

## GROUPS AND CATEGORIES

This chapter is devoted to some of the various connections between groups and categories. If you already know the basic group theory covered here, then this gives you some insight into the categorical constructions we have learned so far; and if you do not know it yet, then you learn it now as an application of category theory. We focus on three different aspects of the relationship between categories and groups:

1. groups in a category,
2. the category of groups,
3. groups as categories.

### 4.1 Groups in a category

As we have already seen, the notion of a group arises as an abstraction of the automorphisms of an object. In a specific, concrete case, a group  $G$  may thus consist of certain arrows  $g : X \rightarrow X$  for some object  $X$  in a category  $\mathbf{C}$ ,

$$G \subseteq \text{Hom}_{\mathbf{C}}(X, X)$$

But the abstract group concept can also be described directly as an object in a category, equipped with a certain structure. This more subtle notion of a "group in a category" also proves to be quite useful.

Let  $\mathbf{C}$  be a category with finite products. The notion of a group in  $\mathbf{C}$  essentially generalizes the usual notion of a group in **Sets**.

**Definition 4.1.** A *group* in  $\mathbf{C}$  consists of objects and arrows as so:

$$\begin{array}{ccccc}
 G \times G & \xrightarrow{m} & G & \xleftarrow{i} & G \\
 & & \uparrow u & & \\
 & & 1 & & 
 \end{array}$$

satisfying the following conditions:

1.  $m$  is associative, that is, the following commutes:

$$\begin{array}{ccc}
 (G \times G) \times G & \xrightarrow{\cong} & G \times (G \times G) \\
 m \times 1 \downarrow & & \downarrow 1 \times m \\
 G \times G & & G \times G \\
 & \searrow m & \swarrow m \\
 & G &
 \end{array}$$

where  $\cong$  is the canonical associativity isomorphism for products.

2.  $u$  is a unit for  $m$ , that is, both triangles in the following commute:

$$\begin{array}{ccc}
 G & \xrightarrow{\langle u, 1_G \rangle} & G \times G \\
 \langle 1_G, u \rangle \downarrow & \searrow 1_G & \downarrow m \\
 G \times G & \xrightarrow{m} & G
 \end{array}$$

where we write  $u$  for the "constant arrow"  $u! : G \xrightarrow{1} 1 \xrightarrow{u} G$ .

3.  $i$  is an inverse with respect to  $m$ , that is, both sides of the following commute:

$$\begin{array}{ccccc}
 G \times G & \xleftarrow{\Delta} & G & \xrightarrow{\Delta} & G \times G \\
 1_G \times i \downarrow & & u \downarrow & & \downarrow i \times 1_G \\
 G \times G & \xrightarrow{m} & G & \xleftarrow{m} & G \times G
 \end{array}$$

where  $\Delta = \langle 1_G, 1_G \rangle$ .

Note that the requirement that these diagrams commute is equivalent to the more familiar condition that, for all (generalized) elements,

$$x, y, z : Z \rightarrow G$$

the following equations hold:

$$m(m(x, y), z) = m(x, m(y, z))$$

$$m(x, u) = x = m(u, x)$$

$$m(x, ix) = u = m(ix, x)$$

**Definition 4.2.** A *homomorphism*  $h : G \rightarrow H$  of groups in  $\mathbf{C}$  consists of an arrow in  $\mathbf{C}$ ,

$$h : G \rightarrow H$$

such that

1.  $h$  preserves  $m$ :

$$\begin{array}{ccc} G \times G & \xrightarrow{h \times h} & H \times H \\ \downarrow m & & \downarrow m \\ G & \xrightarrow{h} & H \end{array}$$

2.  $h$  preserves  $u$ :

$$\begin{array}{ccc} G & \xrightarrow{h} & H \\ \uparrow u & \nearrow u & \\ 1 & & \end{array}$$

3.  $h$  preserves  $i$ :

$$\begin{array}{ccc} G & \xrightarrow{h} & H \\ \downarrow i & & \downarrow i \\ G & \xrightarrow{h} & H \end{array}$$

With the evident identities and composites, we thus have a category of groups in  $\mathbf{C}$ , denoted by

$$\mathbf{Group}(\mathbf{C})$$

*Example 4.3.* The idea of an internal group in a category captures the familiar notion of a group with additional structure.

- A group in the usual sense is a group in the category **Sets**.
- A topological group is a group in **Top**, the category of topological spaces.
- A (partially) ordered group is a group in the category **Pos** of posets (in this case, the inverse operation is usually required to be order-reversing, that is, of the form  $i : G^{\text{op}} \rightarrow G$ ).

For example, the real numbers  $\mathbb{R}$  under addition are a topological and an ordered group, since the operations of addition  $x + y$  and additive inverse  $-x$  are continuous and order-preserving (resp. reversing). They are a topological "semigroup" under multiplication  $x \cdot y$  as well, but the multiplicative inverse operation  $1/x$  is not continuous (or even defined!) at 0.

*Example 4.4.* Suppose we have a group  $G$  in the category **Groups** of groups. So  $G$  is a group equipped with group homomorphisms  $m : G \times G \rightarrow G$ , etc. as in definition 4.1. Let us take this apart in more elementary terms. Write the multiplication of the group  $G$ , that is, on the underlying set  $|G|$ , as  $x \circ y$  and write the homomorphic multiplication  $m$  as  $x \star y$ . That the latter is a homomorphism from the product group  $G \times G$  to  $G$  says in particular that, for all  $g, h \in G \times G$  we have  $m(g \circ h) = m(g) \circ m(h)$ . Recalling that  $g = (g_1, g_2)$ ,  $h = (h_1, h_2)$  and multiplication  $\circ$  on  $G \times G$  is pointwise, this then comes to the following:

$$(g_1 \circ h_1) \star (g_2 \circ h_2) = (g_1 \star g_2) \circ (h_1 \star h_2) \tag{4.1}$$

Write  $1^\circ$  for the unit with respect to  $\circ$  and  $1^\star$  for the unit of  $\star$ . The following proposition is called the "Eckmann–Hilton argument," and was first used in homotopy theory.

**Proposition 4.5.** *Given any set  $G$  equipped with two binary operations  $\circ, \star : G \times G \rightarrow G$  with units  $1^\circ$  and  $1^\star$ , respectively and satisfying (4.1), the following hold.*

1.  $1^\circ = 1^\star$ .
2.  $\circ = \star$ .
3. *The operation  $\circ = \star$  is commutative.*

*Proof.* First, we have

$$\begin{aligned} 1^\circ &= 1^\circ \circ 1^\circ \\ &= (1^\circ \star 1^\star) \circ (1^\star \star 1^\circ) \\ &= (1^\circ \circ 1^\star) \star (1^\star \circ 1^\circ) \\ &= 1^\star \star 1^\star \\ &= 1^\star. \end{aligned}$$

Thus, let us write  $1^\circ = 1 = 1^\star$ . Next, we have,

$$x \circ y = (x \star 1) \circ (1 \star y) = (x \circ 1) \star (1 \circ y) = x \star y.$$

Thus, let us write  $x \circ y = x \cdot y = x * y$ . Finally, we have

$$x \cdot y = (1 \cdot x) \cdot (y \cdot 1) = (1 \cdot y) \cdot (x \cdot 1) = y \cdot x.$$

□

We therefore have the following.

**Corollary 4.6.** *The groups in the category of groups are exactly the abelian groups.*

*Proof.* We have just shown that a group in **Groups** is necessarily abelian, so it just remains to see that any abelian group admits homomorphic group operations. We leave this as an easy exercise. □

*Remark 4.7.* Note that we did not really need the full group structure in this argument. Indeed, the same result holds for monoids in the category of monoids: these are exactly the commutative monoids.

*Example 4.8.* A further example of an internal algebraic structure in a category is provided by the notion of a (strict) *monoidal category*.

**Definition 4.9.** A *strict monoidal category* is a category  $\mathbf{C}$  equipped with a binary operation  $\otimes : \mathbf{C} \times \mathbf{C} \rightarrow \mathbf{C}$  which is functorial and associative,

$$A \otimes (B \otimes C) = (A \otimes B) \otimes C, \tag{4.2}$$

together with a distinguished object  $I$  that acts as a unit,

$$I \otimes C = C = C \otimes I. \tag{4.3}$$

A strict monoidal category is exactly the same thing as a monoid in **Cat**. Examples where the underlying category is a poset  $P$  include both the meet  $x \wedge y$  and join  $x \vee y$  operations, with terminal object 1 and initial object 0 as units, respectively (assuming  $P$  has these structures), as well as the poset  $\text{End}(P)$  of monotone maps  $f : P \rightarrow P$ , ordered pointwise, with composition  $g \circ f$  as  $\otimes$  and  $1_P$  as unit. A discrete monoidal category, that is, one with a discrete underlying category, is obviously just a regular monoid (in **Sets**), while a monoidal category with only one object is a monoidal monoid, and thus exactly a commutative monoid, by the foregoing remark 4.7.

More general strict monoidal categories, that is, ones having a proper category with many objects and arrows, are rather less common—not for a paucity of such structures, but because the required equations (4.2) and (4.3) typically hold only “up to isomorphism.” This is so, for example, for products  $A \times B$  and coproducts  $A + B$ , as well as many other operations like tensor products  $A \otimes B$  of vector spaces, modules, algebras over a ring, etc. (the category of proofs in linear logic provides more examples). We return to this more general notion of a (not necessarily strict) monoidal category once we have the required notion of a “natural isomorphism” (in Chapter 7), which is required to make the above notion of “up to isomorphism” precise.

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 - monoidal category  
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A basic example of a non-poset monoidal category that *is* strict is provided by the category  $\mathbf{Ord}_{\text{fin}}$  of all finite ordinal numbers  $0, 1, 2, \dots$ , which can be represented in set theory as,

$$0 = \emptyset,$$

$$n + 1 = \{0, \dots, n\}.$$

The arrows are just all functions between these sets. The monoidal product  $m \otimes n$  is then  $m+n$  and 0 is the unit. In a sense that can be made precise in the expected way, this example is in fact the "free monoidal category."

In logical terms, the concept of an internal group corresponds to the observation that one can "model the theory of groups" in *any* category with finite products, not just **Sets**. Thus, for instance, one can also define the notion of a *group in the  $\lambda$ -calculus*, since the category of types of the  $\lambda$ -calculus also has finite products. Of course the same is true for other algebraic theories, like monoids and rings, given by operations and equations. Theories involving other logical operations like negations, implication, or quantifiers can be modeled in categories having more structure than just finite products. Here we have a glimpse of so-called *categorical semantics*. Such semantics can be useful for theories that are not complete with respect to models in **Sets**, such as certain theories in intuitionistic logic.

#### 4.2 The category of groups

Let  $G$  and  $H$  be groups (in **Sets**), and let

$$h : G \rightarrow H$$

be a group homomorphism. The *kernel* of  $h$  is defined by the equalizer

$$\ker(h) = \{g \in G \mid h(g) = u\} \longrightarrow G \begin{array}{c} \xrightarrow{h} \\ \xrightarrow{u} \end{array} H$$

where, again, we write  $u : G \rightarrow H$  for the constant homomorphism

$$u! = G \xrightarrow{1} 1 \xrightarrow{u} H.$$

We have already seen that this specification makes the above an equalizer diagram.

Observe that  $\ker(h)$  is a *subgroup*. Indeed, it is a *normal subgroup*, in the sense that for any  $k \in \ker(h)$ , we have (using multiplicative notation)

$$g \cdot k \cdot g^{-1} \in \ker(h) \quad \text{for all } g \in G.$$

Now if  $N \xrightarrow{i} G$  is *any* normal subgroup, we can construct the *coequalizer*

$$N \begin{array}{c} \xrightarrow{i} \\ \xrightarrow{u} \end{array} G \xrightarrow{\pi} G/N$$

sending  $g \in G$  to  $u$  iff  $g \in N$  ("killing off  $N$ "), as follows: the elements of  $G/N$  are the "cosets of  $N$ ," that is, equivalence classes of the form  $[g]$  for all  $g \in G$ , where we define

$$g \sim h \text{ iff } g \cdot h^{-1} \in N.$$

(Prove that this is an equivalence relation!) The multiplication on the *factor group*  $G/N$  is then given by

$$[x] \cdot [y] = [x \cdot y]$$

which is well defined since  $N$  is normal: given any  $u, v$  with  $x \sim u$  and  $y \sim v$ , we have

$$x \cdot y \sim u \cdot v \iff (x \cdot y) \cdot (u \cdot v)^{-1} \in N$$

but

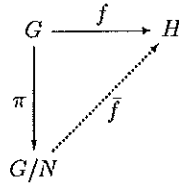
$$\begin{aligned} (x \cdot y) \cdot (u \cdot v)^{-1} &= x \cdot y \cdot v^{-1} \cdot u^{-1} \\ &= x \cdot (u^{-1} \cdot u) \cdot y \cdot v^{-1} \cdot u^{-1} \\ &= (x \cdot u^{-1}) \cdot (u \cdot (y \cdot v^{-1}) \cdot u^{-1}), \end{aligned}$$

the last of which is evidently in  $N$ .

Let us show that the diagram above really is a coequalizer. First, it is clear that

$$\pi \circ i = \pi \circ u!$$

since  $n \cdot u = n$  implies  $[n] = [u]$ . Suppose we have  $f : G \rightarrow H$  killing  $N$ , that is,  $f(n) = u$  for all  $n \in N$ . We then propose a "factorization"  $\bar{f}$ , as indicated in



to be defined by

$$\bar{f}[g] = f(g).$$

This is well defined if  $x \sim y$  implies  $f(x) = f(y)$ . But, since  $x \sim y$  implies  $f(x \cdot y^{-1}) = u$ , we have

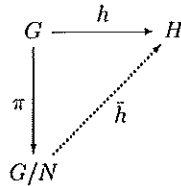
$$f(x) = f(x \cdot y^{-1} \cdot y) = f(x \cdot y^{-1}) \cdot f(y) = u \cdot f(y) = f(y).$$

Moreover,  $\bar{f}$  is unique with  $\pi \bar{f} = f$ , since  $\pi$  is epic. Thus, we have shown most of the following classical *Homomorphism Theorem for Groups*.

**Theorem 4.10.** *Every group homomorphism  $h : G \rightarrow H$  has a kernel  $\ker(h) = h^{-1}(u)$ , which is a normal subgroup of  $G$  with the property that, for any normal subgroup  $N \subseteq G$*

$$N \subseteq \ker(h)$$

*iff there is a (necessarily unique) homomorphism  $\bar{h} : G/N \rightarrow H$  with  $\bar{h} \circ \pi = h$ , as indicated in  $\blacktriangle$*



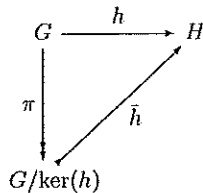
*Proof.* It only remains to show that if such a factorization  $\bar{h}$  exists, then  $N \subseteq \ker(h)$ . But this is clear, since  $\pi(N) = \{[u_G]\}$ . So,  $h(n) = \bar{h}\pi(n) = \bar{h}([u_G]) = u_H$ .  $\square$

Finally, putting  $N = \ker(h)$  in the theorem, and taking any  $[x], [y] \in G/\ker(h)$ , we have

$$\begin{aligned} \bar{h}[x] = \bar{h}[y] &\Rightarrow h(x) = h(y) \\ &\Rightarrow h(xy^{-1}) = u \\ &\Rightarrow xy^{-1} \in \ker(h) \\ &\Rightarrow x \sim y \\ &\Rightarrow [x] = [y]. \end{aligned}$$

Thus,  $\bar{h}$  is injective, and we conclude.

**Corollary 4.11.** *Every group homomorphism  $h : G \rightarrow H$  factors as a quotient followed by an injective homomorphism,*



*Thus,  $\bar{h} : G/\ker(h) \xrightarrow{\sim} \text{im}(h) \subseteq H$  is an isomorphism onto the subgroup  $\text{im}(h)$  that is the image of  $h$ .*

*In particular, therefore, a homomorphism  $h$  is injective if and only if its kernel is "trivial," in the sense that  $\ker(h) = \{u\}$ .*

ans.

the following diagram.



There is a dual to the notion of a kernel of a homomorphism  $h : G \rightarrow H$ , namely a cokernel  $c : H \rightarrow C$ , which is the universal way of "killing off  $h$ " in the sense that  $c \circ h = u$ . Cokernels are special coequalizers, in just the way that kernels are special equalizers. We leave the details as an exercise.

### 4.3 Groups as categories

First, let us recall that a group is a category. In particular, a group is a category with one object, in which every arrow is an iso. If  $G$  and  $H$  are groups, regarded as categories, then we can consider arbitrary functors between them

$$f : G \rightarrow H.$$

It is obvious that a functor between groups is exactly the same thing as a group homomorphism.

What is a functor  $R : G \rightarrow C$  from a group  $G$  to another category  $C$  that is not necessarily a group? If  $C$  is the category of (finite-dimensional) vector spaces and linear transformations, then such a functor is just what the group theorist calls a "linear representation" of  $G$ ; such a representation permits the description of the group elements as matrices, and the group operation as matrix multiplication. In general, any functor  $R : G \rightarrow C$  may be regarded as a representation of  $G$  in the category  $C$ : the elements of  $G$  become automorphisms of some object in  $C$ . A permutation representation, for instance, is simply a functor into **Sets**.

We now want to generalize the notions of kernel of a homomorphism, and quotient or factor group by a normal subgroup, from groups to arbitrary categories, and then give the analogous homomorphism theorem for categories.

**Definition 4.12.** A *congruence* on a category  $C$  is an equivalence relation  $f \sim g$  on arrows such that

1.  $f \sim g$  implies  $\text{dom}(f) = \text{dom}(g)$  and  $\text{cod}(f) = \text{cod}(g)$ ,

$$\bullet \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} \bullet$$

2.  $f \sim g$  implies  $bfa \sim bga$  for all arrows  $a : A \rightarrow X$  and  $b : Y \rightarrow B$ , where  $\text{dom}(f) = X = \text{dom}(g)$  and  $\text{cod}(f) = Y = \text{cod}(g)$ ,

$$\bullet \xrightarrow{a} \bullet \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} \bullet \xrightarrow{b} \bullet$$

Let  $\sim$  be a congruence on the category  $\mathbf{C}$ , and define the *congruence category*  $\mathbf{C}^\sim$  by

$$\begin{aligned} (\mathbf{C}^\sim)_0 &= \mathbf{C}_0 \\ (\mathbf{C}^\sim)_1 &= \{\langle f, g \rangle \mid f \sim g\} \\ \tilde{1}_{\mathbf{C}} &= \langle 1_{\mathbf{C}}, 1_{\mathbf{C}} \rangle \\ \langle f', g' \rangle \circ \langle f, g \rangle &= \langle f'f, g'g \rangle \end{aligned}$$

One easily checks that this composition is well defined, using the congruence conditions.

There are two evident projection functors:

$$\mathbf{C}^\sim \begin{array}{c} \xrightarrow{p_1} \\ \xrightarrow{p_2} \end{array} \mathbf{C}$$

We build the *quotient category*  $\mathbf{C}/\sim$  as follows:

$$\begin{aligned} (\mathbf{C}/\sim)_0 &= \mathbf{C}_0 \\ (\mathbf{C}/\sim)_1 &= (\mathbf{C}_1)/\sim \end{aligned}$$

The arrows have the form  $[f]$  where  $f \in \mathbf{C}_1$ , and we can put  $1_{[\mathbf{C}]} = [1_{\mathbf{C}}]$ , and  $[g] \circ [f] = [g \circ f]$ , as is easily checked, again using the congruence conditions.

There is an evident quotient functor  $\pi : \mathbf{C} \rightarrow \mathbf{C}/\sim$ , making the following a coequalizer of categories:

$$\mathbf{C}^\sim \begin{array}{c} \xrightarrow{p_1} \\ \xrightarrow{p_2} \end{array} \mathbf{C} \xrightarrow{\pi} \mathbf{C}/\sim$$

This is proved much as for groups.

An exercise shows how to use this construction to make coequalizers for certain functors. Let us show how to use it to prove an analogous "homomorphism theorem for categories." Suppose we have categories  $\mathbf{C}$  and  $\mathbf{D}$  and a functor

$$F : \mathbf{C} \rightarrow \mathbf{D}.$$

Then,  $F$  determines a congruence  $\sim_F$  on  $\mathbf{C}$  by setting

$$f \sim_F g \text{ iff } \text{dom}(f) = \text{dom}(g), \text{cod}(f) = \text{cod}(g), F(f) = F(g)$$

That this is a congruence is easily checked.

Let us write

$$\ker(F) = \mathbf{C}^{\sim_F} \rightrightarrows \mathbf{C}$$

for the congruence category, and call this the *kernel category* of  $F$ .

The quotient category

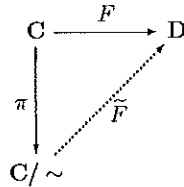
$$\mathbf{C}/\sim_F$$

then has the following universal mapping property (UMP):

**Theorem 4.13.** *Every functor  $F : C \rightarrow D$  has a kernel category  $\ker(F)$ , determined by a congruence  $\sim_F$  on  $C$  such that given any congruence  $\sim$  on  $C$  one has*

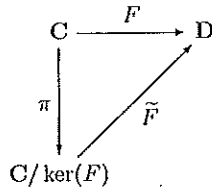
$$f \sim g \Rightarrow f \sim_F g$$

if and only if there is a factorization  $\tilde{F} : C/\sim \rightarrow D$ , as indicated in



Just as in the case of groups, applying the theorem to the case  $C \sim = \ker(F)$  gives a factorization theorem.

**Corollary 4.14.** *Every functor  $F : C \rightarrow D$  factors as  $F = \tilde{F} \circ \pi$ ,*



where  $\pi$  is bijective on objects and surjective on Hom-sets, and  $\tilde{F}$  is injective on Hom-sets (i.e., "faithful"):

$$\tilde{F}_{A,B} : \text{Hom}(A, B) \rightarrow \text{Hom}(FA, FB) \quad \text{for all } A, B \in C/\ker(F)$$

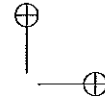
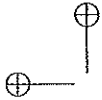
#### 4.4 Finitely presented categories

Finally, let us consider categories presented by generators and relations.

We begin with the free category  $C(G)$  on some finite graph  $G$ , and then consider a finite set  $\Sigma$  of relations of the form

$$(g_1 \circ \dots \circ g_n) = (g'_1 \circ \dots \circ g'_m)$$

with all  $g_i \in G$ , and  $\text{dom}(g_n) = \text{dom}(g'_m)$  and  $\text{cod}(g_1) = \text{cod}(g'_1)$ . Such a relation identifies two "paths" in  $C(G)$  with the same "endpoints" and "direction." Next, let  $\sim_\Sigma$  be the smallest congruence  $\sim$  on  $C$  such that  $g \sim g'$  for each equation  $g = g'$  in  $\Sigma$ . Such a congruence exists simply because the intersection of a family

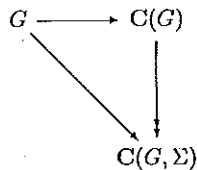


of congruences is again a congruence. Taking the quotient by this congruence, we have a notion of a *finitely presented category*:

$$C(G, \Sigma) = C(G) / \sim_{\Sigma}$$

This is completely analogous to the notion of a finite presentation for groups, and indeed specializes to that notion in the case of a graph with only one vertex. The UMP of  $C(G, \Sigma)$  is then an obvious variant of that already given for groups.

Specifically, in  $C(G, \Sigma)$  there is a "diagram of type  $G$ ," that is, a graph homomorphism  $i : G \rightarrow |C(G, \Sigma)|$ , satisfying all the conditions  $i(g) = i(g')$ , for all  $g = g' \in \Sigma$ . Moreover, given any category  $D$  with a diagram of type  $G$ , say  $h : G \rightarrow |D|$ , that satisfies all the conditions  $h(g) = h(g')$ , for all  $g = g' \in \Sigma$ , there is a unique functor  $\bar{h} : C(G, \Sigma) \rightarrow D$  with  $|\bar{h}| \circ i = h$ .



Just as in the case of presentations of groups, one can describe the construction of  $C(G, \Sigma)$  as a coequalizer for two functors. Indeed, suppose we have arrows  $f, f' \in C$ . Take the least congruence  $\sim$  on  $C$  with  $f \sim f'$ . Consider the diagram

$$C(\mathbf{2}) \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{f'} \end{array} C \xrightarrow{q} C/\sim$$

where  $\mathbf{2}$  is the graph with two vertices and an edge between them,  $f$  and  $f'$  are the unique functors taking the generating edge to the arrows by the same names, and  $q$  is the canonical functor to the quotient category. Then,  $q$  is a coequalizer of  $f$  and  $f'$ . To show this, take any  $d : C \rightarrow D$  with

$$df = df'.$$

Since  $C(\mathbf{2})$  is free on the graph  $\cdot \xrightarrow{x} \cdot$ , and  $f(x) = f$  and  $f'(x) = f'$ , we have

$$d(f) = d(f(x)) = d(f'(x)) = d(f').$$

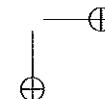
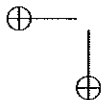
Thus,  $(f, f') \in \ker(d)$ , so  $\sim \subseteq \ker(d)$  (since  $\sim$  is minimal with  $f \sim f'$ ). So there is a functor  $\bar{d} : C/\sim \rightarrow D$  such that  $d = \bar{d} \circ q$  by the homomorphism theorem.

For the case of several equations rather than just one, in analogy with the case of finitely presented algebras (example 3.22), one replaces  $\mathbf{2}$  by the graph  $n \times \mathbf{2}$ , and thus the free category  $C(\mathbf{2})$  by

$$C(n \times \mathbf{2}) = n \times C(\mathbf{2}) = C(\mathbf{2}) + \dots + C(\mathbf{2}).$$



Au: Please specify "example 3.22" is OK.



*Example 4.15.* The category with two uniquely isomorphic objects is not free on *any* graph, since it is finite, but has “loops” (cycles). But it *is* finitely presented with graph

$$A \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{g} \end{array} B$$

and relations

$$gf = 1_A, \quad fg = 1_B.$$

Similarly, there are finitely presented categories with just one nonidentity arrow  $f : \cdot \rightarrow \cdot$  and either

$$f \circ f = 1 \quad \text{or} \quad f \circ f = f.$$

In the first case, we have the group  $\mathbb{Z}/2\mathbb{Z}$ . In the second case, an “idempotent” (but not a group). Indeed, any of the cyclic groups

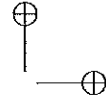
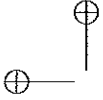
$$\mathbb{Z}_n \cong \mathbb{Z}/\mathbb{Z}n$$

occur in this way, with the graph  $f : \star \rightarrow \star$  and the relation  $f^n = 1$ .

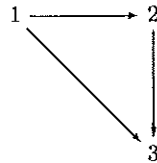
Of course, there are finitely presented categories with many objects as well. These are always given by a finite graph, the vertices of which are the objects and the edges of which generate the arrows, together with finitely many equations among paths of edges.

#### 4.5 Exercises

1. Regarding a group  $G$  as a category with one object and every arrow an isomorphism, show that a categorical congruence  $\sim$  on  $G$  is the same thing as (the equivalence relation on  $G$  determined by) a normal subgroup  $N \subseteq G$ , that is, show that the two kinds of things are in isomorphic correspondence. Show further that the quotient category  $G/\sim$  and the factor group  $G/N$  coincide. Conclude that the homomorphism theorem for groups is a special case of the one for categories.
2. Consider the definition of a group in a category as applied to the category  $\mathbf{Sets}/I$  of sets sliced over a set  $I$ . Show that such a group  $G$  determines an  $I$ -indexed family of (ordinary) groups  $G_i$  by setting  $G_i = G^{-1}(i)$  for each  $i \in I$ . Show that this determines a functor  $\mathbf{Groups}(\mathbf{Sets}/I) \rightarrow \mathbf{Groups}^I$  into the category of  $I$ -indexed families of groups and  $I$ -indexed families of homomorphisms.
3. Complete the proof that the groups in the category of groups are exactly the abelian groups by showing that any abelian group admits homomorphic group operations.



4. Use the Eckmann–Hilton argument to prove that every monoid in the category of groups is an internal group.
5. Given a homomorphism of abelian groups  $f : A \rightarrow B$ , define the cokernel  $c : B \rightarrow C$  to be the quotient of  $B$  by the subgroup  $\text{im}(f) \subseteq B$ .
  - (a) Show that the cokernel has the following UMP:  $c \circ f = 0$ , and if  $g : B \rightarrow G$  is any homomorphism with  $g \circ f = 0$ , then  $g$  factors uniquely through  $c$  as  $g = u \circ c$ .
  - (b) Show that the cokernel is a particular kind of coequalizer, and use cokernels to construct arbitrary coequalizers.
  - (c) Take the kernel of the cokernel, and show that  $f : A \rightarrow B$  factors through it. Show, moreover, that this kernel is (isomorphic to) the image of  $f : A \rightarrow B$ . Infer that the factorization of  $f : A \rightarrow B$  determined by cokernels agrees with that determined by taking the kernels.
6. Give four different presentations by generators and relations of the category **3**, pictured:



Is **3** free?

7. Given a congruence  $\sim$  on a category  $\mathbf{C}$  and arrows in  $\mathbf{C}$  as follows:

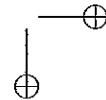
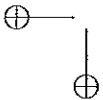
$$A \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{f'} \end{array} B \begin{array}{c} \xrightarrow{g} \\ \xrightarrow{g'} \end{array} C$$

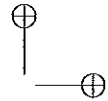
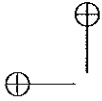
show that  $f \sim f'$  and  $g \sim g'$  implies  $g \circ f \sim g' \circ f'$ .

8. Given functors  $F, G : \mathbf{C} \rightarrow \mathbf{D}$  such that for all  $C \in \mathbf{C}$ ,  $FC = GC$ , define a congruence on  $\mathbf{D}$  by the condition

$$f \sim g \quad \text{iff} \quad \text{dom}(f) = \text{dom}(g) \ \& \ \text{cod}(f) = \text{cod}(g) \\ \& \ \forall \mathbf{E} \forall H : \mathbf{D} \rightarrow \mathbf{E} : HF = HG \Rightarrow H(f) = H(g)$$

Prove that this is indeed a congruence. Prove, moreover, that  $\mathbf{D}/\sim$  is the coequalizer of  $F$  and  $G$ .





5

LIMITS AND COLIMITS

In this chapter, we first briefly discuss some topics—namely, subobjects and pullbacks—relating to the definitions that we already have. This is partly in order to see how these are used, but also because we need this material soon. Then we approach things more systematically, defining the general notion of a limit, which subsumes many of the particular abstract characterizations we have met so far. Of course, there is a dual notion of colimit, which also has many interesting applications. After a brief look at one more elementary notion in Chapter 6, we go on to what may be called “higher category theory.”

5.1 Subobjects

We have seen that every subset  $U \subseteq X$  of a set  $X$  occurs as an equalizer and that equalizers are always monomorphisms. Therefore, it is natural to regard monos as generalized subsets. That is, a mono in **Groups** can be regarded as a subgroup, a mono in **Top** as a subspace, and so on.

The rough idea is this: given a monomorphism,

$$m : M \rightarrow X$$

in a category **G** of structured sets of some sort—call them “gadgets”—the image subset

$$\{m(y) \mid y \in M\} \subseteq X$$

which may be written as  $m(M)$ , is often a sub-gadget of  $X$  to which  $M$  is isomorphic ~~through~~  $m$ .

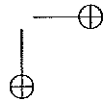
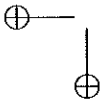
*via*

$$m : M \xrightarrow{\sim} m(M) \subseteq X$$

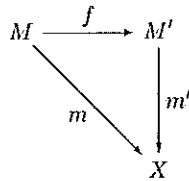
More generally, we can think of the mono  $m : M \rightarrow X$  itself as determining a “part” of  $X$ , even in categories that do not have underlying functions to take images of.

**Definition 5.1.** A *subobject* of an object  $X$  in a category **C** is a monomorphism:

$$m : M \rightarrow X.$$



Given subobjects  $m$  and  $m'$  of  $X$ , a morphism  $f : m \rightarrow m'$  is an arrow in  $\mathbf{C}/X$ , as in



Thus, we have a category,

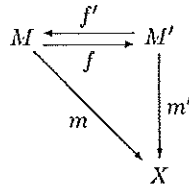
$$\mathbf{Sub}_{\mathbf{C}}(X)$$

of subobjects of  $X$  in  $\mathbf{C}$ .

In this definition, since  $m'$  is monic, there is at most one  $f$  as in the diagram above, so that  $\mathbf{Sub}_{\mathbf{C}}(X)$  is a preorder category. We define the relation of *inclusion* of subobjects by

$$m \subseteq m' \text{ iff there exists some } f : m \rightarrow m'$$

Finally, we say that  $m$  and  $m'$  are *equivalent*, written  $m \equiv m'$ , if and only if they are isomorphic as subobjects, that is,  $m \subseteq m'$  and  $m' \subseteq m$ . This holds just if there are  $f$  and  $f'$  making both triangles below commute:



Observe that, in the above diagram,  $m = m'f = mf'f$ , and since  $m$  is monic,  $f'f = 1_M$  and similarly  $ff' = 1_{M'}$ . So,  $M \cong M'$  via  $f$ . Thus, we see that *equivalent subobjects have isomorphic domains*. We sometimes abuse notation and language by calling  $M$  the subobject when the mono  $m : M \rightarrow X$  is clear.

*Remark 5.2.* It is often convenient to pass from the preorder

$$\mathbf{Sub}_{\mathbf{C}}(X)$$

to the *poset* given by factoring out the equivalence relation " $\equiv$ ". Then a subobject is an equivalence class of monos under mutual inclusion.

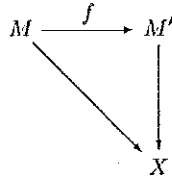
In **Sets**, under this notion of subobject, one then has an isomorphism,

$$\mathbf{Sub}_{\mathbf{Sets}}(X) \cong P(X)$$

that is, every subobject is represented by a unique subset. We shall use both notions of subobject, making clear when monos are intended, and when equivalence classes thereof are intended.



Note that if  $M' \subseteq M$ , then the arrow  $f$  which makes this so in



is also monic, so also  $M'$  is a subobject of  $M$ . Thus we have a functor

$$\text{Sub}(M') \rightarrow \text{Sub}(X)$$

defined by composition with  $f$  (since the composite of monos is monic).

In terms of generalized elements of an object  $X$ ,

$$z : Z \rightarrow X$$

one can define a *local membership relation*,

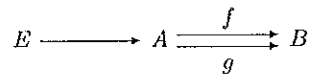
$$z \in_X M$$

between such elements and subobjects  $m : M \rightarrow X$  by

$$z \in_X M \text{ iff there exists } f : Z \rightarrow M \text{ such that } z = mf.$$

Since  $m$  is monic, if  $z$  factors through it then it does so uniquely.

*Example 5.3.* An equalizer



is a subobject of  $A$  with the property

$$z \in_A E \text{ iff } f(z) = g(z)$$

Thus, we can regard  $E$  as the subobject of generalized elements  $z : Z \rightarrow A$  such that  $f(z) = g(z)$ , suggestively,

$$E = \{z \in Z \mid f(z) = g(z)\} \subseteq A$$

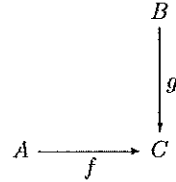
In categorical logic, one develops a way of making this intuition even more precise by giving a calculus of such subobjects.

### 5.2 Pullbacks

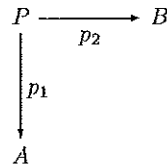
The notion of a pullback, like that of a product, is one that comes up very often in mathematics and logic. It is a generalization of both intersection and inverse image.

We begin with the definition.

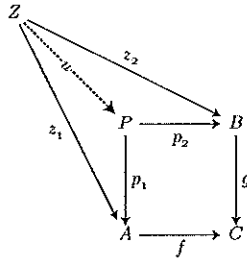
**Definition 5.4.** In any category  $\mathcal{C}$ , given arrows  $f, g$  with  $\text{cod}(f) = \text{cod}(g)$ ,



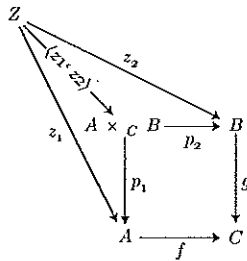
the *pullback* of  $f$  and  $g$  consists of arrows



such that  $fp_1 = gp_2$  and universal with this property. That is, given any  $z_1 : Z \rightarrow A$  and  $z_2 : Z \rightarrow B$  with  $fz_1 = gz_2$ , there exists a unique  $u : Z \rightarrow P$  with  $z_1 = p_1u$  and  $z_2 = p_2u$ . The situation is indicated in the following diagram:



One sometimes uses product-style notation for pullbacks.



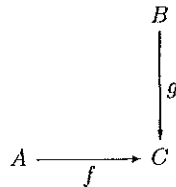
Pullbacks are clearly unique up to isomorphism since they are given by a universal mapping property (UMP). Here, this means that given two pullbacks of a given pair of arrows, the uniquely determined maps between the pullbacks are mutually inverse.

In terms of generalized elements, any  $z \in A \times_C B$ , can be written uniquely as  $z = \langle z_1, z_2 \rangle$  with  $fz_1 = gz_2$ . This makes

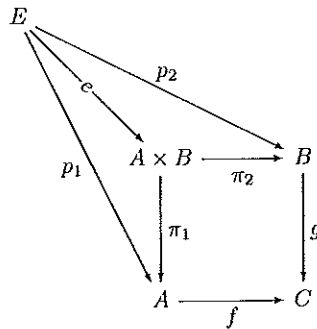
$$A \times_C B = \{ \langle z_1, z_2 \rangle \in A \times B \mid fz_1 = gz_2 \}$$

look like a subobject of  $A \times B$ , determined as an equalizer of  $f \circ \pi_1$  and  $g \circ \pi_2$ . In fact, this is so.

**Proposition 5.5.** *In a category with products and equalizers, given a corner of arrows*



Consider the diagram



in which  $e$  is an equalizer of  $f\pi_1$  and  $g\pi_2$  and  $p_1 = \pi_1 e$ ,  $p_2 = \pi_2 e$ . Then,  $E, p_1, p_2$  is a pullback of  $f$  and  $g$ . Conversely, if  $E, p_1, p_2$  are given as such a pullback, then the arrow

$$e = \langle p_1, p_2 \rangle : E \rightarrow A \times B$$

is an equalizer of  $f\pi_1$  and  $g\pi_2$ .

*Proof.* Take

$$\begin{array}{ccc} Z & \xrightarrow{z_2} & B \\ z_1 \downarrow & & \\ A & & \end{array}$$

with  $fz_1 = gz_2$ . We have  $\langle z_1, z_2 \rangle : Z \rightarrow A \times B$ , so

$$f\pi_1\langle z_1, z_2 \rangle = g\pi_2\langle z_1, z_2 \rangle.$$

Thus, there is a  $u : Z \rightarrow E$  to the equalizer with  $eu = \langle z_1, z_2 \rangle$ . Then,

$$p_1u = \pi_1eu = \pi_1\langle z_1, z_2 \rangle = z_1$$

and

$$p_2u = \pi_2eu = \pi_2\langle z_1, z_2 \rangle = z_2.$$

If also  $u' : Z \rightarrow E$  has  $p_iu' = z_i, i = 1, 2$ , then  $\pi_i eu' = z_i$  so  $eu' = \langle z_1, z_2 \rangle = eu$  whence  $u' = u$  since  $e$  is monic. The converse is similar.  $\square$

**Corollary 5.6.** *If a category  $\mathcal{C}$  has binary products and equalizers, then it has pullbacks.*

The foregoing gives an explicit construction of a pullback in **Sets** as a subset of the product:

$$\{(a, b) \mid fa = gb\} = A \times_C B \hookrightarrow A \times B$$

*Example 5.7.* In **Sets**, take a function  $f : A \rightarrow B$  and a subset  $V \subseteq B$ . Let, as usual,

$$f^{-1}(V) = \{a \in A \mid f(a) \in V\} \subseteq A$$

and consider

$$\begin{array}{ccc} f^{-1}(V) & \xrightarrow{\bar{f}} & V \\ j \downarrow & & \downarrow i \\ A & \xrightarrow{f} & B \end{array}$$

where  $i$  and  $j$  are the canonical inclusions and  $\bar{f}$  is the evident factorization of the restriction of  $f$  to  $f^{-1}(V)$  (since  $a \in f^{-1}(V) \Rightarrow f(a) \in V$ ).

This diagram is a pullback (observe that  $z \in f^{-1}(V) \Leftrightarrow fz \in V$  for all  $z : Z \rightarrow A$ ). Thus, the inverse image

$$f^{-1}(V) \subseteq A$$

is determined uniquely up to isomorphism as a pullback.

As suggested by the previous example, we can use pullbacks to *define* inverse images in categories other than **Sets**. Indeed, given a pullback in any category

$$\begin{array}{ccc} A \times_B M & \longrightarrow & M \\ m' \downarrow & & \downarrow m \\ A & \xrightarrow{f} & B \end{array}$$

if  $m$  is monic, then  $m'$  is monic. (Exercise!)

Thus, we see that, for fixed  $f : A \rightarrow B$ , taking pullbacks induces a map

$$\begin{aligned} f^{-1} : \text{Sub}(B) &\rightarrow \text{Sub}(A) \\ m &\mapsto m' \end{aligned}$$

We show that  $f^{-1}$  also respects equivalence of subobjects,

$$M \cong N \Rightarrow f^{-1}(M) \cong f^{-1}(N)$$

by showing that  $f^{-1}$  is a functor, which is our next goal.

### 5.3 Properties of pullbacks

We start with the following simple lemma, which seems to come up all the time.

**Lemma 5.8. (Two-pullbacks)** Consider the commutative diagram below in a category with pullbacks:

$$\begin{array}{ccccc} F & \xrightarrow{f'} & E & \xrightarrow{g'} & D \\ h'' \downarrow & & \downarrow h' & & \downarrow h \\ A & \xrightarrow{f} & B & \xrightarrow{g} & C \end{array}$$

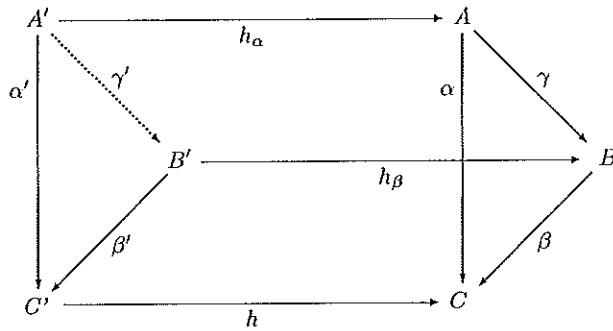
1. If the two squares are pullbacks, so is the outer rectangle. Thus,

$$A \times_B (B \times_C D) \cong A \times_C D.$$

2. If the right square and the outer rectangle are pullbacks, so is the left square.

*Proof.* Diagram chase. □

**Corollary 5.9.** *The pullback of a commutative triangle is a commutative triangle. Specifically, given a commutative triangle as on the right end of the following "prism diagram":*



for any  $h : C' \rightarrow C$ , if one can form the pullbacks  $\alpha'$  and  $\beta'$  as on the left end, then there exists a unique  $\gamma'$  as indicated, making the left end a commutative triangle, and the upper face a commutative rectangle, and indeed a pullback.

*Proof.* Apply the two-pullbacks lemma. □

**Proposition 5.10.** *Pullback is a functor. That is, for fixed  $h : C' \rightarrow C$  in a category  $\mathcal{C}$  with pullbacks, there is a functor*

$$h^* : \mathcal{C}/C \rightarrow \mathcal{C}/C'$$

defined by

$$(A \xrightarrow{\alpha} C) \mapsto (C' \times_C A \xrightarrow{\alpha'} C')$$

where  $\alpha'$  is the pullback of  $\alpha$  along  $h$ , and the effect on an arrow  $\gamma : \alpha \rightarrow \beta$  is given by the foregoing corollary.

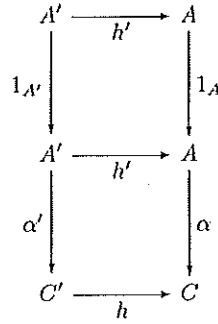
*Proof.* One must check that

$$h^*(1_X) = 1_{h^*X}$$

and

$$h^*(g \circ f) = h^*(g) \circ h^*(f).$$

These can easily be verified by repeated applications of the two-pullbacks lemma. For example, for the first condition, consider

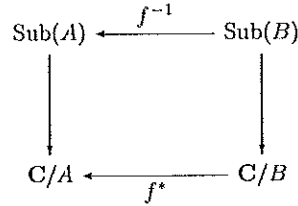


If the lower square is a pullback, then plainly so is the outer rectangle, whence the upper square is, too, and we have

$$h^* 1_X = 1_{X'} = 1_{h^* X}.$$

□

**Corollary 5.11.** *Let  $\mathcal{C}$  be a category with pullbacks. For any arrow  $f : A \rightarrow B$  in  $\mathcal{C}$ , we have the following diagram of categories and functors:*



*This commutes simply because  $f^{-1}$  is defined to be the restriction of  $f^*$  to the subcategory  $\text{Sub}(B)$ . Thus, in particular,  $f^{-1}$  is functorial:*

$$M \subseteq N \Rightarrow f^{-1}(M) \subseteq f^{-1}(N)$$

*It follows that  $M \equiv N$  implies  $f^{-1}(M) \equiv f^{-1}(N)$ , so that  $f^{-1}$  is also defined on equivalence classes.*

$$f^{-1}/\equiv : \text{Sub}(B)/\equiv \longrightarrow \text{Sub}(A)/\equiv$$

*Example 5.12.* Consider a pullback in Sets:

$$\begin{array}{ccc}
 E & \xrightarrow{f'} & B \\
 g' \downarrow & & \downarrow g \\
 A & \xrightarrow{f} & C
 \end{array}$$

We saw that

$$E = \{\langle a, b \rangle \mid f(a) = g(b)\}$$

can be constructed as an equalizer

$$E \xrightarrow{\langle f', g' \rangle} A \times B \xrightarrow[g\pi_2]{f\pi_1} C$$

Now let  $B = 1$ ,  $C = 2 = \{\top, \perp\}$ , and  $g = \top : 1 \rightarrow 2$ . Then, the equalizer

$$E \longrightarrow A \times 1 \xrightarrow[\top\pi_2]{f\pi_1} 2$$

is how we already described the "extension" of the "propositional function"  $f : A \rightarrow 2$ . Therefore, we can rephrase the correspondence between subsets  $U \subseteq A$  and their characteristic functions  $\chi_U : A \rightarrow 2$  in terms of pullbacks:

$$\begin{array}{ccc}
 U & \xrightarrow{!} & 1 \\
 \downarrow & & \downarrow \top \\
 A & \xrightarrow{\chi_U} & 2
 \end{array}$$

Precisely, the isomorphism,

$$2^A \cong P(A)$$

given by taking a function  $\varphi : A \rightarrow 2$  to its "extension"

$$V_\varphi = \{x \in A \mid \varphi(x) = \top\}$$

can be described as a pullback.

$$V_\varphi = \{x \in A \mid \varphi(x) = \top\} = \varphi^{-1}(\top)$$

Now suppose we have any function

$$f : B \rightarrow A$$



and consider the induced inverse image operation

$$f^{-1} : P(A) \rightarrow P(B)$$

given by pullback, as in example 5.9 above. Taking the extension  $V_\varphi \subseteq A$ , consider the two-pullbacks diagram:

$$\begin{array}{ccccc}
 f^{-1}(V_\varphi) & \longrightarrow & V_\varphi & \longrightarrow & 1 \\
 \downarrow & & \downarrow & & \downarrow \tau \\
 B & \xrightarrow{f} & A & \xrightarrow{\varphi} & 2
 \end{array}$$

We therefore have (by the two-pullbacks lemma)

$$f^{-1}(V_\varphi) = f^{-1}(\varphi^{-1}(\tau)) = (\varphi f)^{-1}(\tau) = V_{\varphi f}$$

which from a logical point of view expresses the fact that the substitution of a term  $f$  for the variable  $x$  in the propositional function  $\varphi$  is modeled by taking the pullback along  $f$  of the corresponding extension

$$f^{-1}(\{x \in A \mid \varphi(x) = \top\}) = \{y \in B \mid \varphi(f(y)) = \top\}.$$

Note that we have shown that for any function  $f : B \rightarrow A$  the following square commutes:

$$\begin{array}{ccc}
 2^A & \xrightarrow{\cong} & P(A) \\
 2^f \downarrow & & \downarrow f^{-1} \\
 2^B & \xrightarrow{\cong} & P(B)
 \end{array}$$

where  $2^f : 2^A \rightarrow 2^B$  is precomposition  $2^f(g) = g \circ f$ . In a situation like this, one says that the isomorphism

$$2^A \cong P(A)$$

is *natural* in  $A$ , which is obviously a much stronger condition than just having isomorphisms at each object  $A$ . We will consider such "naturality" systematically later. It was in fact one of the phenomena that originally gave rise to category theory.

*Example 5.13.* Let  $I$  be an index set, and consider an  $I$ -indexed family of sets:

$$(A_i)_{i \in I}$$

Given any function  $\alpha : J \rightarrow I$ , there is a  $J$ -indexed family

$$(A_{\alpha(j)})_{j \in J},$$

obtained by "reindexing along  $\alpha$ ." This reindexing can also be described as a pullback. Specifically, for each set  $A_i$  take the constant,  $i$ -valued function  $p_i : A_i \rightarrow I$  and consider the induced map on the coproduct

$$p = [p_i] : \coprod_{i \in I} A_i \rightarrow I$$

The reindexed family  $(A_{\alpha(j)})_{j \in J}$  can be obtained by taking a pullback along  $\alpha$ , as indicated in the following diagram:

$$\begin{array}{ccc} \coprod_{j \in J} A_{\alpha(j)} & \longrightarrow & \coprod_{i \in I} A_i \\ q \downarrow & & \downarrow p \\ J & \xrightarrow{\alpha} & I \end{array}$$

where  $q$  is the indexing projection for  $(A_{\alpha(j)})_{j \in J}$  analogous to  $p$ . In other words, we have

$$J \times_I (\coprod_{i \in I} A_i) \cong \coprod_{j \in J} A_{\alpha(j)}$$

The reader should work out the details as an instructive exercise.

#### 5.4 Limits

We have already seen that the notions of product, equalizer, and pullback are not independent; the precise relation between them is this.

**Proposition 5.14.** *A category has finite products and equalizers iff it has pullbacks and a terminal object.*

*Proof.* The "only if" direction has already been done. For the other direction, suppose  $\mathbf{C}$  has pullbacks and a terminal object  $1$ .

- For any objects  $A, B$  we clearly have  $A \times B \cong A \times_1 B$ , as indicated in the following:

$$\begin{array}{ccc} A \times B & \longrightarrow & B \\ \downarrow & & \downarrow \\ A & \longrightarrow & 1 \end{array}$$

- For any arrows  $f, g : A \rightarrow B$ , the equalizer  $e : E \rightarrow A$  is constructed as the following pullback:

$$\begin{array}{ccc}
 E & \xrightarrow{h} & B \\
 \downarrow e & & \downarrow \Delta = \langle 1_B, 1_B \rangle \\
 A & \xrightarrow{\langle f, g \rangle} & B \times B
 \end{array}$$

In terms of generalized elements,

$$E = \{(a, b) \mid \langle f, g \rangle(a) = \Delta b\}$$

where  $\langle f, g \rangle(a) = \langle fa, ga \rangle$  and  $\Delta(b) = \langle b, b \rangle$ . So,

$$\begin{aligned}
 E &= \{(a, b) \mid f(a) = b = g(a)\} \\
 &\cong \{a \mid f(a) = g(a)\}
 \end{aligned}$$

which is just what we want. An easy diagram chase shows that

$$\begin{array}{ccccc}
 E & \xrightarrow{e} & A & \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} & B
 \end{array}$$

is indeed an equalizer. □

Product, terminal object, pullback, and equalizer, are all special cases of the general notion of a *limit*, which we consider now. First, we need some preliminary definitions.

**Definition 5.15.** Let  $\mathbf{J}$  and  $\mathbf{C}$  be categories. A *diagram of type  $\mathbf{J}$  in  $\mathbf{C}$*  is a functor.

$$D : \mathbf{J} \rightarrow \mathbf{C}.$$

We write the objects in the "index category"  $\mathbf{J}$  lower case,  $i, j, \dots$  and the values of the functor  $D : \mathbf{J} \rightarrow \mathbf{C}$  in the form  $D_i, D_j$ , etc.

A *cone* to a diagram  $D$  consists of an object  $C$  in  $\mathbf{C}$  and a family of arrows in  $\mathbf{C}$ ,

$$c_j : C \rightarrow D_j$$

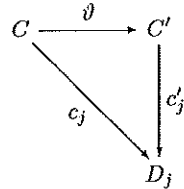
one for each object  $j \in \mathbf{J}$ , such that for each arrow  $\alpha : i \rightarrow j$  in  $\mathbf{J}$ , the following triangle commutes:

$$\begin{array}{ccc}
 C & \xrightarrow{c_j} & D_j \\
 \downarrow c_i & \nearrow D_\alpha & \\
 D_i & & 
 \end{array}$$

A *morphism of cones*

$$\vartheta : (C, c_j) \rightarrow (C', c'_j)$$

is an arrow  $\vartheta$  in  $\mathbf{C}$  making each triangle,



commute. That is, such that  $c_j = c'_j \circ \vartheta$  for all  $j \in \mathbf{J}$ . Thus, we have an evident category

$$\mathbf{Cone}(D)$$

of cones to  $D$ .

We are here thinking of the diagram  $D$  as a "picture of  $\mathbf{J}$  in  $\mathbf{C}$ ." A cone to such a diagram  $D$  is then imagined as a many-sided pyramid over the "base"  $D$  and a morphism of cones is an arrow between the apexes of such pyramids. (The reader should draw some pictures at this point!)

**Definition 5.16.** A *limit* for a diagram  $D : \mathbf{J} \rightarrow \mathbf{C}$  is a terminal object in  $\mathbf{Cone}(D)$ . A *finite limit* is a limit for a diagram on a finite index category  $\mathbf{J}$ .

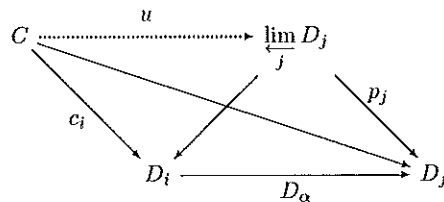
We often denote a limit in the form

$$p_i : \varprojlim_j D_j \rightarrow D_i.$$

Spelling out the definition, the limit of a diagram  $D$  has the following UMP: given any cone  $(C, c_j)$  to  $D$ , there is a unique arrow  $u : C \rightarrow \varprojlim_j D_j$  such that for all  $j$ ,

$$p_j \circ u = c_j.$$

Thus, the limiting cone  $(\varprojlim_j D_j, p_j)$  can be thought of as the "closest" cone to the diagram  $D$ , and indeed any other cone  $(C, c_j)$  comes from it just by composing with an arrow at the vertex, namely  $u : C \rightarrow \varprojlim_j D_j$ .



*Example 5.17.* Take  $\mathbf{J} = \{1, 2\}$  the discrete category with two objects and no nonidentity arrows. A diagram  $D : \mathbf{J} \rightarrow \mathbf{C}$  is a pair of objects  $D_1, D_2 \in \mathbf{C}$ . A cone on  $D$  is an object of  $\mathbf{C}$  equipped with arrows

$$D_1 \xleftarrow{c_1} C \xrightarrow{c_2} D_2.$$

And a limit of  $D$  is a terminal such cone, that is, a *product* in  $\mathbf{C}$  of  $D_1$  and  $D_2$ ,

$$D_1 \xleftarrow{p_1} D_1 \times D_2 \xrightarrow{p_2} D_2.$$

Thus, in this case,

$$\varprojlim_{\mathbf{J}} D_j \cong D_1 \times D_2.$$

*Example 5.18.* Take  $\mathbf{J}$  to be the following category:

$$\cdot \begin{array}{c} \xrightarrow{\alpha} \\ \xrightarrow{\beta} \end{array} \cdot$$

A diagram of type  $\mathbf{J}$  looks like

$$D_1 \begin{array}{c} \xrightarrow{D_\alpha} \\ \xrightarrow{D_\beta} \end{array} D_2$$

and a cone is a pair of arrows

$$\begin{array}{ccc} D_1 & \begin{array}{c} \xrightarrow{D_\alpha} \\ \xrightarrow{D_\beta} \end{array} & D_2 \\ c_1 \uparrow & \nearrow c_2 & \\ C & & \end{array}$$

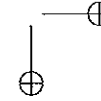
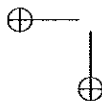
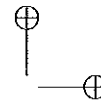
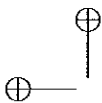
such that  $D_\alpha c_1 = c_2$  and  $D_\beta c_1 = c_2$ ; thus,  $D_\alpha c_1 = D_\beta c_1$ . A limit for  $D$  is therefore an *equalizer* for  $D_\alpha, D_\beta$ .

*Example 5.19.* If  $\mathbf{J}$  is empty, there is just one diagram  $D : \mathbf{J} \rightarrow \mathbf{C}$ , and a limit for it is thus a *terminal object* in  $\mathbf{C}$ ,

$$\varprojlim_{j \in \emptyset} D_j \cong 1.$$

*Example 5.20.* If  $\mathbf{J}$  is the finite category

$$\cdot \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \cdot$$



we see that a limit for a diagram of the form

$$\begin{array}{ccc} & & B \\ & & \downarrow g \\ A & \xrightarrow{f} & C \end{array}$$

is just a pullback of  $f$  and  $g$ ,

$$\varprojlim_j D_j \cong A \times_C B.$$

Thus, we have shown half of the following.

**Proposition 5.21.** *A category has all finite limits iff it has finite products and equalizers (resp. pullbacks and a terminal object by the last proposition).*

Here, a category  $\mathcal{C}$  is said to *have all finite limits* if every finite diagram  $D : \mathbf{J} \rightarrow \mathcal{C}$  has a limit in  $\mathcal{C}$ .

*Proof.* We need to show that any finite limit can be constructed from finite products and equalizers. Take a finite diagram

$$D : \mathbf{J} \rightarrow \mathcal{C}.$$

As a first approximation, the product

$$\prod_{i \in \mathbf{J}_0} D_i \tag{5.1}$$

over the set  $\mathbf{J}_0$  of objects at least has projections  $p_j : \prod_{i \in \mathbf{J}_0} D_i \rightarrow D_j$  of the right sort. But these cannot be expected to commute with the arrows  $D_\alpha : D_i \rightarrow D_j$  in the diagram  $D$ , as they must. So, as in making a pullback from a product and an equalizer, we consider also the product  $\prod_{(\alpha:i \rightarrow j) \in \mathbf{J}_1} D_j$  over all the arrows (the set  $\mathbf{J}_1$ ), and two special maps,

$$\prod_i D_i \begin{array}{c} \xrightarrow{\phi} \\ \xrightarrow{\psi} \end{array} \prod_{\alpha:i \rightarrow j} D_j$$

which record the effect of the arrows in the diagram on the product of the objects. Specifically, we define  $\phi$  and  $\psi$  by taking their composites with the projections  $\pi_\alpha$  from the second product to be, respectively,

$$\begin{aligned} \pi_\alpha \circ \phi &= \phi_\alpha = \pi_{\text{cod}(\alpha)} \\ \pi_\alpha \circ \psi &= \psi_\alpha = D_\alpha \circ \pi_{\text{dom}(\alpha)} \end{aligned}$$

where  $\pi_{\text{cod}(\alpha)}$  and  $\pi_{\text{dom}(\alpha)}$  are projections from the first product.

Now, in order to get the subobject of the product 5.1 on which the arrows in the diagram  $D$  commute, we take the equalizer:

$$E \xrightarrow{e} \prod_i D_i \begin{array}{c} \xrightarrow{\phi} \\ \xrightarrow{\psi} \end{array} \prod_{\alpha:i \rightarrow j} D_j$$

We show that  $(E, e_i)$  is a limit for  $D$ , where  $e_i = \pi_i \circ e$ . To that end, take any arrow  $c : C \rightarrow \prod_i D_i$ , and write  $c = \langle c_i \rangle$  for  $c_i = \pi_i \circ c$ . Observe that the family of arrows  $(c_i : C \rightarrow D_i)$  is a cone to  $D$  if and only if  $\phi c = \psi c$ . Indeed,

$$\phi \langle c_i \rangle = \psi \langle c_i \rangle$$

iff for all  $\alpha$ ,

$$\pi_\alpha \phi \langle c_i \rangle = \pi_\alpha \psi \langle c_i \rangle.$$

But,

$$\pi_\alpha \phi \langle c_i \rangle = \phi_\alpha \langle c_i \rangle = \pi_{\text{cod}(\alpha)} \langle c_i \rangle = c_j$$

and

$$\pi_\alpha \psi \langle c_i \rangle = \psi_\alpha \langle c_i \rangle = D_\alpha \circ \pi_{\text{dom}(\alpha)} \langle c_i \rangle = D_\alpha \circ c_i.$$

Whence  $\phi c = \psi c$  iff for all  $\alpha : i \rightarrow j$  we have  $c_j = D_\alpha \circ c_i$  thus, iff  $(c_i : C \rightarrow D_i)$  is a cone, as claimed. It follows that  $(E, e_i)$  is a cone, and that any cone  $(c_i : C \rightarrow D_i)$  gives an arrow  $\langle c_i \rangle : C \rightarrow \prod_i D_i$  with  $\phi \langle c_i \rangle = \psi \langle c_i \rangle$ , thus there is a unique factorization  $u : C \rightarrow E$  of  $\langle c_i \rangle$  through  $E$ , which is clearly a morphism of cones.  $\square$

Since we made no real use of the finiteness of the index category apart from the existence of certain products, essentially the same proof yields the following.

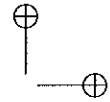
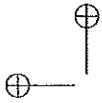
**Corollary 5.22.** *A category has all limits of some cardinality iff it has all equalizers and products of that cardinality, where  $\mathbf{C}$  is said to have limits (resp. products) of cardinality  $\kappa$  iff  $\mathbf{C}$  has a limit for every diagram  $D : \mathbf{J} \rightarrow \mathbf{C}$ , where  $\text{card}(\mathbf{J}_1) \leq \kappa$  (resp.  $\mathbf{C}$  has all products of  $\kappa$  many objects).*

The notions of cones and limits of course dualize to give those of *cocones* and *colimits*. One then has the following dual theorem.

**Theorem 5.23.** *A category  $\mathbf{C}$  has finite colimits iff it has finite coproducts and coequalizers (resp. iff it has pushouts and an initial object).  $\mathbf{C}$  has all colimits of size  $\kappa$  iff it has coequalizers and coproducts of size  $\kappa$ .*

### 5.5 Preservation of limits

Here is an application of the construction of limits by products and equalizers.



**Definition 5.24.** A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  is said to *preserve limits of type J* if, whenever  $p_j : L \rightarrow D_j$  is a limit for a diagram  $D : J \rightarrow \mathbf{C}$ ; the cone  $Fp_j : FL \rightarrow FD_j$  is then a limit for the diagram  $FD : J \rightarrow \mathbf{D}$ . Briefly,

$$F(\varprojlim D_j) \cong \varprojlim F(D_j).$$

A functor that preserves all limits is said to be *continuous*.

For example, let  $\mathbf{C}$  be a locally small category with all small limits, such as posets or monoids. Recall the representable functor

$$\text{Hom}(C, -) : \mathbf{C} \rightarrow \mathbf{Sets}$$

for any object  $C \in \mathbf{C}$ , taking  $f : X \rightarrow Y$  to

$$f_* : \text{Hom}(C, X) \rightarrow \text{Hom}(C, Y)$$

where  $f_*(g : C \rightarrow X) = f \circ g$ .

**Proposition 5.25.** *The representable functors  $\text{Hom}(C, -)$  preserve all limits.*

Since limits in  $\mathbf{C}$  can be constructed from products and equalizers, it suffices to show that  $\text{Hom}(C, -)$  preserves products and equalizers. (Actually, even if  $\mathbf{C}$  does not have all limits, the representable functors will preserve those limits that do exist; we leave that as an exercise.)

*Proof.* •  $\mathbf{C}$  has a terminal object  $1$ , for which,

$$\text{Hom}(C, 1) = \{!_C\} \cong 1.$$

- Consider a binary product  $X \times Y$  in  $\mathbf{C}$ . Then, we already know that

$$\text{Hom}(C, X \times Y) \cong \text{Hom}(C, X) \times \text{Hom}(C, Y)$$

by composing any  $f : C \rightarrow X \times Y$  with the two product projections  $p_1 : X \times Y \rightarrow X$  and  $p_2 : X \times Y \rightarrow Y$ .

- For arbitrary products  $\prod_{i \in I} X_i$ , one has analogously

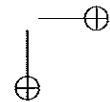
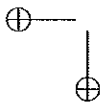
$$\text{Hom}(C, \prod_i X_i) \cong \prod_i \text{Hom}(C, X_i)$$

- Given an equalizer in  $\mathbf{C}$ ,

$$E \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow[e]{} \end{array} X \begin{array}{c} \xrightarrow[f]{} \\ \xrightarrow[g]{} \end{array} Y$$

consider the resulting diagram:

$$\text{Hom}(C, E) \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow[e_*]{} \end{array} \text{Hom}(C, X) \begin{array}{c} \xrightarrow[f_*]{} \\ \xrightarrow[g_*]{} \end{array} \text{Hom}(C, Y).$$





To show this is an equalizer in **Sets**, let  $h : C \rightarrow X \in \text{Hom}(C, X)$  with  $f_*h = g_*h$ . Then  $fh = gh$ , so there is a unique  $u : C \rightarrow E$  such that  $eu = h$ . Thus, we have a unique  $u \in \text{Hom}(C, E)$  with  $e_*u = eu = h$ . So,  $e_* : \text{Hom}(C, E) \rightarrow \text{Hom}(C, X)$  is indeed the equalizer of  $f_*$  and  $g_*$ .  $\square$

**Definition 5.26.** A functor of the form  $F : C^{\text{op}} \rightarrow D$  is called a *contravariant functor* on  $C$ . Explicitly, such a functor takes  $f : A \rightarrow B$  to  $F(f) : F(B) \rightarrow F(A)$  and  $F(g \circ f) = F(f) \circ F(g)$ .

A typical example of a contravariant functor is a representable functor of the form,

$$\text{Hom}_C(-, C) : C^{\text{op}} \rightarrow \mathbf{Sets}$$

for any  $C \in C$  (where  $C$  is any locally small category). Such a contravariant representable functor takes  $f : X \rightarrow Y$  to

$$f^* : \text{Hom}(Y, C) \rightarrow \text{Hom}(X, C)$$

by  $f^*(g : X \rightarrow C) = g \circ f$ .

Then, the following is the dual version of the foregoing proposition.

**Corollary 5.27.** *Contravariant representable functors map all colimits to limits.*

For example, given a coproduct  $X + Y$  in any locally small category  $C$ , there is a canonical isomorphism,

$$\text{Hom}(X + Y, C) \cong \text{Hom}(X, C) \times \text{Hom}(Y, C) \tag{5.2}$$

given by precomposing with the two coproduct inclusions.

From an example in Section 2.3, we can therefore conclude that the ultrafilters in a coproduct  $A + B$  of Boolean algebras correspond exactly to pairs of ultrafilters  $(U, V)$ , with  $U$  in  $A$  and  $V$  in  $B$ . This follows because we showed there that the ultrafilter functor  $\text{Ult} : \mathbf{BA}^{\text{op}} \rightarrow \mathbf{Sets}$  is representable:

$$\text{Ult}(B) \cong \text{Hom}_{\mathbf{BA}}(B, 2).$$

Another case of the above iso (5.2) is the familiar law of exponents for sets:

$$C^{X+Y} \cong C^X \times C^Y$$

The arithmetical law of exponents  $k^{m+n} = k^n \cdot k^m$  is actually a special case of this.

### 5.6 Colimits

Let us briefly discuss some special colimits, since we did not really say much about them Section 5.5.

First, we consider *pushouts* in **Sets**. Suppose we have two functions

$$\begin{array}{ccc} A & \xrightarrow{g} & C \\ f \downarrow & & \\ B & & \end{array}$$

We can construct the pushout of  $f$  and  $g$  like this. Start with the coproduct (disjoint sum):

$$B \longrightarrow B + C \longleftarrow C$$

Now identify those elements  $b \in B$  and  $c \in C$  such that, for some  $a \in A$ ,

$$f(a) = b \quad \text{and} \quad g(a) = c$$

That is, we take the equivalence relation  $\sim$  on  $B + C$  generated by the conditions  $f(a) \sim g(a)$  for all  $a \in A$ .

Finally, we take the quotient by  $\sim$  to get the pushout

$$(B + C)/\sim \cong B +_A C,$$

which can be imagined as  $B$  placed next to  $C$ , with the respective parts that are images of  $A$  "pasted together" or overlapping. This construction follows simply by dualizing the one for pullbacks by products and equalizers.

*Example 5.28.* Pushouts in **Top** are similarly formed from coproducts and coequalizers, which can be made first in **Sets** and then topologized as sum and quotient spaces. Pushouts are used, for example, to construct spheres from disks. Indeed, let  $D^2$  be the (two-dimensional) disk and  $S^1$  the one-dimensional sphere (i.e., the circle), with its inclusion  $i : S^1 \rightarrow D^2$  as the boundary of the disk. Then, the two-sphere  $S^2$  is the pushout,

$$\begin{array}{ccc} S^1 & \xrightarrow{i} & D^2 \\ i \downarrow & & \downarrow \\ D^2 & \longrightarrow & S^2. \end{array}$$

Can you see the analogous construction of  $S^1$  at the next lower dimension?

In general, a colimit for a diagram  $D : J \rightarrow C$  is, of course, an initial object in the category of *cocones*. Explicitly, a *cocone from the base  $D$*  consists of an

object  $C$  (the vertex) and arrows  $c_j : D_j \rightarrow C$  for each  $j \in \mathbf{J}$ , such that for all  $\alpha : i \rightarrow j$  in  $\mathbf{J}$ ,

$$c_j \circ D(\alpha) = c_i$$

A morphism of cocones  $f : (C, (c_j)) \rightarrow (C', (c'_j))$  is an arrow  $f : C \rightarrow C'$  in  $\mathbf{C}$  such that  $f \circ c_j = c'_j$  for all  $j \in \mathbf{J}$ . An initial cocone is the expected thing: one that maps uniquely to any other cocone from  $D$ . We write such a colimit in the form

$$\varinjlim_{j \in \mathbf{J}} D_j$$

Now let us consider some examples of a particular kind of colimit that comes up quite often, namely over a linearly ordered index category. Our first example is what is sometimes called a *direct limit* of a sequence of algebraic objects, say groups. A similar construction works for any sort of algebras (but non-equational conditions are not always preserved by direct limits).

*Example 5.29. Direct limit of groups.* Suppose we are given a sequence,

$$G_0 \xrightarrow{g_0} G_1 \xrightarrow{g_1} G_2 \xrightarrow{g_2} \dots$$

of groups and homomorphisms, and we want a "colimiting" group  $G_\infty$  with homomorphisms

$$u_n : G_n \rightarrow G_\infty$$

satisfying  $u_{n+1} \circ g_n = u_n$ . Moreover,  $G_\infty$  should be "universal" with this property. I think you can see the colimit setup here:

- the index category is the ordinal number  $\omega = (\mathbb{N}, \leq)$ , regarded as a poset category,
- the sequence

$$G_0 \xrightarrow{g_0} G_1 \xrightarrow{g_1} G_2 \xrightarrow{g_2} \dots$$

is a diagram of type  $\omega$  in the category **Groups**,

- the colimiting group is the colimit of the sequence

$$G_\infty \cong \varinjlim_{n \in \omega} G_n$$

This group always exists, and can be constructed as follows. Begin with the coproduct (disjoint sum) of sets

$$\coprod_{n \in \omega} G_n.$$

Then make identifications  $x_n \sim y_m$ , where  $x_n \in G_n$  and  $y_m \in G_m$ , to ensure in particular that

$$x_n \sim g_n(x_n)$$

for all  $x_n \in G_n$  and  $g_n : G_n \rightarrow G_{n+1}$ .

This means, specifically, that the elements of  $G_\infty$  are equivalence classes of the form

$$[x_n], \quad x_n \in G_n$$

for any  $n$ , and  $[x_n] = [y_m]$  iff for some  $k \geq m, n$ ,

$$g_{n,k}(x_n) = g_{m,k}(y_m)$$

where, generally, if  $i \leq j$ , we define

$$g_{i,j} : G_i \rightarrow \dots \rightarrow G_j$$

by composing consecutive  $g$ 's as in  $g_{i,j} = g_{j-1} \circ \dots \circ g_i$ . The reader can easily check that this is indeed the equivalence relation generated by all the conditions  $x_n \sim g_n(x_n)$ .

The operations on  $G_\infty$  are now defined by

$$[x] \cdot [y] = [x' \cdot y']$$

where  $x \sim x'$ ,  $y \sim y'$ , and  $x', y' \in G_n$  for  $n$  sufficiently large. The unit is just  $[u_0]$ , and we take,

$$[x]^{-1} = [x^{-1}].$$

One can easily check that these operations are well defined, and determine a group structure on  $G_\infty$ , which moreover makes all the evident functions

$$u_n : G_n \rightarrow G_\infty, \quad u_n(x) = [x]$$

into homomorphisms.

The universality of  $G_\infty$  and the  $u_n$  results from the fact that the construction is essentially a colimit in **Sets**, equipped with an induced group structure. Indeed, given any group  $H$  and homomorphisms  $h_n : G_n \rightarrow H$  with  $h_{n+1} \circ g_n = h_n$  define  $h_\infty : G_\infty \rightarrow H$  by  $h_\infty([x_n]) = h_n(x_n)$ . This is easily seen to be well defined and indeed a homomorphism. Moreover, it is the unique *function* that commutes with all the  $u_n$ .

The fact that the  $\omega$ -colimit  $G_\infty$  of groups can be constructed as the colimit of the underlying sets is a case of a general phenomenon, expressed by saying that the forgetful functor  $U : \mathbf{Groups} \rightarrow \mathbf{Sets}$  "creates  $\omega$ -colimits."

**Definition 5.30.** A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  is said to *create limits of type J* if for every diagram  $C : \mathbf{J} \rightarrow \mathbf{C}$  and limit  $p_j : L \rightarrow FC_j$  in  $\mathbf{D}$  there is a unique cone  $\bar{p}_j : L \rightarrow C_j$  in  $\mathbf{C}$  with  $F(\bar{p}_j) = p_j$  and  $F(\bar{p}_j) = p_j$ , which, furthermore, is a limit

for  $C$ . Briefly, every limit in  $D$  is the image of a unique cone in  $C$ , which is a limit there. The notion of *creating colimits* is defined analogously.

In these terms, then, we have the following proposition, the remaining details of which have in effect already been shown.

**Proposition 5.31.** *The forgetful functor  $U : \mathbf{Groups} \rightarrow \mathbf{Sets}$  creates  $\omega$ -colimits. It also creates all limits.*

The same fact holds quite generally for other categories of algebraic objects, that is, sets equipped with operations satisfying some equations. Observe that not all colimits are created in this way. For instance, we have already seen (in *example*) that the coproduct of two abelian groups has their *product* as underlying set.

*Example 5.32. Cumulative hierarchy.* Another example of an  $\omega$ -colimit is the "cumulative hierarchy" construction encountered in set theory. Let us set

$$\begin{aligned} V_0 &= \emptyset \\ V_1 &= \mathcal{P}(\emptyset) \\ &\vdots \\ V_{n+1} &= \mathcal{P}(V_n) \end{aligned}$$

Then there is a sequence of subset inclusions,

$$\emptyset = V_0 \subseteq V_1 \subseteq V_2 \subseteq \dots$$

since, generally,  $A \subseteq B$  implies  $\mathcal{P}(A) \subseteq \mathcal{P}(B)$  for any sets  $A$  and  $B$ . The colimit of the sequence

$$V_\omega = \varinjlim_n V_n$$

is called the *cumulative hierarchy* of rank  $\omega$ . One can, of course, continue this construction through higher ordinals  $\omega + 1, \omega + 2, \dots$

More generally, let us start with some set  $A$  (of "atoms"), and let

$$V_0(A) = A$$

and then put

$$V_{n+1}(A) = A + \mathcal{P}(V_n(A)),$$

that is, the set of all elements *and* subsets of  $A$ . There is a sequence  $V_0(A) \rightarrow V_1(A) \rightarrow V_2(A) \rightarrow \dots$  as follows. Let

$$v_0 : V_0(A) = A \rightarrow A + \mathcal{P}(A) = V_1(A)$$

be the left coproduct inclusion. Given  $v_{n-1} : V_{n-1}(A) \rightarrow V_n(A)$ , let  $v_n : V_n(A) \rightarrow V_{n+1}(A)$  be defined by

$$v_n = 1_A + \mathcal{P}_1(v_{n-1}) : A + \mathcal{P}(V_{n-1}(A)) \rightarrow A + \mathcal{P}(V_n(A))$$

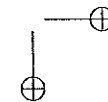
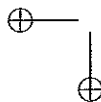
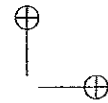
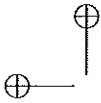
ius.

~~5.40~~  
Proposition 3.11

Au: Please specify "example" if appropriate.

~~(5.40)~~

~~ius.~~



where  $\mathcal{P}_1$  denotes the *covariant* powerset functor, taking a function  $f : X \rightarrow Y$  to the "image under  $f$ " operation  $\mathcal{P}_1(f) : \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$ , defined by taking  $U \subseteq X$  to

$$\mathcal{P}_1(f)(U) = \{f(u) \mid u \in U\} \subseteq Y.$$

The idea behind the sequence is that we start with  $A$ , add all the subsets of  $A$ , then add all the *new* subsets that can be formed from all of those elements, and so on. The colimit of the sequence

$$V_\omega(A) = \varinjlim_n V_n(A)$$

is called the *cumulative hierarchy* (of rank  $\omega$ ) over  $A$ . Of course,  $V_\omega = V_\omega(\emptyset)$ .

Now suppose we have some function

$$f : A \rightarrow B.$$

Then, there is a map

$$V_\omega(f) : V_\omega(A) \rightarrow V_\omega(B),$$

determined by the colimit description of  $V_\omega$ , as indicated in the following diagram:

$$\begin{array}{ccccccc} V_0(A) & \longrightarrow & V_1(A) & \longrightarrow & V_2(A) & \longrightarrow & \dots \longrightarrow V_\omega(A) \\ f_0 \downarrow & & \downarrow f_1 & & \downarrow f_2 & & \dots & \downarrow f_\omega \\ V_0(B) & \longrightarrow & V_1(B) & \longrightarrow & V_2(B) & \longrightarrow & \dots \longrightarrow & V_\omega(B) \end{array}$$

Here, the  $f_n$  are defined by

$$f_0 = f : A \rightarrow B,$$

$$f_1 = f + \mathcal{P}_1(f) : A + \mathcal{P}(A) \rightarrow B + \mathcal{P}(B),$$

⋮

$$f_{n+1} = f + \mathcal{P}_1(f_n) : A + \mathcal{P}(V_n(A)) \rightarrow B + \mathcal{P}(V_n(B)).$$

Since all the squares clearly commute, we have a cocone on the diagram of  $V_n(A)$ 's with vertex  $V_\omega(B)$ , and there is thus a unique  $f_\omega : V_\omega(A) \rightarrow V_\omega(B)$  that completes the diagram.

Thus, we see that the cumulative hierarchy is functorial.

*Example 5.33.  $\omega$ CPOs.* An  $\omega$ CPO is a poset that is " $\omega$ -cocomplete," meaning it has all colimits of type  $\omega = (\mathbb{N}, \leq)$ . Specifically, a poset  $D$  is an  $\omega$ CPO if for every diagram  $d : \omega \rightarrow D$ , that is, every chain of elements of  $D$ ,

$$d_0 \leq d_1 \leq d_2 \leq \dots$$

we have a colimit  $d_\omega = \varinjlim d_n$ . This is an element of  $D$  such that

1.  $d_n \leq d_\omega$  for all  $n \in \omega$ ,
2. for all  $x \in D$ , if  $d_n \leq x$  for all  $n \in \omega$ , then also  $d_\omega \leq x$ .

A monotone map of  $\omega$ CPOs

$$h : D \rightarrow E$$

is called *continuous* if it preserves colimits of type  $\omega$ , that is,

$$h(\varinjlim d_n) = \varinjlim h(d_n).$$

An application of these notions is the following.

**Proposition 5.34.** *If  $D$  is an  $\omega$ CPO with initial element 0 and*

$$h : D \rightarrow D$$

*is continuous, then  $h$  has a fixed point*

$$h(x) = x$$

*which, moreover, is least among all fixed points.*

*Proof.* We use "Newton's method," which can be used, for example, to find fixed points of monotone, continuous functions  $f : [0, 1] \rightarrow [0, 1]$ . Consider the sequence  $d : \omega \rightarrow D$ , defined by

$$d_0 = 0$$

$$d_{n+1} = h(d_n)$$

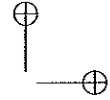
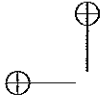
Since  $0 \leq d_0$ , repeated application of  $h$  gives  $d_n \leq d_{n+1}$ . Now take the colimit  $d_\omega = \varinjlim_{n \in \omega} d_n$ . Then

$$\begin{aligned} h(d_\omega) &= h(\varinjlim_{n \in \omega} d_n) \\ &= \varinjlim_{n \in \omega} h(d_n) \\ &= \varinjlim_{n \in \omega} d_{n+1} \\ &= d_\omega. \end{aligned}$$

The last step follows because the first term  $d_0 = 0$  of the sequence is trivial.

Moreover, if  $x$  is also a fixed point,  $h(x) = x$ , then we have

$$\begin{aligned} d_0 &= 0 \leq x \\ d_1 &= h(0) \leq h(x) = x \\ &\vdots \\ d_{n+1} &= h(d_n) \leq h(x) = x. \end{aligned}$$



So also  $d_\omega \leq x$ , since  $d_\omega$  is the colimit. □

Finally, here is an example of how (co)limits depend on the ambient category. We consider colimits *of* posets and  $\omega$ CPOs, rather than *in* them.

Let us define the finite  $\omega$ CPOs

$$\omega_n = \{k \leq n \mid k \in \omega\}$$

then we have continuous inclusion maps:

$$\omega_0 \rightarrow \omega_1 \rightarrow \omega_2 \rightarrow \dots$$

In **Pos**, the colimit exists, and is  $\omega$ , as can be easily checked. *But*  $\omega$  itself is not  $\omega$ -complete. Indeed, the sequence

$$0 \leq 1 \leq 2 \leq \dots$$

has no colimit. Therefore, the colimit of the  $\omega_n$  in the category of  $\omega$ CPOs, if it exists, must be something else. In fact, it is  $\omega + 1$ .

$$0 \leq 1 \leq 2 \leq \dots \leq \omega$$

For then any bounded sequence has a colimit in the bounded part, and any unbounded one has  $\omega$  as colimit. The moral is that even  $\omega$ -colimits are not always created in **Sets**, and indeed the colimit is sensitive to the ambient category in which it is taken.

### 5.7 Exercises

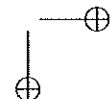
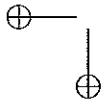
1. Show that a pullback of arrows

$$\begin{array}{ccc}
 A \times_X B & \xrightarrow{p_2} & B \\
 p_1 \downarrow & & \downarrow g \\
 A & \xrightarrow{f} & X
 \end{array}$$

in a category **C** is the same thing as their product in the slice category **C**/ $X$ .

2. Let **C** be a category with pullbacks.

- (a) Show that an arrow  $m : M \rightarrow X$  in **C** is monic if and only if the diagram below is a pullback.





$$\begin{array}{ccc}
 M & \xrightarrow{1_M} & M \\
 1_M \downarrow & & \downarrow m \\
 M & \xrightarrow{m} & X
 \end{array}$$

Thus, as an object in  $\mathbf{C}/X$ ,  $m$  is monic iff  $m \times m \cong m$ .

- (b) Show that the pullback along an arrow  $f : Y \rightarrow X$  of a pullback square over  $X$ ,

$$\begin{array}{ccc}
 A \times_X B & \longrightarrow & B \\
 \downarrow & & \downarrow \\
 A & \longrightarrow & X
 \end{array}$$

is again a pullback square over  $Y$ . (Hint: draw a cube and use the two-pullbacks lemma.) Conclude that the pullback functor  $f^*$  preserves products.

- (c) Conclude from the foregoing that in a pullback square

$$\begin{array}{ccc}
 M' & \longrightarrow & M \\
 m' \downarrow & & \downarrow m \\
 A' & \xrightarrow{f} & A
 \end{array}$$

if  $m$  is monic, then so is  $m'$ .

3. Show directly that in any category, given a pullback square

$$\begin{array}{ccc}
 M' & \longrightarrow & M \\
 m' \downarrow & & \downarrow m \\
 A' & \xrightarrow{f} & A
 \end{array}$$

if  $m$  is monic, then so is  $m'$ .

4. For any object  $A$  in a category  $\mathbf{C}$  and any subobjects  $M, N \in \text{Sub}_{\mathbf{C}}(A)$ , show  $M \subseteq N$  iff for every generalized element  $z : Z \rightarrow A$  (arbitrary arrow with codomain  $A$ ):

$$z \in_A M \text{ implies } z \in_A N.$$

5. For any object  $A$  in a category  $\mathbf{C}$  and any subobjects  $M, N \in \text{Sub}_{\mathbf{C}}(A)$ , show  $M \subseteq N$  iff for every generalized element  $z : Z \rightarrow A$  (arbitrary arrow with codomain  $A$ ):

$$z \in_A M \text{ implies } z \in_A N.$$

6. (Equalizers by pullbacks and products) Show that a category with pullbacks and products has equalizers as follows: given arrows  $f, g : A \rightarrow B$ , take the pullback indicated below, where  $\Delta = \langle 1_B, 1_B \rangle$ :

$$\begin{array}{ccc} E & \longrightarrow & B \\ e \downarrow & & \downarrow \Delta \\ A & \xrightarrow{\langle f, g \rangle} & B \times B \end{array}$$

Show that  $e : E \rightarrow A$  is the equalizer of  $f$  and  $g$ .

7. Let  $\mathbf{C}$  be a locally small category with all small limits, and  $D : \mathbf{J} \rightarrow \mathbf{C}$  any diagram in  $\mathbf{C}$ . Show that for any object  $C \in \mathbf{C}$ , the representable functor

$$\text{Hom}_{\mathbf{C}}(C, -) : \mathbf{C} \rightarrow \text{Sets}$$

preserves the limit of  $D$ .

8. (Partial maps) For any category  $\mathbf{C}$  with pullbacks, define the category  $\text{Par}(\mathbf{C})$  of partial maps in  $\mathbf{C}$  as follows: the objects are the same as those of  $\mathbf{C}$ , but an arrow  $f : A \rightarrow B$  is a pair  $(|f|, U_f)$ , where  $U_f \rightarrow A$  is a subobject and  $|f| : U_f \rightarrow B$  is a suitable equivalence class of arrows, as indicated in the diagram:

$$\begin{array}{ccc} U_f & \xrightarrow{|f|} & B \\ \downarrow & & \\ A & & \end{array}$$

Composition of  $(|f|, U_f) : A \rightarrow B$  and  $(|g|, U_g) : B \rightarrow C$  is given by taking a pullback and then composing to get  $(|g \circ f|, |f|^*(U_g))$ , as suggested by the following diagram:

$$\begin{array}{ccccc}
 |f|^*(U_g) & \longrightarrow & U_g & \xrightarrow{|g|} & C \\
 \downarrow & & \downarrow & & \\
 U_f & \xrightarrow{|f|} & B & & \\
 \downarrow & & & & \\
 A & & & & 
 \end{array}$$

Verify that this really does define a category, and show that there is a functor,

$$C \rightarrow \text{Par}(C)$$

which is the identity on objects.

9. Suppose the category  $C$  has limits of type  $J$ , for some index category  $J$ . For diagrams  $F$  and  $G$  of type  $J$  in  $C$ , a morphism of diagrams  $\theta : F \rightarrow G$  consists of arrows  $\theta_i : Fi \rightarrow Gi$  for each  $i \in J$  such that for each  $\alpha : i \rightarrow j$  in  $J$ , one has  $\theta_j F(\alpha) = G(\alpha)\theta_i$  (a commutative square). This makes  $\text{Diagrams}(J, C)$  into a category (check this). Show that taking the vertex-objects of limiting cones determines a functor:

$$\lim_J : \text{Diagrams}(J, C) \rightarrow C$$

Infer that for any set  $I$ , there is a product functor,

$$\prod_{i \in I} : \text{Scts}^I \rightarrow \text{Sets}$$

for  $I$ -indexed families of sets  $(A_i)_{i \in I}$ .

10. (Pushouts)
- Dualize the definition of a pullback to define the "copullback" (usually called the "pushout") of two arrows with common domain.
  - Indicate how to construct pushouts using coproducts and coequalizers (proof "by duality").
11. Let  $R \subseteq X \times X$  be an equivalence relation on a set  $X$ , with quotient  $q : X \rightarrow Q$ . Show that the following is an equalizer:

$$\mathcal{P}Q \xrightarrow{\mathcal{P}q} \mathcal{P}X \xrightarrow[\mathcal{P}r_2]{\mathcal{P}r_1} \mathcal{P}R,$$

where  $r_1, r_2 : R \rightrightarrows X$  are the two projections of  $R \subseteq X \times X$ , and  $\mathcal{P}$  is the (contravariant) powerset functor. (Hint:  $\mathcal{P}X \cong 2^X$ .)

12. Consider the sequence of posets  $[0] \rightarrow [1] \rightarrow [2] \rightarrow \dots$ , where

$$[n] = \{0 \leq \dots \leq n\},$$

and the arrows  $[n] \rightarrow [n+1]$  are the evident inclusions. Determine the limit and colimit posets of this sequence.

13. Consider sequences of monoids,

$$M_0 \rightarrow M_1 \rightarrow M_2 \rightarrow \dots$$

$$N_0 \leftarrow N_1 \leftarrow N_2 \leftarrow \dots$$

and the following limits and colimits, constructed in the category of monoids:

$$\varinjlim_n M_n, \varprojlim_n M_n, \varinjlim_n N_n, \varprojlim_n N_n.$$

- (a) Suppose all  $M_n$  and  $N_n$  are abelian groups. Determine whether each of the four (co)limits  $\varinjlim_n M_n$  etc. is also an abelian group.
- (b) Suppose all  $M_n$  and  $N_n$  are finite groups. Determine whether each of the four (co)limits  $\varinjlim_n M_n$  etc. has the following property: for every element  $x$ , there is a number  $k$  such that  $x^k = 1$  (the least such  $k$  is called the *order* of  $x$ ).