

1) **Weakly interacting Bose gas in the Bogoliubov approximation:**

$$\hat{H} = \sum_k \varepsilon(k) \hat{a}_k^+ \hat{a}_k + \frac{1}{2V} \sum_q V_q \sum_{p,k} \hat{a}_{p+q}^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_p$$

Consider  $\hat{K} = \hat{H} - \mu \hat{N}; \hat{N} = \sum_k \hat{a}_k^+ \hat{a}_k$ .

Define:  $\hat{a}_0 = \sqrt{N_0} e^{i\theta} + \hat{b}_0, \hat{a}_{k \neq 0} = \hat{b}_{k \neq 0}$

- a) Separate the terms of order  $N_0^2, N_0 \sqrt{N_0}, N_0$  in the interaction term, show that these are quadratic in  $\hat{b}, \hat{b}^+$ , and show that terms of order  $\sqrt{N_0}$  and 1 are cubic and quartic in  $\hat{b}, \hat{b}^+$ . Neglect the terms of  $\theta(\sqrt{N_0}), \theta(1)$  (Bogoliubov approximation) and write down  $\hat{K}$  keeping these terms only (up to quadratic order in  $\hat{b}, \hat{b}^+$ ).

The first term is broken up into two cases:  $k = 0, k \neq 0$ .

Further, I take in this case  $\varepsilon(0) = 0$

The second term is broken up into nine terms corresponding to each permutation of:  $\{p = -q; p = 0, q \neq 0; p \neq -q, p \neq 0\}$  and  $\{k = q; k = 0, q \neq 0; k \neq q, k \neq 0\}$ . Several of these terms corresponding to the first options in the lists above will require further consideration where  $q = 0$  might generate more zero-indexed terms. Let me show these nine permutations first:

$$\begin{aligned} \hat{H} &= \sum_k \varepsilon(k) \hat{a}_k^+ \hat{a}_k + \frac{1}{2V} \sum_q V_q \sum_{p,k} \hat{a}_{p+q}^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_p \\ &= \sum_{k \neq 0} \varepsilon(k) \hat{a}_k^+ \hat{a}_k \\ &+ \frac{1}{2V} \sum_q V_q \hat{a}_0^+ \hat{a}_0^+ \hat{a}_q \hat{a}_{-q} + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_0^+ \hat{a}_{k-q}^+ \hat{a}_0 \hat{a}_{-q} + \frac{1}{2V} \sum_q V_q \sum_{k \neq q, k \neq 0} \hat{a}_0^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_{-q} \\ &+ \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_q^+ \hat{a}_0^+ \hat{a}_q \hat{a}_0 + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_q^+ \hat{a}_{-q}^+ \hat{a}_0 \hat{a}_0 + \frac{1}{2V} \sum_{q \neq 0} V_q \sum_{k \neq q, k \neq 0} \hat{a}_q^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_0 \\ &+ \frac{1}{2V} \sum_q V_q \hat{a}_{p+q}^+ \hat{a}_0^+ \hat{a}_q \hat{a}_p + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_{p+q}^+ \hat{a}_{-q}^+ \hat{a}_0 \hat{a}_p + \frac{1}{2V} \sum_q V_q \sum_{p \neq -q, p \neq 0, k \neq q, k \neq 0} \hat{a}_{p+q}^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_p \end{aligned}$$

Splitting these, then, where necessary into  $q = 0$  and  $q \neq 0$  cases, I have:

$$\begin{aligned}
\hat{H} = & (\text{one-particle energies}): \sum_{k \neq 0} \varepsilon(k) \hat{a}_k^+ \hat{a}_k \\
(0 \text{ excited interaction}): & + \frac{1}{2V} V_0 \hat{a}_0^+ \hat{a}_0^+ \hat{a}_0 \hat{a}_0 \\
(2 \text{ excited interaction}): & + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_0^+ \hat{a}_0^+ \hat{a}_q \hat{a}_{-q} + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_0^+ \hat{a}_{-q}^+ \hat{a}_0 \hat{a}_{-q} + \frac{1}{2V} V_0 \sum_{k \neq 0} \hat{a}_0^+ \hat{a}_k^+ \hat{a}_k \hat{a}_0 \\
& + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_q^+ \hat{a}_0^+ \hat{a}_q \hat{a}_0 + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{a}_q^+ \hat{a}_{-q}^+ \hat{a}_0 \hat{a}_0 + \frac{1}{2V} V_0 \sum_{p \neq 0} \hat{a}_p^+ \hat{a}_0^+ \hat{a}_0 \hat{a}_p \\
(3 \text{ excited interaction}): & + \frac{1}{2V} \sum_{q \neq 0} V_q \sum_{k \neq q, k \neq 0} \hat{a}_0^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_{-q} + \frac{1}{2V} \sum_{q \neq 0} V_q \sum_{k \neq q, k \neq 0} \hat{a}_q^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_0 \\
& + \frac{1}{2V} \sum_{q \neq 0, p \neq -q, p \neq 0} V_q \hat{a}_{p+q}^+ \hat{a}_0^+ \hat{a}_q \hat{a}_p + \frac{1}{2V} \sum_{q \neq 0, p \neq -q, p \neq 0} V_q \hat{a}_{p+q}^+ \hat{a}_{-q}^+ \hat{a}_0 \hat{a}_p \\
(4 \text{ excited interaction}): & + \frac{1}{2V} \sum_q V_q \sum_{p \neq -q, p \neq 0, k \neq q, k \neq 0} \hat{a}_{p+q}^+ \hat{a}_{k-q}^+ \hat{a}_k \hat{a}_p
\end{aligned}$$

The Feynman diagrams of these show the various processes of scattering, including pair production and creation from the condensate. Now switching over to the  $\hat{b}$  language, and considering only the interaction terms:

$$\begin{aligned}
\hat{H}_I = & \\
(0 \text{ excited interaction}): & + \frac{1}{2V} V_0 (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) (\sqrt{N_0} e^{i\theta} + \hat{b}_0) (\sqrt{N_0} e^{i\theta} + \hat{b}_0) \\
(2 \text{ excited interaction}): & + \frac{1}{2V} \sum_{q \neq 0} V_q (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) \hat{b}_q \hat{b}_{-q} \\
& + \frac{1}{2V} \sum_{q \neq 0} V_q (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) \hat{b}_{-q}^+ (\sqrt{N_0} e^{i\theta} + \hat{b}_0) \hat{b}_{-q} + \frac{1}{2V} V_0 \sum_{k \neq 0} (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) \hat{b}_k^+ \hat{b}_k (\sqrt{N_0} e^{i\theta} + \hat{b}_0) \\
& + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{b}_q^+ (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) \hat{b}_q (\sqrt{N_0} e^{i\theta} + \hat{b}_0) + \frac{1}{2V} \sum_{q \neq 0} V_q \hat{b}_q^+ \hat{b}_{-q}^+ (\sqrt{N_0} e^{i\theta} + \hat{b}_0) (\sqrt{N_0} e^{i\theta} + \hat{b}_0) \\
& + \frac{1}{2V} V_0 \sum_{p \neq -q, p \neq 0} \hat{b}_p^+ (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) (\sqrt{N_0} e^{i\theta} + \hat{b}_0) \hat{b}_p \\
(3 \text{ excited interaction}): & + \frac{1}{2V} \sum_{q \neq 0} V_q \sum_{k \neq q, k \neq 0} (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) \hat{b}_{k-q}^+ \hat{b}_k \hat{b}_{-q} + \frac{1}{2V} \sum_{q \neq 0} V_q \sum_{k \neq q, k \neq 0} \hat{b}_q^+ \hat{b}_{k-q}^+ \hat{b}_k (\sqrt{N_0} e^{i\theta} + \hat{b}_0) \\
& + \frac{1}{2V} \sum_{q \neq 0} V_q \sum_{p \neq -q, p \neq 0} \hat{b}_{p+q}^+ (\sqrt{N_0} e^{-i\theta} + \hat{b}_0^+) \hat{b}_q \hat{b}_p + \frac{1}{2V} \sum_{q \neq 0} V_q \sum_{p \neq -q, p \neq 0} \hat{b}_{p+q}^+ \hat{b}_{-q}^+ (\sqrt{N_0} e^{i\theta} + \hat{b}_0) \hat{b}_p \\
(4 \text{ excited interaction}): & + \frac{1}{2V} \sum_q V_q \sum_{p \neq -q, p \neq 0, k \neq q, k \neq 0} \hat{b}_{p+q}^+ \hat{b}_{k-q}^+ \hat{b}_k \hat{b}_p
\end{aligned}$$

Rather than explicitly expanding these in all of their terrible glory, I note a pattern: the only way to pick up a factor of  $\sqrt{N_0}$  in the above is to sacrifice the presence of a  $\hat{b}_0$ . In this way, then, I see that for each factor of  $\sqrt{N_0}$  that appears, the order of the term is reduced by one. Then, terms up to quadratic in  $\hat{b}_0$  have coefficients  $N_0^2, N_0\sqrt{N_0}, N_0$  and higher order terms have coefficients  $\sqrt{N_0}, 1$ . Then, dropping the latter-order terms, I get:

(0 excited interaction)  $\rightarrow$

$$V_0 \left[ N_0^2 + 2N_0\sqrt{N_0}e^{i\theta}\hat{b}_0^+ + N_0e^{2i\theta}\hat{b}_0^+\hat{b}_0^+ + 2N_0\sqrt{N_0}e^{-i\theta}\hat{b}_0 + 4N_0\hat{b}_0^+\hat{b}_0 + N_0e^{-2i\theta}\hat{b}_0\hat{b}_0 + \theta(\sqrt{N_0}) + \theta(1) \right]$$

(2 excited interaction)  $\rightarrow$

$$\begin{aligned} & + \frac{1}{2V} \sum_{q \neq 0} V_q N_0 e^{-2i\theta} \hat{b}_q \hat{b}_{-q} + \frac{1}{2V} \sum_{q \neq 0} V_q N_0 \hat{b}_{-q}^+ \hat{b}_{-q} + \frac{1}{2V} V_0 \sum_{k \neq 0} N_0 \hat{b}_k^+ \hat{b}_k \\ & + \frac{1}{2V} \sum_{q \neq 0} V_q N_0 \hat{b}_q^+ \hat{b}_q + \frac{1}{2V} \sum_{q \neq 0} V_q N_0 e^{2i\theta} \hat{b}_q^+ \hat{b}_{-q}^+ + \frac{1}{2V} V_0 \sum_{p \neq 0} N_0 \hat{b}_p^+ \hat{b}_p + \theta(\sqrt{N_0}) \end{aligned}$$

(3 excited interaction)  $\rightarrow$

$$+ \theta(\sqrt{N_0}) + \theta(1)$$

(4 excited interaction)  $\rightarrow$

$$+ \theta(1)$$

Then,

$$\hat{K} = \hat{H} - \mu\hat{N}$$

$$= \sum_{k \neq 0} \varepsilon(k) \hat{b}_k^+ \hat{b}_k$$

$$+ \frac{V_0}{2V} N_0^2 + 2 \frac{V_0}{2V} N_0 \sqrt{N_0} e^{i\theta} \hat{b}_0^+ + \frac{V_0}{2V} N_0 e^{2i\theta} \hat{b}_0^+ \hat{b}_0^+ + 2 \frac{V_0}{2V} N_0 \sqrt{N_0} e^{-i\theta} \hat{b}_0 + 4 \frac{V_0}{2V} N_0 \hat{b}_0^+ \hat{b}_0 + \frac{V_0}{2V} N_0 e^{-2i\theta} \hat{b}_0 \hat{b}_0$$

$$+ \frac{1}{2V} \sum_{q \neq 0} V_q N_0 e^{-2i\theta} \hat{b}_q \hat{b}_{-q} + \frac{1}{2V} \sum_{q \neq 0} V_q N_0 \hat{b}_{-q}^+ \hat{b}_{-q} + \frac{1}{2V} V_0 \sum_{k \neq 0} N_0 \hat{b}_k^+ \hat{b}_k$$

$$+ \frac{1}{2V} \sum_{q \neq 0} V_q N_0 \hat{b}_q^+ \hat{b}_q + \frac{1}{2V} \sum_{q \neq 0} V_q N_0 e^{2i\theta} \hat{b}_q^+ \hat{b}_{-q}^+ + \frac{1}{2V} V_0 \sum_{p \neq 0} N_0 \hat{b}_p^+ \hat{b}_p - \mu\hat{N} + \theta(\sqrt{N_0}) + \theta(1)$$

$$= \sum_k \varepsilon(k) \hat{b}_k^+ \hat{b}_k$$

$$+ \frac{V_0}{V} N_0 \sqrt{N_0} e^{i\theta} \hat{b}_0^+ + \frac{V_0}{V} N_0 \sqrt{N_0} e^{-i\theta} \hat{b}_0 + \frac{1}{2V} \sum_q V_q N_0 e^{-2i\theta} \hat{b}_q \hat{b}_{-q} + \frac{2}{V} \sum_q V_q N_0 \hat{b}_{-q}^+ \hat{b}_{-q}$$

$$+ \frac{1}{2V} \sum_q V_q N_0 e^{2i\theta} \hat{b}_q^+ \hat{b}_{-q}^+ + \frac{V_0}{2V} N_0^2 - \mu\hat{N} + \theta(\sqrt{N_0}) + \theta(1)$$

b) Show that in this Bogoliubov approximation that  $\hat{K} = \hat{K}_Q + \hat{K}_{cl}$  where  $\hat{K}_Q$  is quadratic and linear in  $\hat{b}, \hat{b}^+$  and  $\hat{K}_{cl}$  is purely classical and independent of  $\hat{b}, \hat{b}^+$ . Establish a relation  $\mu = \mu(N_0)$  by minimization of  $\hat{K}_{cl}$ :  $\left. \frac{\partial \hat{K}_{cl}}{\partial N_0} \right|_{\mu} = 0$ .

This is the Gross-Ginzburg-Pitaevskii equation. Show that imposing this condition leads to the cancellation of the terms linear in  $\hat{b}, \hat{b}^+$  in  $\hat{K}_Q$ .

$$\mu \hat{N} = \mu \left( \sqrt{N_0} e^{-i\theta} + \hat{b}_0^+ \right) \left( \sqrt{N_0} e^{i\theta} + \hat{b}_0 \right) + \mu \sum_k \hat{b}_k^+ \hat{b}_k$$

then:

$$\hat{K} = \hat{K}_Q + \hat{K}_{cl}$$

$$\hat{K}_Q = \sum_k \varepsilon(k) \hat{b}_k^+ \hat{b}_k$$

$$+ \sqrt{N_0} \left[ \frac{V_0}{V} N_0 - \mu \right] e^{i\theta} \hat{b}_0^+ + \frac{V_0}{V} \sqrt{N_0} \left[ \frac{V_0}{V} N_0 - \mu \right] e^{-i\theta} \hat{b}_0 + \frac{1}{2V} \sum_q V_q N_0 e^{-2i\theta} \hat{b}_q \hat{b}_{-q} + \frac{2}{V} \sum_q V_q N_0 \hat{b}_{-q}^+ \hat{b}_{-q}$$

$$+ \frac{1}{2V} \sum_q V_q N_0 e^{2i\theta} \hat{b}_q^+ \hat{b}_{-q}^+ - \mu \sum_k \hat{b}_k^+ \hat{b}_k + \theta(\sqrt{N_0}) + \theta(1)$$

$$\hat{K}_{cl} = \frac{V_0}{2V} N_0^2 - \mu N_0$$

Classically, then,

$$\hat{K}_{cl} \rightarrow \frac{V_0}{2V} N_0^2 - \mu N_0$$

$$\frac{\partial \hat{K}_{cl}}{\partial N_0} = \frac{V_0}{V} N_0 - \mu$$

$$\frac{\partial \hat{K}_{cl}}{\partial N_0} = 0 \rightarrow \mu = \frac{V_0}{V} N_0$$

Under this substitution, then:

$$\hat{K}_Q = \sum_k \varepsilon(k) \hat{b}_k^+ \hat{b}_k$$

$$+ \frac{1}{2V} \sum_q V_q N_0 e^{-2i\theta} \hat{b}_q \hat{b}_{-q} + \frac{2}{V} \sum_q V_q N_0 \hat{b}_{-q}^+ \hat{b}_{-q}$$

$$+ \frac{1}{2V} \sum_q V_q N_0 e^{2i\theta} \hat{b}_q^+ \hat{b}_{-q}^+ - \mu \sum_k \hat{b}_k^+ \hat{b}_k + \theta(\sqrt{N_0}) + \theta(1)$$

The terms related to  $\varepsilon(0)$  and  $\mu$  now correspond to a zero-point energy and can be dropped, leaving only terms quadratic in  $\hat{b}, \hat{b}^+$ :

$$\hat{K}_Q = \sum_k \varepsilon(k) \hat{b}_k^+ \hat{b}_k + \frac{1}{2V} \sum_q V_q N_0 e^{-2i\theta} \hat{b}_q \hat{b}_{-q} + \frac{2}{V} \sum_q V_q N_0 \hat{b}_{-q}^+ \hat{b}_{-q} + \frac{1}{2V} \sum_q V_q N_0 e^{2i\theta} \hat{b}_q^+ \hat{b}_{-q}^+ + \theta(\sqrt{N_0}) + \theta(1)$$

**c) Diagonalize the resulting quadratic form for  $\hat{K}_Q$  by a Bogoliubov transformation:**

$$\hat{b}_k^+ e^{i\theta} = \hat{c}_k \cosh \phi_k + \hat{c}_{-k}^+ \sinh \phi_k.$$

**Find  $\cosh \phi_k, \sinh \phi_k$  by requesting the cancellation of terms  $\hat{c}_k^+ \hat{c}_{-k}^+, \hat{c}_k \hat{c}_{-k}$ . Show that in this Bogoliubov transformation,**

$$\hat{K}_Q = \sum_k \hat{c}_k^+ \hat{c}_k \hbar \Omega(k) + K_0.$$

**Find  $\Omega(k)$  and  $K_0$ , consider  $V_q \equiv V_0$  constant and evaluate the integral for  $K_0$ .**

Let  $\phi_k, V_q$  and  $\varepsilon(k)$  be even functions of  $k$ .

Let  $[\hat{c}_k^+, \hat{c}_k] = 1$ , as shown in the assignment 10.

$$\begin{aligned} \hat{K}_Q &= \sum_k \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) (\hat{c}_k \cosh \phi_k + \hat{c}_{-k}^+ \sinh \phi_k) (\hat{c}_k^+ \cosh \phi_k + \hat{c}_{-k} \sinh \phi_k) \\ &+ \frac{1}{2V} \sum_k V_k N_0 (\hat{c}_k^+ \cosh \phi_k + \hat{c}_{-k} \sinh \phi_k) (\hat{c}_{-k}^+ \cosh \phi_{-k} + \hat{c}_k \sinh \phi_k) \\ &+ \frac{1}{2V} \sum_k V_k N_0 (\hat{c}_k \cosh \phi_k + \hat{c}_{-k}^+ \sinh \phi_k) (\hat{c}_{-k} \cosh \phi_k + \hat{c}_k^+ \sinh \phi_k) \end{aligned}$$

Now I have, after commuting and eliminating terms as well as re-indexing where necessary that

$$\begin{aligned}
\hat{K}_Q &= \sum_k \left( \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) (\sinh^2 \phi_k + \cosh^2 \phi_k) + \frac{2V_k N_0}{V} \sinh \phi_k \cosh \phi_k \right) \hat{c}_k^+ \hat{c}_k \\
&+ \sum_k \left[ \frac{V_k N_0}{2V} (\cosh^2 \phi_k + \sinh^2 \phi_k) + \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) \cosh \phi_k \sinh \phi_k \right] \hat{c}_k^+ \hat{c}_{-k}^+ \\
&+ \sum_k \left[ \frac{V_k N_0}{2V} (\cosh^2 \phi_k + \sinh^2 \phi_k) + \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) \cosh \phi_k \sinh \phi_k \right] \hat{c}_k \hat{c}_{-k} \\
&+ \frac{1}{V} \sum_k V_k N_0 \sinh \phi_k \cosh \phi_k - \sum_k \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) \cosh^2 \phi_k
\end{aligned}$$

This requires that

$$\begin{aligned}
\frac{V_k N_0}{2V} (\cosh^2 \phi_k + \sinh^2 \phi_k) + \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) \cosh \phi_k \sinh \phi_k &= 0 \\
\frac{V_k N_0}{2V} \cosh 2\phi_k + \frac{1}{2} \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) \sinh 2\phi_k &= 0
\end{aligned}$$

and so that

$$\begin{aligned}
\cosh 2\phi_k &= \frac{\frac{\varepsilon(k)}{2} + \frac{V_k N_0}{V}}{\sqrt{\left( \frac{\varepsilon(k)}{2} + \frac{V_k N_0}{V} \right)^2 - \left( \frac{N_0 V_k}{2V} \right)^2}} \\
\sinh 2\phi_k &= \frac{-\frac{V_k N_0}{2V}}{\sqrt{\left( \frac{\varepsilon(k)}{2} + \frac{V_k N_0}{V} \right)^2 - \left( \frac{N_0 V_k}{2V} \right)^2}}
\end{aligned}$$

Clearly satisfies the relation  $\cosh^2 x - \sinh^2 x = 1$ .

Now:

$$\begin{aligned}
\hbar\Omega(k) &= 2 \left( \left( \frac{\varepsilon(k)}{2} + \frac{V_k N_0}{V} \right) \cosh 2\phi_k + \frac{V_k N_0}{2V} \sinh 2\phi_k \right) \\
&= 2 \sqrt{\left( \frac{\varepsilon(k)}{2} + \frac{V_k N_0}{V} \right)^2 - \left( \frac{N_0 V_k}{2V} \right)^2}
\end{aligned}$$

Also,

$$\begin{aligned}
K_0 &= \frac{1}{V} \sum_k V_k N_0 \sinh \phi_k \cosh \phi_k - \sum_k \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) \cosh^2 \phi_k \\
&= \frac{1}{2V} \sum_k V_k N_0 \sinh 2\phi_k - \frac{1}{2} \sum_k \left( \varepsilon(k) + \frac{2V_k N_0}{V} \right) (\cosh 2\phi_k + 1) \\
&= \sum_k \sqrt{\left( \frac{\varepsilon(k)}{2} + \frac{V_k N_0}{V} \right)^2 - \left( \frac{N_0 V_k}{2V} \right)^2} - \sum_k \left( \frac{\varepsilon(k)}{2} + \frac{V_k N_0}{V} \right) \\
&\rightarrow 4\pi \int_0^{k_F} k^2 dk \sqrt{\left( \frac{\hbar^2 k^2}{4m} + \frac{V_0 N_0}{V} \right)^2 - \left( \frac{N_0 V_0}{2V} \right)^2} - 4\pi \int_0^{k_F} k^2 dk \left( \frac{\hbar^2 k^2}{4m} + \frac{V_0 N_0}{V} \right)
\end{aligned}$$

Note that the answer correctly vanishes when  $N_0$  vanishes.

2)

a) **Invert the Bogoliubov transformation in item c in problem 1 and show that  $\hat{c}_k = \tilde{b}_k \cosh \phi_k - \tilde{b}_{-k}^+ \sinh \phi_k$ ;  $\tilde{b}_k = \hat{b}_k e^{-i\theta}$ . Use**

$$e^A B e^{-A} = B + [A, B] + \frac{1}{2!} [A, [A, B]] + \dots \text{ to show that } \hat{c}_k = U(\phi) \tilde{b}_k U^{-1}(\phi)$$

where  $U(\phi)$  is the unitary operator  $U(\phi) = e^{\sum_{k>0} \phi_k (\tilde{b}_k^+ \tilde{b}_{-k}^+ - \tilde{b}_k \tilde{b}_{-k})}$ .

$$\tilde{b}_k^+ = \hat{b}_k^+ e^{i\theta} = \hat{c}_k \cosh \phi_k + \hat{c}_{-k}^+ \sinh \phi_k$$

$$\tilde{b}_k = \hat{b}_k e^{-i\theta} = \hat{c}_k^+ \cosh \phi_k + \hat{c}_{-k} \sinh \phi_k$$

then

$$\begin{aligned}
\tilde{b}_k^+ \cosh \phi_k + \tilde{b}_{-k} \sinh \phi_k &= [\hat{c}_k \cosh \phi_k + \hat{c}_{-k}^+ \sinh \phi_k] \cosh \phi_k - [\hat{c}_{-k}^+ \cosh \phi_k + \hat{c}_k \sinh \phi_k] \sinh \phi_k \\
&= \hat{c}_k (\cosh^2 \phi_k - \sinh^2 \phi_k) = \hat{c}_k
\end{aligned}$$

Further, taking  $U(\phi) = e^{\sum_{k>0} \phi_k (\tilde{b}_k^+ \tilde{b}_{-k}^+ - \tilde{b}_k \tilde{b}_{-k})}$ , I have

$$U(\phi) \tilde{b}_k U^{-1}(\phi) = \tilde{b}_k + \left[ \sum_{i>0} \phi_i (\tilde{b}_i^+ \tilde{b}_{-i}^+ - \tilde{b}_i \tilde{b}_{-i}), \tilde{b}_k \right] + \frac{1}{2!} \left[ \sum_{i>0} \phi_i (\tilde{b}_i^+ \tilde{b}_{-i}^+ - \tilde{b}_i \tilde{b}_{-i}), \left[ \sum_{j>0} \phi_j (\tilde{b}_j^+ \tilde{b}_{-j}^+ - \tilde{b}_j \tilde{b}_{-j}), \tilde{b}_k \right] \right] + \dots$$

Certainly,  $[\hat{b}_i^+, \hat{b}_j] = \delta_{ij}$ .

Now I have that

$$\left[ \sum_{i>0} \phi_i (\tilde{b}_i^+ \tilde{b}_{-i}^+ - \tilde{b}_i \tilde{b}_{-i}), \tilde{b}_k \right] = \phi_k \tilde{b}_{-k}^+$$

Using this result in the next element, I have:

$$\left[ \sum_{i>0} \phi_i (\tilde{b}_i^+ \tilde{b}_{-i}^+ - \tilde{b}_i \tilde{b}_{-i}), \left[ \sum_{j>0} \phi_j (\tilde{b}_j^+ \tilde{b}_{-j}^+ - \tilde{b}_j \tilde{b}_{-j}), \tilde{b}_k \right] \right] = \left[ \sum_{i>0} \phi_i (\tilde{b}_i^+ \tilde{b}_{-i}^+ - \tilde{b}_i \tilde{b}_{-i}), \phi_k \tilde{b}_{-k}^+ \right] = -\phi_k^2 \tilde{b}_k$$

And now I notice a pattern. Namely, the  $N$ -commutator will have value:

$$N = \begin{cases} \text{even} : \phi_k^N \tilde{b}_k \\ \text{odd} : -\phi_k^N \tilde{b}_k^+ \end{cases}$$

Now I see that these entries correspond to the Taylor expansion of

$$\cosh \phi_k = 1 + \frac{1}{2!} \phi_k^2 + \frac{1}{4!} \phi_k^4 + \dots$$

in the case of the coefficients multiplying  $\tilde{b}_k$  and

$$\sin \phi_k = \phi_k + \frac{1}{3!} \phi_k^3 + \frac{1}{5!} \phi_k^5 + \dots$$

in the case of the terms multiplying  $\tilde{b}_k^+$ ,  
and so overall I have that

$$U(\phi) \tilde{b}_k U^{-1}(\phi) = \tilde{b}_k \cosh \phi_k - \tilde{b}_{-k}^+ \sinh \phi_k = \hat{c}_k$$

just as expected.

- b) Show that the ground state of  $\hat{K}$  in the Bogoliubov approximation is  $|GS\rangle = U(\phi)|\tilde{0}\rangle$  where  $|\tilde{0}\rangle$  is the vacuum of the operators  $\tilde{b}_k : \tilde{b}_k |\tilde{0}\rangle = 0$  for all  $k$ . Argue that  $|GS\rangle$  is a linear superposition of states with a pair of momenta  $\bar{k}, -\bar{k}$  respectively. This is a squeezed quantum state. These states are ubiquitous in quantum optics and quantum controlled nanoscale systems.**

From part 1c,  $\hat{K}_0 = \sum_k \hat{c}_k^+ \hat{c}_k \hbar \Omega(k) + K_0$ . Dropping the zero-point term, I have then

$$\hat{K}'_0 = \sum_k \hat{c}_k^+ \hat{c}_k \hbar \Omega(k) = \sum_k \hbar \Omega(k) U(\phi) \tilde{b}_k^+ U^{-1}(\phi) U(\phi) \tilde{b}_k U^{-1}(\phi)$$

Now using the result from 2a, I have:

$$\begin{aligned}
& \hat{K}'_0 |GS\rangle \\
& = \hbar\Omega(k)U(\phi)\tilde{b}_k^+U^{-1}(\phi)U(\phi)\tilde{b}_kU^{-1}(\phi)U(\phi)|\tilde{0}\rangle \\
& = \hbar\Omega(k)U(\phi)\tilde{b}_k^+U^{-1}(\phi)U(\phi)\tilde{b}_k|\tilde{0}\rangle = 0
\end{aligned}$$

Thus I see that  $|GS\rangle$  is the ground state of the Bogoliubov approximation, since  $\tilde{b}_k|\tilde{0}\rangle = 0$ .

Consider now the action of  $U(\phi) = e^{\sum_{k>0} \phi_k (\tilde{b}_k^+ \tilde{b}_{-k} - \tilde{b}_k \tilde{b}_{-k}^+)}$  on the vacuum  $|\tilde{0}\rangle$ . Note that from this form, I see that particles are only created in a pair  $\tilde{b}_k^+ \tilde{b}_{-k}^+$  and are only destroyed in a pair  $\tilde{b}_k \tilde{b}_{-k}$ . This observation alone is sufficient to ensure that all states that exist can only have equal contributions from particles of momentum  $\vec{k}, -\vec{k}$ .

### 3) Mean-Field Theory, Coherent States, and the Gross-Pitaevski Equation:

**Consider the pair potential  $V(\vec{x} - \vec{y}) = V_0 \delta^3(\vec{x} - \vec{y})$  and introduce the coherent states of the Bosonic operator  $|\psi(\vec{x})\rangle$  such that  $\hat{\psi}(\vec{x})|\psi(\vec{x})\rangle = \psi(\vec{x})|\psi(\vec{x})\rangle$ .**

**Include a one-body "trap" potential in the Hamiltonian  $\hat{H}$ :**

$$\hat{H} = \int d^3x \hat{\psi}^\dagger(\vec{x}) \left[ -\frac{\hbar^2 \nabla^2}{2m} + U(\vec{x}) \right] \hat{\psi}(\vec{x}) + \frac{1}{2} \int d^3x d^3y \hat{\psi}^\dagger(\vec{x}) \hat{\psi}^\dagger(\vec{y}) V(\vec{x} - \vec{y}) \hat{\psi}(\vec{y}) \hat{\psi}(\vec{x})$$

.

**a) Minimize the energy  $E(\psi) = \langle \psi | \hat{K} | \psi \rangle$  where  $|\psi\rangle$  is the coherent state above  $\langle \psi | \psi \rangle = 1$  and show that  $\frac{\partial E}{\partial \psi^*(\vec{x})} = 0$  leads to the Gross-**

**Pitaevskii equation  $\left[ -\frac{\hbar^2 \nabla^2}{2m} + U(\vec{x}) - \mu \right] \psi(\vec{x}) + V_0 |\psi(\vec{x})|^2 \psi(\vec{x}) = 0$  with**

**the constraint that  $\int |\psi(\vec{x})|^2 d^3x = N$ .**

$$\hat{K} = \hat{H} - \mu \hat{N}$$

$$\text{Take : } \hat{N} = \int d^3x \hat{\psi}^\dagger(\vec{x}) \hat{\psi}(\vec{x})$$

$$= \hat{H} - \mu \int d^3x \hat{\psi}^\dagger(\vec{x}) \hat{\psi}(\vec{x})$$

Then:

$$\begin{aligned}
E(\psi) &= \langle \psi | \hat{K} | \psi \rangle = 0 \\
&= \langle \psi | \int d^3x \hat{\psi}^+(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) \right] \hat{\psi}(\bar{x}) + \frac{1}{2} \int d^3x d^3y \hat{\psi}^+(\bar{x}) \hat{\psi}^+(\bar{y}) V(\bar{x} - \bar{y}) \hat{\psi}(\bar{y}) \hat{\psi}(\bar{x}) - \mu \int d^3x \hat{\psi}^+(\bar{x}) \hat{\psi}(\bar{x}) | \psi \rangle \\
&= \int d^3x \psi^*(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) \right] \psi(\bar{x}) + \frac{1}{2} \int d^3x d^3y \psi^*(\bar{x}) \psi^*(\bar{y}) V(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) - \mu \int d^3x \psi^*(\bar{x}) \psi(\bar{x}) \\
\frac{\partial E}{\partial \psi^*} &= \int d^3x \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) \right] \psi(\bar{x}) + \frac{1}{2} \int d^3x d^3y \psi^*(\bar{y}) V(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) \\
&+ \frac{1}{2} \int d^3x d^3y \psi^*(\bar{x}) V(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) - \mu \int d^3x \psi(\bar{x}) \\
&= \int d^3x \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + \int d^3x d^3y \psi^*(\bar{y}) V_0 \delta^3(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) \\
&= \int d^3x \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + V_0 \int d^3x |\psi(\bar{y})|^2 \psi(\bar{x})
\end{aligned}$$

And so I have that

$$\int d^3x \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + V_0 \int d^3x |\psi(\bar{x})|^2 \psi(\bar{x}) = 0$$

But the Hamiltonian is valid over any arbitrary sub-volume and so locally

$$\left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + V_0 |\psi(\bar{x})|^2 \psi(\bar{x}) = 0$$

- b) Define new operators  $\hat{\psi}(\bar{x}) \rightarrow \psi(\bar{x}) + \hat{\eta}(\bar{x})$  where  $\psi(\bar{x})$  is the solution to the Gross-Pitaevskii equation and write  $\hat{K}$  up to quadratic order in  $\hat{\eta}(\bar{x}), \hat{\eta}^+(\bar{x})$ . Show that terms linear in  $\hat{\eta}(\bar{x}), \hat{\eta}^+(\bar{x})$  are cancelled by  $\psi(\bar{x})$  being a solution to the G-P equation.**

Again, take:

$$\hat{K} = \hat{H} - \mu \hat{N}$$

$$\begin{aligned}
\hat{K} &= \int d^3x \psi^+(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + \frac{1}{2} \int d^3x d^3y \psi^+(\bar{x}) \psi^+(\bar{y}) V(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) \\
&\rightarrow \int d^3x (\psi^*(\bar{x}) + \hat{\eta}^+(\bar{x})) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] (\psi(\bar{x}) + \hat{\eta}(\bar{x})) \\
&+ \frac{1}{2} \int d^3x d^3y (\psi^*(\bar{x}) + \hat{\eta}^+(\bar{x})) (\psi^*(\bar{y}) + \hat{\eta}^+(\bar{y})) V_0 \delta^3(\bar{x} - \bar{y}) (\psi(\bar{y}) + \hat{\eta}(\bar{y})) (\psi(\bar{x}) + \hat{\eta}(\bar{x})) \\
&\text{(Linear Only)} \\
&\int d^3x \hat{\eta}^+(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + \int d^3x \psi^*(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \hat{\eta}(\bar{x}) \\
&+ \frac{1}{2} \int d^3x d^3y \psi^*(\bar{x}) \hat{\eta}^+(\bar{y}) V_0 \delta^3(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) + \frac{1}{2} \int d^3x d^3y \psi^*(\bar{y}) \hat{\eta}^+(\bar{x}) V_0 \delta^3(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) \\
&+ \frac{1}{2} \int d^3x d^3y \psi^*(\bar{x}) \psi^*(\bar{y}) V_0 \delta^3(\bar{x} - \bar{y}) \hat{\eta}(\bar{y}) \psi(\bar{x}) + \frac{1}{2} \int d^3x d^3y \psi^*(\bar{x}) \psi^*(\bar{y}) V_0 \delta^3(\bar{x} - \bar{y}) \psi(\bar{y}) \hat{\eta}(\bar{x}) \\
&= \int d^3x \hat{\eta}^+(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + \int d^3x \psi^*(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \hat{\eta}(\bar{x}) \\
&+ V_0 \int d^3x |\psi(\bar{x})|^2 \psi(\bar{x}) \hat{\eta}^+(\bar{x}) + V_0 \int d^3x |\psi(\bar{x})|^2 \psi^*(\bar{x}) \hat{\eta}(\bar{x}) \\
&= \int d^3x \left[ \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + V_0 |\psi(\bar{x})|^2 \psi(\bar{x}) \right] \hat{\eta}^+(\bar{x}) \\
&+ \int d^3x \psi^*(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu + V_0 |\psi(\bar{x})|^2 \right] \hat{\eta}(\bar{x})
\end{aligned}$$

Note that in the final line of the result, indeed the G-P equation must eliminate the  $\hat{\eta}^+(\bar{x})$  term. However, in the second term no such cancellation occurs unless the operator  $\hat{\eta}(\bar{x})$  itself obeys the G-P equation as well!

**c) Introduce the Bogoliubov transformation:**

$$\hat{\phi}(\bar{x}) = u(\bar{x}) \hat{\eta}(\bar{x}) + v(\bar{x}) \hat{\eta}^+(\bar{x})$$

$$\hat{\phi}^+(\bar{x}) = u^*(\bar{x}) \hat{\eta}^+(\bar{x}) + v^*(\bar{x}) \hat{\eta}(\bar{x})$$

**Show that**  $[\hat{\phi}(\bar{x}), \hat{\phi}^+(\bar{y})] = \delta^3(\bar{x} - \bar{y})$  **if**  $|u(\bar{x})|^2 - |v(\bar{x})|^2 = 1$ .

$$\begin{aligned}
[\hat{\phi}(\bar{x}), \hat{\phi}^+(\bar{y})] &= [u(\bar{x}) \hat{\eta}(\bar{x}) + v(\bar{x}) \hat{\eta}^+(\bar{x}), u^*(\bar{y}) \hat{\eta}^+(\bar{y}) + v^*(\bar{y}) \hat{\eta}(\bar{y})] \\
&= u(\bar{x}) u^*(\bar{y}) [\hat{\eta}(\bar{x}), \hat{\eta}^+(\bar{y})] + v(\bar{x}) u^*(\bar{y}) [\hat{\eta}^+(\bar{x}), \hat{\eta}^+(\bar{y})] + u(\bar{x}) v^*(\bar{y}) [\hat{\eta}(\bar{x}), \hat{\eta}(\bar{y})] + v(\bar{x}) v^*(\bar{y}) [\hat{\eta}^+(\bar{x}), \hat{\eta}(\bar{y})] \\
\text{Take : } &[\hat{\eta}^+(\bar{x}), \hat{\eta}^+(\bar{y})] = [\hat{\eta}^+(\bar{x}), \hat{\eta}^+(\bar{y})] = 0 \\
&= u(\bar{x}) u^*(\bar{y}) [\hat{\eta}(\bar{x}), \hat{\eta}^+(\bar{y})] - v(\bar{x}) v^*(\bar{y}) [\hat{\eta}(\bar{y}), \hat{\eta}^+(\bar{x})]
\end{aligned}$$

Now recognizing that the variables in the second term are dummy variables in any integration over the same space,

$$[\hat{\phi}(\bar{x}), \hat{\phi}^+(\bar{y})] = (u(\bar{x})u^*(\bar{y}) - v(\bar{y})v^*(\bar{x}))[\hat{\eta}(\bar{x}), \hat{\eta}^+(\bar{y})]$$

$$\text{Take: } [\hat{\eta}(\bar{x}), \hat{\eta}^+(\bar{y})] = \delta^3(\bar{x} - \bar{y})$$

$$[\hat{\phi}(\bar{x}), \hat{\phi}^+(\bar{y})] = (u(\bar{x})u^*(\bar{y}) - v(\bar{y})v^*(\bar{x}))\delta^3(\bar{x} - \bar{y})$$

And so I see based on this expression that  $[\hat{\phi}(\bar{x}), \hat{\phi}^+(\bar{y})] = \delta^3(\bar{x} - \bar{y})$  if  $|u(\bar{x})|^2 - |v(\bar{x})|^2 = 1$ .

**d) Write  $\hat{K}$  up to quadratic order in  $\hat{\eta}, \hat{\eta}^+$  found in (b) in terms of  $\hat{\phi}, \hat{\phi}^+$ . What is the equation that  $U, V$  must obey so that the terms of the form  $\hat{\phi}^2, \hat{\phi}^{+2}$  are cancelled? These are the Bogoliubov-DeGennes equations!**

$$\begin{aligned} \hat{K} &\rightarrow \int d^3x (\psi^*(\bar{x}) + \hat{\eta}^+(\bar{x})) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] (\psi(\bar{x}) + \hat{\eta}(\bar{x})) \\ &+ \frac{1}{2} \int d^3x d^3y (\psi^*(\bar{x}) + \hat{\eta}^+(\bar{x})) (\psi^*(\bar{y}) + \hat{\eta}^+(\bar{y})) V_0 \delta^3(\bar{x} - \bar{y}) (\psi(\bar{y}) + \hat{\eta}(\bar{y})) (\psi(\bar{x}) + \hat{\eta}(\bar{x})) \\ &= \int d^3x \psi^*(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + \int d^3x \hat{\eta}^+(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \hat{\eta}(\bar{x}) \\ &+ \frac{1}{2} \int d^3x d^3y \psi^*(\bar{x}) \psi^*(\bar{y}) V_0 \delta^3(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) + \frac{1}{2} \int d^3x d^3y \hat{\eta}^+(\bar{y}) \hat{\eta}^+(\bar{x}) V_0 \delta^3(\bar{x} - \bar{y}) \psi(\bar{y}) \psi(\bar{x}) \\ &+ \int d^3x d^3y \hat{\eta}^+(\bar{y}) \psi^*(\bar{x}) V_0 \delta^3(\bar{x} - \bar{y}) \psi(\bar{y}) \hat{\eta}(\bar{x}) + \int d^3x d^3y \hat{\eta}^+(\bar{y}) \psi^*(\bar{x}) V_0 \delta^3(\bar{x} - \bar{y}) \hat{\eta}(\bar{y}) \psi(\bar{x}) \\ &+ \frac{1}{2} \int d^3x d^3y \psi^*(\bar{x}) \psi^*(\bar{y}) V_0 \delta^3(\bar{x} - \bar{y}) \hat{\eta}(\bar{y}) \hat{\eta}(\bar{x}) + h.o.t. \\ &\approx \int d^3x \psi^*(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \psi(\bar{x}) + \int d^3x \hat{\eta}^+(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \hat{\eta}(\bar{x}) \\ &+ \frac{V_0}{2} \int d^3x |\psi(\bar{x})|^4 + \frac{V_0}{2} \int d^3x \hat{\eta}^{+2}(\bar{x}) \psi^2(\bar{x}) + 2V_0 \int d^3x \hat{\eta}^+(\bar{x}) \hat{\eta}(\bar{x}) |\psi(\bar{x})|^2 + \frac{V_0}{2} \int d^3x \psi^{*2}(\bar{x}) \hat{\eta}^2(\bar{x}) + h.o.t. \end{aligned}$$

Now note that, inverting the prescribed transformation, I get:

$$\hat{\eta}(\bar{x}) = \hat{\phi}(\bar{x})u^*(\bar{x}) - \hat{\phi}^+(\bar{x})v(\bar{x})$$

$$\hat{\eta}^+(\bar{x}) = \hat{\phi}^+(\bar{x})u(\bar{x}) - \hat{\phi}(\bar{x})v^*(\bar{x})$$

This inversion can be quickly verified by substitution into the forms for  $\hat{\phi}, \hat{\phi}^+$  given in the problem statement.

Now considering only terms containing the powers  $\hat{\eta}, \hat{\eta}^+$  from  $\hat{K}$ , I have:

$$\begin{aligned}
\hat{K}_{relevant} &= \int d^3x \hat{\eta}^+(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \hat{\eta}(\bar{x}) \\
&\frac{V_0}{2} \int d^3x \hat{\eta}^{+2}(\bar{x}) \psi^2(\bar{x}) + 2V_0 \int d^3x \hat{\eta}^+(\bar{x}) \hat{\eta}(\bar{x}) |\psi(\bar{x})|^2 + \frac{V_0}{2} \int d^3x \psi^{*2}(\bar{x}) \hat{\eta}^2(\bar{x}) + h.o.t. \\
\hat{K}_{relevant} &\rightarrow \int d^3x (\hat{\phi}^+(\bar{x}) u(\bar{x}) - \hat{\phi}(\bar{x}) v^*(\bar{x})) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] (\hat{\phi}(\bar{x}) u^*(\bar{x}) - \hat{\phi}^+(\bar{x}) v(\bar{x})) \\
&+ \frac{V_0}{2} \int d^3x (\hat{\phi}^+(\bar{x}) u(\bar{x}) - \hat{\phi}(\bar{x}) v^*(\bar{x}))^2 \psi^2(\bar{x}) \\
&+ 2V_0 \int d^3x (\hat{\phi}^+(\bar{x}) u(\bar{x}) - \hat{\phi}(\bar{x}) v^*(\bar{x})) (\hat{\phi}(\bar{x}) u^*(\bar{x}) - \hat{\phi}^+(\bar{x}) v(\bar{x})) |\psi(\bar{x})|^2 \\
&+ \frac{V_0}{2} \int d^3x \psi^{*2}(\bar{x}) (\hat{\phi}(\bar{x}) u^*(\bar{x}) - \hat{\phi}^+(\bar{x}) v(\bar{x}))^2 + h.o.t
\end{aligned}$$

Now considering the  $\hat{\phi}^{+2}$  portion,

$$\begin{aligned}
\hat{K}_{relevant} &\rightarrow -\int d^3x \hat{\phi}^+(\bar{x}) u(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \hat{\phi}^+(\bar{x}) v(\bar{x}) \\
&+ \frac{V_0}{2} \int d^3x \hat{\phi}^+(\bar{x})^2 u(\bar{x})^2 \psi^2(\bar{x}) \\
&- 2V_0 \int d^3x \hat{\phi}^+(\bar{x})^2 u(\bar{x}) v(\bar{x}) |\psi(\bar{x})|^2 \\
&+ \frac{V_0}{2} \int d^3x \psi^{*2}(\bar{x}) v(\bar{x})^2 \hat{\phi}^+(\bar{x})^2 + h.o.t
\end{aligned}$$

By the same argument as in part (a), then, the Hamiltonian is valid across all space and so the the integral must vanish at all sub-regions, so that in order to make this vanish I need:

$$\begin{aligned}
&-\hat{\phi}^+(\bar{x}) u(\bar{x}) \left[ -\frac{\hbar^2 \bar{\nabla}^2}{2m} + U(\bar{x}) - \mu \right] \hat{\phi}^+(\bar{x}) v(\bar{x}) + \frac{V_0}{2} \hat{\phi}^+(\bar{x})^2 u(\bar{x})^2 \psi^2(\bar{x}) \\
&- 2V_0 \hat{\phi}^+(\bar{x})^2 u(\bar{x}) v(\bar{x}) |\psi(\bar{x})|^2 + \frac{V_0}{2} \psi^{*2}(\bar{x}) v(\bar{x})^2 \hat{\phi}^+(\bar{x})^2 = 0
\end{aligned}$$

Where again  $\psi(\bar{x})$  is the solution to the original G-P equation, and solutions  $\hat{\phi}^+(\bar{x})$  are found from the unperturbed Hamiltonian. A second constraint is then found using the  $\hat{\phi}^2$  portion of  $\hat{K}_{relevant}$ , which leads to a coupled pair of differential equations in  $u, v$ . Notice that the operator portions can be dropped from this equation after the differential operator acts.