $\Phi_n(x) \in \mathbb{Q}[x]$ .

**9.4 Theorem.** The polynomial  $\Phi_n(x)$  has integer coefficients and is irreducible over  $\mathbb{Q}$ .

PROOF: The following statement is an application of Gauß's Lemma.

*Claim.* Let  $h(x) \in \mathbb{Z}[x]$  be monic and  $h(x) = f(x)g(x)$ , where  $f(x), g(x) \in \mathbb{Q}[x]$ . If  $f(x), g(x)$  are both monic then  $f(x), g(x) \in \mathbb{Z}[x]$ .

Now let  $\zeta_n$  be a primitive  $n^{\text{th}}$  root of unity and  $f(x)$  be the minimal polynomial of  $\zeta_n$  over  $\mathbb{Q}$ . Then  $x^n - 1 = f(x)g(x)$  for some  $g(x) \in \mathbb{Q}[x]$ . Since  $f(x)$  is monic,  $g(x)$  is monic, so  $f(x), g(x) \in \mathbb{Z}[x]$ . Let  $p$  be a prime with  $(n, p) = 1$ . Reduce the above equation modulo  $p$  to get  $x^n - \bar{1} = \bar{f}(x)\bar{g}(x)$  in  $\mathbb{F}_p$ . Since  $(n, p) = 1$ ,  $x^n - \bar{1}$  has no multiple roots in any extension of  $\mathbb{F}_p$ . In particular,  $\bar{f}(x)$  and  $\bar{g}(x)$  are relatively prime.

Notice that  $f(\zeta_n^p)g(\zeta_n^p) = (\zeta_n^p)^n - 1 = 0$ . Suppose that  $g(\zeta_n^p) = 0$ . Since  $f(x)$  is the minimal polynomial of  $\zeta_n$  and  $g(\zeta_n^p) = 0$ , we have  $g(x^p) = f(x)h(x)$  for some  $h(x) \in \mathbb{Z}[x]$ . Then  $\bar{g}(x)^p = \overline{g(x^p)} = \bar{f}(x)\bar{h}(x)$ , and this is a contradiction because if  $\bar{r}(x)$  is an irreducible factor of  $\bar{f}(x)$  then  $\bar{r}(x)$  divides  $\bar{g}(x)$ , contradicting that  $\bar{f}(x)$  and  $\bar{g}(x)$  are relatively prime. Therefore  $f(\zeta_n^p) \neq 0$ . Now for  $1 \leq k \leq n$  with  $(k, n) = 1$ , let  $k = p_1 \cdots p_s$  its prime factorization (where the  $p_i$ 's are not necessarily distinct). Notice that if  $\zeta_n$  is a primitive root, then  $\zeta_n^p$  with  $(p, n) = 1$  is also a primitive root. Hence we have

$$0 = f(\zeta_n) = f(\zeta_n^{p_1}) = \cdots = f(\zeta_n^{p_s}) = f(\zeta_n^{p_1 p_2}) = \cdots = f(\zeta_n^k)$$

Thus all primitive  $n^{\text{th}}$  roots  $\zeta_n^k$  are roots of  $f(x)$ , so  $\Phi_n(x) | f(x)$ . The other direction is obvious, so  $\Phi_n(x) = f(x)$  is the minimal polynomial of  $\zeta_n$  over  $\mathbb{Q}$ .  $\square$

## 9.2 Cyclotomic Fields

**9.5 Definition.** The  $n^{\text{th}}$  cyclotomic field is  $\mathbb{Q}(\zeta_n)$ , a splitting field of  $x^n - 1$ .

**9.6 Theorem.** The Galois group of  $x^n - 1$  over  $\mathbb{Q}$  is isomorphic to  $\mathbb{Z}_n^*$ , the group of invertible elements of  $\mathbb{Z}_n$ . It follows that  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n)$ , where  $\varphi$  is the Euler function.

PROOF: Exercise. □

**9.7 Theorem.** Every quadratic extension of  $\mathbb{Q}$  in  $\mathbb{C}$  is contained in some cyclotomic extension  $\mathbb{Q}(\zeta_n)$ .

PROOF: Every quadratic extension is of the form  $\mathbb{Q}(\sqrt{D})$ , where  $D \neq 1$  square-free integer. Notice that for distinct primes  $p_1$  and  $p_2$ , if  $\mathbb{Q}(\sqrt{p_1}) \subseteq \mathbb{Q}(\zeta_{n_1})$  and  $\mathbb{Q}(\sqrt{p_2}) \subseteq \mathbb{Q}(\zeta_{n_2})$  then  $\mathbb{Q}(\sqrt{p_1 p_2}) \subseteq \mathbb{Q}(\zeta_{n_1}, \zeta_{n_2}) \subseteq \mathbb{Q}(\zeta_{n_1 n_2})$ . Hence it is enough to consider  $\mathbb{Q}(\sqrt{\pm p})$  for prime  $p$ .

If  $p = 2$ , since  $(1+i)^2 = 2i$  and  $1+i \in \mathbb{Q}(\zeta_4) = \mathbb{Q}(i)$ , we have  $\sqrt{2i} \in \mathbb{Q}(\zeta_4)$ . Also,  $i \in \mathbb{Q}(\zeta_4)$ , so  $\sqrt{i} \in \mathbb{Q}(\zeta_8)$ . It follows that  $\sqrt{2}, \sqrt{-2} \in \mathbb{Q}(\zeta_8)$ , and so  $\mathbb{Q}(\sqrt{\pm 2}) \subseteq \mathbb{Q}(\zeta_8)$ .

Let  $p$  be an odd prime. Consider  $\mathbb{Q}(\zeta_p)$ . The minimal polynomial of  $\zeta_p$  over  $\mathbb{Q}$  is

$$\Phi_p(x) = \prod_{1 \leq k < p} (x - \zeta_p^k)$$

The discriminant of  $\Phi_p(x)$  is

$$D(\Phi_p) = \prod_{1 \leq i < j < p} (\zeta_p^i - \zeta_p^j)^2$$

It can be shown that  $D(\Phi_p) = (-1)^{\frac{p-1}{2}} p^{p-2}$ . Thus we have

$$\prod_{1 \leq i < j < p} (\zeta_p^i - \zeta_p^j) = \pm p^{\frac{p-3}{2}} \sqrt{(-1)^{\frac{p-1}{2}} p}$$

Since  $\frac{p-3}{2} \in \mathbb{Z}$  and  $\prod_{1 \leq i < j < p} (\zeta_p^i - \zeta_p^j) \in \mathbb{Q}(\zeta_p)$ , if  $p \equiv 1 \pmod{4}$  then  $\sqrt{p} \in \mathbb{Q}(\zeta_p)$  and  $\sqrt{-p} \in \mathbb{Q}(\zeta_{4p})$ . Otherwise, if  $p \equiv 3 \pmod{4}$  then  $\sqrt{-p} \in \mathbb{Q}(\zeta_p)$  and  $\sqrt{p} \in \mathbb{Q}(\zeta_{4p})$ .

Hence in all cases,  $\mathbb{Q}(\sqrt{\pm p}) \subseteq \mathbb{Q}(\zeta_{4p})$ . □

*Remark.* Notice that  $\text{Gal}_{\mathbb{Q}}(\mathbb{Q}(\sqrt{D})) \cong \{1\}$  or  $\mathbb{Z}_2$ , which are Abelian groups. We call these type of extensions Abelian extensions. It turns out that all Abelian extensions of  $\mathbb{Q}$  in  $\mathbb{C}$  are contained in some cyclotomic extension (Kronecker-Weber). The proof of this theorem is beyond the scope of this course. The proof of the converse is not too difficult.

## 9.3 Abelian Extensions

**9.8 Lemma.** Let  $p$  be prime and  $m \geq 1$  with  $p \nmid m$ . Let  $\Phi_m(x) \in \mathbb{Z}[x]$  be the  $m^{\text{th}}$  cyclotomic polynomial and  $a \in \mathbb{Z}$ . Then  $p \mid \Phi_m(a)$  if and only if  $a$  is not divisible by  $p$  and  $\bar{a}$  has order  $m$  in  $\mathbb{F}_p^*$ .

PROOF: Assume  $p \mid \Phi_m(a)$ . Then since  $m$  and  $p$  are coprime,  $x^m - \bar{1} \in \mathbb{F}_p[x]$  has no multiple roots in any extension of  $\mathbb{F}_p$ . Write

$$x^m - \bar{1} = \prod_{d \mid m} \overline{\Phi}_d(x) = \overline{\Phi}_m(x) \prod_{\substack{d \mid m \\ d < m}} \overline{\Phi}_d(x) \in \mathbb{F}_p[x]$$

We have  $p|\Phi_m(a)$ , so  $\overline{\Phi_m(a)} = 0$ , and hence  $(\overline{a})^m = \overline{1}$ . It follows that  $p \nmid a$ . Since  $p \nmid m$ ,  $x^m - \overline{1} \in \mathbb{F}_p[x]$  has no multiple roots in any extension. We have already seen that the order of  $\overline{a}$  divides  $m$ . Assume  $d < m$  is the order of  $\overline{a}$ . Then  $\overline{a}^d - \overline{1} = 0$ , so  $\overline{a}$  is a root of  $\overline{\Phi_{d'}}$  for some  $d'|d$ . But then  $d'|m$ , and so  $\overline{a}$  is a double root of  $x^m - \overline{1}$ , a contradiction. Therefore the order of  $\overline{a}$  is  $m$  in  $\mathbb{F}_p^*$ .

Suppose conversely. If  $d|m$  and  $d < m$  then  $\overline{a}^d - \overline{1} \neq 0$  so  $\overline{\Phi_d(a)} \neq 0$  either. Since  $\overline{a}^m - \overline{1} = 0$ , we must have  $\overline{\Phi_m(a)} = 0$ , so  $p|\Phi_m(a)$ . □

We have all seen Euclid's theorem that there are infinitely many primes. We may generalize this slightly and say that there are infinitely many primes congruent to 1 modulo 2. Can we generalize this further?

**9.9 Lemma.** *If  $f(x) \in \mathbb{Z}[x]$  is a monic polynomial and  $\deg f \geq 1$ , the set of prime divisors of the non-zero integers in the sequence  $f(1), f(2), f(3), \dots$  is infinite.*

PROOF: Suppose  $p_1, \dots, p_k$  are the prime divisors of the non-zero integers in the sequence  $f(1), f(2), f(3), \dots$ . Choose  $s \in \mathbb{Z}$  such that  $m = f(s) \neq 0$ . Define  $g(x) = \frac{1}{m}f(s + mp_1 \dots p_k x)$ . Notice that  $g(0) = \frac{1}{m}f(s) = 1$ . Also, since all terms involving  $x$  in  $f(s + mp_1 \dots p_k x)$  have  $m$  in the coefficients,  $g(x) \in \mathbb{Z}[x]$ . Moreover, for any  $n \in \mathbb{Z}$ ,  $g(n) \equiv 1 \pmod{p_1 \dots p_k}$ . Choose  $n \in \mathbb{Z}$  such that  $|g(n)| > 1$ . Since  $p_i | g(n) - 1$  and  $|g(n)| > 1$  it follows that  $p_i \nmid g(n)$  for all  $i = 1, \dots, k$ . Hence  $g(n)$  has a prime divisor  $p \notin \{p_1, \dots, p_k\}$ , and so  $p | f(s + mp_1 \dots p_k n)$ , a contradiction. Therefore there are infinitely many divisors of this sequence. □

**9.10 Theorem.** *(Dirichlet's Theorem, weak version) Let  $m$  be a positive integer. Then there are infinitely many primes  $p$  such that  $p \equiv 1 \pmod{m}$ .*

PROOF: Consider  $\Phi_m(x) \in \mathbb{Z}[x]$ , which has degree at least 1. By the above lemma there are infinitely many prime divisors  $p$  of  $\Phi_m(1), \Phi_m(2), \dots$ . If  $p|\Phi_m(a)$  for some  $a > 1$  then  $\overline{a}$  has order  $m$  in  $\mathbb{F}_p^*$ . Since  $\mathbb{F}_p^*$  has order  $p - 1$ ,  $m|p - 1$ , so  $p \equiv 1 \pmod{m}$ . □

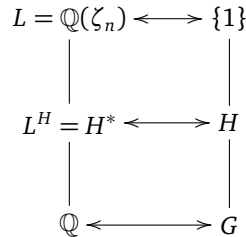
*Remark.* The actual statement of Dirichlet's Theorem is much stronger. Considering modulo  $m$ , for almost all primes  $p$ ,  $p \equiv k \pmod{m}$  where  $(k, m) = 1$ . There are  $\varphi(m)$  equivalence classes for each  $m$ . Let  $\pi(x)$  denote the number of primes less than or equal to  $x$ . Consider  $\pi(x, k, m)$ , the number of primes less than or equal to  $x$  and congruent to  $k$  modulo  $m$ . Dirichlet's Theorem says that  $\pi(x, k, m) = \frac{1}{\varphi(m)} \pi(x) + \text{error}$ .

**9.11 Theorem.** *Given a finite Abelian group  $A$ , there is a subfield  $E$  of a cyclotomic field with  $\text{Gal}_{\mathbb{Q}}(E) \cong A$ .*

PROOF: We have  $A \cong C_{k_1} \times \dots \times C_{k_s}$  where  $C_k$  is the cyclic group of order  $k$ . Choose odd primes  $p_1 < \dots < p_s$  such that  $p_1 \equiv 1 \pmod{k_1}, \dots, p_s \equiv 1 \pmod{k_s}$ . Such primes exist by Dirichlet's Theorem. Let  $n = p_1 \dots p_s$  and consider the  $n^{\text{th}}$  cyclotomic field  $L = \mathbb{Q}(\zeta_n)$ . Then

$$\begin{aligned} G = \text{Gal}_{\mathbb{Q}}(L) &\cong \mathbb{Z}_n^* \\ &\cong (\mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s})^* \\ &\cong C_{p_1-1} \times \dots \times C_{p_s-1} \end{aligned}$$

Write  $p_1 - 1 = k_1 d_1, \dots, p_s - 1 = k_s d_s$ . Since  $C_{p_i-1}$  is cyclic, there exists a subgroup  $D_{d_i}$  of  $C_{p_i-1}$  which is of order  $d_i$ . Moreover,  $C_{p_i-1}/D_{d_i} \cong C_{k_i}$ . Define  $H \cong D_{d_1} \times \dots \times D_{d_s}$ , which is a normal subgroup of  $G$ . Also,  $G/H \cong C_{k_1} \times \dots \times C_{k_s} \cong A$ .



Let  $E = H^* = L^H$ . Since  $H$  is normal, by Theorem 7.3,  $E/\mathbb{Q}$  is Galois. Also,  $\text{Gal}_{\mathbb{Q}}(E) \cong G/H \cong A$ .  $\square$

## 9.4 Constructible $n$ -gons

**9.12 Definition.** A Fermat prime is a Fermat number  $F_n = 2^{2^n} + 1$  which is prime.

*Remark.* 1. Fermat conjectured in 1650 that every Fermat number is prime. The conjecture is false since  $F_5 = 2^{2^5} + 1 = 641 \cdot 6700417$ .

2. Are there infinitely many Fermat primes? This question is still open. The only Fermat primes known to date are  $F_0 = 3$ ,  $F_1 = 5$ ,  $F_2 = 17$ ,  $F_3 = 257$ , and  $F_4 = 65537$ .

**9.13 Theorem.** (Gauss) *The regular  $n$ -gon is constructible if and only if  $n = 2^k p_1 \dots p_m$  where  $k \geq 0$  and the  $p_i$  are distinct Fermat primes.*

PROOF: Let  $\zeta_n$  be a primitive  $n^{\text{th}}$  root of unity. We have seen that the minimal polynomial of  $\zeta_n$  has degree  $\varphi(n)$ . By Corollary 8.6, the regular  $n$ -gon is constructible if and only if  $\varphi(n)$  is a power of 2. Write  $n = 2^k p_1^{d_1} \dots p_r^{d_r}$  where  $k \geq 0$ ,  $d_i \geq 1$ , and  $p_i$  are distinct odd primes. Then  $\varphi(n) = \varphi(2^k) \varphi(p_1^{d_1}) \dots \varphi(p_r^{d_r})$ . Now  $\varphi(2^k)$  is always a power of 2.  $\varphi(p_i^{d_i}) = p_i^{d_i-1} (p_i - 1)$ , and so is a power of 2 if and only if  $d_i = 1$  and  $p_i - 1$  is a power of 2. Write  $p_i = 2^{r_i} + 1$ . Notice that if  $q$  is an odd prime dividing  $r$  then  $2^r + 1 = (2^{\frac{r}{q}} + 1)(2^{\frac{r}{q}(q-1)} - 2^{\frac{r}{q}(q-2)} + \dots \pm 1)$ . Thus since  $p_i$  is prime, it must be the case that  $r_i$  is a power of 2 as well.  $\square$

## 10 Galois Groups of Polynomials

### 10.1 Discriminant

**10.1 Definition.** Let  $F$  be a field and  $f(x) \in F[x]$  a separable polynomial. Let  $E$  be the splitting field of  $f(x)$  over  $F$ . The Galois group of  $f(x)$  is  $\text{Gal}_F(E)$ . We denote it by  $\text{Gal}_F(f)$ .

**10.2 Definition.** Let  $F$  be a field and let  $f(x) \in F[x]$  be a square-free separable polynomial of degree  $n$ . Let  $\alpha_1, \dots, \alpha_n$  be the  $n$  distinct roots of  $f(x)$  in some splitting field  $E$  of  $F$ . The discriminant  $D(f)$  of  $f(x)$  is

$$D(f) = \prod_{i < j} (\alpha_i - \alpha_j)^2$$

*Remark.* We do not lose generality by assuming that  $f(x)$  is square-free. If  $p(x)^2 | f(x)$ , the splitting field of  $f(x)$  is the same as the splitting field of  $\frac{f(x)}{p(x)}$ .

**10.3 Proposition.** *Let  $F$  be a field of characteristic not 2. Let  $f(x) \in F[x]$  be a square-free separable polynomial of degree  $n$ . Let  $D(f)$  be the discriminant of  $f(x)$ ,  $d^2 = D(f)$ , and  $G = \text{Gal}_F(f)$ . Then*

1.  $D(f) \in F$
2. For each  $\psi \in G \subseteq \mathfrak{S}_n$ ,  $\psi(d) = \pm d$ , and moreover  $\psi$  is even if and only if  $\psi(d) = d$ .
3. In the Galois correspondence of subgroups of  $G$  with intermediate fields of  $E/F$  ( $E$  is a splitting field of  $f(x)$  over  $F$ ) we have

$$F(d)^* = G \cap A_n$$

*In particular,  $G$  consists of even permutations if and only if  $d \in F$  (which is to say that  $D(f)$  is a square in  $F$ ).*

PROOF: Assignment 6.  $\square$

## 10.2 Cubic Polynomials

Let  $F$  be a field of characteristic not 2. A general cubic polynomial in  $F[x]$  is of the form

$$\tilde{p}(x) = x^3 + \tilde{a}x^2 + \tilde{b}x + \tilde{c} \in F[x]$$

If  $\text{ch}(F) \neq 3$ , by replacing  $x$  with  $(x - \frac{\tilde{a}}{3})$  it suffices to consider

$$p(x) = x^3 + bx + c$$

If  $p(x)$  is separable and square-free, say  $\alpha_1, \alpha_2, \alpha_3$  are the distinct roots of  $p(x)$ . Then

$$D(p) = (\alpha_1 - \alpha_2)^2(\alpha_1 - \alpha_3)^2(\alpha_2 - \alpha_3)^2 = -4b^3 - 27c^2$$

Since  $\deg p = 3$ ,  $\text{Gal}_F(p) \subseteq \mathfrak{S}_3$ . By Propostion 10.3 we get

**10.4 Theorem.** *Let  $F$  be a field with  $\text{ch}(F) \neq 2, 3$ . Let  $p(x) = x^3 + bx + c \in F[x]$  be an irreducible polynomial and  $D(p)$  its discriminant. Then*

$$\text{Gal}_F(p) = \begin{cases} A_3 \cong C_3 & \text{if } D(p) \text{ is a square in } F \\ \mathfrak{S}_3 & \text{otherwise} \end{cases}$$

**10.5 Definition.** A subgroup  $G$  of the symmetric group  $\mathfrak{S}_n$  is *transitive* if for any  $1 \leq i \neq j \leq n$ , there is  $\psi \in G$  such that  $\psi(i) = j$ .

**10.6 Lemma.** *Let  $F$  be a field and  $f(x) \in F[x]$ . Let  $G = \text{Gal}_F(f)$ . If  $f(x)$  is an irreducible separable polynomial of degree  $n$  then  $G$  is isomorphic to a transitive subgroup of  $\mathfrak{S}_n$  and  $n$  divides the order of  $G$ .*

PROOF: Let  $\alpha = \alpha_1, \dots, \alpha_n$  be distinct roots of  $f(x)$  and  $E = F(\alpha_1, \dots, \alpha_n)$  be the splitting field. Since  $F(\alpha) \subseteq E$ ,  $[F(\alpha) : F]$  is a divisor of  $[E : F]$ . Hence  $n = [F(\alpha) : F]$  divides  $|G| = [E : F]$ .

For any  $i \neq j$  there is a field isomorphism  $\sigma : F(\alpha_i) \rightarrow F(\alpha_j) : \alpha_i \mapsto \alpha_j$  such that  $\sigma|_F = id_F$ . Since  $E$  is a splitting field of  $f(x)$  over  $F(\alpha_i)$  and  $F(\alpha_j)$  there is  $\psi : E \rightarrow E$  which extends  $\sigma$ . Clearly  $\psi$  is an automorphism of  $E$  that maps  $\alpha_i$  to  $\alpha_j$ . Hence  $\text{Gal}_F(f)$  is a transitive subgroup of  $\mathfrak{S}_n$ .  $\square$

## 10.3 Quartic Polynomials

Now we consider a quartic polynomial. Let  $F$  be a field of characteristic not 2. A general quartic polynomial in  $F[x]$  is of the form

$$\tilde{p}(x) = x^4 + \tilde{a}x^3 + \tilde{b}x^2 + \tilde{c}x + \tilde{d} \in F[x]$$

By replacing  $x$  with  $(x - \frac{\tilde{a}}{4})$  it suffices to consider

$$p(x) = x^4 + bx^2 + cx + d$$

If  $p(x)$  is irreducible and separable, by the above theorem  $G = \text{Gal}_F(f)$  is a transitive subgroup of  $\mathfrak{S}_4$ , the order of which is divisible by 4. The possibilities are  $\mathfrak{S}_4, A_4, D_4, V$ , and  $C_4$ . Let  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  be the roots of  $p(x)$ . Set

$$u = \alpha_1\alpha_2 + \alpha_3\alpha_4$$

$$v = \alpha_1\alpha_3 + \alpha_2\alpha_4$$

$$w = \alpha_1\alpha_4 + \alpha_2\alpha_3$$

Notice that  $u, v, w$  are all distinct. Every  $\psi \in \text{Gal}_F(p)$  permutes the roots of  $p(x)$ , and so permutes  $\{u, v, w\}$ . Hence we have

$$g_p(x) := (x - u)(x - v)(x - w) \in F[x]$$

It can be computed that

$$g_p(x) = x^3 - bx^2 - 4dx + 4bd - c^2$$

Notice that

$$u - v = (\alpha_1 - \alpha_4)(\alpha_2 - \alpha_3)$$

$$v - w = (\alpha_1 - \alpha_2)(\alpha_3 - \alpha_4)$$

$$w - u = (\alpha_1 - \alpha_3)(\alpha_4 - \alpha_2)$$

and hence  $D(g_p) = D(p)$ . We call  $g_p$  the resolvent cubic of  $p(x)$ .

**10.7 Lemma.** *Let  $F$  be a field of characteristic not 2. Let  $p(x) = x^4 + bx^2 + cx + d \in F[x]$  be irreducible and separable and  $g_p$  be its resolvent cubic (as above). Let*

$$E = F(\alpha_1, \alpha_2, \alpha_3, \alpha_4) \text{ and } L = F(u, v, w)$$

*be the splitting fields of  $p$  and  $g_p$  respectively. Under the Galois correspondence for  $G = \text{Gal}_F(p) = \text{Gal}_F(E)$ ,  $L$  corresponds to the subgroup  $G \cap V$ . It follows that*

$$\text{Gal}_F(g_p) = \text{Gal}_F(L) \cong G/G \cap V$$

PROOF: (Sketch) Since all elements of  $V$  fix  $u, v, w$ , we have  $G \cap V \subseteq L^* = \text{Gal}_F(L)$ . Hence to show that  $G \cap V = L^*$  it suffices to show that all elements of  $G \setminus V$  move at least one of  $u, v, w$ . Just check all 20 possibilities (or check 5 representatives from the cosets of  $\mathfrak{S}_4/V$ ). Notice that  $V$  is a normal subgroup of  $\mathfrak{S}_4$  and so is  $G$ , so  $G \cap V$  is normal. By Theorem 7.3  $L$  is a Galois extension of  $F$  and  $\text{Gal}_F(L) \cong G/G \cap V$ .  $\square$

Let  $m = |\text{Gal}_L(E)| = |G/G \cap V|$ . We have the following table

$G$	$\mathfrak{S}_4$	$A_4$	$D_4$	$V$	$C_4$
$G \cap V$	$V$	$V$	$V$	$V$	$C_2$
$G/G \cap V$	$\mathfrak{S}_3$	$C_3$	$C_2$	$C_1$	$C_2$
$m$	6	3	2	1	2

In the case  $m = 2$ ,  $g_p(x)$  has exactly one root in  $F$ , say  $u \in F$  and  $v, w \notin F$ . Since either  $G \cong D_4$  or  $C_4$  and both  $D_4$  and  $C_4$  contain a 4-cycle, there is an element in  $G$  of order 4. Since  $u = \alpha_1\alpha_2 + \alpha_3\alpha_4$  we have  $\sigma = (1\ 2\ 3\ 4) \in G$  and  $\sigma^2 = (1\ 2)(3\ 4) \in G$ . Consider

$$x^2 - ux + d = (x - \alpha_1\alpha_2)(x - \alpha_3\alpha_4)$$

Notice that

$$(\alpha_1 + \alpha_2)(\alpha_3 + \alpha_4) + (\alpha_1\alpha_2 + \alpha_3\alpha_4) = b$$

Hence we have

$$x^2 + (b - u) = (x - (\alpha_1 + \alpha_2))(x - (\alpha_3 + \alpha_4))$$

since the roots sum to zero. Assume that  $G \cong C_4 = \langle \sigma \rangle$ . Then  $\text{Gal}_L(E) = G \cap V = \langle \sigma^2 \rangle$ . Also,  $\sigma^2$  fixes  $\alpha_1\alpha_2, \alpha_3\alpha_4, \alpha_1 + \alpha_2, \alpha_3 + \alpha_4$ . Hence  $x^2 - ux + d, x^2 + b - u \in F[x]$  and they split over  $L$ .

Conversely, if  $x^2 - ux + d, x^2 + b - u$  split over  $L$  then  $\alpha_1 + \alpha_2, \alpha_1\alpha_2 \in L$ . Since  $\alpha_1$  is a root of  $x^2 - (\alpha_1 + \alpha_2)x + \alpha_1\alpha_2$ , we have  $[L(\alpha_1) : L] = 2$ . Consider  $L(\alpha_1)$ . Since  $\alpha_1 + \alpha_2 \in L$ , we have  $\alpha_2 \in L$ . Also,  $v, w \in L$  give a system of linear equations for  $\alpha_3, \alpha_4$  which can be solved in  $L$ . Hence  $L(\alpha_1) = E$ . Hence  $[E : L] = 2$  and  $[L : F] = m = 2$  we have  $[E : F] = 4$ . Thus  $G \cong C_4$ . We have proven



**10.8 Theorem.** Let  $F$  be a field of characteristic not 2. Let  $p(x) = x^4 + bx^2 + cx + d \in F[x]$  be irreducible and separable and  $g_p = x^3 - bx^2 - 4dx + 4bd - c^2$  be its resolvent cubic. Let  $m = |\text{Gal}_F(g_p)|$ . Then

$$\text{Gal}_F(p) \cong \begin{cases} \mathfrak{S}_4 & \text{if } m = 6 \\ A_4 & \text{if } m = 3 \\ D_4 \text{ or } C_4 & \text{if } m = 2 \\ V & \text{if } m = 1 \end{cases}$$

In the case of  $m = 2$ , let  $u$  be the root of  $g_p$  that belongs to  $F$ . We have  $\text{Gal}_F(p) \cong C_4$  if and only if the polynomials  $x^2 - ux + d$  and  $x^2 + (b - u)x + d$  split over  $L$ , the splitting field of  $g_p$ .

**10.9 Example.** The polynomial  $p(x) = x^4 - 2x - 2 \in \mathbb{Q}[x]$  is irreducible by Eisenstein's criterion. Its resolvent cubic is  $g_p(x) = x^3 + 8x - 4$  and is irreducible over  $\mathbb{Q}$ . We have  $D(g_p) = -4(8^3) - 27(-4)^2 = -155 \cdot 4^4$ , which is not a square in  $\mathbb{Q}$ . Hence by Theorem 10.4 we have  $\text{Gal}_{\mathbb{Q}}(g_p) \cong \mathfrak{S}_3$ , i.e.  $m = 6$ . Hence by Theorem 10.8 we have  $\text{Gal}_{\mathbb{Q}}(p) \cong \mathfrak{S}_4$ .

*Remark.* We have seen that  $\alpha \in \mathbb{R}$  is constructible only if the minimal polynomial of  $\alpha$  has degree a power of 2. The converse of this is false. For example, let  $\alpha$  be a real root of  $p(x) = x^4 - 2x - 2$ . If  $E$  is the splitting field of  $p(x)$  then  $\text{Gal}_{\mathbb{Q}}(E) \cong \mathfrak{S}_4$ . By Theorem 8.9,  $\alpha$  is constructible if and only if  $\text{Gal}_{\mathbb{Q}}(E)$  is a 2-group. Hence  $\alpha$  is not constructible even though its minimal polynomial has degree 4, a power of 2.

**10.10 Example.** 1. Consider the irreducible polynomial  $p(x) = x^4 - 10x^2 + 1 \in \mathbb{Q}[x]$ . Its resolvent cubic is  $g_p(x) = x^3 + 10x^2 - 4x - 40 = (x + 10)(x - 2)(x + 2)$ . Hence  $\text{Gal}_{\mathbb{Q}}(g_p)$  is trivial and so  $\text{Gal}_{\mathbb{Q}}(p) \cong V$ .

2. Consider the irreducible polynomial  $p(x) = x^4 + 5x + 5 \in \mathbb{Q}[x]$ . Its resolvent cubic is  $g_p(x) = x^3 - 20x - 25 = (x - 5)(x^2 + 5x + 5)$ . Hence  $m = 2$ . Let  $L$  be the splitting field of  $g_p$ . Since the roots of  $g_p$  are  $5, \frac{-5 \pm \sqrt{5}}{2}$ , we have  $L = \mathbb{Q}(\sqrt{5})$ . Hence  $\text{Gal}_p(p) \cong C_4$ .

## 11 Solvability by Radicals

### 11.1 Cardano's Formula

For simplicity, we will assume that  $F$  is a field of characteristic not 2 or 3. We all know the quadratic formula: the roots of  $x^2 + bx + c \in F[x]$  are  $\frac{-b \pm \sqrt{b^2 - 4c}}{2}$ . An expression of this type, involving only  $+$ ,  $-$ ,  $\times$ ,  $\div$ , and  $\sqrt[n]{\cdot}$  is called a radical. We consider the cubic equation  $x^3 + bx + c = 0 \in F[x]$ . Set  $x = u + v$ , where  $u$  and  $v$  are indeterminates. We obtain

$$\begin{aligned} 0 &= x^3 + bx + c \\ &= (u + v)^3 + b(u + v) + c \\ &= u^3 + v^3 + (3uv + b)(u + v) + c \\ &= u^3 + v^3 + c \end{aligned}$$

by imposing the condition that  $uv = \frac{-b}{3}$ . Letting  $\alpha = u^3$  and  $\beta = v^3$  we have  $\alpha + \beta = -c$  and  $\alpha\beta = \left(\frac{-b}{3}\right)^3$ . Hence  $\alpha$  and  $\beta$  are roots of the quadratic

$$y^2 + cy - \left(\frac{b}{3}\right)^3 = 0$$

Thus by the above formula we have

$$\alpha, \beta = \frac{-c \pm \sqrt{c^2 + 4(b/3)^3}}{2} = \frac{-c}{2} \pm \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}$$

There seems to be 3 choices for each of  $u$  and  $v$ , but the imposed conditions narrow them down to just 3. We have proven

**11.1 Theorem.** (*Tartaglia, del Ferro, Fontana*) *The solutions to the cubic equation  $x^3 + bx^2 + c = 0$  are of the form*

$$\begin{aligned}\alpha_1 &= \sqrt[3]{\frac{-c}{2} + \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}} + \sqrt[3]{\frac{-c}{2} - \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}} \\ \alpha_2 &= \zeta_3 \sqrt[3]{\frac{-c}{2} + \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}} + \zeta_3^2 \sqrt[3]{\frac{-c}{2} - \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}} \\ \alpha_3 &= \zeta_3^2 \sqrt[3]{\frac{-c}{2} + \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}} + \zeta_3 \sqrt[3]{\frac{-c}{2} - \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}}\end{aligned}$$

Where the cubic roots are chosen such that

$$\sqrt[3]{\frac{-c}{2} + \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}} \cdot \sqrt[3]{\frac{-c}{2} - \sqrt{\frac{c^2}{4} + \frac{b^3}{27}}} = \frac{-b}{3}$$

Consider  $x^4 + bx^2 + cx + d \in F[x]$ . Let  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  be the roots. We have seen before that resolvent cubic is defined to be  $g(x) = x^3 - bx^2 - 4dx + 4bd - c^2$  where the roots of  $g$  are

$$\begin{aligned}u &= \alpha_1\alpha_2 + \alpha_3\alpha_4 \\ v &= \alpha_1\alpha_3 + \alpha_2\alpha_4 \\ w &= \alpha_1\alpha_4 + \alpha_2\alpha_3\end{aligned}$$

Applying the Cardano formula for cubics, we can obtain  $u, v, w$ . Notice that

$$\begin{aligned}u + v &= -(\alpha_1 + \alpha_4)^2 \iff \alpha_1 + \alpha_4 = \pm\sqrt{u+v} \\ v + w &= -(\alpha_1 + \alpha_2)^2 \iff \alpha_1 + \alpha_2 = \pm\sqrt{v+w} \\ w + u &= -(\alpha_1 + \alpha_3)^2 \iff \alpha_1 + \alpha_3 = \pm\sqrt{w+u}\end{aligned}$$

It appears as though there are 8 choices for the signs. However, we know that

$$(\alpha_1 + \alpha_4)(\alpha_1 + \alpha_2)(\alpha_1 + \alpha_3) = -c$$

and this cuts down the choices. Now

$$(\alpha_1 + \alpha_4) + (\alpha_1 + \alpha_2) + (\alpha_1 + \alpha_3) = 2\alpha_1$$

and we can get similar expressions for the other roots. We have almost proven

**11.2 Theorem.** (Ferrari) The solutions of the quartic equation  $x^4 + bx^2 + cx + d = 0$  are of the form

$$\begin{aligned}\alpha_1 &= \frac{1}{2} \left( \sqrt{-u-v} + \sqrt{-v-w} + \sqrt{-w-u} \right) \\ \alpha_2 &= \frac{1}{2} \left( -\sqrt{-u-v} - \sqrt{-v-w} + \sqrt{-w-u} \right) \\ \alpha_3 &= \frac{1}{2} \left( -\sqrt{-u-v} + \sqrt{-v-w} - \sqrt{-w-u} \right) \\ \alpha_4 &= \frac{1}{2} \left( \sqrt{-u-v} - \sqrt{-v-w} - \sqrt{-w-u} \right)\end{aligned}$$

where the square roots are chosen such that

$$(\sqrt{-u-v})(\sqrt{-v-w})(\sqrt{-w-u}) = -c$$

## 11.2 Solvable groups

**11.3 Definition.** If  $G$  is a group and  $N$  is a subgroup of  $G$  then  $N$  is normal if  $gNg^{-1} = N$  for all  $g \in G$ . We write  $N \triangleleft G$ . A group  $G$  is solvable if there is a tower

$$G = G_0 \supseteq G_1 \supseteq \cdots \supseteq G_m = \{1\}$$

where  $G_{i+1} \triangleleft G_i$  and  $G_i/G_{i+1}$  is Abelian for  $i = 0, \dots, m-1$ .

**11.4 Example.** The symmetric group  $\mathfrak{S}_4$  is solvable. Notice that  $A_4$  and  $V$  are normal subgroups of  $\mathfrak{S}_4$ .

$$\mathfrak{S}_4 \supseteq A_4 \subseteq V \supseteq \{1\}$$

and  $\mathfrak{S}_4/A_4 \cong C_2$  and  $A_4/V \cong C_3$ . These quotients are Abelian, so  $\mathfrak{S}_4$  is solvable.

**11.5 Theorem.** (Second Isomorphism Theorem) If  $H, N$  are subgroups of  $G$  with  $N \triangleleft G$  then

$$H/H \cap N \cong NH/N$$

**11.6 Theorem.** (Third Isomorphism Theorem) If  $G$  a group and  $H, N \triangleleft G$  such that  $N \subseteq H$  then  $H/N \triangleleft G/N$  and

$$(G/N)/(H/N) \cong G/H$$

**11.7 Theorem.** If  $G$  is a solvable group, then every subgroup and every quotient group of  $G$  is solvable. Conversely, if  $N \triangleleft G$  and both  $N$  and  $G/N$  are solvable then  $G$  is solvable.

PROOF: Suppose that  $G$  is a solvable group with tower

$$G = G_0 \supseteq G_1 \supseteq \cdots \supseteq G_m = \{1\}$$

where  $G_{i+1} \triangleleft G_i$  and  $G_i/G_{i+1}$  is Abelian for  $i = 0, \dots, m-1$ .

Let  $H$  be a subgroup of  $G$ . Define  $H_i = H \cap G_i$ . Since  $G_{i+1} \triangleleft G_i$  we have  $H_{i+1} \triangleleft H_i$  for  $i = 0, \dots, m-1$  and

$$H = H_0 \supseteq H_1 \supseteq \cdots \supseteq H_m = \{1\}$$

Notice that  $H_i$  and  $G_{i+1}$  are subgroups of  $G_i$  and  $H_{i+1} = H \cap G_{i+1} = H_i \cap G_{i+1}$ . Applying the second isomorphism theorem to  $G_i$ , we have

$$H_i/H_{i+1} = H_i/H_i \cap G_{i+1} \cong H_i G_{i+1}/G_{i+1} \subseteq G_i/G_{i+1}$$

Since  $G_i/G_{i+1}$  is Abelian, so is  $H_i/H_{i+1}$ . It follows that  $H$  is solvable.

Let  $N$  be a normal subgroup of  $N$ . We want that  $G/N$  is normal. Multiplying by  $N$ , we have a tower

$$G = G_0N \supseteq G_1N \supseteq \cdots \supseteq G_mN = N$$

taking the quotient gives

$$G/N = G_0N/N \supseteq G_1N/N \supseteq \cdots \supseteq G_mN/N = \{1\}$$

Since  $G_{i+1} \triangleleft G_i$  and  $N \triangleleft G$ , we have  $G_{i+1}N \triangleleft G_iN$ , which implies that  $G_{i+1}N/N \triangleleft G_iN/N$ . By the third isomorphism theorem, we have

$$(G_{i+1}N/N)/(G_iN/N) \cong G_{i+1}N/G_iN$$

Apply the second isomorphism theorem to get

$$G_{i+1}N/G_iN \cong G_i/G_i \cap G_{i+1}N$$

Since  $G_{i+1} \subseteq G_i \cap G_{i+1}N$ , there is a natural injection

$$G_i/G_i \cap G_{i+1}N \longrightarrow G_i/G_{i+1} : g + (G_i \cap G_{i+1}N) \longmapsto g + G_{i+1}$$

$G_i/G_{i+1}$  is Abelian, so as is  $G_i/G_i \cap G_{i+1}N$ . Thus  $(G_{i+1}N/N)/(G_iN/N)$  is Abelian and hence  $G/N$  is solvable.

Let  $N$  be a normal subgroup of  $G$  and suppose that  $N$  and  $G/N$  are solvable. Since  $N$  is solvable there is a tower

$$N = N_0 \supseteq N_1 \supseteq \cdots \supseteq N_m = \{1\}$$

where  $N_{i+1} \triangleleft N_i$  and  $N_i/N_{i+1}$  is Abelian for  $i = 0, \dots, m-1$ . For a subgroup  $H \subseteq G$  with  $N \subseteq H$ , we denote  $\bar{H} = H/N$ . Since  $G/N$  is solvable, we have a tower

$$G/N = \bar{G}_0 \supseteq \bar{G}_1 \supseteq \cdots \supseteq \bar{G}_r = \{\bar{1}\}$$

where  $\bar{G}_{i+1} \triangleleft \bar{G}_i$  and  $\bar{G}_i/\bar{G}_{i+1}$  is Abelian for  $i = 0, \dots, r-1$ . Let  $\sigma : G \rightarrow G/N, H \rightarrow H/N$ . For all  $i = 0, \dots, r$ , define  $G_i = \sigma^{-1}(\bar{G}_i)$ . Since  $N \triangleleft G$  and  $\bar{G}_{i+1} \triangleleft \bar{G}_i$ , we have  $G_{i+1} \triangleleft G_i$ . Moreover, by the third isomorphism theorem,  $G_i/G_{i+1} \cong \bar{G}_i/\bar{G}_{i+1}$  is Abelian. It follows that we have the tower

$$G = G_0 \supseteq G_1 \supseteq \cdots \supseteq G_r = N = N_0 \supseteq N_1 \supseteq \cdots \supseteq N_m = \{1\}$$

which shows that  $G$  is solvable. □

**11.8 Example.** Since  $\mathfrak{S}_2 \subseteq \mathfrak{S}_3 \subseteq \mathfrak{S}_4$ , we have that  $\mathfrak{S}_2$  and  $\mathfrak{S}_3$  are solvable.

**11.9 Corollary.** *If  $G$  is a finite solvable group then there is a tower*

$$G = G_0 \supseteq G_1 \supseteq \cdots \supseteq G_m = \{1\}$$

*$G_{i+1} \triangleleft G_i$  and  $G_i/G_{i+1}$  is cyclic of prime order for  $i = 0, \dots, m-1$ .*

**11.10 Definition.** A group  $G$  is simple if it is not the trivial group and it has no normal subgroups other than  $G$  and  $\{1\}$ .

The alternating group  $A_5$  is simple, hence is not solvable. By Theorem 11.7, we conclude that  $\mathfrak{S}_5$  is not solvable. Hence for all  $n \geq 5$ , since  $\mathfrak{S}_n$  contains a subgroup isomorphic to  $\mathfrak{S}_5$ , so  $\mathfrak{S}_n$  is not solvable.

Given a polynomial  $f(x) \in F[x]$  of degree  $n$ , its Galois group  $\text{Gal}(f)$  is a subgroup of  $\mathfrak{S}_n$ . We will prove later that  $f(x)$  has radical solutions if and only if  $\text{Gal}(f)$  is solvable. It follows (as had already been proven) that any polynomial of degree 2, 3, or 4 has radical solutions. Since  $\mathfrak{S}_n$  is not solvable for  $n \geq 5$ , there are no radical solutions for a general polynomial of degree  $n$ .

### 11.3 Cyclic Extensions

**11.11 Definition.** A Galois extension  $E/F$  is *Abelian/cyclic/solvable* if  $\text{Gal}_F(E)$  has the corresponding property.

**11.12 Lemma.** (*Dedekind's Lemma*) Let  $E$  and  $F$  be fields and  $\psi_i : F \rightarrow E$  be distinct homomorphisms for  $1 \leq i \leq n$ . If  $c_i \in E$  and

$$c_1\psi_1(\alpha) + \cdots + c_n\psi_n(\alpha) = 0 \quad \forall \alpha \in F$$

then  $c_1 = \cdots = c_n = 0$ .

PROOF: Suppose conversely. Let  $m \geq 2$  be the smallest positive integer such that

$$c_1\psi_1(\alpha) + \cdots + c_m\psi_m(\alpha) = 0 \quad \forall \alpha \in F$$

for some  $c_1, \dots, c_m \in E$  non-zero. Choose  $\beta \in F$  such that  $\psi_1(\beta) \neq \psi_2(\beta)$  and  $\psi_1(\beta) \neq 0$ . We have

$$c_1\psi_1(\beta\alpha) + \cdots + c_m\psi_m(\beta\alpha) = 0 \quad \forall \alpha \in F$$

Dividing by  $\psi_1(\beta)$  gives

$$c_1\psi_1(\alpha) + \frac{c_2}{\psi_1(\beta)}\psi_2(\beta\alpha) + \cdots + \frac{c_m}{\psi_1(\beta)}\psi_m(\beta\alpha) = 0 \quad \forall \alpha \in F$$

Subtracting this equation from the original equation gives us

$$c_2 \left(1 - \frac{\psi_2(\beta)}{\psi_1(\beta)}\right) \psi_2(\beta\alpha) + \cdots + c_m \left(1 - \frac{\psi_m(\beta)}{\psi_1(\beta)}\right) \psi_m(\beta\alpha) = 0 \quad \forall \alpha \in F$$

a contradiction (since not all of these coefficients are zero). □

**11.13 Theorem.** Let  $F$  be a field and  $n$  be a positive integer. Suppose that  $\text{ch}(F) = 0$  or  $p$ , where  $p \nmid n$ . Assume that  $x^n - 1$  splits over  $F$ .

1. If the Galois extension  $E/F$  is cyclic of degree  $n$  then  $E = F(\alpha)$  for some  $\alpha \in E$  and  $\alpha^n \in F$ . It follows that  $x^n - \alpha^n$  is the minimal polynomial of  $\alpha$  over  $F$ .
2. If  $E = F(\alpha)$  and  $\alpha^n \in F$  then  $E/F$  is a cyclic extension of degree  $d$ , where  $d \mid n$  and  $\alpha^d \in F$ . It follows that  $x^d - \alpha^d$  is the minimal polynomial of  $\alpha$  over  $F$ .

PROOF: Let  $\zeta_n \in F$  be a primitive  $n^{\text{th}}$  root of unity.

1. Let  $G = \text{Gal}_F(E) = \langle \psi \rangle \cong C_n$ . Apply Dedekind's lemma to domain and codomain  $E$ ,  $\psi_i = \psi^{i-1}$ ,  $1 \leq i \leq n$ , and  $c_i = \zeta_n^{1-i}$ . There exists  $u \in E$  such that

$$\alpha := u + \zeta_n^{-1}\psi(u) + \cdots + \zeta_n^{-(n-1)}\psi^{n-1}(u) \neq 0$$

We have

$$\psi(\alpha) = \psi(u) + \zeta_n^{-1}\psi^2(u) + \cdots + \zeta_n^{-(n-1)}\psi^n(u) = \alpha\zeta_n$$

Since  $\zeta_n \in F$  it follows that  $\psi^i(\alpha) = \alpha\zeta_n^i$ . Also,  $\psi(\alpha^n) = \alpha^n$ , so  $\alpha^n \in E^G = F$  (since  $\psi$  generates  $G$ ). Therefore  $\alpha, \alpha\zeta_n, \dots, \alpha\zeta_n^{n-1}$  are roots of  $x^n - \alpha^n \in F[x]$ . If  $p(x) \in F[x]$  is the minimal polynomial of  $\alpha$ , then all of the conjugates of  $\alpha$  are also roots of  $p(x)$ , so we must have  $p(x) = x^n - \alpha^n$ . Moreover, since  $F(\alpha) \subseteq E$  and  $[F(\alpha) : F] = \deg p = n = [E : F]$  we must have  $E = F(\alpha)$ .

2. Let  $p(x) \in F[x]$  be the minimal polynomial of  $\alpha$  over  $F$ . Since  $\alpha^n \in F$ ,  $\alpha$  is a root of  $x^n - \alpha^n \in F[x]$ . Thus  $p(x) \mid x^n - \alpha^n$ , and the roots of  $p(x)$  are of the form  $\alpha\zeta_n^i$  for some  $i$  and  $\zeta_n$  a primitive  $n^{\text{th}}$  root of unity in  $F$ . We have  $p(0) = \pm\alpha^d\zeta_n^k$  for some  $k$  and  $d = \deg p$ . Since  $p(0), \zeta_n^k \in F$ , it follows that  $\alpha^d \in F$ , and so  $\alpha$  is a root of  $x^d - \alpha^d \in F[x]$ . This polynomial has the same degree as  $p$  and is monic, so  $p(x) = x^d - \alpha^d$ .  $d \mid n$  because if  $n = qd + r$  for  $r < d$  then we have  $\alpha^r = \alpha^{n-qd} = \alpha^n(\alpha^{-d})^q \in F$ , a contradiction unless  $r = 0$  (since otherwise  $\alpha$  would be a root of  $x^r - \alpha^r \in F[x]$ , contradicting that  $\alpha$  has degree  $d$  over  $F$ ). Write  $n = md$ , and the roots of  $p$  are  $\alpha, \alpha\zeta_n^m, \dots, \alpha\zeta_n^{(d-1)m}$ . If  $\psi \in G$  satisfies  $\psi(\alpha) = \alpha\zeta_n^m$ , then  $G = \langle \psi \rangle$  is cyclic of order  $d$ .  $\square$

**11.14 Theorem.** *Let  $F$  be a field of characteristic  $p$ .*

1. *If  $x^p - x - a \in F[x]$  is irreducible, then its splitting field  $E/F$  is cyclic of degree  $p$ .*
2. *Theo converse of (1) is also true, that is, every cyclic extension of  $F$  of degree  $p$  is the splitting field of some irreducible polynomial  $x^p - x - a \in F[x]$ .*

PROOF: Assignment.  $\square$

## 11.4 Radical Extensions

For simplicity, we assume in this section that  $F$  is a field of characteristic 0.

**11.15 Definition.** A finite extension  $E/F$  is called a radical extension if there exists a tower of subfields

$$F = F_0 \subseteq F_1 \subseteq \dots \subseteq F_k = E$$

and  $\alpha_i \in F_i$ ,  $i = 1, \dots, k$ , such that  $F_i = F_{i-1}(\alpha_i)$  and  $\alpha_i^{d_i} \in F_{i-1}$  for some integer  $d_i \geq 1$ .

Notice in particular that every constructible extension is a radical extension. In this case,  $d_i = 1$  or  $2$  for each  $i$ .

**11.16 Lemma.** *If  $E/F$  is a radical extension, then its normal closure  $N/F$  is also a radical extension.*

PROOF: Since  $\text{ch}(F) = 0$  and  $E/F$  is a finite extension, by Theorem 4.14,  $E/F$  is a simple extension. Write  $E = F(\alpha)$ . Since  $E/F$  is a radical extension, there is a tower of subfields

$$F = F_0 \subseteq F_1 \subseteq \dots \subseteq F_k = E$$

and  $\alpha_i \in F_i$ ,  $i = 1, \dots, k$ , such that  $F_i = F_{i-1}(\alpha_i)$  and  $\alpha_i^{d_i} \in F_{i-1}$  for some integer  $d_i \geq 1$ . Let  $p(x) \in F[x]$  be the minimal polynomial of  $\alpha$  and  $N/E$  a splitting field of  $p(x)$  over  $E$ . Then  $N/F$  is a splitting field of  $p(x)$  over  $F$  and is a normal closure of  $E/F$ . Let  $\alpha = \alpha_1, \dots, \alpha_n$  be the roots of  $p$  in  $N$ . There is a field isomorphism  $\sigma_i : F(\alpha) \rightarrow F(\alpha_i)$  such that  $\sigma_i|_F = \text{id}$  and  $\alpha \mapsto \alpha_i$  for  $i = 2, \dots, n$ . Since  $N$  can be viewed as a splitting field of  $p$  over  $F(\alpha)$  and  $F(\alpha_i)$  respectively, there is  $\psi_i : N \rightarrow N$  which extends  $\sigma_i$ . Hence  $\psi_i \in \text{Gal}_F(N)$  and  $\psi_i(\alpha) = \alpha_i$ . We have

$$\begin{aligned} F = F_0 \subseteq F_1 \subseteq \dots \subseteq F_k = E = F(\alpha) &= F(\alpha_1)\psi_2(F_0) \subseteq \\ &F(\alpha_1)\psi_2(F_1) \subseteq \dots \subseteq F(\alpha_1)\psi_2(F_k) = F(\alpha_1, \alpha_2) \subseteq \dots \subseteq F(\alpha_1, \dots, \alpha_n) = N \end{aligned}$$

Notice that since  $F_i = F_{i-1}(\beta_i)$  and  $\beta_i^{d_i} \in F_{i-1}$  for some  $\beta \in F_i \setminus F_{i-1}$ , we have

$$F(\alpha_1, \dots, \alpha_{j-1})\psi_j(F_i) = F(\alpha_1, \dots, \alpha_{j-1})\psi_j(F_{i-1}(\beta_i)) = F(\alpha_1, \dots, \alpha_{j-1})\psi_j(F_{i-1})\psi_j(\beta_i)$$

and  $(\psi_j(\beta_i))^{d_i} = \psi_j(\beta_i^{d_i}) \in \psi_j(F_{i-1})$ . This shows that  $N/F$  is a radical extension.  $\square$

## 11.5 Solving polynomials by Radicals

**11.17 Definition.** Let  $f(x) \in F$ . We say that  $f$  is solvable by radicals if there is a radical extension  $E/F$  such that  $f$  splits over  $E$ . It follows that the equation  $f(x) = 0$  has radical solutions.

**11.18 Lemma.** If  $K, L$  are intermediate fields of  $E/F$  with  $K/F$  a finite Galois extension, then  $KL$  is a finite Galois extension over  $L$  and  $\text{Gal}_L(KL)$  is isomorphic to a subgroup of  $\text{Gal}_F(K)$ .

PROOF: Suppose that  $K$  is the splitting field of  $f(x) \in F[x]$  over  $F$ . Then  $KL$  is a splitting field of  $f(x)$  over  $L$ . Hence  $KL/L$  is a finite Galois extension. Consider

$$\Gamma : \text{Gal}_L(KL) \rightarrow \text{Gal}_F(K) : \psi \mapsto \psi|_K$$

This map is well defined since  $K$  is normal. Moreover, if  $\psi|_K = id_K$  then  $\psi$  is trivial on  $K$  and  $L$ , so must be equal to  $id_{KL}$ . Thus  $\Gamma$  is an injection. Therefore  $\text{Gal}_L(KL)$  is isomorphic to a subgroup of  $\text{Gal}_F(K)$ .  $\square$

**11.19 Theorem.** Let  $F$  be a field of characteristic zero and let  $f(x) \in F[x]$  with  $f \neq 0$ . Then  $f(x)$  is solvable by radicals if and only if its Galois group  $\text{Gal}(f)$  is a solvable group.

PROOF: Assume that  $G = \text{Gal}(f)$  is solvable. Let  $E/F$  be a splitting field of  $f$  over  $F$ . Let  $n = |G|$  and  $L/E$  be a splitting field of  $x^n - 1$  over  $E$  (so that  $L = E(\zeta_n)$  for some primitive  $n^{\text{th}}$  root of unity). Let  $K = F(\zeta_n)$  be the splitting field of  $x^n - 1$  over  $F$ . We have  $L = KE$ . Since  $E/F$  is a finite Galois extension, by the previous lemma  $L/K$  is a finite Galois extension and  $H = \text{Gal}_K(L)$  is isomorphic to a subgroup of  $G$ . Hence  $H$  is solvable since  $G$  is solvable. Write

$$H = H_0 \supseteq H_1 \supseteq \cdots \supseteq H_m = \{1\}$$

where  $H_{i+1} \triangleleft H_i$  and  $H_i/H_{i+1} \cong C_{d_i}$  (cyclic of order  $d_i$ ). Let  $K_i = H_i^* = L^{H_i}$  for  $i = 0, \dots, m$ . Then  $\text{Gal}_{K_i}(L) \cong H_i$ , so we have a tower of fields

$$F \subseteq F(\zeta_n) = K = K_0 \subseteq K_1 \subseteq \cdots \subseteq K_m = L = E(\zeta_n)$$

Since  $H_{i+1} \triangleleft H_i$ ,  $K_{i+1}/K_i$  is Galois and the Galois group is isomorphic to  $H_i/H_{i+1} \cong C_{d_i}$ . By Theorem 11.13 there is  $\alpha_{i+1} \in K_{i+1}$  such that  $K_{i+1} = K_i(\alpha_{i+1})$  and  $\alpha_{i+1}^{d_i} \in K_i$ . It follows that  $L/F$  is a radical extension. Since all the roots of  $f$  are in  $E$  and hence in  $L$ , we conclude that  $f$  is solvable by radicals.

Suppose  $f(x)$  is solvable by radical, so that  $f$  splits over some extension  $E/F$  with

$$F = F_0 \subseteq F_1 \subseteq \cdots \subseteq F_m = E$$

where  $F_i = F_{i-1}(\alpha_i)$  and  $\alpha_i^{d_i} \in F_{i-1}$ . By lemma 11.16 we may assume that  $E/F$  is Galois. Let  $n = \prod_{i=1}^m d_i$  and let  $L/E$  be the splitting field of  $x^n - 1$  over  $E$ . Set  $K = F(\zeta_n)$  and we have  $L = E(\zeta_n) = KE$ . Define  $K_i = F_i(\zeta_n) = KF_i$ , so that  $K_i = K_{i-1}(\alpha_i)$  and  $\alpha_i^{d_i} \in F_{i-1} \subseteq K_{i-1}$ . Since  $\alpha_i^{d_i} \in K_{i-1}$ ,  $K_i$  is a splitting field of  $x^{d_i} - \alpha_i^{d_i}$  over  $K_{i-1}$ . Then  $K_i/K_{i-1}$  is cyclic, and so we have

$$F \subseteq F(\zeta_n) = K \subseteq K_1 \subseteq \cdots \subseteq K_m = F_m(\zeta_n) = L$$

Notice that  $L$  is a splitting field of  $f(x)(x^n - 1)$  over  $F$ , hence  $L/F$  is Galois. Each  $K_i$  is an intermediate field of  $L/F$ , so  $K_i$  is Galois. Applying the Galois correspondence we have

$$G = \text{Gal}_F(L) \supseteq \text{Gal}_K(L) \supseteq \text{Gal}_{K_1}(L) \supseteq \cdots \supseteq \text{Gal}_{K_m}(L) = \{1\}$$

For each  $\sigma \in \text{Gal}_{K_i}(L)$ ,  $\psi \in \text{Gal}_{K_{i+1}}(L)$ , we have

$$\sigma\psi\sigma^{-1} \Big|_{K_{i+1}} = id_{K_{i+1}}$$

Hence  $\text{Gal}_{K_{i+1}}(L) \triangleleft \text{Gal}_{K_i}(L)$ , and moreover we have  $\text{Gal}_{K_i}(L)/\text{Gal}_{K_{i+1}}(L) \cong \text{Gal}_{K_i}(K_{i+1})$ , which is cyclic (and hence Abelian). Also,  $\text{Gal}_F(L)\text{Gal}_{K_0}(L) \cong \text{Gal}_F(F(\zeta_n))$ , which is also Abelian. Therefore  $\text{Gal}_F(L)$  is solvable. Since  $\text{Gal}_F(E) \cong \text{Gal}_F(L)/\text{Gal}_E(L)$ ,  $\text{Gal}(f) = \text{Gal}_F(E)$  is solvable as well.  $\square$

**11.20 Proposition.** *Let  $f(x) \in \mathbb{Q}[x]$  be irreducible of prime degree  $p$ . If  $f(x)$  contains precisely two non-real roots in  $\mathbb{C}$  then  $\text{Gal}(f) \cong \mathfrak{S}_p$ .*

PROOF: Recall that the symmetric group  $\mathfrak{S}_n$  is generated by  $(1\ 2)$  and  $(1\ 2\ \dots\ n)$ . Hence to show that  $\text{Gal}(f)$  is isomorphic to  $\mathfrak{S}_p$  it suffices to find a 2-cycle and a  $p$ -cycle. Since  $f$  is irreducible with degree  $p$ ,  $p$  divides the order of  $\text{Gal}(f)$ . By Cauchy's Theorem there is an element of  $\text{Gal}(f)$  of order  $p$  – a  $p$ -cycle. Complex conjugation will juxtapose the non-real roots of  $f$  and leave all other (real) roots fixed. Hence complex conjugation is a 2-cycle in  $\text{Gal}(f)$ .  $\square$

Consider  $f(x) = x^5 + 2x^3 - 24x - 2 \in \mathbb{Q}[x]$ , which is irreducible by Eisenstein's criterion. Since  $f(-1) = 19$ ,  $f(1) = -23$ ,  $\lim_{x \rightarrow \infty} f(x) = \infty$ , and  $\lim_{x \rightarrow -\infty} f(x) = -\infty$ ,  $f$  has at least three real roots. Let  $a_1, \dots, a_5$  be the roots of  $f(x)$ . We have  $a_1 + \dots + a_5 = 0$  and  $\sum_{i < j} a_i a_j = 2$ . From the first sum,

$$0 = \left( \sum_{i=1}^5 a_i \right)^2 = \sum_{i=1}^5 a_i^2 + 2 \sum_{i < j} a_i a_j$$

so  $\sum_{i=1}^5 a_i^2 = -4$ , and not all of the roots of  $f$  can be real. Therefore  $f$  has exactly three real roots and two non-real roots. By the above proposition,  $\text{Gal}(f) \cong \mathfrak{S}_5$ . Since  $\mathfrak{S}_5$  is not solvable, the equation

$$x^5 + 2x^3 - 24x - 2 = 0$$

does not have radical solutions.

**11.21 Theorem.** (Abel) *The general polynomial equation  $f(x) = 0$  with  $\deg f \geq 5$  is not solvable by radical solutions. In other words, we have radical solutions for  $f(x) = 0$  if and only if  $\deg f \leq 4$ .*

## 11.6 Probabilistic Galois Theory

(Extra Section)

Indeed, for almost all  $f(x) \in \mathbb{Z}[x]$  with degree  $n$ ,  $\text{Gal}(f) \cong \mathfrak{S}_n$ . Since  $\mathfrak{S}_n$  is not solvable for  $n \geq 5$ , by Theorem 11.19,  $f$  is not solvable by radicals for almost all  $f(x) \in \mathbb{Z}[x]$  of degree  $n \geq 5$ . The study of “density” of polynomials  $f(x)$  of degree  $n$  with  $\text{Gal}(f)$  isomorphic to certain subgroups of  $\mathfrak{S}_n$  is called probabilistic Galois theory.

*Notation.* Let  $f(x)$  and  $g(x)$  be two functions. If there exists a constant  $C$  such that  $|f(x)| \leq Cg(x)$  when  $x$  is sufficiently large, we write  $f(x) \ll g(x)$  or  $f(x) = O(g(x))$ .

For example, since  $\lim_{x \rightarrow \infty} \frac{x^{n-1}(\log x)^r}{x^n} = 0$  we have  $x^{n-1}(\log x)^r \ll x^n$  for any  $r$ .

Consider  $E_n(N) = \#\{f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Z}[x] \mid |a_i| \leq N, \text{Gal}(f) \not\subseteq \mathfrak{S}_n\}$ . Notice that if  $a_0 = 0$  then  $f(x) = x(x^{n-1} + a_{n-1}x^{n-2} + \dots + a_1)$ . Since  $x = 0 \in \mathbb{Q}$ ,  $\text{Gal}(f) = \text{Gal}(f/x) \subseteq \mathfrak{S}_{n-1} \subsetneq \mathfrak{S}_n$ . For each  $a_{n-1}, \dots, a_1$  with  $|a_i| \leq N$  there are  $2N + 1$  choices for each of them, so there are  $(2N + 1)^{n-1}$  polynomials with  $a_0 = 0$  and Galois group a proper subgroup of  $\mathfrak{S}_n$ . It follows that

$$E_n(N) \geq (2N + 1)^{n-1} = 2^{n-1}N^{n-1} + O(N^{n-2}) \gg N^{n-1}$$

**11.22 Conjecture.** (van der Waerden)  $E_n(N) \ll N^{n-1}$ .



This question remains open today. The best result known for this problem is due to Gallagher, who proves that  $E_n(N) \ll N^{n-\frac{1}{2}}(\log N)$  by the large sieve method. In any case (i.e. whether the conjecture is true or not), since there are  $(2N+1)^n$  many polynomials of the form  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Z}[x]$  with  $|a_i| \leq N$ , we have

$$\#\{f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Z}[x] \mid |a_i| \leq N, \text{Gal}(f) \cong \mathfrak{S}_n\} = (2N+1)^n + O(N^{n-\frac{1}{2}}(\log N))$$

Since

$$\lim_{N \rightarrow \infty} \frac{(2N+1)^n + O(N^{n-\frac{1}{2}}(\log N))}{(2N+1)^n} = 1$$

we conclude that for almost all (i.e. with probability 1)  $f(x) \in \mathbb{Z}[x]$  of degree  $n$ ,  $\text{Gal}(f) \cong \mathfrak{S}_n$ .

Consider the special case of the Galois group of cubics. Define

$$E_3(N) = \{f(x) = x^3 + bx^2 + cx + d \in \mathbb{Z}[x] \mid H(f) \leq N, \text{Gal}(f) \not\cong \mathfrak{S}_3\}$$

where  $H(f) = \text{height of } f = \max\{|b|, |c|, |d|\}$ . Our goal is prove that  $E_3(N) \ll N^{2+\varepsilon}$ .

**11.23 Theorem.** (*van der Waerden*)

$$\#\{f(x) = x^3 + bx^2 + cx + d \in \mathbb{Z}[x] \mid H(f) \leq N, f \text{ is reducible}\} \ll N^2$$

Hence, to prove  $E_3(N) \ll N^{2+\varepsilon}$  it suffices to consider irreducible polynomials. Let  $f(x) = x^3 + bx^2 + cx + d$  be irreducible. If  $\text{Gal}(f) \not\cong \mathfrak{S}_3$ , then  $\text{Gal}(f) \cong A_3$ . We recall that the discriminant  $D(f)$  is  $b^2c^2 - 4c^3 - 4b^3d - 27d^2 + 18bcd$ . By Theorem 10.4,  $\text{Gal}(f) \cong A_3 \iff D(f) = z^2$  for some  $z \in \mathbb{Z}$ . Hence, to compute  $E_3(N)$  it suffices to compute the number of  $z \in \mathbb{Z}$  such that  $b^2c^2 - 4c^3 - 4b^3d - 27d^2 + 18bcd = z^2$ . That is,

$$27(d)^2 + (4b^3 - 18bc)d + z^2 + (4c^3 - b^2c^2) = 0 \tag{1}$$

**11.24 Theorem.** *Suppose that  $Q(x, y) = \tilde{a}x^2 + \tilde{b}xy + \tilde{c}y^2 + \tilde{d}x + \tilde{e}y + \tilde{f}$  is a quadratic polynomial with coefficients in  $\mathbb{Z}$ . Assume that the absolute values of all coefficients of  $Q(x, y)$  are bounded by  $N$ . Then*

$$\#\{(x, y) \in \mathbb{Z}^2 \mid Q(x, y) = 0, |x|, |y| \leq M\} \ll (MN)^\varepsilon$$

Consider equation (1). Since  $|d| \leq N$  and  $|z| \leq N^2$ , for fixed  $b, c$ , the number of choices of  $d$  and  $z$  is  $\ll (NN^2)^\varepsilon \ll N^\varepsilon$ . It follows that  $E_3(N) \ll N^{2+\varepsilon}$ .