Groupoidification in Physics

Jeffrey C. Morton

November 11, 2010

Abstract

Notes for a seminar in the IST TQFT Club about the Baez-Dolan groupoidification and its extensions, as applied to some toy models in physics.

1 Introduction

1.1 Outline

Motivation: Categorify a quantum mechanical description of states and processes.

	Sets	Categories
Classical	S: A set whose elements are <i>con-</i> <i>figurations</i> of a system	X: A groupoid with:Ob: configurationsMor: symmetries of configurations
Quantum	$L^2(S)$: Vector space of states (in fact, Hilbert space)	$\Lambda(X)$: 2-vector space of states (in fact, 2-Hilbert space)

We propose that the configuration spaces of physical systems should be represented as **groupoids** (or *stacks*), based on local symmetries. A **process** relating two systems through time is described using a groupoid of "histories" in a **span** of groupoids, with maps to "start" and "end" configurations. This is "doing physics in" the monoidal (2-)category Span(**Gpd**).

Degroupoidification is a functor turning this into physics in **Vect** (or **Hilb**), as usual in quantum mechanics. **2-Linearization** gives a more complete equivalence-invariant Λ for Span(**Gpd**). It provides a way to do physics in **2Hilb**.

Both invariants rely on a $\mathbf{pull-push}$ process, and some form of $\mathbf{ad-jointness}.$

Applications: Foundational physics such as quantum harmonic oscillator; Witten-type ETQFT (help interpret physical examples).

2 Groupoids and Spans

2.1 Groupoids and Stacks

Definition 1 A groupoid **G** (in Set) is a category in which all morphisms are invertible. That is, as a category, consists of two sets G_0 (of objects) and G_1 (of morphisms/arrows) together with structure maps:

$$G_1 \times_{G_0} G_1 \xrightarrow{\circ} G_1 \xrightarrow{s,t} G_0 \xrightarrow{i} G_1 \xrightarrow{(-)^{-1}} G_1 \tag{1}$$

which define source, target, identities, partially-defined composition, and inverses, satysifying some properties making a groupoid a "multi-object" generalization of a group.

Morphisms (arrows) of a groupoid can be composed if the source of one arrow is the target of the other. This can be defined where G_0 and G_1 are sets, topological spaces, manifolds, etc. (Then the maps must be "nice" in a suitable sense in each case.)

Definition 2 There is a 2-category Gpd with:

- **Objects**: Groupoids (categories whose morphisms are all invertible)
- Morphisms: Functors between groupoids
- 2-Morphisms: Natural transformations between functors

Groupoids provide a good way of thinking about local symmetry. E.g. the transformation groupoid S//G comes from a set S with an action of the group G: objects are elements of S, morphisms correspond to group elements.

Example 1 Some relevant groupoids:

- Any set S can be seen as a groupoid with only identity morphisms
- Any group G is a groupoid with one object
- Given a set S with a group-action $G \times S \to S$ yields a transformation groupoid $S/\!\!/G$ whose objects are elements of S; if g(s) = s' then there is a morphism $g_s : s \to s'$
- Given a differentiable manifold M, the fundamental groupoid $\Pi_1(M)$ which has objects $x \in M$ and morphisms homotopy classes of paths in M.
- Given a differentiable manifold M and Lie group G, the groupoid $\mathcal{A}_G(M)$ of **principal** *G*-bundles and bundle maps; and the groupoid $\mathcal{A}_G(M)$ of FLAT *G*-bundles and maps.

Physically, groupoids can describe *configuration spaces* for physical systems. (Many physically realistic cases will also be, e.g. symplectic manifolds, whose points are the objects of the groupoid).

Since groupoids are categories, it is usual to think of them up to *equivalence* (the weaker notion of isomorphism), to treat different but "indistinguishable" groupoids as the same. For topological and smooth groupoids, the best version of this is a "homotopy"-like notion:

Definition 3 Two groupoids \mathbf{G} and \mathbf{G}' are (strongly) Morita equivalent if there is a pair of morphisms:



where both f and g are suitably nice maps (otherwise this is a Morita morphism). A **stack** is a Morita-equivalence class of groupoids.

This implies that the categories of representations are equivalent as categories (*weak* Morita equivalence). This definition coincides with the more familiar one for C^* algebras, in the case of groupoid algebras.

Morita equivalent groupoids are "physically indistinguishable". (E.g. full action groupoid; skeleton, with quotient space of objects). So our proposal is that configuration spaces should be (topological, smooth, etc.) stacks.

2.2 Span(Gpd)

Definition 4 A span in a category **C** is a diagram of the form:



A span map f between two spans consists of a compatible map of the central objects:



A cospan is a span in \mathbf{C}^{op} (i.e. \mathbf{C} with arrows reversed).

We'll use $\mathbf{C} = \mathbf{Gpd}$, so s and t are functors (i.e. also map morphisms, representing symmetries).

Definition 5 The bicategory $Span_2(\mathbf{Gpd})$ has:

- **Objects**: Groupoids
- Morphisms: Spans of groupoids
- Composition defined by weak pullback:



- 2-Morphisms : isomorphism classes of spans of span maps
- monoidal structure from the product in **Gpd**, and duals for morphisms and 2-morphisms.

Note: This weak pullback of groupoids has objects (x, α, x') , where α : $f(x) \to g(x')$, and its morphisms are commuting squares.

We can look at this two ways:

- Span **C** is the *universal* 2-category containing **C**, and for which every morphism has a (two-sided) adjoint. The fact that arrows have adjoints means that Span(**C**) is a †-monoidal category. This is useful to describe quantum physics. (See Abramsky and Coecke, Vicary).
- Physically, X will represent an object of *histories* leading the system A to the system B. Maps s and t pick the starting and terminating *configurations* in A and B for a given history (in the sense internal to **C**).

Definition 6 A state for an object A in a monoidal category is a morphism from the monoidal unit, $\psi : I \to A$.

In **Hilb**, this determines a vector by $\psi : \mathbb{C} \to H$. In Span(**Gpd**), the unit is **1**, the terminal groupoid, so this is determined by:

$$S \xrightarrow{\Psi} A$$

where S is a groupoid, "fibred over A".

An example is the Baez-Dolan "stuff type", where $A = FinSet_0$. Think of such a state as an **ensemble** over the base groupoid A.

Acting on a state by a span produces a groupoid whose objects include a history:



This new state is an ensemble with these more complicated objects, which encode the history of the spans (groupoidified operators) that have been applied.

3 Representing Span(Gpd)

There is also a category $Span_1(\mathbf{Gpd})$, taking spans only up to isomorphism and neglecting the 2-morphisms, but still composing via weak pullback.

There are two interesting functors for our purposes. "Degroupoidification" (Baez-Dolan):

$$D: Span_1(\mathbf{Gpd}) \to \mathbf{Hilb}$$

and "2-linearization" (Morton):

$$\Lambda: Span_2(\mathbf{Gpd}) \to \mathbf{2Hilb}$$

3.1 Groupoidification

Degroupoidification works like this:

To *linearize* a (finite) groupoid, just take the free vector space on its space of isomorphism classes of objects, $\mathbb{C}^{\underline{A}}$.

Definition 7 The cardinality of a groupoid G is

$$|\mathbf{G}| = \sum_{[g] \in \underline{\mathbf{G}}} \frac{1}{\# \operatorname{Aut}(g)}$$

where $\underline{\mathbf{G}}$ is the set of isomorphism classes of objects of \mathbf{G} . We call a groupoid **tame** if this sum converges.

This has the nice property that it "gets along with quotients":

Theorem 1 (Baez, Dolan) If S is a set with a G-action $G \times S \rightarrow S$, then

$$|S/\!\!/G| = \frac{\#S}{\#G}$$

where # denotes ordinary set-cardinality.

Then there is a pair of linear maps associated to map $f: A \to B$:

- $f^* : \mathbb{C}^B \to \mathbb{C}^A$, with $f^*(g) = g \circ f$
- $f_* : \mathbb{C}^A \to \mathbb{C}^B$, with $f_*(g)(b) = \sum_{f(a)=b} \frac{\#\operatorname{Aut}(b)}{\#\operatorname{Aut}(a)}g(a)$

The first is just composition with f. The second is the map sending the vector δ_a to $\delta_{f(a)}$. These are adjoint with respect to an inner product such that $\langle [g_i], [g_j] \rangle = \frac{1}{\#\operatorname{Aut}(g_i)} \cdot \delta_{i,j}$. This gives $D = t_* \circ s^*$ as a modified "sum over histories": when the

This gives $D = t_* \circ s^*$ as a modified "sum over histories": when the groupoids are sets, this just counts the number of histories from g_i to g_j . The general case counts with groupoid cardinality.

Definition 8 The functor

$$D: Span(\mathbf{Gpd}) \to \mathbf{Vect}$$

is defined by with $D(G) = \mathbb{C}(\underline{G})$, and

$$D(X)(f)([b]) = \sum_{[x] \in t^{-1}(b)} \frac{\# \operatorname{Aut}(b)}{\# \operatorname{Aut}(x)} [f(s(x))]$$

In the case the groupoids are sets, this just gives multiplication by a matrix counting the number of histories from x to y. In general, the matrix D(X) has:

$$D(X)_{([a],[b])} = |(s,t)^{-1}(a,b)|$$

3.2 The Measured Groupoid Case

The groupoid cardinality is a special case of the *volume of a stack*, which we need to deal with physically interesting examples.

Definition 9 A left Haar system for a (loc.cpt.) groupoid **G** is a family $\{\lambda^x\}_{x\in G_0}$, where λ^x is a (positive, regular, Borel) measure on $G^x = s^{-1}(x)$.

Unlike for Haar measure on a Lie group, a (left) Haar system λ^x is not uniquely defined. It is only unique up to a (quasi-invariant, i.e. equivariant) measure μ on M.

Definition 10 If **G** is a groupoid, the space of objects is a measure space (G_0, μ) , and λ^x is a left Haar system, the stack volume of **G** is:

$$vol(\mathbf{X}) = \int_X \left(\int_{s^{-1}(x)} d\lambda^x \right)^{-1} d\mu$$

This is a stack invariant. (Based on Weinstein, where measures come from volume forms.)

3.3 2-Linearization

Recall that the **2-morphisms** of $Span_2(\mathbf{Gpd})$ are (iso. classes of) spans of *span maps*:



Composition is by weak pullback taken up to isomorphism.

Sometimes one just uses span maps: here, we want 2-morphisms as well as morphisms to have *adjoints*. Again: taking spans means allowing adjointness!

We want a representation of $Span_2(\mathbf{Gpd})$ that captures more than D.

3.3.1 2-Hilbert Spaces

Definition 11 A finite dimensional Kapranov–Voevodsky 2-vector space is a \mathbb{C} -linear finitely semisimple abelian category (one with a "direct sum", a.k.a. biproduct) generated by simple objects x, where hom $(x, x) \cong \mathbb{C}$). A 2-linear map between 2-vector spaces is a \mathbb{C} -linear (hence additive) functor. **2Vect** is the 2-category of KV 2-vector spaces, whose morphisms are 2-linear maps and whose 2-morphisms are natural transformations.

Note: **2Vect** is a monoidal 2-category with the Deligne product and unit **Vect**.

Lemma 1 If **B** is an essentially finite groupoid, the functor category $\Lambda(\mathbf{B}) = [\mathbf{B}, \mathbf{Vect}]$ is a KV 2-vector space.

Note: If the automorphism groups of (isomorphism classes of) objects of **B** are B_1, \ldots, B_n , then we have

$$[\mathbf{B},\mathbf{Vect}]\cong\prod_{j}\mathbf{Rep}(\mathbf{B_j})$$

So the "basis elements" (simple objects) in $[\mathbf{B}, \mathbf{Vect}]$ are labeled by ([b], V), where $[b] \in \underline{\mathbf{B}}$ and V an irreducible rep of Aut(b).

Definition 12 A 2-Hilbert space is an abelian H^* -category.

Unpacking this definition, a 2-Hilbert space ${\cal H}$ is an abelian category such that:

- each hom-set has the structure of a Hilbert space, and composition of morphisms is bilinear.
- *H* is equipped with a star structure—a contravariant functor *: $H \rightarrow H$ which is the identity on objects and $*^2 = 1_H$.
- The star structure on ${\cal H}$ induces an antinatural isomorphism

$$\hom(x, y) \cong (\hom(y, x))^*$$

In finite dimensions, this is much like **2Vect**, in that all 2-Hilbert spaces are equivalent to **Hilb**^{*n*}, in which case 2-linear maps are equivalent to matrix multiplication with Hilbert space entries (using \otimes and \oplus in place of + and ×).

Baez, Freidel et. al. conjecture the following for the infinite-dimensional case (incompletely understood):

Conjecture 1 Any 2-Hilbert spaces is of the following form: $\operatorname{Rep}(\mathcal{A})$, the category of representations of a von Neumann algebra \mathcal{A} on Hilbert spaces. The star structure takes the adjoint of a map.

In this context:

- For our physical interpretation \mathcal{A} is the algebras of **symmetries** of a system. The algebra of **observables** will be its commutant which depends on the choice of representation!
- Basis elements are irreducible representations of the vN algebra physically, these can be interpreted as **superselection sectors**. Any representation is a direct sum/integral of these.
- Then 2-linear maps are functors, but can also be represented as **Hilbert bimodules** between algebras. The simple components of these bimodules are like matrix entries.

Special examples of this kind:

- Rep(X) for a groupoid X, by taking \mathcal{A} to be the completion of the groupoid C^* -algebra $C_c(X)$.
- Rep(L[∞](X, μ)), for a measure space, gives the category of measurable fields of Hilbert spaces on (X, μ)

3.3.2 2-Linearization Functor

Theorem 2 If X and B are essentially finite groupoids, a functor $f : X \rightarrow B$ gives two 2-linear maps:

$$f^*: \Lambda(\mathbf{B}) \to \Lambda(\mathbf{X})$$

namely composition with f, with $f^*F = F \circ f$ and

$$f_*: \Lambda(\mathbf{X}) \to \Lambda(\mathbf{B})$$

called "pushforward along f". Furthermore, f_* is the two-sided adjoint to f^* (i.e. both left-adjoint and right-adjoint).

In fact, the adjoint map f_* acts by:

$$f_*(F)(b) \cong \bigoplus_{f(x) \cong b} \mathbb{C}[Aut(b)] \otimes_{\mathbb{C}[Aut(x)]} F(x)$$

This is the left adjoint. But there is also a right adjoint:

$$f_!(F)(b) \cong \bigoplus_{[x]|f(x)\cong b} \hom_{\mathbb{C}[Aut(x)]}(\mathbb{C}[Aut(b)], F(x))$$

In fact, this is a two-sided adjunction, by using the *Nakayama isomorphism*, a canonical isomorphism:

$$N_{(f,F,b)}: f_!(F)(b) \to f_*(F)(b)$$

given by the *exterior trace map* in each factor of the sum (which uses a modified group average).

$$N: \bigoplus_{[x]|f(x)\cong b} \phi_x \mapsto \bigoplus_{[x]|f(x)\cong b} \frac{1}{\#Aut(x)} \sum_{g\in Aut(b)} g \otimes \phi_x(g^{-1})$$

Under this identification, the left and right adjoints are isomorphic. By composing units/counits with N, we get that f^* and f_* are ambidextrous adjoints.

(Note: In general, $Span_2(\mathbf{C})$ will be the universal 2-category for which morphisms in \mathbf{C} have ambidextrous adjoints. We want to preserve this property.)

Call the adjunctions in which f_* is left or right adjoint to f^* the *left* and *right adjunctions* respectively. We want to use the counit for the left adjunction, which is the evaluation map:

$$\eta_{R}(G)(x):G(x) \longrightarrow \bigoplus_{y|f(y)\cong x} \hom_{\mathbb{C}[Aut(x)]}(\mathbb{C}[Aut(y)], G(x))$$
$$v \longmapsto \bigoplus_{y|f(y)\cong x} (g \mapsto g(v))$$

and the unit for the right adjunction, which just uses the action:

$$\epsilon_L(G)(x) : \bigoplus_{[y]|f(y) \cong x} \mathbb{C}[Aut(x)] \otimes_{\mathbb{C}[Aut(y)]} f^*G(x) \to G(x)$$
$$\bigoplus_{[y]|f(y) \cong x} g_y \otimes v \qquad \mapsto \sum_{[y]|f(y) \cong x} f(g_y)v$$

Definition 13 Define the 2-functor Λ as follows:

- Objects: $\Lambda(\mathbf{B}) = Rep(\mathbf{B}) := [\mathbf{B}, \mathbf{Vect}]$
- Morphisms $\Lambda(X, s, t) = t_* \circ s^* : \Lambda(a) \longrightarrow \Lambda(\mathbf{B})$
- 2-Morphisms: $\Lambda(Y, \sigma, \tau) = \epsilon_{L,\tau} \circ N \circ \eta_{R,\sigma} : (t)_* \circ (s)^* \to (t')_* \circ (s')^*$

Picking basis elements $([a], V) \in \Lambda(A)$, and $([b], W) \in \Lambda(B)$, we get that $\Lambda(X, s, t)$ is represented by the matrix with coefficients:

$$\Lambda(X, s, t)_{([a], V), ([b], W)} = \hom_{Rep(Aut(b))}(t_* \circ s^*(V), W)$$

$$\simeq \bigoplus_{[x] \in (s, t)^{-1}([a], [b])} \hom_{Rep(Aut(x))}(s^*(V), t^*(W))$$

This is an intertwiner space, given by the *analog* of the inner product $\langle s^*\psi, t^*\phi \rangle$ in a Hilbert space.

In the case where source and target are 1, there is only one basis object in $\Lambda(1)$ (the trivial representation), so the 2-linear maps are represented by a single vector space. Then it turns out:

Theorem 3 Restricting to $\hom_{Span_2(\mathbf{Gpd})}(1, 1)$:



where 1 is the (terminal) groupoid with one object and one morphism, Λ on 2-morphisms is just the degroupoid fication functor D.

The groupoid cardinality comes from the modified group average in ${\cal N}.$

4 2-Linearized Physics

4.1 Harmonic Oscillator

Example 2 In the case where $\mathbf{A} = \mathbf{B} = \mathbf{FinSet}_0$ (equivalently, the symmetric groupoid $\coprod_{n>0} \Sigma_n$ - note no longer finite), we find

$$D(\mathbf{FinSet_0}) = \mathbb{C}[[t]]$$

where t^n marks the basis element for object [n]. This gets a canonical inner product and can be treated as the Hilbert space for the *quantum harmonic oscillator* ("Fock Space").

The operators $\mathbf{a} = \partial_t$ and $\mathbf{a}^{\dagger} = M_t$, generate the Weyl algebra of operators for the QHO. These are given under D by the span A:



and its dual A^{\dagger} . Composites of these give a categorification of operators explicitly in terms of *Feynman diagrams*.

Such composites are described in terms of groupoids whose objects look like this:



The source and target maps for the span pick the set of start and end points. The morphisms of the groupoid are graph symmetries.

Degroupoid ification D calculates operators which (after small modification involving U(1)-labels) agree with the usual Feynman rules for calculating amplitudes.

An ongoing project (with Jamie Vicary) is to study the 2-categorical version of this picture. There are analogs of creation and annihilation operators in other *hom*-categories than hom(1, 1):



This is a 2-morphism $\alpha_A : A \to AAA^{\dagger}$ creates a "creation/annihilation pair" at the 1-morphism level.

Composites of these act as *rewrite rules* on the Feynman diagrams like those seen previously (now with "boundary" maps).

The image of this picture under Λ involves representation theory of the symmetric groups as $\Lambda(\mathbf{FinSet}_0) \cong \prod_n \operatorname{Rep}(\Sigma_n)$, and gives rise to "paraparticle statistics":



Irreducible representations of **FinSet**₀ are labelled by Young diagrams. Restriction and induction of representations amounts to counting paths through the lattice above. The usual bosonic Fock space representation F consists of the symmetric reps (the extreme left-hand entries of each row). This applies to states $\psi : \mathbb{C} \to F$ - without the history as encoded in the diagram of composite states.

4.2 Extended TQFT

Example 3 An Extended TQFT (ETQFT) is a (weak) monoidal 2-functor

$$Z: \mathbf{nCob_2} \rightarrow \mathbf{2Vect}$$

where $\mathbf{nCob_2}$ has

- **Objects**: (n-2)-dimensional manifolds
- Morphisms: (n-1)-dimensional cobordisms (manifolds with boundary, with ∂M a union of source and target objects)
- 2-Morphisms: n-dimensional cobordisms with corners

One construction uses gauge theory, for gauge group G (here a finite group). Given M, the groupoid $\mathcal{A}_0(M, G) = hom(\pi_1(M), G)/\!\!/ G$ has:

- **Objects**: Flat connections on M
- Morphisms Gauge transformations

Then $\mathcal{A}_0(-,G)$: $\mathbf{nCob}_2 \to Span_2(\mathbf{Gpd})$, and there is an ETQFT $Z_G = \Lambda \circ \mathcal{A}_0(-,G)$.

This relies on the fact that cobordisms in $\mathbf{nCob_2}$ can be transformed into products of cospans:

Then $\mathcal{A}_0(-, G)$ maps these into $Span^2(\mathbf{Gpd})$. Suppose $S : S^1 + S^1 \to S^1$ is the "pair of pants", showing two "particles" fusing into one.





Then we have the diagram:



Where the map Δ leaves connections fixed, and acts as the diagonal on gauge transformations; and m is the multiplication map.

- View S^1 as the boundary around a system (e.g. particle).
- Irreducible objects of Z_G(S¹) ≃ [G//G, Vect] are labelled by ([g], W), for [g] a conjugacy class in G and W an irrep of its stabilizer subgroup
- For G = SU(2), this is an angle $m \in [0, 2\pi]$, a particle; and an irrep of U(1) (or SU(2) for m = 0) is labelled by an integer j
- This theory then looks like 3D quantum gravity coupled to particles with mass and spin. with mass m and spin j
- Under the topology change of the pair of pants, a pair of such reps is taken to one with nontrivial representations (superselection sectors) for all [mm'] for any representatives of [m], [m'] (each possible total mass and spin for the combined system).

Physics in this Hilbert space arises from the 3D 2-morphisms.

References

J. Baez, J. Dolan. "From Finite Sets to Feynman Diagrams". Mathematics Unlimited - 2001 And Beyond, Engquist, B., Schmid, W. (Eds.), Springer Verlag, 2001. arXiv:math.QA/0004133

- [2] John C. Baez and James Dolan, "Higher-dimensional algebra and topological quantum field theory". J.Math.Phys, vol 36, pp 6073-6105, 1995. arXiv:q-alg/9503002.
- [3] Dawson, R. J. MacG. and Par, R. and Pronk, D. A., "Universal properties of span", Theory and Applications of Categories, Vol 13, no 4, pp61-85, 2004.
- [4] Kapranov, M. and Voevodsky, V. "2-categories and Zamolodchikov tetrahedron equations", Proc. Symp. Pure Math, vol 56 Part 2, pp177-260, 1994.
- [5] Jeffrey C. Morton, Categorified Algebra and Quantum Mechanics, Theory and Applications of Categories, vol 16, pp 785-854, 2006. arXiv:math/0601458
- [6] Jeffrey C. Morton, 2-Vector Spaces and Groupoids, Applied Categorical Structures (DOI: 10.1007/s10485-010-9225-0). arXiv:0810.2361
- [7] Jeffrey C. Morton, Extended TQFT, Gauge Theory and 2-Linearization. arXiv:1003.5603