

1) Consider the following Hamiltonian for interacting Fermions:

$$H = \sum_{q,\sigma} \varepsilon(q) a_{q,\sigma}^+ a_{q,\sigma} + \frac{1}{2V} \sum_q V_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1}$$

a) Obtain the equation of motion for the operator $a_{p,\sigma}$.

Using $a_{p,\sigma}$

As usual, I have:

$$i\hbar \frac{d}{dt} (a_{p,\sigma}) = [H, a_{p,\sigma}]$$

Then, I need the commutator:

$$\left[\sum_{q,\sigma'} \varepsilon(q) a_{q,\sigma'}^+ a_{q,\sigma'} + \frac{1}{2V} \sum_q V_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1}, a_{p,\sigma} \right]$$

Recall the identity $[a_{p,\sigma}, a_{q,\sigma'}^+] = \delta_{p,q} \delta_{\sigma,\sigma'}$, and since these are Fermions, exchange of any two operators brings a factor of -1.

Then I have:

$$\left[\sum_{q,\sigma'} \varepsilon(q) a_{q,\sigma'}^+ a_{q,\sigma'}, a_{p,\sigma} \right] = \varepsilon(p) a_{p,\sigma}$$

Note the minus signs appearing from commutation of destruction operators for Fermions!

$$\begin{aligned} & \left[\frac{1}{2V} \sum_q V_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1}, a_{p,\sigma} \right] \\ &= \frac{1}{2V} \sum_q V_q \sum_{k,p',\sigma_1,\sigma_2} \left(-[a_{k+q,\sigma_1}^+, a_{p,\sigma}] a_{p'-q,\sigma_2}^+ + a_{k+q,\sigma_1}^+ [a_{p'-q,\sigma_2}^+, a_{p,\sigma}] \right) a_{p',\sigma_2} a_{k,\sigma_1} \\ &= \frac{1}{2V} \sum_q V_q \sum_{k,p',\sigma_1,\sigma_2} \left(\delta_{p,k+q} \delta_{\sigma,\sigma_1} a_{p'-q,\sigma_2}^+ - a_{k+q,\sigma_1}^+ \delta_{p,p'-q} \delta_{\sigma,\sigma_2} \right) a_{p',\sigma_2} a_{k,\sigma_1} \\ &= \frac{1}{2V} \sum_q V_q \left(\sum_{p',\sigma_2} a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{p-q,\sigma} - \sum_{k,\sigma_1} a_{k+q,\sigma_2}^+ a_{p+q,\sigma} a_{k,\sigma_2} \right) \end{aligned}$$

use $V_q = V_{-q}$:

$$= \frac{1}{2V} \sum_q V_q \left(\sum_{p', \sigma_2} a_{p'+q, \sigma_2}^+ a_{p', \sigma_2} a_{p+q, \sigma} - \sum_{k, \sigma_1} a_{k+q, \sigma_2}^+ a_{p+q, \sigma} a_{k, \sigma_2} \right)$$

$$= \frac{1}{2V} \sum_q V_q \left(\sum_{p', \sigma_2} a_{p'+q, \sigma_2}^+ a_{p', \sigma_2} a_{p+q, \sigma} + \sum_{k, \sigma_1} a_{k+q, \sigma_2}^+ a_{k, \sigma_2} a_{p+q, \sigma} \right)$$

dummy - indices :

$$= \frac{1}{V} \sum_q V_q \left(\sum_{p', \sigma'} a_{p'+q, \sigma'}^+ a_{p', \sigma'} a_{p+q, \sigma} \right)$$

So I have:

$$i\hbar \frac{d}{dt} (a_{p, \sigma}) = \varepsilon(p) a_{p, \sigma} + \frac{1}{V} \sum_q V_q \left(\sum_{p', \sigma'} a_{p'+q, \sigma'}^+ a_{p', \sigma'} a_{p+q, \sigma} \right)$$

Now I use the Hartree-Fock-like approximation to linearize the term with three operators:

$$\langle GS | a_{p'+q, \sigma'}^+ a_{p', \sigma'} a_{p+q, \sigma} | GS \rangle \equiv \langle GS | a_{p'+q, \sigma'}^+ a_{p', \sigma'} | GS \rangle a_{p+q, \sigma} - \langle GS | a_{p'+q, \sigma'}^+ a_{p+q, \sigma} | GS \rangle a_{p', \sigma'}$$

Above, $|GS\rangle$ is the ground state.

Then, $\langle GS | a_{p'+q, \sigma'}^+ a_{p', \sigma'} | GS \rangle = n_{p', \sigma'} \delta_{q, 0}$, the direct term.

Further, $\langle GS | a_{p'+q, \sigma'}^+ a_{p+q, \sigma} | GS \rangle = n_{p+q, \sigma} \delta_{p, p'} \delta_{\sigma, \sigma'}$, the exchange term.

In this problem, no assumption about V_q is made explicit, so that the direct term might remain. For an electron gas, however, in the Jellium model this term vanishes since the neutralizing background removes the $q = 0$ states.

This substitution leaves me with:

$$i\hbar \frac{d}{dt} (a_{p, \sigma}) = \varepsilon(p) a_{p, \sigma} - \frac{1}{V} \sum_q V_q n_{p+q, \sigma} a_{p, \sigma}$$

Since in this case the ground state is the Fermi sphere, I can write in terms of the Fermi momentum k_F :

$$i\hbar \frac{d}{dt} (a_{p, \sigma}) = \varepsilon(p) a_{p, \sigma} - \left[\frac{1}{V} \int \frac{d^3 k}{(2\pi)^3} V(\vec{k} - \vec{p}) \theta(k_F - k) \right] a_{p, \sigma}$$

Just as in the notes.

b) Obtain the equation of motion for $a_{p+k,\sigma}^+ a_{p,\sigma}$ and write it in terms of

$$\rho_q = \sum_{p,\sigma} a_{p+q,\sigma}^+ a_{p,\sigma}.$$

Again,

$$i\hbar \frac{d}{dt} (a_{p+k,\sigma}^+ a_{p,\sigma}) = [H, a_{p+k,\sigma}^+ a_{p,\sigma}].$$

Above, the Hamiltonian was defined in part (a).

Remembering that commuting operators brings a -1 factor since these are Fermions,

$$\begin{aligned} \left[\sum_{q,\sigma'} \varepsilon(q) a_{q,\sigma'}^+ a_{q,\sigma'} a_{p+k,\sigma}^+ a_{p,\sigma} \right] &= \varepsilon(p+k) \delta_{q,p+k} a_{q,\sigma'}^+ a_{p,\sigma} + \varepsilon(p) \delta_{q,p} a_{q,\sigma} a_{p+k,\sigma}^+ \\ &= [\varepsilon(p+k) - \varepsilon(p)] a_{p+k,\sigma}^+ a_{p,\sigma} \end{aligned}$$

Further,

$$\begin{aligned} &\left[\frac{1}{2V} \sum_q V_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1} a_{p+k,\sigma}^+ a_{p,\sigma} \right] \\ &= \frac{1}{2V} \sum_q V_q \left\{ [a_{p+k,\sigma}^+ a_{p+q,\sigma} - a_{p+k-q,\sigma}^+ a_{p,\sigma}] \rho_k + \rho_k [a_{p+k,\sigma}^+ a_{p+q,\sigma} - a_{p+k-q,\sigma}^+ a_{p,\sigma}] \right\} \end{aligned}$$

Now using the Random Phase Approximation, an analog of Hartree-Fock, I have:

$$a_{p+k,\sigma}^+ a_{p+q,\sigma} \rightarrow \langle FS | a_{p+k,\sigma}^+ a_{p+q,\sigma} | FS \rangle = n_{p+k} \delta_{k,q}$$

and

$$a_{p+k-q,\sigma}^+ a_{p,\sigma} \rightarrow \langle FS | a_{p+k-q,\sigma}^+ a_{p,\sigma} | FS \rangle = n_p \delta_{k,q}$$

This leaves me with:

$$i\hbar \frac{d}{dt} (a_{p+k,\sigma}^+ a_{p,\sigma}) = [\varepsilon(p+k) - \varepsilon(p)] a_{p+k,\sigma}^+ a_{p,\sigma} - \frac{1}{V} (n_{p+k} - n_p) \rho_k$$

Just as in the notes.

- 2) For Fermi operators $\psi(\vec{x}) = \frac{1}{\sqrt{V}} \sum_{k,\sigma} a_{k,\sigma} e^{i\vec{k}\cdot\vec{x}}$, compute the pair correlation function $\langle FS | \psi^+(\vec{x}) \psi^+(\vec{y}) \psi(\vec{y}) \psi(\vec{x}) | FS \rangle$ with $|FS\rangle$ the ground state of the free Fermi gas for N fermions. Plot your result as a function of $R = |\vec{x} - \vec{y}|$ and explain the behavior near $R = 0$.

First, let me write:

$$\begin{aligned} & \langle FS | a_{k_1, \sigma_1}^+ a_{k_2, \sigma_2}^+ a_{k_2', \sigma_2'} a_{k_1', \sigma_1'} | FS \rangle \\ &= \left(\delta_{k_1, k_1'} \delta_{k_2, k_2'} \delta_{\sigma_1, \sigma_1'} \delta_{\sigma_2, \sigma_2'} - \delta_{k_1, k_2'} \delta_{k_2, k_1'} \delta_{\sigma_1, \sigma_2'} \delta_{\sigma_2, \sigma_1'} \right) n_{k_1} n_{k_2} \end{aligned}$$

Then:

$$\langle FS | \psi^+(\vec{x}) \psi^+(\vec{y}) \psi(\vec{y}) \psi(\vec{x}) | FS \rangle = \frac{1}{V^2} \sum_{p_1, p_2, \sigma_1, \sigma_2} n_{p_1} n_{p_2} \left(1 - e^{i\vec{p}_1 \cdot (\vec{x} - \vec{y})} e^{-i\vec{p}_2 \cdot (\vec{x} - \vec{y})} \right)$$

Take:

$$\frac{1}{V} \sum_p \rightarrow \int \frac{d^3 p}{(2\pi)^3}$$

$$\sum_p e^{-i\vec{p}_2 \cdot \vec{x}} n_2 \rightarrow \sum_p e^{i\vec{p}_2 \cdot \vec{x}} n_2$$

since in the second case the sign is irrelevant, I have:

$$\begin{aligned} & \langle FS | \psi^+(\vec{x}) \psi^+(\vec{y}) \psi(\vec{y}) \psi(\vec{x}) | FS \rangle = \frac{1}{V^2} \sum_{p_1, p_2, \sigma_1, \sigma_2} n_{p_1} n_{p_2} \left(1 - e^{i\vec{p}_1 \cdot (\vec{x} - \vec{y})} e^{-i\vec{p}_2 \cdot (\vec{x} - \vec{y})} \right) \\ &= 4 \left(\left[\int \frac{d^3 p_1}{(2\pi)^3} n_{p_1} \right] \left[\int \frac{d^3 p_2}{(2\pi)^3} n_{p_2} \right] - \left[\int \frac{d^3 p_1}{(2\pi)^3} n_{p_1} e^{i\vec{p}_1 \cdot (\vec{x} - \vec{y})} \right] \left[\int \frac{d^3 p_2}{(2\pi)^3} n_{p_2} e^{-i\vec{p}_2 \cdot (\vec{x} - \vec{y})} \right] \right) \end{aligned}$$

Recall that within the Fermi sphere, I have $n_p = \theta(p_F - p)$.

$$\int \frac{d^3 p}{(2\pi)^3} n_p = \frac{1}{(2\pi)^3} \left(\frac{4}{3} \pi p_F^3 \right) = \frac{p_F^3}{6\pi^2}$$

Next,

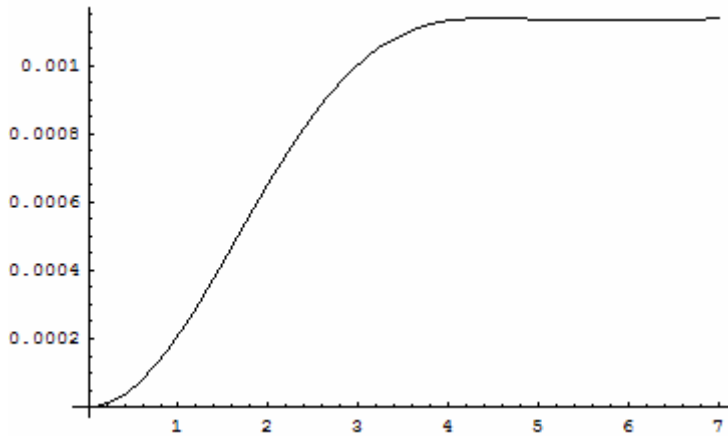
$$\int \frac{d^3 p}{(2\pi)^3} n_p e^{i\vec{p} \cdot (\vec{x} - \vec{y})} = \frac{1}{(2\pi)^2} \int p^2 d \cos \theta dp n_p e^{ipR \cos \theta} = \frac{1}{2\pi^2} \int \frac{pdp}{R} \sin(pR) n_p$$

$$= \frac{(-p_F R \cos(p_F R) + \sin(p_F R))}{R^3 \pi^2}$$

This yields:

$$\langle FS | \psi^+(\vec{x}) \psi^+(\vec{y}) \psi(\vec{y}) \psi(\vec{x}) | FS \rangle = 4 \left(\left[\frac{p_F^3}{6\pi^2} \right]^2 - \left[\frac{(-p_F R \cos(p_F R) + \sin(p_F R))}{R^3 \pi^2} \right]^2 \right)$$

For a sample value of p_F ,



Thus, I see that near $R = 0$, the “Fermi Hole” comes from the repulsion due to the statistical interaction, e.g. the Pauli Exclusion Principle.

Just as in the notes.

3) A simple model of neutral (neutron) nuclear matter describes N neutrons in interaction with a repulsive local pair potential $V(\vec{x} - \vec{y}) = V_0 \delta^3(\vec{x} - \vec{y})$, $V_0 > 0$ in this model there is no “neutralizing background” therefore the direct term contributes.

a) Obtain the first order (in V_0) energy shift of the ground state (both direct and exchange now contribute). Show that it can be written as

$$\Delta E_0^{(1)} = \sum_{k,\sigma} n_{k,\sigma} \sum (k, \sigma). \text{ Obtain } \sum (k, \sigma) \text{ and give the total energy of the}$$

ground state up to first order in V_0 as a function of $n = \frac{N}{V}$.

First, take $V_q = \int d^3x e^{i\vec{q}\cdot\vec{x}} V_0 \delta^3(\vec{x}) = V_0$

For free neutrons, $H_0 = \sum_{p,\sigma} \varepsilon(p) a_{p,\sigma}^+ a_{p,\sigma}$, and in this case the interaction Hamiltonian is

$$H_I = \frac{1}{2V} \sum_q V_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1} = \frac{V_0}{2V} \sum_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1}$$

Now I need:

$$\begin{aligned} \Delta E_0^{(1)} &= \langle GS | \frac{V_0}{2V} \sum_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1} | GS \rangle \\ &= \frac{V_0}{2V} \sum_q \langle GS | \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1} | GS \rangle \end{aligned}$$

Taking a result from problem (2), then, I'm left with;

$$\begin{aligned} \Delta E_0^{(1)} &= \langle GS | \frac{V_0}{2V} \sum_q \sum_{k,p',\sigma_1,\sigma_2} a_{k+q,\sigma_1}^+ a_{p'-q,\sigma_2}^+ a_{p',\sigma_2} a_{k,\sigma_1} | GS \rangle \\ &= \frac{V_0}{2V} \sum_q \sum_{k,p',\sigma_1,\sigma_2} (\delta_{k+q,k} \delta_{p'-q,p'} - \delta_{k+q,p'} \delta_{p'-q,k} \delta_{\sigma_1,\sigma_2}) n_k n_{p'} \\ &= \frac{V_0}{2V} \sum_q \sum_{k,p',\sigma_1,\sigma_2} (\delta_{k+q,k} \delta_{p'-q,p'} - \delta_{k+q,p'} \delta_{p'-q,k} \delta_{\sigma_1,\sigma_2}) n_k n_{p'} \\ &= \frac{V_0}{2V} \sum_{q,k,p',\sigma_1,\sigma_2} [\delta_{q,0} - \delta_{p',k+q} \delta_{\sigma_1,\sigma_2}] n_k n_{p'} \\ &= \frac{V_0}{V} \sum_{k,\sigma} n_k \sum_{q,p'} \left[2\delta_{q,0} - \sum_k \delta_{p',k+q} \right] n_{p'} = \frac{V_0}{V} \sum_{k,\sigma} n_k \left[2\sum_p n_p - \sum_q n_{k+q} \right] \\ \therefore \sum(k,\sigma) &\equiv \frac{V_0}{V} \sum_q [2n_q - n_{k+q}] \end{aligned}$$

Now take:

$$\sum_k \rightarrow V \int \frac{d^3k}{(2\pi)^3} \quad \frac{1}{V} \sum_q \rightarrow \int \frac{d^3q}{(2\pi)^3}$$

Further, inside the Fermi sphere I have: $n_k = \theta(k_F - |\vec{k}|)$

$$\begin{aligned}
\Delta E_0^{(1)} &= VV_0 \int \frac{d^3k}{(2\pi)^3} n_k \int \frac{d^3q}{(2\pi)^3} (2n_q - n_{k+q}) \\
&= 2VV_0 \int \frac{d^3k}{(2\pi)^3} n_k \int \frac{d^3q}{(2\pi)^3} n_q - VV_0 \int \frac{d^3k}{(2\pi)^3} n_k \int \frac{d^3q}{(2\pi)^3} n_{k+q} \\
&= \frac{VV_0}{(2\pi)^6} \left(\frac{4}{3} \pi k_F^3 \right)^2
\end{aligned}$$

- b) Using the result for the equation of motion obtained in problem 1 for the operator $a_{p,\sigma}$, use the Hartree-Fock approximation to linearize the equation of motion. Again, both direct and exchange contribute. Show that in the Hartree-Fock approximation $i \frac{da_{p,\sigma}}{dt} = [\varepsilon(p) + \sum (p,\sigma)] a_{p,\sigma}$ where $\sum (p,\sigma)$ the “self energy” the same as obtained in part (a).**

In problem 1a, I never assumed that the result was for electrons, so the derivation is exactly the same. Substituting from part (a) here, then, I need only take the Hartree-Fock simplified state.

$$\begin{aligned}
i\hbar \frac{d}{dt} (a_{p,\sigma}) &= \varepsilon(p) a_{p,\sigma} - \sum (p,\sigma) a_{p,\sigma} \\
i\hbar \frac{d}{dt} (a_{p,\sigma}) &= \frac{\hbar^2}{2m} a_{p,\sigma} - \frac{V_0}{2V} \sum_q [n_q - n_{k+q}] a_{p,\sigma}
\end{aligned}$$

- c) Obtain the single particle dispersion relation.**

Combining the free neutron energy with the energy shift, then, I have:

$$\varepsilon(p) = \frac{\hbar^2 p^2}{2m} + \Delta E^{(1)} = \frac{\hbar^2 p^2}{2m} + \frac{VV_0}{(2\pi)^6} \left(\frac{4}{3} \pi k_F^3 \right)^2$$

So I see that the shift manifests itself as a zero-point energy.

- 4)**
a) In the Jellium model obtain the exchange contribution (not direct) for $\sum (p,\sigma)$, carry out the integrals and give the final form for $\sum (p,\sigma)$.

In the Jellium model,

$$\begin{aligned}
\sum_{ex} \varepsilon(p, \sigma) &= -\frac{1}{2V} \sum_{q \neq 0} V_q n_{p+q, \sigma} \\
&= -\int \frac{d^3 k}{(2\pi)^3} \frac{4\pi e^2}{|\vec{k} - \vec{p}|^2} \theta(k_F - k) \\
&= -\frac{4\pi e^2}{(2\pi)^3} \int_0^{k_F} k^2 dk \int_{-1}^1 d \cos \theta \frac{1}{k^2 + p^2 - 2pk \cos \theta} = -e^2 \frac{p_F}{\pi} \left(1 + \frac{p_F^2 - p^2}{2pp_F} \ln \left| \frac{p_F + p}{p_F - p} \right| \right)
\end{aligned}$$

Just as in the notes.

b) The effective mass of a fermionic excitation is defined as

$$m^* = p_F \left[\left. \frac{\partial \varepsilon(p)}{\partial p} \right|_{p_F} \right]^{-1} \quad \text{where } \varepsilon(p) \text{ is the dispersion relation including the interaction corrections. Show that for the Jellium model } m^* \text{ diverges logarithmically. This is an artifact of the Hartree-Fock approximation.}$$

Ignore the zero-order energy term $\varepsilon(p) = \sqrt{m^2 c^4 + p^2 c^2}$, as this will not cause any issues.

$$\begin{aligned}
\frac{\partial \sum_{ex} \varepsilon(p, \sigma)}{\partial p} &= \frac{e^2}{2p_F p^2} \left(-2p_F p + (p_F^2 + p^2) \ln \left| \frac{p_F + p}{p_F - p} \right| \right) \\
&= \frac{e^2}{2p_F p^2} \left(-2p_F p + (p_F^2 + p^2) (\ln|p_F + p| - \ln|p_F - p|) \right)
\end{aligned}$$

The highest-order diverging term as $p_F \rightarrow p$ is then

$$\left. \frac{\partial \sum_{ex} \varepsilon(p, \sigma)}{\partial p} \right|_{p \rightarrow p_F} \propto -\frac{e^2}{2p_F} \ln|p_F - p|$$

a logarithmic singularity, as expected.

However, the power in $m^* = p_F \left[\left. \frac{\partial \varepsilon(p)}{\partial p} \right|_{p_F} \right]^{-1}$ indicates then that $m^* \rightarrow 0$, so it is in fact $(m^*)^{-1}$ that diverges.