

# Structure theorems for two classes of resistant sets

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## Abstract

A subset of the unit hypercube  $\{0, 1\}^n$  is *cube-ideal* if its convex hull is described by hypercube and generalized set covering inequalities. In this paper, we provide structure theorems for two classes of cube-ideal sets that remain cube-ideal even after making local changes. We will also discuss applications.

## 1 Introduction

Take an integer  $n \geq 1$ . Denote by  $\{0, 1\}^n$  the extreme points of the  $n$ -dimensional unit hypercube  $[0, 1]^n$ . For a coordinate  $i \in [n] := \{1, \dots, n\}$ , we refer to  $x_i \geq 0$  and  $x_i \leq 1$  as *hypercube* inequalities. *Generalized set covering* inequalities are ones of the form

$$\sum_{i \in I} x_i + \sum_{j \in J} (1 - x_j) \geq 1 \quad I, J \subseteq [n], I \cap J = \emptyset,$$

which are precisely the inequalities that cut off (sub-)hypercubes of  $\{0, 1\}^n$ . Interpreted as clause satisfaction inequalities for the Boolean satisfiability problem, generalized set covering inequalities are prevalent in the literature. Also referred to as *cropping* inequalities [8, 12], these inequalities have surfaced as *cocircuit* inequalities valid for cycle polytopes of binary matroids [6], as *set covering* inequalities ( $J = \emptyset$ ) for various set covering problems [7, 10, 9], and as *cover* inequalities ( $I = \emptyset$ ) for the knapsack problem [5, 11, 14].

Take a set  $S \subseteq \{0, 1\}^n$ . We say that  $S$  is *cube-ideal* if its convex hull, denoted  $\text{conv}(S)$ , can be described by hypercube and generalized set covering inequalities. This notion was introduced and studied in [2]. In the vaguest possible terms, what is the structure of cube-ideal sets? This question lays the underpinning theme of our paper.

Among basic classes of cube-ideal sets are: the cycle space of a graph [13]; the up-monotone set associated with an ideal clutter (see [2]); and a set where each infeasible component is a hypercube or has maximum degree at most two [3]. Given such basic classes, there are three binary operations that preserve cube-idealness and can be used to generate more cube-ideal sets: the product of two cube-ideal sets is cube-ideal; the coproduct of two cube-ideal sets is cube-ideal; given two cube-ideal sets whose complements are also cube-ideal, their reflective product is cube-ideal [2]. Subsequently, cube-ideal sets form a rich class and have a complex structure, to say the least. Nonetheless, we conjecture the following:

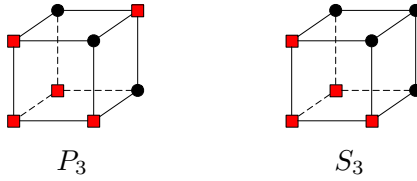


Figure 1: An illustration of  $P_3$  and  $S_3$ . Round points are feasible while square points are infeasible.

**Conjecture 1.1.** Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Testing whether or not  $S$  is cube-ideal can be done in time polynomial in  $n$  and  $|S|$ .

In this paper, we provide structure theorems for cube-ideal sets that remain cube-ideal even after making local changes.

## 1.1 Definitions and notation

Given points  $a, b \in \{0, 1\}^n$ , the (Hadamard) *distance* between  $a, b$ , denoted  $\text{dist}(a, b)$ , is the number of coordinates  $a$  and  $b$  differ on. Denote by  $G_n$  the *skeleton graph* of  $\{0, 1\}^n$ , whose vertices are the points in  $\{0, 1\}^n$ , where two vertices  $a, b \in \{0, 1\}^n$  are adjacent if  $\text{dist}(a, b) = 1$ . We refer to the points in  $S$  as *feasible* and to the points in  $\bar{S} := \{0, 1\}^n - S$  as *infeasible*. The (connected) components of  $G_n[S]$  are *feasible components*, while the components of  $G_n[\bar{S}]$  are *infeasible components*.

For  $i \in [n]$ , denote by  $e_i$  the  $i^{\text{th}}$  unit vector. To *twist coordinate*  $i \in [n]$  is to replace  $S$  by

$$S \triangle e_i := \{x \triangle e_i : x \in S\}.$$

We say that  $S' \subseteq \{0, 1\}^n$  is *isomorphic* to  $S$ , and write  $S' \cong S$ , if  $S'$  is obtained from  $S$  after relabeling and twisting some coordinates.

The set obtained from  $S \cap \{x : x_i = 0\}$  after dropping coordinate  $i$  is called the *0-restriction of  $S$  over coordinate  $i$* , and the set obtained from  $S \cap \{x : x_i = 1\}$  after dropping coordinate  $i$  is called the *1-restriction of  $S$  over coordinate  $i$* . A *restriction of  $S$*  is a set obtained after a series of 0- and 1-restrictions. The *projection of  $S$  over coordinate  $i$*  is the set obtained from  $S$  after dropping coordinate  $i$ . A *minor of  $S$*  is what is obtained after a series of restrictions and projections. A minor is *proper* if at least one operation is applied.

**Remark 1.2** ([2]). *If a set is cube-ideal, then so is every isomorphic minor of it.*<sup>1</sup>

## 1.2 Resistance and structure theorems

Let  $P_3 := \{110, 101, 011\} \subseteq \{0, 1\}^3$  and  $S_3 := \{110, 101, 011, 111\} \subseteq \{0, 1\}^3$ , as displayed in Figure 1. Then

$$\text{conv}(P_3) = \{x \in [0, 1]^3 : x_1 + x_2 + x_3 = 2\} \quad \text{and} \quad \text{conv}(S_3) = \{x \in [0, 1]^3 : x_1 + x_2 + x_3 \geq 2\},$$

<sup>1</sup>Going forward, the prefix “isomorphic” will be omitted from “isomorphic restriction” and “isomorphic minor”.

implying in turn that  $P_3, S_3$  are not cube-ideal. In particular, a cube-ideal set has no  $P_3, S_3$  minor by Remark 1.2.

We say that  $S$  is *1-resistant* if, for every subset  $X \subseteq \{0, 1\}^n$  of cardinality at most one,  $S \cup X$  has no  $P_3, S_3$  minor. The notion of 1-resistance was introduced and studied by Abdi, Cornuéjols and Lee [3], though the prefix 1- was omitted there. There the authors showed that 1-resistance is a multifaceted property, and they demonstrate that the class of 1-resistant sets is quite rich. In particular, they show that,

**Theorem 1.3** ([3]). *A 1-resistant set is cube-ideal.*

Although a structure theorem remains elusive even for this special class of cube-ideal sets, we are able to provide structure theorems for two natural classes.

We say that  $S$  is *2-resistant* if, for every subset  $X \subseteq \{0, 1\}^n$  of cardinality at most two,  $S \cup X$  has no  $P_3, S_3$  minor. We will prove the following theorem, part (iii) of which explains the structure of 2-resistant sets:

**Theorem 1.4.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then the following statements are equivalent:*

- (i)  $S$  is 2-resistant,
- (ii)  $S$  has no restriction  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ ,
- (iii) every infeasible component is a hypercube or has maximum degree at most two,
- (iv)  $S$  has no minor  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ .

This theorem is proved in §2. There we will also show that 2-resistance of a set  $S \subseteq \{0, 1\}^n$  can be tested in time  $O(n^3|S|)$ .

We say that  $S$  is  *$\pm 1$ -resistant* if, for every subset  $X \subseteq \{0, 1\}^n$  of cardinality at most one,  $S \Delta X$  has no  $P_3, S_3$  minor. In §3, we provide excluded minor and excluded restriction characterizations for  $\pm 1$ -resistant sets. There we will also show that  $\pm 1$ -resistance of a set  $S \subseteq \{0, 1\}^n$  can be tested in time  $O(n^2|S|^2)$ .

Given integers  $n_1, n_2 \geq 0$  and  $S_1 \subseteq \{0, 1\}^{n_1}, S_2 \subseteq \{0, 1\}^{n_2}$ , the *product* of  $S_1, S_2$  is

$$S_1 \times S_2 := \{(x, y) : x \in S_1, y \in S_2\} \subseteq \{0, 1\}^{n_1+n_2}.$$

We will prove the following structure theorem:

**Theorem 1.5.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then  $S$  is  $\pm 1$ -resistant if, and only if, one of the following statements holds:*

- (i)  $S \cong A_k \times \{0, 1\}^{n-k}$  for some  $k \in \{2, \dots, n\}$ , where  $A_k = \{\mathbf{0}^k, \mathbf{1}^k\}$ ,
- (ii)  $S \cong B_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ , where  $B_k = \{\mathbf{0}^k, e_1, \mathbf{1}^k\}$ ,
- (iii)  $S \cong C_8 \times \{0, 1\}^{n-4}$ , where  $C_8 = \{0000, 1000, 0100, 1010, 0101, 0111, 1111, 1011\}$ ,
- (iv)  $S \cong D_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ , where  $D_k = \{\mathbf{0}^k, e_2, \mathbf{1}^k - e_2, \mathbf{1}^k - e_2 - e_3\}$ ,
- (v)  $S$  is a hypercube, or

(vi) every infeasible component of  $S$  is a hypercube, and every feasible point has at most two infeasible neighbors.

Here,  $\mathbf{0}^k, \mathbf{1}^k$  denote the  $k$ -dimensional vectors of all-zeros and all-ones, respectively. (The superscripts will be dropped when there is no ambiguity.) A proof outline of this theorem is given in §4; the proof spans §5, §6, §7 and §8.<sup>2</sup>

### 1.3 Strict polarity and applications

We say that  $S$  is *polar* if there are either antipodal feasible points, or the feasible points agree on a coordinate:

$$\{x, \mathbf{1} - x\} \subseteq S \quad \text{for some } x \in \{0, 1\}^n \quad \text{or} \quad S \subseteq \{x : x_i = a\} \quad \text{for some } i \in [n] \text{ and } a \in \{0, 1\}.$$

We say that  $S$  is *strictly non-polar* if it is not polar, but every proper restriction is polar. Notice that a set is strictly polar if, and only if, it has no strictly non-polar restriction. It is shown in [3] that if a set is strictly non-polar, and 1-resistant, then it gives rise to an ideal minimally non-packing clutter. Motivated by this fact,

**Question 1.6.** *What are the 1-resistant strictly non-polar sets?*

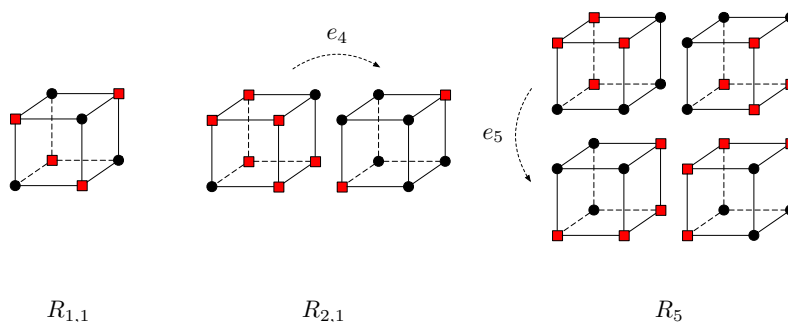


Figure 2: The 2-resistant strictly non-polar sets.

Even though the 1-resistant strictly non-polar sets  $S \subseteq \{0, 1\}^n$  satisfying  $|S| = 2^{n-1}$  are completely found [3], Question 1.6 is still open. Using the structure theorems obtained, we answer this question for 2- and  $\pm 1$ -resistant sets. To this end, let

$$\begin{aligned} R_{1,1} &:= \{000, 110, 101, 011\} \subseteq \{0, 1\}^3 \\ R_{2,1} &:= \{0000, 1110, 1001, 0101, 0011, 1101, 1011, 0111\} \subseteq \{0, 1\}^4 \\ R_5 &:= \{00000, 10000, 11000, 11100, 11110, 01110, 00110, 00010\} \\ &\quad \cup \{01001, 01101, 00101, 10101, 10111, 10011, 11011, 01011\} \subseteq \{0, 1\}^5, \end{aligned}$$

as displayed in Figure 2. We prove the following theorem in §2:

<sup>2</sup>For an explanation of the origin of resistance, see [1], Chapter 6.

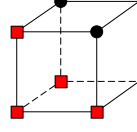


Figure 3: An illustration of a fragile set.

**Theorem 1.7.** *Up to isomorphism,  $R_{1,1}, R_{2,1}, R_5$  are the only 2-resistant strictly non-polar sets.*

We say that  $S$  is *strictly polar* if every restriction of it, including  $S$  itself, is polar.

**Theorem 1.8.** *A  $\pm 1$ -resistant set is strictly polar.*

This theorem is proved in §9.

## 1.4 Preliminaries

Throughout the paper, we will make use of several results from [3]. Let us state them all here.

**Remark 1.9** ([3]). *If a set is 1-resistant, then so is every minor of it.*

Take a set  $F \subseteq \{0, 1\}^3$  such that

$$F \cap \{000, 100, 010, 001, 101, 011\} = \{101, 011\}.$$

We refer to  $F$ , and any set isomorphic to it, as *fragile*. (See Figure 3.)

**Theorem 1.10** ([3]). *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then the following statements are equivalent:*

- (i)  $S$  is 1-resistant,
- (ii)  $S$  has no fragile restriction and no  $\{\mathbf{0}^k, \mathbf{1}^k - e_1\}, k \geq 4$  restriction,
- (iii)  $S$  has no fragile minor.

Testing 1-resistance can be done efficiently:

**Theorem 1.11** ([3]). *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then in time  $O(n^2|S|^2)$ , one can test whether or not  $S$  is 1-resistant.*

We will also need the following lemma for 1-resistant sets:

**Lemma 1.12** ([3]). *Take an integer  $n \geq 1$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$ . If  $S \cap \{x : x_n = 0\} = \emptyset$ , then  $S$  is a hypercube.*

Lastly, we will need the following lemma for general sets:

**Lemma 1.13** ([3]). *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ , where for all  $x \in \{0, 1\}^n$  and distinct  $i, j \in [n]$ , the following statement holds:*

$$\text{if } x, x \Delta e_i, x \Delta e_j \in S \text{ then } x \Delta e_i \Delta e_j \in S.$$

*Then every feasible component of  $S$  is a hypercube.*

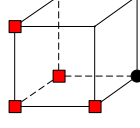


Figure 4: The excluded minor, and restriction, defining 2-resistance.

## 2 The structure of 2-resistant sets and consequences

In this section, we prove Theorem 1.4 on the structure of 2-resistant. We will then prove three applications, including Theorem 1.7 characterizing the 2-resistant strictly non-polar sets. Let us start with the following remark:

**Remark 2.1.** *If a set is 2-resistant, then so is every minor of it.*

*Proof.* Being 2-resistant is clearly closed under restrictions; it remains to show that it is also closed under projections. To this end, take an integer  $n \geq 1$  and a 2-resistant set  $S \subseteq \{0, 1\}^n$ . Let  $S' \subseteq \{0, 1\}^{n-1}$  be the projection of  $S$  over coordinate  $n$ . Suppose for a contradiction that  $S'$  is not 2-resistant. Then for some  $X' \subseteq \{0, 1\}^{n-1}$  of cardinality at most two,  $S' \cup X'$  has a  $P_3, S_3$  minor. Let  $X := \{(x', 0) : x' \in X'\}$ . Then  $S \cup X$  has  $S' \cup X'$  as a projection, and has a  $P_3, S_3$  minor as a consequence, implying in turn that  $S$  is not 2-resistant, a contradiction.  $\square$

We are now ready to prove Theorem 1.4, stating the following:

Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then the following statements are equivalent:

- (i)  $S$  is 2-resistant,
- (ii)  $S$  has no restriction  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ ,
- (iii) every infeasible component is a hypercube or has maximum degree at most two,
- (iv)  $S$  has no minor  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ .

*Proof.* **(i)  $\Rightarrow$  (ii)** Observe that  $F$  is not 2-resistant, because  $F \cup \{101, 011\}$  is either  $P_3$  or  $S_3$ . Thus, a 2-resistant set has no  $F$  restriction by Remark 2.1.

**(ii)  $\Rightarrow$  (iii):** Assume that  $S$  has no  $F$  restriction.

**Claim 1.** *Let  $x$  be an infeasible point with at least three infeasible neighbors. If  $x \triangle e_i, x \triangle e_j$  are infeasible for some distinct  $i, j \in [n]$ , then  $x \triangle e_i \triangle e_j$  is also infeasible.*

*Proof of Claim.* Suppose for a contradiction that  $x \triangle e_i \triangle e_j$  is feasible. Since  $x$  has at least three infeasible neighbors, there is a coordinate  $k \in [n] - \{i, j\}$  such that  $x \triangle e_k$  is infeasible. Then the 3-dimensional restriction of  $S$  containing  $x \triangle e_i, x \triangle e_j, x \triangle e_k$  is a set  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ , a contradiction.  $\diamond$

**Claim 2.** *Let  $x$  be an infeasible point with at least three infeasible neighbors. Let  $k \geq 3$  be the number of infeasible neighbors of  $x$ . Then the  $k$ -dimensional hypercube containing  $x$  and its infeasible neighbors is infeasible.*

*Proof of Claim.* After a possible twisting and relabeling, if necessary, we may assume that  $x = \mathbf{0}$  and its infeasible neighbors are  $e_1, \dots, e_k$ . We need to show that for all subsets  $I \subseteq [k]$ ,  $\sum_{i \in I} e_i \in \bar{S}$ . We will proceed by induction on  $|I| \geq 0$ . The base cases  $|I| \in \{0, 1\}$  hold by assumption, and the case  $|I| = 2$  follows from Claim 1. For the induction step, assume that  $|I| \geq 3$ . After a possible relabeling, if necessary, we may assume that  $I = [\ell]$ . Let  $y := \sum_{i=1}^{\ell-2} e_i$ . By the induction hypothesis,  $y$  and its three neighbors  $y \triangle e_{\ell-2}, y \triangle e_{\ell-1}, y \triangle e_\ell$  are all infeasible. It therefore follows from Claim 1 that  $y \triangle e_{\ell-1} \triangle e_\ell = \sum_{i=1}^{\ell} e_i$  is infeasible, thereby completing the induction step.  $\diamond$

Let  $K \subseteq \bar{S}$  be an infeasible component, and let  $k$  be the maximum number of infeasible neighbors of a point in  $K$ . If  $k \leq 2$ , then  $K$  has maximum degree at most two. Otherwise,  $k \geq 3$ . It then follows from Claim 2 that  $K$  contains a  $k$ -dimensional hypercube. Our maximal choice of  $k$  in turn implies that  $K$  is in fact the  $k$ -dimensional hypercube. Thus, every infeasible component is a hypercube or has maximum degree at most two.

(iii)  $\Rightarrow$  (iv): Assume that every infeasible component of  $S$  is a hypercube or has maximum degree at most two.

**Claim 3.** *If  $S'$  is a minor of  $S$ , then every infeasible component of  $S'$  is a hypercube or has maximum degree at most two.*

*Proof of Claim.* It suffices to prove this for restrictions and projections. The claim clearly holds for restrictions. As for projections, assume that  $S'$  is obtained from  $S$  after projecting away coordinate  $n$ . Let  $K' \subseteq \{0, 1\}^{n-1}$  be an infeasible component of  $S'$ . Clearly,  $\{(x, 0), (x, 1) : x \in K'\} \subseteq \{0, 1\}^n$  is connected and infeasible, so it is contained in an infeasible component  $K$  of  $S$ . If  $K$  has maximum degree at most two, then so does  $\{(x, 0), (x, 1) : x \in K'\}$ , implying in turn that  $K'$  has maximum degree at most two. Otherwise,  $K$  is a hypercube. In this case, as  $K'$  is an infeasible component of  $S'$ , it must be that  $K = \{(x, 0), (x, 1) : x \in K'\}$ , implying in turn that  $K'$  is a hypercube. Thus,  $K'$  is a hypercube or has maximum degree at most two, as claimed.  $\diamond$

Thus, since the infeasible component of  $F$  containing  $000$  is neither a hypercube or of maximum degree at most two,  $S$  does not have an  $F$  minor.

(iv)  $\Rightarrow$  (i): Assume that  $S$  is not 2-resistant. Then there is a subset  $X \subseteq \{0, 1\}^n$  of cardinality at most two such that  $S \cup X$  has a  $P_3, S_3$  minor. Thus there is a subset  $Y \subseteq \{0, 1\}^3$  of cardinality at most two such that  $S$  has a  $P_3 - Y, S_3 - Y$  minor. After relabeling the coordinates, if necessary, we see that both  $P_3 - Y, S_3 - Y$  are the desired minor.  $\square$

## 2.1 Applications of Theorem 1.4

The first application is that testing 2-resistance can be done efficiently:

**Corollary 2.2.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then in time  $O(n^3|S|)$ , one can test whether or not  $S$  is 2-resistant.*

*Proof.* By Theorem 1.4 (ii), testing whether  $S$  is 2-resistant is equivalent to testing whether  $S$  has no restriction  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ . Such a restriction can be found according to the following simple algorithm:

Pick a feasible point  $x$  and distinct coordinates  $i, j, k \in [n]$ . Test whether or not the 3-dimensional restriction containing  $x \triangle e_i, x \triangle e_j, x \triangle e_k$  is the desired restriction. If so, then  $S$  is not 2-resistant. If not, change  $x, i, j, k$ .

As a result, testing 2-resistance takes time  $O(n^3|S|)$ . □

The second application is yet another characterization of 2-resistance:

**Corollary 2.3.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then  $S$  is 2-resistant if, and only if,  $S \cup X$  has no  $P_3, S_3$  restriction for all subsets  $X \subseteq \{0, 1\}^n$  of cardinality at most two.*

*Proof.* ( $\Rightarrow$ ) follows from the definition of 2-resistance. ( $\Leftarrow$ ) Assume that  $S \cup X$  has no  $P_3, S_3$  restriction for all subsets  $X \subseteq \{0, 1\}^n$  of cardinality at most two. Then  $S$  has no restriction  $F \subseteq \{0, 1\}^3$  such that  $F \cap \{000, 100, 010, 001, 110\} = \{110\}$ . It therefore follows from Theorem 1.4 (ii) that  $S$  is 2-resistant, as required. □

The third application of Theorem 1.4 is the characterization of the 2-resistant strictly non-polar sets. We need the following lemma:

**Lemma 2.4.** *Take an integer  $n \geq 5$  and a set  $S \subseteq \{0, 1\}^n$ , where every infeasible point has at most two infeasible neighbors. Then  $|S| \geq 2^{n-1}$ .*

*Proof.* It suffices to prove this for  $n = 5$ , as the general case follows from a simple inductive argument. For  $i, j \in \{0, 1\}$ , let  $S_{ij} \subseteq \{0, 1\}^3$  be the restriction of  $S$  obtained after  $i$ -restricting coordinate 4 and  $j$ -restricting coordinate 5. We may assume that  $|S_{00}| + |S_{10}| \leq 7$  and  $|S_{00}| \leq 3$ . After a possible twisting of coordinates 1, 2, 3, we may assume that  $\{000, 111\} \subseteq S_{00} \subseteq \{000, 111, 110\}$ . This implies that  $\{001, 101, 011\} \subseteq S_{10}$ . Since  $|S_{00}| + |S_{10}| \leq 7$ , we get that  $S_{00} = \{000, 111, 110\}$  and therefore  $S_{10} = \{001, 101, 011, 110\}$ . Since every infeasible point of  $S$  has at most two infeasible neighbors, it follows that  $\{100, 010, 001, 101, 011\} \subseteq S_{01}$  and  $\{000, 100, 010\} \subseteq S_{11}$ , implying in turn that  $|S_{01}| + |S_{11}| \geq 8$ . In fact, as every infeasible point of  $S$  has at most two infeasible neighbors,  $|S_{01}| + |S_{11}| > 8$ , so  $|S| \geq 7 + 9 = 16$ , as required. □

Recall that  $R_{1,1} = \{000, 110, 101, 011\}$ . Using Lemma 2.4, we prove the following:



**Lemma 2.5.** *Take an integer  $n \geq 5$  and a nonempty set  $S \subseteq \{0, 1\}^n$ , where every infeasible component is a hypercube or has maximum degree at most two. If  $S$  has no  $R_{1,1}$  restriction and one of its infeasible components is a hypercube of dimension at least 3, then*

- $|S| \geq 2^{n-1}$ , and
- if  $|S| = 2^{n-1}$ , then  $S$  is either a hypercube of dimension  $n - 1$  or the union of antipodal hypercubes of dimension  $n - 2$ .

*Proof.* We will prove this by induction on  $n \geq 5$ . The base case  $n = 5$  is clear. For the induction step, assume that  $n \geq 6$ . For  $i \in \{0, 1\}$ , let  $S_i \subseteq \{0, 1\}^{n-1}$  be the  $i$ -restriction of  $S$  over coordinate  $n$ . If one of  $S_0, S_1$  is empty, then the other one must be  $\{0, 1\}^{n-1}$ , so  $S$  is a hypercube of dimension  $n - 1$  and the induction step is complete. We may therefore assume that  $S_0, S_1$  are nonempty.

Assume in the first case that  $S$  has an infeasible hypercube of dimension  $\geq 4$  active in, say, direction  $e_n$ . Then both  $S_0, S_1$  have infeasible hypercubes of dimension  $\geq 3$ . Thus by the induction hypothesis,  $|S_0| \geq 2^{n-2}$  and  $|S_1| \geq 2^{n-2}$ , implying in turn that  $|S| = |S_0| + |S_1| \geq 2^{n-1}$ . Assume next that  $|S| = 2^{n-1}$ . Then  $|S_0| = |S_1| = 2^{n-2}$ . By the induction hypothesis, one of the following cases holds:

- $S_0$  is a hypercube of dimension  $n - 2 \geq 4$ : In this case, we may assume that  $S \cap \{x : x_n = 0\} = \{x : x_{n-1} = x_n = 0\}$ . Since every infeasible component of  $S$  is a hypercube or has maximum degree at most two, the hypercube  $\{x : x_{n-1} = 0, x_n = 1\}$  is either (all) feasible or infeasible. Since  $|S_1| = 2^{n-2}$ , it follows that  $S \cap \{x : x_{n-1} = 0, x_n = 1\}$  is either

$$\{x : x_{n-1} = 0, x_n = 1\} \quad \text{or} \quad \{x : x_{n-1} = x_n = 1\}.$$

Thus,  $S$  is either a hypercube of dimension  $n - 1$  or the union of antipodal hypercubes of dimension  $n - 2$ .

- $S_1$  is the union of two antipodal hypercubes of dimension  $n - 3 \geq 3$ : In this case, we may assume that  $S \cap \{x : x_n = 0\} = \{x : x_{n-2} = x_{n-1}, x_n = 0\}$ . Since every infeasible component of  $S$  is a hypercube or has maximum degree at most two, and  $|S_1| = 2^{n-2}$ , it follows that  $S \cap \{x : x_n = 1\}$  is either

$$\{x : x_{n-2} = x_{n-1}, x_n = 1\} \quad \text{or} \quad \{x : x_{n-2} + x_{n-1} = 1, x_n = 1\}.$$

However, since  $S$  has no  $R_{1,1}$  restriction, the latter is not possible. Thus,  $S = \{x : x_{n-2} = x_{n-1}\}$ , so  $S$  is the union of antipodal hypercubes of dimension  $n - 2$ , thereby completing the induction step.

Assume in the remaining case that every infeasible component of  $S$  has maximum degree at most two or is a (3-dimensional) cube. By assumption, one of the infeasible components is a cube, which we may assume is contained in  $S_0$ . By the induction hypothesis,  $|S_0| \geq 2^{n-2}$  and if equality holds, then  $S_0$  is either a hypercube of dimension  $n - 2$  or the union of antipodal hypercubes of dimension  $n - 3$ . If  $S_1$  has an infeasible component that is a cube, then the induction hypothesis implies that  $|S_1| \geq 2^{n-2}$ , and if not,  $S_1$  has maximum degree at most two, so by Lemma 2.4,  $|S_1| \geq 2^{n-2}$ . Either way,  $|S_1| \geq 2^{n-2}$ , so  $|S| = |S_0| + |S_1| \geq 2^{n-1}$ . We claim

that equality does not hold. Suppose for a contradiction that  $|S| = 2^{n-1}$ . Then  $|S_0| = |S_1| = 2^{n-2}$ . Then  $S_0$  is either a hypercube of dimension  $n - 2 \geq 4$  or the union of antipodal hypercubes of dimension  $n - 3 \geq 3$ . As  $S$  has no infeasible hypercube of dimension  $\geq 4$ , it follows that  $n = 6$  and  $S_0$  is the union of antipodal cubes, say

$$S \cap \{x : x_6 = 0\} = \{x : x_4 = x_5, x_6 = 0\},$$

and so

$$S \cap \{x : x_6 = 1\} = \{x : x_4 + x_5 = 1, x_6 = 1\}$$

as  $|S_1| = 2^{n-2} = 16$ . But then  $S$  has an  $R_{1,1}$  restriction, a contradiction to our assumption. This completes the induction step.  $\square$

Recall the sets  $R_{2,1}, R_5$  displayed in Figure 2. We need the following last ingredient:

**Theorem 2.6** ([4]). *Up to isomorphism,  $R_{1,1}, R_{2,1}, R_5$  are the only strictly non-polar sets where every infeasible point has at most two infeasible neighbors.*

We are now ready to prove Theorem 1.7, stating that up to isomorphism,  $R_{1,1}, R_{2,1}, R_5$  are the only 2-resistant strictly non-polar sets:

*Proof of Theorem 1.7.* We know that  $R_{1,1}, R_{2,1}, R_5$  are strictly non-polar sets, and since their infeasible components have maximum degree at most two, they are 2-resistant by Theorem 1.4 (iii). To prove that they are, up to isomorphism, the only 2-resistant strictly non-polar sets, pick an integer  $n \geq 1$  and a 2-resistant set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}, R_{2,1}, R_5$  restriction. It suffices to show that  $S$  is polar. By Theorem 1.4 (iii), every infeasible component is a hypercube or has maximum degree at most two. If  $S$  has maximum degree at most two, then by Theorem 2.6,  $S$  is polar. Otherwise,  $S$  has an infeasible hypercube of dimension at least 3. If  $n = 4$  or  $S = \emptyset$ , then  $S$  is clearly polar. Otherwise,  $n \geq 5$  and  $S \neq \emptyset$ . By Lemma 2.5,  $|S| \geq 2^{n-1}$ ; if equality holds, then  $S$  is either a hypercube or the union of antipodal hypercubes, so  $S$  is clearly polar. Otherwise,  $|S| > 2^{n-1}$ , implying in particular that there are antipodal feasible points, so  $S$  is polar, as required.  $\square$

### 3 A co-NP characterization of $\pm 1$ -resistant sets

Let us start with the following obvious remark:

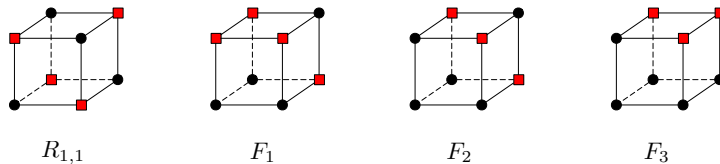
**Remark 3.1.** *If a set is  $\pm 1$ -resistant, then so is every restriction of it.*

The class of  $\pm 1$ -resistant sets turns out to be closed under projections as well, but the reason is not as straightforward as it was for 2-resistant sets. In fact, there is a subtle difference between  $\pm 1$ - and 2-resistance, which becomes manifest by the following example, showing that there is no  $\pm 1$ -resistant analogue of Corollary 2.3:

**Example.** *Let  $S := \{111011, 000001, 001110, 110100\} \subseteq \{0, 1\}^6$ . The feasible points are at pairwise distance 4, so for every subset  $X \subseteq \{0, 1\}^6$ ,  $S \Delta X$  has no  $P_3, S_3$  restriction. However, as  $S - \{110100\}$  has a  $P_3$  projection,  $S$  is not  $\pm 1$ -resistant.*

Nevertheless, in this section, we find the excluded minors and restrictions defining  $\pm 1$ -resistance. We will then see two applications, that  $\pm 1$ -resistance is a minor-closed property, and that it can be tested efficiently.

To start, consider the 3-dimensional sets displayed below,



written as

$$\begin{aligned} R_{1,1} &= \{000, 110, 101, 011\} \\ F_1 &= \{000, 100, 010, 111\} \\ F_2 &= \{000, 100, 010, 001, 111\} \\ F_3 &= \{000, 100, 010, 001, 110\}. \end{aligned}$$

Moreover, for each  $k \geq 4$ , let  $F_k := \{0, e_1, e_2, e_1 + e_2, \mathbf{1} - e_1 - e_2\} \subseteq \{0, 1\}^k$ , which has an  $F_3$  projection obtained after projecting away coordinates  $4, \dots, n$ .

**Remark 3.2.** *The sets  $\{R_{1,1}\} \cup \{F_k : k \geq 1\}$  are not  $\pm 1$ -resistant.*

*Proof.* Notice that  $R_{1,1} - \{000\} = P_3$ ,  $F_1 - \{000\} \cong P_3$ ,  $F_2 - \{111\} \cong S_3$ , and that for each  $k \geq 3$ ,  $F_k - \{e_1 + e_2\}$  has an  $S_3$  projection obtained after projecting away coordinates  $[k] - \{1, 2, 3\}$ . As a result,  $\{R_{1,1}\} \cup \{F_k : k \geq 1\}$  are not  $\pm 1$ -resistant.  $\square$

We are now ready to prove the following:

**Theorem 3.3.** *Take an integer  $n \geq 1$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$ . Then the following statements are equivalent:*

- (i)  *$S$  is  $\pm 1$ -resistant,*
- (ii)  *$S$  has no  $\{R_{1,1}\} \cup \{F_k : 1 \leq k \leq n\}$  restriction,*
- (iii)  *$S$  has no  $\{R_{1,1}, F_1, F_2, F_3\}$  minor.*

*Proof.* It follows from Remark 1.9 that every minor of  $S$  is 1-resistant. We will use this throughout the proof.

(i)  $\Rightarrow$  (ii): It follows from Remarks 3.1 and 3.2 that  $S$  has no  $\{R_{1,1}\} \cup \{F_k : 1 \leq k \leq n\}$  restriction. (ii)  $\Rightarrow$

(iii): We will need the following three claims:

**Claim 1.** *Let  $R \subseteq \{0, 1\}^4$  be 1-resistant. Let  $N \subseteq \{0, 1\}^3$  be the projection of  $R$  over coordinate 4. Then the following statements hold:*

- (1) *if  $N = R_{1,1}$ , then  $R$  has an  $R_{1,1}$  restriction,*

(2) if  $N = F_1$ , then  $R$  has an  $F_1$  restriction,

(3) if  $N = F_2$ , then  $R$  has one of  $F_1, F_2$  as a restriction.

*Proof of Claim.* For  $i \in \{0, 1\}$ , let  $R_i \subseteq \{0, 1\}^3$  be the  $i$ -restriction of  $R$  over coordinate 4. Notice that  $R_0 \cup R_1 = N$ . **(1)** Since  $R_0$  and  $R_1$  are 1-resistant, it follows that  $|R_0| \in \{0, 1, 4\}$  and  $|R_1| \in \{0, 1, 4\}$ . Since  $|R_0| + |R_1| \geq 4$ , it follows that one of  $R_0, R_1$  is  $R_{1,1}$ , so  $R$  has an  $R_{1,1}$  restriction. **(2)** We may assume, after possibly twisting coordinate 4, that  $000 \in R_0$ . Since the 0-restriction of  $R$  over coordinate 1 is 1-resistant, it follows that  $010 \in R_0$ . Similarly, as the 0-restriction of  $R$  over coordinate 2 is 1-resistant,  $100 \in R_0$ . Because  $R_0$  is 1-resistant, we have that  $R_0 = F_1$ , so  $R$  has an  $F_1$  restriction. **(3)** We may assume, after possibly twisting coordinate 4, that  $000 \in R_0$ . Since  $R$  has no  $P_3, S_3$  restriction, at least two of  $100, 010, 001$  must belong to  $R_0$ . Without loss of generality,  $100, 010 \in R_0$ . As  $R_0$  is 1-resistant,  $111 \in R_0$ , so  $R_0$  is either  $F_1$  or  $F_2$ , implying in turn that  $R$  has one  $F_1, F_2$  as a restriction.  $\diamond$

**Claim 2.** Let  $R \subseteq \{0, 1\}^4$  be 1-resistant and have no  $F_1, F_3$  restriction. If the projection of  $R$  over coordinate 4 is  $F_3$ , then  $R \cong F_4$ .

*Proof of Claim.* For  $i \in \{0, 1\}$ , let  $R_i \subseteq \{0, 1\}^3$  be the  $i$ -restriction of  $R$  over coordinate 4. Notice that  $R_0 \cup R_1 = F_3$ . Assume in the first case that  $110 \in R_0 \cap R_1$ . Since  $100 \in R_0 \cup R_1$  and the 1-restriction of  $R$  over coordinate 1 is 1-resistant, it follows that  $100 \in R_0 \cap R_1$ . Similarly,  $010 \in R_0 \cap R_1$ . After possibly twisting coordinate 4 of  $R$ , we may assume that  $001 \in R_0$ . This implies that  $R_0$  is isomorphic to either  $F_1$  or  $F_3$ , which is not the case as  $R$  has no  $F_1, F_3$  restriction. Assume in the remaining case that  $110 \notin R_0 \cap R_1$ . After possibly twisting coordinate 4 of  $R$ , we may assume that  $110 \in R_0$  and  $110 \notin R_1$ . As  $100 \in R_0 \cup R_1$  and the 1-restriction of  $R$  over coordinate 1 is 1-resistant, it follows that  $100 \in R_0$  and  $100 \notin R_1$ . Similarly,  $010 \in R_0$  and  $010 \notin R_1$ . Since  $R_0 \not\cong F_1, F_3$ , it follows that  $001 \notin R_0$  and so  $001 \in R_1$ . As  $R_0$  is 1-resistant,  $000 \in R_0$ . Since  $R$  has no  $F_3$  restriction, it follows that  $000 \notin R_1$ , implying in turn that  $R \cong F_4$ , as required.  $\diamond$

**Claim 3.** Take an integer  $k \geq 4$  and a 1-resistant set  $R \subseteq \{0, 1\}^{k+1}$  that has no  $F_3, F_k$  restriction. If the projection of  $R$  over coordinate  $k + 1$  is  $F_k$ , then  $R \cong F_{k+1}$ .

*Proof of Claim.* For  $i \in \{0, 1\}$ , let  $R_i \subseteq \{0, 1\}^k$  be the  $i$ -restriction of  $R$  over coordinate  $k + 1$ . Then  $R_0 \cup R_1 = F_k$ . For  $i \in \{0, 1\}$ , since  $R_i$  is 1-resistant, it follows that  $|R_i \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}| \neq 3$ , and if  $|R_i \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}| = 2$  then the two points in  $R_i \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}$  are adjacent. Since the restriction of  $R$  obtained after 0-restricting coordinates  $3, \dots, k$  is not isomorphic to  $F_3$ , one of the following holds:

- $|R_0 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}| = |R_1 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}| = 2$ : in this case, the 0-restriction of  $R$  over coordinates  $[k + 1] - \{1, 2, 3, k + 1\}$  is not 1-resistant,
- $|R_0 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}| = |R_1 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}| = 4$ : in this case, one of  $R_0, R_1$  is  $F_k$ ,
- one of  $|R_0 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}|, |R_1 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}|$  is 2 and the other one is 4: in this case, the 0-restriction of  $R$  over coordinates  $[k + 1] - \{1, 2, 3, k + 1\}$  is not 1-resistant,

- one of  $|R_0 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}|, |R_1 \cap \{\mathbf{0}, e_1, e_2, e_1 + e_2\}|$  is 0 and the other one is 4.

Thus, the last case is the only possibility. In this case, since  $R$  has no  $F_k$  restriction, it follows that  $R \cong F_{k+1}$ , as required.  $\diamond$

Assume that  $S$  has an  $N \in \{R_{1,1}, F_1, F_2, F_3\}$  minor, obtained after applying  $\ell$  single projections and  $n-3-\ell$  single restrictions, for some  $\ell \in \{0, \dots, n-3\}$ . We need to show that  $S$  has one of  $R_{1,1}, \{F_k : 1 \leq k \leq n\}$  as a restriction. A repeated application of Claim 1 implies that if  $N \in \{R_{1,1}, F_1, F_2\}$ , then  $S$  has one of  $\{R_{1,1}, F_1, F_2\}$  as a restriction. We may therefore assume that  $N = F_3$ , and that  $S$  has no  $\{R_{1,1}, F_1, F_2\}$  restriction. If  $\ell = 0$ , then  $S$  has an  $F_3$  restriction, so we are done. We may therefore assume that  $\ell \geq 1$  and  $S$  has no  $F_3$  restriction. If  $\ell = 1$ , then by Claim 2,  $S$  has an  $F_4$  restriction and we are done. We may therefore assume that  $\ell \geq 2$  and  $S$  has no  $F_3, F_4$  restriction. By repeatedly applying Claim 3, we see that  $S$  has one of  $F_5, \dots, F_n$  as a restriction, as required.

(iii)  $\Rightarrow$  (i): Assume that  $S$  is not  $\pm 1$ -resistant. Since  $S$  is 1-resistant, there exists an  $x \in S$  such that  $S - \{x\}$  has an  $N \in \{P_3, S_3\}$  minor. Thus, for some point  $y \in \{0, 1\}^3$ ,  $S$  has an  $N \cup \{y\}$  minor. Since  $N \cup \{y\}$  is 1-resistant, it must be isomorphic to one of  $R_{1,1}, F_1, F_2, F_3$ . Thus,  $S$  has one of  $\{R_{1,1}, F_1, F_2, F_3\}$  as a minor.  $\square$

Thus,

**Corollary 3.4.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then the following statements are equivalent:*

(i)  $S$  is  $\pm 1$ -resistant,

(ii)  $S$  has none of the following restrictions:

$$\{F : F \text{ is fragile}\} \cup \{\{\mathbf{0}^k, \mathbf{1}^k - e_1\} : k \geq 4\} \cup \{R_{1,1}\} \cup \{F_k : 1 \leq k \leq n\},$$

(iii)  $S$  has none of the following minors:

$$\{F : F \text{ is fragile}\} \cup \{R_{1,1}, F_1, F_2, F_3\}.$$

In particular,  $\pm 1$ -resistance is a minor-closed property.

*Proof.* This is an immediate consequence of Theorems 3.3 and 1.10.  $\square$

**Corollary 3.5.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then in time  $O(n^2|S|^2)$ , one can test whether or not  $S$  is  $\pm 1$ -resistant.*

*Proof.* We will appeal to Theorem 3.3 (ii). By Theorem 1.11, we can test in time  $O(n^2|S|^2)$  whether or not  $S$  is 1-resistant. Finding an  $R_{1,1}$  restriction can be found as follows:

1. For every pair of points  $x, y$  of  $S$  at distance 2:

- (a) let  $I := \{i \in [n] : x_i = y_i\}$ ,
  - (b) for every coordinate  $i \in I$ ,
    - i. let  $S' \subseteq \{0, 1\}^3$  be the restriction of  $S$  over coordinates  $I - \{i\}$  containing (the images of)  $x$  and  $y$ ,
    - ii. if  $S' \cong R_{1,1}$ , then output “ $S$  has an  $R_{1,1}$  restriction”,
  - (c) if (ii) fails for every  $i \in I$ , then change the pair  $x, y$ .
2. If (ii) fails for every pair  $x, y$ , then output “ $S$  has no  $R_{1,1}$  restriction”.

The correctness of this algorithm is clear, and its running time is  $\binom{|S|}{2} \times (n - 2)$ . Finding an  $\{F_k : 1 \leq k \leq n\}$  can be found as follows:

1. For  $k \in \{3, 4, \dots, n\}$ :
  - (a) for every pair of points  $x, y$  of  $S$  at distance  $k$ ,
    - i. let  $S' \subseteq \{0, 1\}^k$  be the smallest restriction of  $S$  containing  $x$  and  $y$ ,
    - ii. if  $k = 3$  and  $S' \cong F_1, F_2, F_3$ , then output “ $S$  has an  $F_1, F_2, F_3$  restriction”,
    - iii. if  $k \geq 4$  and  $S' \cong F_k$ , then output “ $S$  has an  $F_k$  restriction”,
  - (b) if (ii)-(iii) fail for every pair  $x, y$  and  $k < n$ , then increment  $k$ .
2. If (ii)-(iii) fail for every pair  $x, y$  and  $k = n$ , then output “ $S$  has no  $\{F_k : 1 \leq k \leq n\}$  restriction”.

The correctness of this algorithm follows from the fact that each one of  $\{F_k : 1 \leq k \leq n\}$  has antipodal feasible points; its running time is  $\sum_{k=3}^n \binom{|S|}{2} = \binom{|S|}{2} \times (n - 2)$ . Thus, by Theorem 3.3 (ii), testing whether or not  $S$  is  $\pm 1$ -resistant can be done in time  $O(n^2|S|^2) + \binom{|S|}{2} \times (n - 2) + \binom{|S|}{2} \times (n - 2) = O(n^2|S|^2)$ , as required.  $\square$

## 4 An outline of the proof of Theorem 1.5

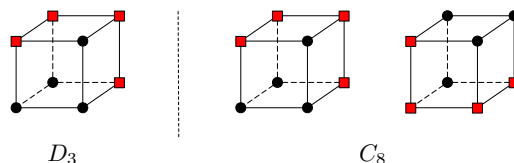
Theorem 1.5 on the structure of  $\pm 1$ -resistant sets is a consequence of three results, which we summarize here. Assuming the correctness of these results, we then prove Theorem 1.5.

For an integer  $k \geq 2$  recall that  $A_k = \{\mathbf{0}, \mathbf{1}\} \subseteq \{0, 1\}^k$ , and for an integer  $k \geq 3$  recall that  $B_k = \{\mathbf{0}, e_1, \mathbf{1}\} \subseteq \{0, 1\}^k$ .

**Theorem 4.1.** *Take an integer  $n \geq 2$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}, F_1, F_2, F_3$  minor. If  $S$  is not connected, then either*

- $S \cong A_k \times \{0, 1\}^{n-k}$  for some  $k \in \{2, \dots, n\}$ ,
- $S \cong B_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ , or
- $S$  has a  $D_3$  minor.

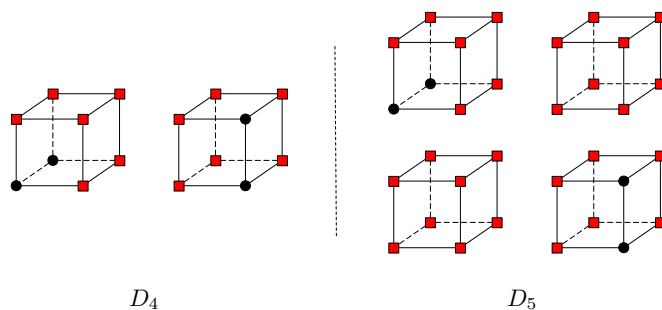
Here,  $D_3 = \{000, 100, 010, 101\} \subseteq \{0, 1\}^3$ . Recall that  $C_8 = \{0000, 1000, 0100, 1010, 0101, 0111, 1111, 1011\} \subseteq \{0, 1\}^4$ .



**Theorem 4.2.** Take an integer  $n \geq 3$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}, F_1, F_2, F_3$  minor. If  $S$  has a  $D_3$  minor, then either

- $S \cong C_8 \times \{0, 1\}^{n-4}$ , or
- $S \cong D_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ .

Here, for each integer  $k \geq 4$ ,  $D_k = \{0, e_2, 1 - e_2, 1 - e_2 - e_3\} \subseteq \{0, 1\}^k$ . See the figure below for an illustration of  $D_4$  and  $D_5$ :



**Theorem 4.3.** Take an integer  $n \geq 1$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}, F_1, F_2, F_3$  minor. If  $S$  is connected and has no  $D_3$  minor, then either

- $S$  is a hypercube, or
- every infeasible component of  $S$  is a hypercube.

As a consequence of these three results, let us prove Theorem 1.5, stating the following:

Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . Then  $S$  is  $\pm 1$ -resistant if, and only if, one of the following statements holds:

- (i)  $S \cong A_k \times \{0, 1\}^{n-k}$  for some  $k \in \{2, \dots, n\}$ ,
- (ii)  $S \cong B_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ ,
- (iii)  $S \cong C_8 \times \{0, 1\}^{n-4}$ ,
- (iv)  $S \cong D_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ ,
- (v)  $S$  is a hypercube, or

- (vi) every infeasible component of  $S$  is a hypercube, and every feasible point has at most two infeasible neighbors.

*Proof of Theorem 1.5, assuming Theorems 4.1, 4.2 and 4.3.* ( $\Rightarrow$ ) Clearly,  $S$  is 1-resistant, so by Theorem 3.3 (iii),  $S$  has no  $R_{1,1}, F_1, F_2, F_3$  minor. If  $S$  is not connected and has no  $D_3$  minor, then (i) or (ii) holds by Theorem 4.1. If  $S$  has a  $D_3$  minor, then (iii) or (iv) holds by Theorem 4.2. Otherwise,  $S$  is connected and has no  $D_3$  minor. If (v) holds, then we are done. Otherwise, by Theorem 4.3, then every infeasible component of  $S$  is a hypercube. We claim that (vi) holds. Suppose otherwise. Then there is a feasible point  $x$  with three infeasible neighbors  $x \triangle e_i, x \triangle e_j, x \triangle e_k$ , for distinct  $i, j, k \in [n]$ . Since every infeasible component is a hypercube, it follows that  $x \triangle e_i \triangle e_j, x \triangle e_j \triangle e_k, x \triangle e_k \triangle e_i$  are feasible. But then the 3-dimensional restriction of  $S$  containing  $x \triangle e_i, x \triangle e_j, x \triangle e_k$  is isomorphic to either  $R_{1,1}$  or  $F_2$ , a contradiction. Hence, (vi) holds, as required.

( $\Leftarrow$ ) We will need the following claim:

**Claim.** *If  $S$  is  $\pm 1$ -resistant, then so is  $S \times \{0, 1\}$ .*

*Proof of Claim.* By Corollary 3.4 (ii), the excluded restrictions defining  $\pm 1$ -resistance are

$$\{F : F \text{ is fragile}\} \cup \{\{\mathbf{0}^k, \mathbf{1}^k - e_1\} : k \geq 4\} \cup \{R_{1,1}\} \cup \{F_k : 1 \leq k \leq n\}.$$

In particular, every excluded restriction of  $\pm 1$ -resistance is not isomorphic to  $F \times \{0, 1\}$  for any set  $F$ . This proves the claim.  $\diamond$

It can be readily checked that the sets  $\{A_k : k \geq 2\}, \{B_k, D_k : k \geq 3\}$  and  $C_8$  are  $\pm 1$ -resistant. Thus, after repeatedly applying the claim above, we see that the four classes (i)-(iv) are  $\pm 1$ -resistant. It can also be readily checked that (v) is a  $\pm 1$ -resistant class.

It remains to show that the restriction-closed class (vi) is  $\pm 1$ -resistant. To this end, pick a set  $S$  from (vi). Suppose for a contradiction that  $S$  is not  $\pm 1$ -resistant. By Corollary 3.4 (ii),  $S$  has one of the following restrictions:

$$\{F : F \text{ is fragile}\} \cup \{\{\mathbf{0}^k, \mathbf{1}^k - e_1\} : k \geq 4\} \cup \{R_{1,1}\} \cup \{F_k : 1 \leq k \leq n\}.$$

Out of these sets,  $R_{1,1}$  is the only set whose infeasible components are hypercubes. Thus,  $S$  has an  $R_{1,1}$  restriction. However,  $R_{1,1}$  has a feasible point with three infeasible neighbors, implying in turn that  $S$  has a feasible point with three infeasible neighbors, a contradiction.  $\square$

It remains to prove Theorems 4.1, 4.2 and 4.3; they are proved in §6, §7 and §8.1, respectively.

## 5 Bridges

Take an integer  $n \geq 2$ . For a point  $x \in \{0, 1\}^n$  and distinct coordinates  $i, j \in [n]$  such that  $x_i = x_j = 0$ , we refer to  $\{x, x + e_i, x + e_j, x + e_i + e_j\}$  as a *square that initiates at  $x$  and is active in directions  $e_i, e_j$* . Two



squares are *parallel* if they are active in the same pair of directions. Two parallel squares are *neighbors* if the points they initiate from are neighbors.

Take a set  $S \subseteq \{0, 1\}^n$ . A *bridge* is a square that contains feasible points from different feasible components. Notice that a bridge contains exactly two feasible points, which are non-adjacent and belong to different feasible components. In this section, we will prove the following statement:

Take an integer  $n \geq 3$  and let  $S \subseteq \{0, 1\}^n$  be a set that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3$  minor. Then every pair of bridges are parallel.

We will need three lemmas to prove this statement.

**Lemma 5.1.** *Take an integer  $n \geq 3$  and a set  $S \subseteq \{0, 1\}^n$ , where direction  $e_n$  is not active in any bridge. If  $S'$  is obtained from  $S$  after projecting away coordinate  $n$ , then the feasible components of  $S$  project onto different feasible components of  $S'$ .*

*Proof.* For a point  $x \in \{0, 1\}^n$ , denote by  $x' \subseteq \{0, 1\}^{n-1}$  the point obtained from  $x$  after dropping the  $n^{\text{th}}$  coordinate. To prove the lemma, it suffices to show that if  $K$  is a feasible component of  $S$  and  $x \in S - K$ , then  $\text{dist}(x', y') \geq 2$  for all  $y \in K$ . Well, since  $x$  does not belong to the component  $K$ ,  $\text{dist}(x, y) \geq 2$  for all  $y \in K$ , implying in turn that

$$\text{dist}(x', y') \geq \text{dist}(x, y) - 1 \geq 1 \quad \forall y \in K.$$

In particular,  $x' \notin \{y' : y \in K\}$ . Suppose for a contradiction that  $\text{dist}(x', y') = 1$  for some  $y \in K$ . As the inequalities above are held at equality, there must be a coordinate  $i \in [n - 1]$  such that  $y = x \Delta e_i \Delta e_n$ . But then  $\{x, x \Delta e_i, x \Delta e_n, x \Delta e_i \Delta e_n\}$  would be a bridge that is active in direction  $e_n$ , contrary to our assumption. Hence,

$$\text{dist}(x', y') \geq 2 \quad \forall y \in K,$$

as required. □

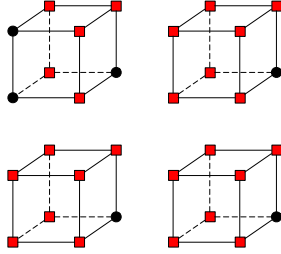
**Lemma 5.2.** *Take an integer  $n \geq 3$  and a set  $S \subseteq \{0, 1\}^n$  that is 1-resistant and has no  $R_{1,1}, F_1, F_2$  restriction. Take a point  $x \in \{0, 1\}^n$  and distinct coordinates  $i, j, k \in [n]$ . Then the following statements hold:*

- (i) *If  $x \Delta e_i, x \Delta e_j, x \Delta e_k \in \overline{S}$ , then  $|\{x \Delta e_i \Delta e_j, x \Delta e_j \Delta e_k, x \Delta e_k \Delta e_i\} \cap S| \leq 1$ .*
- (ii) *If  $x \in S$  and  $\{x, x \Delta e_i, x \Delta e_j, x \Delta e_i \Delta e_j\}$  is a bridge, then  $\{x \Delta e_i \Delta e_k, x \Delta e_j \Delta e_k\} \cap S = \emptyset$ .*
- (iii) *If  $x \in S$  and  $\{x, x \Delta e_i, x \Delta e_j, x \Delta e_i \Delta e_j\}$  is a bridge, then  $|\{x \Delta e_k, x \Delta e_i \Delta e_j \Delta e_k\} \cap S| \geq 1$ .*

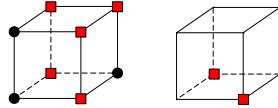
*Proof.* After a possible twisting and relabeling, if necessary, we may assume that  $x = \mathbf{0}$  and  $i = 1, j = 2, k = 3$ . Let  $S' \subseteq \{0, 1\}^3$  be the restriction of  $S$  obtained after 0-restricting coordinates  $4, \dots, n$ . **(i):** Suppose that  $e_1, e_2, e_3 \in \overline{S}$ . Assume for a contradiction that two of  $e_1 + e_2, e_2 + e_3, e_3 + e_1$ , say  $e_1 + e_2, e_2 + e_3$  belong to  $S$ . If  $e_1 + e_3 \in S$ , then  $S'$  is isomorphic to one of  $P_3, S_3, R_{1,1}, F_2$ , which cannot occur as  $S$  is 1-resistant and has no  $R_{1,1}, F_2$  restriction. Otherwise,  $e_1 + e_3 \in \overline{S}$ . Since  $S' \not\cong P_3$  and  $S$  is 1-resistant, it follows that

$\mathbf{0}, e_1 + e_2 + e_3 \in S$ , implying in turn that  $S' \cong F_1$ , a contradiction as  $S$  has no  $F_1$  restriction. **(ii), (iii):** Suppose that  $\mathbf{0} \in S$  and  $\{\mathbf{0}, e_1, e_2, e_1 + e_2\}$  is a bridge. Then  $e_1 + e_2 \in S$  and  $e_1, e_2 \in \bar{S}$ . Let us first prove (ii), that  $\{e_1 + e_3, e_2 + e_3\} \cap S = \emptyset$ . Suppose otherwise. After possibly relabeling coordinates 1, 2, we may assume that  $e_1 + e_3 \in S$ . Since  $\mathbf{0}, e_1 + e_2$  are in different feasible components, it follows that  $|\{e_3, e_1 + e_2 + e_3\} \cap S| \leq 1$ . After possibly twisting coordinates 1, 2, we may assume that  $e_3 \in \bar{S}$ . Since  $e_1, e_2, e_3 \in \bar{S}$ , we get from (i) that  $|\{e_1 + e_2, e_2 + e_3, e_3 + e_1\} \cap S| \leq 1$ , a contradiction. Thus,  $\{e_1 + e_3, e_2 + e_3\} \cap S = \emptyset$ , so (ii) holds. Since  $S$  is 1-resistant, it follows immediately that  $\{e_3, e_1 + e_2 + e_3\} \cap S \neq \emptyset$ , so (iii) holds.  $\square$

**Lemma 5.3.** *Take a set  $S \subseteq \{0, 1\}^5$  that is 1-resistant, has no  $R_{1,1}, F_1, F_2, F_3$  minor, and in every minor, including  $S$  itself, every pair of bridges are parallel. If  $\mathbf{0} \in S$  and  $\{\mathbf{0}, e_1, e_2, e_1 + e_2\}$  is a bridge without neighboring bridges, then after possibly twisting coordinates 1 and 2, we have that  $S = \{\mathbf{0}, e_3, e_1 + e_2, e_1 + e_2 + e_4, e_1 + e_2 + e_5, e_1 + e_2 + e_4 + e_5\}$ :*

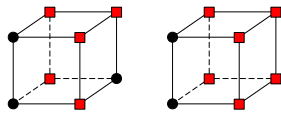


*Proof.* Let  $B := \{\mathbf{0}, e_1, e_2, e_1 + e_2\}$ . As  $B$  is a bridge and  $\mathbf{0} \in S$ ,  $e_1 + e_2 \in S$  and  $e_1, e_2 \in \bar{S}$ . It follows from Lemma 5.2 (ii) that  $e_1 + e_3, e_2 + e_3 \in \bar{S}$ . By Lemma 5.2 (iii) and the fact that  $B$  has no neighboring bridge, we get that exactly one of  $e_3, e_1 + e_2 + e_3$  belongs to  $S$ . After twisting coordinates 1 and 2, if necessary, we may assume that  $e_3 \in S$  and  $e_1 + e_2 + e_3 \in \bar{S}$ . Moreover, by Lemma 5.2 (ii), we have that  $\{e_1 + e_4, e_2 + e_4\} \subseteq \bar{S}$ . Let  $S'$  be the 0-restriction of  $S$  over coordinate 5, which looks as follows:



**Claim 1.**  $e_4 \in \bar{S}$  and  $e_1 + e_2 + e_4 \in S$ .

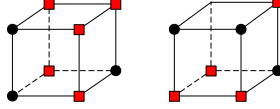
*Proof of Claim.* Suppose otherwise. Since  $B$  has no neighboring bridge in  $S$ , it follows from Lemma 5.2 (iii) that  $e_4 \in S$  and  $e_1 + e_2 + e_4 \in \bar{S}$ . If  $e_2 + e_3 + e_4 \in S$ , then the 0-restriction of  $S'$  over coordinate 1 is either  $F_1$  or  $F_3$ , which is not the case. Thus,  $e_2 + e_3 + e_4 \in \bar{S}$ . Since the 0-restriction of  $S'$  over coordinate 1 is 1-resistant, it follows that  $e_3 + e_4 \in S$ . As the 0-restriction of  $S'$  over coordinate 2 is not  $F_3$ , we have  $e_1 + e_3 + e_4 \in \bar{S}$ . Since the 1-restriction of  $S'$  over coordinate 1 is 1-resistant, it follows that  $e_1 + e_2 + e_3 + e_4 \in \bar{S}$ , so  $S'$  looks as follows:



Observe however now that  $F_3$  is obtained from  $S'$  after projecting away coordinate 1, a contradiction.  $\diamond$

**Claim 2.**  $\{e_1 + e_3 + e_4, e_2 + e_3 + e_4\} \subseteq \overline{S}$ .

*Proof of Claim.* Suppose otherwise. After interchanging the roles of 1, 2, if necessary, we may assume that  $e_1 + e_3 + e_4 \in S$ . If  $e_3 + e_4 \in \overline{S}$ , then  $\{0, e_3\}$  is a feasible component of  $S'$ , so the square initiating from  $e_3$  and active in directions  $e_1, e_4$  is a bridge of  $S'$  that is not parallel to  $B$ , which is contrary to our assumption. Thus,  $e_3 + e_4 \in S$ . Since  $0, e_1 + e_2$  belong to different feasible components of  $S$ , it follows that  $e_1 + e_2 + e_3 + e_4 \in \overline{S}$ , so  $S'$  looks as follows:

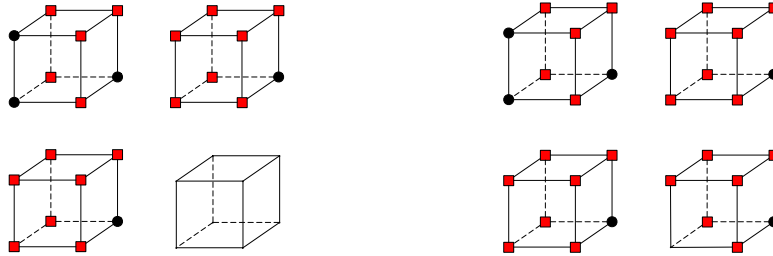


Observe however that  $S'$  has two non-parallel bridges, namely  $B$  and the square that initiates from  $e_1 + e_4$  and is active in directions  $e_2, e_3$ , a contradiction.  $\diamond$

**Claim 3.**  $\{e_3 + e_4, e_1 + e_2 + e_3 + e_4\} \subseteq \overline{S}$ .

*Proof of Claim.* Since the 0-restriction of  $S'$  over coordinate 1 is 1-resistant, it follows that  $e_3 + e_4 \in \overline{S}$ . Since the 1-restriction of  $S'$  over coordinate 1 is also 1-resistant, we see that  $e_1 + e_2 + e_3 + e_4 \in \overline{S}$ , as required.  $\diamond$

We just determined the status of all the points in  $\{x : x_5 = 0\}$ . A similar argument applied to  $\{x : x_4 = 0\}$  gives us the left figure below:



Consider the set obtained from  $S$  after 1-restricting over coordinate 1 and 0-restricting over coordinate 3; since this set is 1-resistant and not isomorphic to  $F_1, F_3$ , we get that  $e_1 + e_4 + e_5 \in \overline{S}$  and  $e_1 + e_2 + e_4 + e_5 \in S$ . As the 1-restriction of  $S$  over coordinates 1, 2 is not  $F_3$ , we get that  $1 \in \overline{S}$ . Now consider the set obtained from  $S$  after 1-restricting coordinate 2 and 0-restricting over coordinate 3; since this set is not  $F_3$ , we get that  $e_2 + e_4 + e_5 \in \overline{S}$ . Note that  $\{e_1 + e_2, e_1 + e_2 + e_4, e_1 + e_2 + e_5, e_1 + e_2 + e_4 + e_5\}$  forms a feasible component of  $S$ . Hence, as  $S$  does not have non-parallel bridges, it follows that  $e_2 + e_3 + e_4 + e_5, e_1 + e_3 + e_4 + e_5 \in \overline{S}$ , and also that  $e_3 + e_4 + e_5 \in \overline{S}$ . (See the right figure above.) Once again, as  $S$  does not have non-parallel bridges, it follows that  $e_4 + e_5 \in \overline{S}$ , thereby finishing the proof.  $\square$

We are now ready to prove the main result of this section:

**Proposition 5.4.** *Take an integer  $n \geq 3$  and let  $S \subseteq \{0, 1\}^n$  be a set that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3$  minor. Then every pair of bridges are parallel.*

*Proof.* Suppose for a contradiction that  $S$  has a pair of non-parallel bridges. (In particular,  $S$  is not connected.) We may assume that in every proper minor of  $S$ , every pair of bridges, if any, are parallel.

**Claim 1.** *Every direction is active in a bridge.*

*Proof of Claim.* Suppose for a contradiction that direction  $e_n$  is not active in any bridge. For a point  $x \in \{0, 1\}^n$ , denote by  $x' \subseteq \{0, 1\}^{n-1}$  the point obtained from  $x$  after dropping the  $n^{\text{th}}$  coordinate. Notice first that by Lemma 5.1, the feasible components of  $S$  project onto different feasible components of  $S'$ , the subset of  $\{0, 1\}^{n-1}$  obtained from  $S$  after projecting away coordinate  $n$ . We will derive a contradiction to the minimality of  $S$  by showing that  $S'$  has non-parallel bridges.

We will show that if  $B$  is a bridge of  $S$ , then  $B' := \{x' : x \in B\}$  is still a bridge of  $S'$  that is active in the same directions as before. Since  $e_n$  is not active in any bridge of  $S$ , we may assume that  $n \geq 3$  and  $B = \{\mathbf{0}, e_1, e_2, e_1 + e_2\}$  where  $\mathbf{0}, e_1 + e_2$  belong to different feasible components of  $S$ , and  $e_1, e_2 \in \bar{S}$ . It follows from Lemma 5.2 (ii) that  $\mathbf{0}, e_1 + e_2 \in S'$  and  $e_1, e_2 \in \bar{S}'$ . Moreover, since the feasible components of  $S$  project onto different feasible components of  $S'$ , we see that  $\mathbf{0}, e_1 + e_2$  belong to different feasible components of  $S'$ . Thus,  $B'$  is still a bridge of  $S'$  that is active in the same directions as before.

As a corollary,  $S'$  still has non-parallel bridges, thereby contradicting the minimality of  $S$ .  $\diamond$

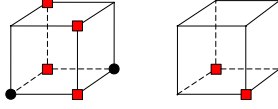
**Claim 2.** *The following statements hold:*

- (i) *if  $B, B'$  are non-parallel bridges that are not active in direction  $e_i$ , then  $\{x : x_i = 0\}$  contains one of the bridges and  $\{x : x_i = 1\}$  contains the other one,*
- (ii) *if  $B, B', B''$  are pairwise non-parallel bridges, then every direction is active in one of the bridges, and*
- (iii)  *$n \in \{4, 5, 6\}$ .*

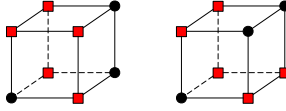
*Proof of Claim.* (i) For if not, then one of the restrictions of  $S$  over coordinate  $i$  contains  $B$  and  $B'$ , thereby contradicting the minimality of  $S$ . (ii) Suppose for a contradiction that  $e_i$  is not active in either of  $B, B', B''$ . Then one of the hyperplanes  $\{x : x_i = 0\}, \{x : x_i = 1\}$  contains at least two of  $B, B', B''$ , thereby contradicting (i). (iii) Let  $B, B'$  be non-parallel bridges. It follows from Lemma 5.2 (ii) that  $n \geq 4$ . If every direction is active in one of  $B, B'$ , we get that  $n = 4$ . Otherwise, there is a direction  $e_i$  inactive in both  $B, B'$ . By Claim 1, there is a bridge  $B''$  active in  $e_i$ . Clearly,  $B, B', B''$  are pairwise non-parallel bridges. It now follows from (ii) that  $n \leq 6$ , as required.  $\diamond$

**Claim 3.**  *$n \neq 4$ .*

*Proof of Claim.* Suppose for a contradiction that  $n = 4$ . Let  $B, B'$  be non-parallel bridges of  $S$ . We may assume that  $B = \{\mathbf{0}, e_1, e_2, e_1 + e_2\}$ ,  $\mathbf{0}, e_1 + e_2 \in S$  and  $e_1, e_2 \in \bar{S}$ . By Lemma 5.2 (ii),  $e_1 + e_3, e_2 + e_3, e_1 + e_4, e_2 + e_4 \in \bar{S}$ :

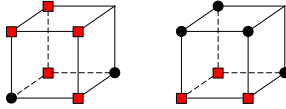


Assume in the first case that  $B'$  shares an active direction with  $B$ . After possibly relabeling coordinates 1, 2, we may assume that  $B'$  is active in directions  $e_1, e_3$ . It follows from Claim 2 (i) that  $B'$  is contained in  $\{x : x_4 = 1\}$ . After possibly twisting coordinates 1, 2, we may assume that  $B' = \{e_4, e_1 + e_4, e_3 + e_4, e_1 + e_3 + e_4\}$ . Since  $e_1 + e_4 \in \overline{S}$ , it follows that  $e_4, e_1 + e_3 + e_4 \in S$  and  $e_3 + e_4 \in \overline{S}$ . Applying Lemma 5.2 (ii), we get that  $e_3, e_2 + e_3 + e_4, e_1 + e_2 + e_4 \in \overline{S}$ . Since the two restrictions of  $S$  over coordinate 4 are 1-resistant, it follows that  $e_1 + e_2 + e_3, \mathbf{1} \in S$ :



Observe, however, that 1-restricting  $S$  over coordinate 3 yields a set that is not 1-resistant, a contradiction.

Assume in the remaining case that  $B'$  is active in directions  $e_3, e_4$ . Observe that  $B'$  is not contained in  $\{x : x_1 + x_2 = 1\}$ . After possibly twisting coordinates 1, 2, we may assume that that  $B'$  initiates from  $\mathbf{0}$ . This means that  $e_3, e_4 \in \overline{S}$  and  $e_3 + e_4 \in S$ . Applying Lemma 5.2 (iii), we get that  $e_1 + e_2 + e_4 \in S$  and  $e_1 + e_3 + e_4, e_2 + e_3 + e_4 \in \overline{S}$ :



The 1-restriction of  $S$  over coordinate 4, however, is isomorphic to either  $F_1$  or  $F_3$ , a contradiction.  $\diamond$

Thus, we have that  $n \in \{5, 6\}$ . It follows from Claim 1 that there are  $\lceil \frac{n}{2} \rceil = 3$  pairwise non-parallel bridges  $B_1, B_2, B_3$ . We get from Claim 2 (ii) that, after a possible relabeling,  $B_1$  is active in  $e_1, e_2$ ,  $B_2$  is active in  $e_3, e_4$ , and

- if  $n = 5$ , then  $B_3$  is active in  $e_3, e_5$ ,
- if  $n = 6$ , then  $B_3$  is active in  $e_5, e_6$ .

We can further say that,

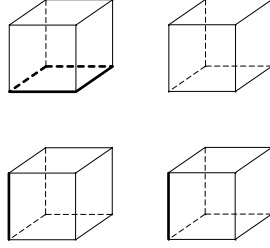
**Claim 4.** *If  $B$  is a bridge different from  $B_1, B_2, B_3$ , then  $n = 5$ .*

*Proof of Claim.* Suppose that  $B$  is a bridge of  $S$  different from  $B_1, B_2, B_3$ . It follows from Claim 2 (ii) that  $B$  is parallel to one of  $B_1, B_2, B_3$ . Consider the bridge  $B_2$ . Since  $B_2, B_3$  are inactive in  $e_1, e_2$ , it follows from Claim 2 (i) that the hyperplanes  $\{x : x_1 = 0\}, \{x : x_2 = 0\}$  split  $B_2, B_3$ . Moreover, since  $B_2, B_1$  are inactive in  $e_5$ , the hyperplane  $\{x : x_5 = 0\}$  splits  $B_2, B_1$ . Hence, the residing square of  $B_2$  – and any bridge parallel to it – is determined once  $B_1$  and  $B_3$  are given, implying that  $B$  is not parallel to  $B_2$ . By the symmetry between  $B_2$

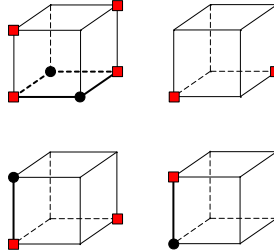
and  $B_3$ , we get that  $B$  is not parallel to  $B_3$  either. Thus,  $B$  is parallel to  $B_1$ . This breaks the symmetry between  $B_1$  and  $B_2$ , implying in turn that  $n \neq 6$ . Thus,  $n = 5$ , as claimed.  $\diamond$

**Claim 5.**  $n \neq 5$ .

*Proof of Claim.* Suppose for a contradiction that  $n = 5$ . After twisting coordinates 3, 4, 5, if necessary, we may assume that  $B_1$  initiates at  $\mathbf{0}$ . By Claim 2 (i), and after possibly twisting coordinates 1, 2, we may assume that  $B_2$  initiates at  $e_5$ . Another application of Claim 2 (i) tells us that  $B_3$  initiates at  $e_1 + e_2 + e_4$ :

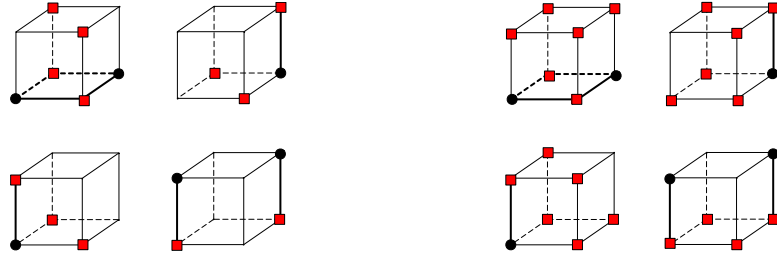


Assume in the first case that  $\mathbf{0}, e_1 + e_2 \in \overline{S}$  and  $e_1, e_2 \in S$ . Then a repeated application of Lemma 5.2 (ii) tells us that  $e_3, e_1 + e_2 + e_3, e_5, e_1 + e_2 + e_5, e_4, e_1 + e_2 + e_4 \in \overline{S}$ . As a result, in the bridge  $B_2$ , we have that  $e_3 + e_5, e_4 + e_5 \in S$ :



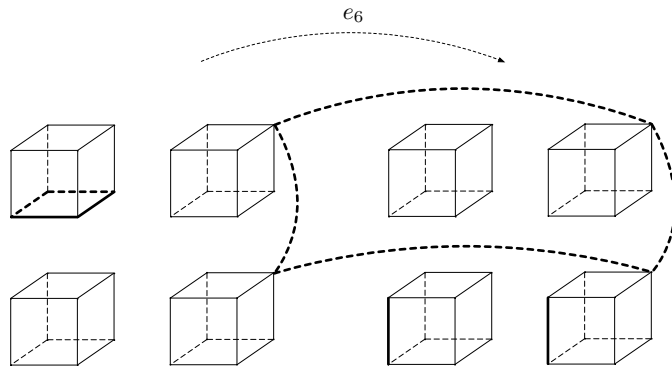
Observe now that the restriction of  $S$  obtained after 0-restricting coordinates 1 and 2 is not 1-resistant, a contradiction.

Assume in the remaining case that  $\mathbf{0}, e_1 + e_2 \in S$  and  $e_1, e_2 \in \overline{S}$ . A repeated application of Lemma 5.2 (ii) to  $B_1$ , followed by an application of it to  $B_2, B_3$  gives us the left figure below:



Applying Lemma 5.2 (ii) to  $B_2, B_3$  gives us the following the right figure above, thereby yielding a contradiction as 0-restricting coordinates 4, 5 of  $S$  yields a set that is not 1-resistant. This finishes the proof of the claim.  $\diamond$

Thus,  $n = 6$ . After twisting coordinates 3, 4, 5, 6, if necessary, we may assume that  $B_1$  initiates at  $\mathbf{0}$ . Applying Claim 2 (i), we see that after possibly twisting coordinates 1, 2, we may assume that  $B_2$  initiates at  $e_5 + e_6$ . Using Claim 2 (i), we see that  $B_3$  must initiate at  $e_1 + e_2 + e_3 + e_4$ :

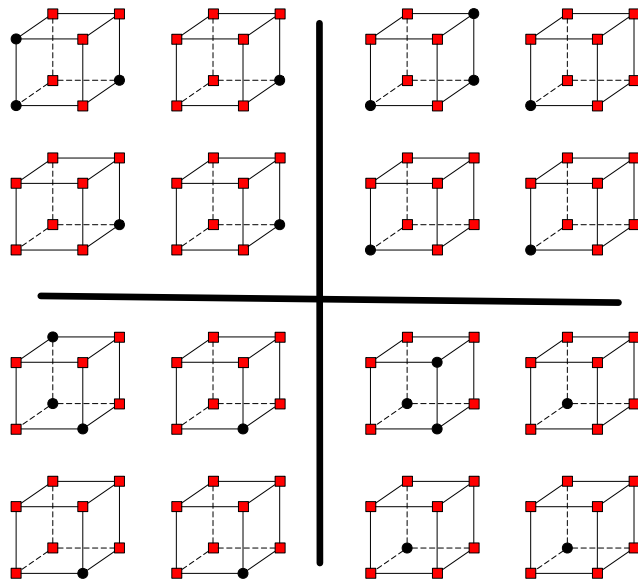


Recall from Claim 4 that  $B_1, B_2, B_3$  are the only bridges of  $S$ . Let  $S' \subseteq \{0, 1\}^5$  be the restriction of  $S$  obtained after 0-restricting coordinate 6. By assumption, every minor of  $S'$  has only parallel bridges. As a bridge in  $S'$  is not necessarily a bridge in  $S$ , we see that  $S'$  may have bridges other than  $B_1$  (that will necessarily be parallel to it).

**Claim 6.**  $B_1$  does not have a neighboring bridge in  $S'$ .

*Proof of Claim.* Suppose for a contradiction that  $B_1$  has a neighboring bridge  $B$  in  $S'$ . Since  $B$  is not a bridge of  $S$  by Claim 4, it follows that the points in  $B \cap S'$  are in the same feasible component of  $S$ . After applying Lemma 5.2 (ii) to  $B_1$ , we see that the points in  $B_1 \cap S'$  also lie in this feasible component of  $S$ , a contradiction.  $\diamond$

We may now apply Lemma 5.3 to the bridge  $B_1$  of  $S'$ . Depending on which points of  $B_1$  are in  $S'$ , and how coordinates 1, 2 are twisted, we get that  $S'$  takes on one of the four possibilities shown below:



In each one of these four cases, we see that the 3-dimensional restriction of  $S$  containing  $B_2$  and  $B_2 \triangle e_6$  is either non-1-resistant or isomorphic to  $F_1$ , a contradiction. This finally finishes the proof of Proposition 5.4.  $\square$

## 6 Proof of Theorem 4.1

Take an integer  $n \geq 2$  and a set  $S \subseteq \{0, 1\}^n$ . We say that  $S$  is *separable* if there exist a partition of  $S$  into nonempty parts  $S_1, S_2$  and distinct coordinates  $i, j \in [n]$  such that either  $S_1 \subseteq \{x : x_i = 0, x_j = 1\}$  and  $S_2 \subseteq \{x : x_i = 1, x_j = 0\}$ , or  $S_1 \subseteq \{x : x_i = x_j = 0\}$  and  $S_2 \subseteq \{x : x_i = x_j = 1\}$ . Notice that if  $S$  is separable, then it is not connected.

**Remark 6.1.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . If a projection of  $S$  is separable, then so is  $S$ .*

We will need the following:

**Proposition 6.2.** *Take an integer  $n \geq 2$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$ . Suppose there is a partition of  $S$  into nonempty parts  $S_1, S_2$  such that  $S_1 \subseteq \{x : x_{n-1} = x_n = 0\}$  and  $S_2 \subseteq \{x : x_{n-1} = x_n = 1\}$ . Then  $S_1$  and  $S_2$  are hypercubes.*

*Proof.* The hypercube  $\{x : x_{n-1} = 0, x_n = 1\}$  is infeasible. As  $S$  is 1-resistant, Lemma 1.12 implies that in each of the parallel hypercubes  $\{x : x_{n-1} = x_n = 0\}$  and  $\{x : x_{n-1} = x_n = 1\}$ , the feasible points form a hypercube. That is, the two sets

$$\begin{aligned} S \cap \{x : x_{n-1} = x_n = 0\} &= S_1, \\ S \cap \{x : x_{n-1} = x_n = 1\} &= S_2 \end{aligned}$$

are hypercubes.  $\square$

We are now ready to prove Theorem 4.1, stating the following:

Take an integer  $n \geq 2$  and a set  $S \subseteq \{0, 1\}^n$  that is 1-resistant, has no  $R_{1,1}, F_1, F_2, F_3$  minor and is not connected. Then either

- $S \cong A_k \times \{0, 1\}^{n-k}$  for some  $k \in \{2, \dots, n\}$ , where  $A_k = \{\mathbf{0}^k, \mathbf{1}^k\}$ ,
- $S \cong B_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ , where  $B_k = \{\mathbf{0}^k, e_1, \mathbf{1}^k\}$ , or
- $S$  has a  $D_3$  minor, where  $D_3 = \{000, 010, 100, 101\}$ .

*Proof.* Let us start with the following claim:

**Claim 1.**  *$S$  is separable.*

*Proof of Claim.* Let  $k \geq 2$  be the number of feasible components of  $S$ . Let  $S' \subseteq \{0, 1\}^m$  be a projection of  $S$  of smallest dimension with exactly  $k$  feasible components. It then follows from Lemma 5.1 that every direction of  $\{0, 1\}^m$  is active in a bridge of  $S'$ . However, as  $S'$  is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3$  minor,



Proposition 5.4 implies that every pair of bridges of  $S'$  are parallel. As a result,  $m = k = 2$  and  $S'$  is either  $\{00, 11\}$  or  $\{10, 01\}$ . In particular,  $S'$  is separable, so  $S$  is separable by Remark 6.1.  $\diamond$

Thus, there is a partition of  $S$  into nonempty parts  $S_1, S_2$  such that, after a possible twisting and relabeling,  $S_1 \subseteq \{x : x_{n-1} = x_n = 0\}$  and  $S_2 \subseteq \{x : x_{n-1} = x_n = 1\}$ . As  $S$  is 1-resistant, Proposition 6.2 implies that  $S_1$  and  $S_2$  are hypercubes. In particular, since  $S$  is not a hypercube, Lemma 1.12 implies that the points in  $S$  do not agree on a coordinate; notice that this property is preserved in every projection of dimension at least one.

**Claim 2.** *Either  $S$  has a  $D_3$  minor, or one of  $S_1, S_2$  is contained in the antipode of the other.*

*Proof of Claim.* Suppose that neither of  $S_1, S_2$  is contained in the antipode of the other. We will prove that  $S$  has a  $D_3$  projection. Clearly,  $n > 2$ . We may assume that for each  $i \in [n - 2]$ ,

if  $S', S'_1, S'_2$  are obtained from  $S, S_1, S_2$  after projecting away coordinate  $i$ , then one of  $S'_1, S'_2$  is contained in the antipode of the other.

As the points in  $S$  do not agree on a coordinate, there exists a point  $x \in S_1$  such that  $\mathbf{1} - x \in S_2$ . As neither of  $S_1, S_2$  is contained in the antipode of the other, there exist distinct coordinates  $i, j \in [n - 2]$  such that  $x \triangle e_i \in S_1, x \triangle e_j \notin S_1, \mathbf{1} \triangle x \triangle e_i \notin S_2$  and  $\mathbf{1} \triangle x \triangle e_j \in S_2$ . Our minimality assumption implies that the only feasible neighbors of  $x, \mathbf{1} \triangle x$  are  $x \triangle e_i, \mathbf{1} \triangle x \triangle e_j$ , respectively. As a result,  $S_1 = \{x, x \triangle e_i\}$  and  $S_2 = \{\mathbf{1} \triangle x, \mathbf{1} \triangle x \triangle e_j\}$ , so  $S = \{x, x \triangle e_i, \mathbf{1} \triangle x, \mathbf{1} \triangle x \triangle e_j\}$ . Clearly,  $S$  has a  $D_3$  projection.  $\diamond$

If  $S$  has a  $D_3$  minor, then we are done. Otherwise, one of  $S_1, S_2$  is contained in the antipode of the other. After possibly relabeling  $S_1, S_2$ , we may assume that  $S_2$  is contained in the antipode of  $S_1$ .

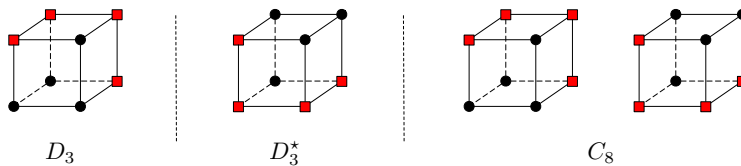
**Claim 3.**  $2|S_2| \geq |S_1| \geq |S_2|$ .

*Proof of Claim.* Clearly,  $|S_1| \geq |S_2|$ . Suppose for a contradiction that  $|S_1| \geq 4|S_2|$ . Since  $S_2$  is contained in the antipode of  $S_1$ , it can be readily checked that  $S$  has an  $F_3$  minor, a contradiction.  $\diamond$

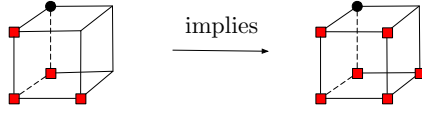
As a result, either  $|S_1| = |S_2|$  or  $|S_1| = 2|S_2|$ . It can now be readily checked that either  $S \cong A_k \times \{0, 1\}^{n-k}$  for some  $k \in \{2, \dots, n\}$ , or  $S \cong B_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ , thereby finishing the proof of Theorem 4.1.  $\square$

## 7 $D_3$ minors and proof of Theorem 4.2

To prove Theorem 4.2 we will need three lemmas. Let  $D_3^* := \{010, 011, 111, 101\} \subseteq \{0, 1\}^3$ . Observe that  $D_3^*$  is a twisting of  $D_3 = \{000, 100, 010, 101\}$ , and  $C_8 = (D_3 \times \{0\}) \cup (D_3^* \times \{1\})$ .



In the following lemma, we will use the following implication of Lemma 5.2 (i):

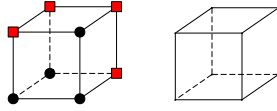


**Lemma 7.1.** *Let  $S \subseteq \{0, 1\}^n$  be a set that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3$  minor, where the 0-restriction of  $S$  over coordinates  $4, \dots, n$  is either  $D_3$  or  $D_3^*$ . Then,*

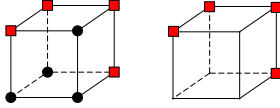
(i) *every restriction of  $S$  over coordinates  $4, \dots, n$  is either  $D_3$  or  $D_3^*$ , and*

(ii) *either  $S \cong D_3 \times \{0, 1\}^{n-3}$  or  $S \cong C_8 \times \{0, 1\}^{n-4}$ .*

*Proof.* (i) By a recursive argument, it suffices to show that each 3-dimensional restriction of  $S$  neighboring a  $D_3, D_3^*$  restriction is also a  $D_3$  or a  $D_3^*$ . Thus, we may assume that  $n = 4$ . After twisting coordinates 1, 2, 3, if necessary, we may assume that the 0-restriction of  $S$  over coordinate 4 is  $D_3$ . So  $S \cap \{x : x_4 = 0\} = \{0000, 1000, 0100, 1010\}$ :

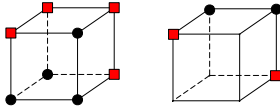


Assume in the first case that  $\{0111, 1111\} \cap \bar{S} \neq \emptyset$ . After applying Lemma 5.2 (i) twice, we see that  $\{0111, 1111, 0011, 1101\} \subseteq \bar{S}$ :



Since the two restrictions over coordinate 1 are 1-resistant,  $|\{0101, 0001\} \cap S| \neq 1$  and  $|\{1001, 1011\} \cap S| \neq 1$ . In fact, as  $S$  has no  $F_3$  minor,  $\{0101, 0001\} \subseteq S$  if and only if  $\{1001, 1011\} \subseteq S$ . Moreover, as the 0-restriction of  $S$  over coordinate 3 is 1-resistant, it follows that  $\{0101, 0001, 1001, 1011\} \cap S \neq \emptyset$ . As a result,  $\{0101, 0001, 1001, 1011\} \subseteq S$ , implying in turn that 1-restricting  $S$  over coordinate 4 yields  $D_3$ .

Assume in the remaining case that  $\{0111, 1111\} \cap \bar{S} = \emptyset$ . As the 1-restriction of  $S$  over coordinate 3 (resp. coordinate 2) is not isomorphic to either of  $F_1, F_3$ , we get that  $0011 \in \bar{S}$  (resp.  $1101 \in \bar{S}$ ).



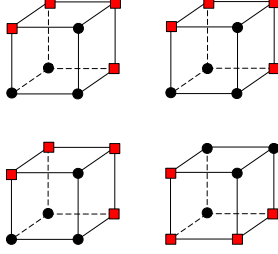
Since  $S$  is 1-resistant and has no  $F_3$  restriction, it follows that  $0001, 1001 \in \bar{S}$ . Since the 0-restriction of  $S$  over coordinate 2 (resp. coordinate 3) is 1-resistant,  $1011 \in S$  (resp.  $0101 \in S$ ), implying in turn that 1-restricting  $S$  over coordinate 4 yields  $D_3^*$ .

(ii) It follows from (i) that  $S = \bigcup_{y \in \{0, 1\}^{n-3}} (F \times \{y\} : F \in \{D_3, D_3^*\})$ . Let  $R \subseteq \{0, 1\}^{n-3}$  be the set of points  $y$  such that  $S \cap \{x : x_i = y_{i-3} \quad 4 \leq i \leq n\} = D_3 \times \{y\}$ .

**Claim 1.** *Every feasible component of  $R$  is a hypercube. Similarly, every infeasible component of  $R$  is a hypercube.*

*Proof of Claim.* By Lemma 1.13, it suffices to prove that for each  $y \in R$  and distinct coordinates  $i, j \in [n-3]$ , if  $y, y\Delta e_i, y\Delta e_j \in R$  then  $y\Delta e_i\Delta e_j \in R$ .

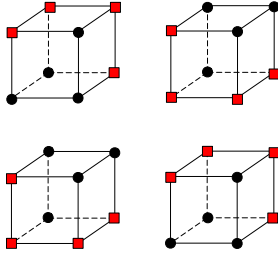
Suppose otherwise. After a possible twisting and relabeling, we may assume that  $y = \mathbf{0}, i = 1, j = 2$ . Let  $S'$  be the 0-restriction of  $S$  over coordinates  $6, \dots, n$ :



Observe that the 0-restriction of  $S'$  over coordinates  $1, 2$  is not 1-resistant, a contradiction.  $\diamond$

**Claim 2.**  *$R$  is connected. Similarly,  $\overline{R}$  is connected.*

*Proof of Claim.* Suppose for a contradiction that  $R \subseteq \{0, 1\}^{n-3}$  is not connected. By Claim 1, every feasible component of  $R$  is a hypercube, and as there are at least two feasible components, each feasible component is a hypercube of dimension at most  $(n-3) - 2 = n-5$ . Thus, there exist  $y \in \{0, 1\}^{n-3}$  and distinct coordinates  $i, j \in [n-3]$  such that  $y \in R$  and  $y\Delta e_i, y\Delta e_j \in \overline{R}$ . Since every infeasible component of  $R$  is also a hypercube by Claim 1, it follows that  $y\Delta e_i\Delta e_j \in R$ . After a possible twisting and relabeling, we may assume that  $y = \mathbf{0}, i = 1, j = 2$ . Let  $S'$  be the 0-restriction of  $S$  over coordinates  $6, \dots, n$ :



Observe however that the 0-restriction of  $S'$  over coordinates  $1, 2$  is not 1-resistant, a contradiction.  $\diamond$

As a result, both  $R, \overline{R}$  are hypercubes, implying in turn that  $R \cong \emptyset, \{0, 1\}^{n-4} \times \{0\}, \{0, 1\}^{n-3}$ . If  $R \cong \emptyset, \{0, 1\}^{n-3}$  then  $S \cong D_3 \times \{0, 1\}^{n-3}$ , and if  $R \cong \{0, 1\}^{n-4} \times \{0\}$  then  $S \cong C_8 \times \{0, 1\}^{n-4}$ , thereby finishing the proof.  $\square$

For each  $k \geq 4$ , recall that  $D_k = \{\mathbf{0}, e_2, \mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\} \subseteq \{0, 1\}^k$ , and let  $D_k^* := D_k \Delta e_k$ .

**Lemma 7.2.** *Take integers  $n \geq 3$  and  $k \in \{3, \dots, n\}$ . Let  $S \subseteq \{0, 1\}^{n+1}$  be a set that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3$  minor. Then the following statements hold:*

- (i) if the projection of  $S$  over coordinate  $n + 1$  is  $D_n$ , then  $S$  is either  $D_{n+1}, D_{n+1}^*$  or  $D_n \times \{0, 1\}$ ,
- (ii) if the projection of  $S$  over coordinate  $k + 1$  is  $D_k \times \{0, 1\}^{n-k}$ , then  $S$  is either  $D_{k+1} \times \{0, 1\}^{n-k}, D_{k+1}^* \times \{0, 1\}^{n-k}$  or  $D_k \times \{0, 1\}^{n-k+1}$ .

*Proof.* (i) Assume that the projection of  $S$  over coordinate  $n + 1$  is  $D_n$ . Let

$$S_0 := S \cap \{x : x_i = 0, i \neq 2, 3, n + 1\} \subseteq \{0, 1\}^{n+1},$$

$$S_1 := S \cap \{x : x_i = 1, i \neq 2, 3, n + 1\} \subseteq \{0, 1\}^{n+1}.$$

Let  $\mathbf{1} := \mathbf{1}^{n+1}$  and  $\mathbf{1}' := \mathbf{1}^n$ . Then

- $S = S_0 \cup S_1$ ,
- $S_0 \subseteq \{\mathbf{0}, e_2, e_{n+1}, e_2 + e_{n+1}\}$ , and the projection of  $S_0$  over coordinate  $n + 1$  is  $\{\mathbf{0}, e_2\}$ , and
- $S_1 \subseteq \{\mathbf{1} - e_2 - e_{n+1}, \mathbf{1} - e_2 - e_3 - e_{n+1}, \mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\}$ , and the projection of  $S_1$  over coordinate  $n + 1$  is  $\{\mathbf{1}' - e_2, \mathbf{1}' - e_2 - e_3\}$ .

After twisting coordinate  $n + 1$ , if necessary, we may assume that  $\mathbf{0} \in S_0$ . Then, since  $S_0$  and  $S_1$  are 1-resistant, we get that

$$S_0 = \{\mathbf{0}, e_2\} \quad \text{or} \quad \{\mathbf{0}, e_2, e_{n+1}, e_2 + e_{n+1}\}, \quad \text{and}$$

$$S_1 = \{\mathbf{1} - e_2 - e_{n+1}, \mathbf{1} - e_2 - e_3 - e_{n+1}\} \quad \text{or} \quad \{\mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\} \quad \text{or}$$

$$\{\mathbf{1} - e_2 - e_{n+1}, \mathbf{1} - e_2 - e_3 - e_{n+1}, \mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\}.$$

**Claim 1.** If  $S_0 = \{\mathbf{0}, e_2\}$ , then  $S = D_{n+1}$ .

*Proof of Claim.* Suppose that  $S_0 = \{\mathbf{0}, e_2\}$ .

Assume in the first case that  $n = 3$ . If  $S_1 = \{\mathbf{1} - e_2 - e_4, \mathbf{1} - e_2 - e_3 - e_4\}$ , then the 0-restriction of  $S = S_0 \cup S_1$  over coordinate 3 is not 1-resistant, which is not the case. If  $S_1 = \{\mathbf{1} - e_2 - e_4, \mathbf{1} - e_2 - e_3 - e_4, \mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\}$ , then the 0-restriction of  $S = S_0 \cup S_1$  over coordinate 2 is isomorphic to  $F_3$ , which is again not the case. Therefore,  $S_1 = \{\mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\}$ , implying in turn that  $S = S_0 \cup S_1 = D_4$ , as claimed.

Assume in the remaining case that  $n \geq 4$ . If  $S_1 = \{\mathbf{1} - e_2 - e_{n+1}, \mathbf{1} - e_2 - e_3 - e_{n+1}\}$ , then the points in  $S = S_0 \cup S_1$  all agree on coordinate  $n + 1$ , so by Lemma 1.12,  $S$  is a hypercube, which is not the case. If  $S_1 = \{\mathbf{1} - e_2 - e_{n+1}, \mathbf{1} - e_2 - e_3 - e_{n+1}, \mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\}$ , then the projection of  $S = S_0 \cup S_1$  over coordinates  $[n + 1] - \{2, 3, n + 1\}$  is isomorphic to  $F_3$ , which cannot be the case. Therefore,  $S_1 = \{\mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\}$ , implying in turn that  $S = S_0 \cup S_1 = D_{n+1}$ , as claimed.  $\diamond$

**Claim 2.** If  $S_0 = \{\mathbf{0}, e_2, e_{n+1}, e_2 + e_{n+1}\}$ , then  $S = D_n \times \{0, 1\}$ .

*Proof of Claim.* Suppose that  $S_0 = \{\mathbf{0}, e_2, e_{n+1}, e_2 + e_{n+1}\}$ . As the projection of  $S = S_0 \cup S_1$  over coordinates  $[n + 1] - \{2, 3, n + 1\}$  is not isomorphic to  $F_3$ , it follows that  $S_1 = \{\mathbf{1} - e_2 - e_{n+1}, \mathbf{1} - e_2 - e_3 - e_{n+1}, \mathbf{1} - e_2, \mathbf{1} - e_2 - e_3\}$ , implying in turn that  $S = D_n \times \{0, 1\}$ , as required.  $\diamond$

Thus, after twisting coordinate  $n + 1$ , if necessary,  $S$  is either  $D_{n+1}$  or  $D_n \times \{0, 1\}$ , so (i) holds.

(ii) Assume that the projection of  $S$  over coordinate  $k + 1$  is  $D_k \times \{0, 1\}^{n-k}$ . For each point  $y \in \{0, 1\}^{n-k}$ , let  $S_y := S \cap \{x : x_{i+k+1} = y_i, i \in [n - k]\} \subseteq \{0, 1\}^{n+1}$ . Notice that  $S = \bigcup_{y \in \{0, 1\}^{n-k}} S_y$ . For each  $y \in \{0, 1\}^{n-k}$ , pick an appropriate  $S'_y \subseteq \{0, 1\}^{k+1}$  such that  $S_y = S'_y \times \{y\}$ . Notice that the projection of each  $S'_y$  over coordinate  $k + 1$  is  $D_k$ . We therefore get from (i) that each  $S'_y$  is either  $D_{k+1}$ ,  $D_{k+1}^*$  or  $D_k \times \{0, 1\}$ .

**Claim 3.** All of  $(S'_y : y \in \{0, 1\}^{n-k})$  are equal to one another.

*Proof of Claim.* Suppose otherwise. Then  $S$  has either  $S' := (D_{k+1} \times \{0\}) \cup (D_k \times \{01, 11\})$  or  $S'' := (D_{k+1} \times \{0\}) \cup (D_{k+1}^* \times \{1\})$  as a restriction. However, the restriction of  $S'$  (resp.  $S''$ ) obtained after 0-restricting coordinates  $[n + 1] - \{3, k + 1, k + 2\}$  is not 1-resistant, so  $S$  cannot have either of  $S', S''$  as a restriction, a contradiction.  $\diamond$

As a consequence,  $S = D_{k+1} \times \{0, 1\}^{n-k}$ ,  $D_{k+1}^* \times \{0, 1\}^{n-k}$  or  $D_k \times \{0, 1\}^{n-k+1}$ , so (ii) holds.  $\square$

**Lemma 7.3.** Take an integer  $n \geq 5$  and a set  $S \subseteq \{0, 1\}^n$  that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3$  minor. If the projection of  $S$  over coordinate  $n$  is  $C_8 \times \{0, 1\}^{n-5}$ , then  $S = C_8 \times \{0, 1\}^{n-4}$ .

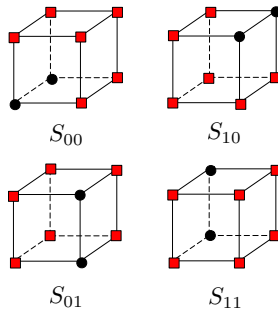
*Proof.* It suffices to prove this for  $n = 5$ . Assume that the projection of  $S$  over coordinate 5 is  $C_8 = (D_3 \times \{0\}) \cup (D_3^* \times \{1\})$ . For  $i, j \in \{0, 1\}$ , let  $S_{ij} \subseteq \{0, 1\}^3$  be the restriction of  $S$  obtained after  $i$ -restricting coordinate 4 and  $j$ -restricting coordinate 5. After twisting coordinate 5, if necessary, we may assume that  $\mathbf{0} \in S$ .

**Claim.**  $S$  has a  $D_3$  restriction.

*Proof of Claim.* Suppose for a contradiction that  $S$  does not have a  $D_3$  restriction. In particular,  $S_{00}, S_{01} \neq D_3$  and  $S_{10}, S_{11} \neq D_3^*$ . Thus by Lemma 7.2 (i),

$$\begin{aligned} (S_{00} \times \{0\}) \cup (S_{01} \times \{1\}) &= D_4 \quad \text{or} \quad D_4^*, \\ (S_{10} \times \{0\}) \cup (S_{11} \times \{1\}) &= D'_4 \quad \text{or} \quad D'_4 \triangle e_4, \end{aligned}$$

where  $D'_4 = \{0100, 0110, 1011, 1111\} \subseteq \{0, 1\}^4$ . Since  $\mathbf{0} \in S$ , we must have that  $(S_{00} \times \{0\}) \cup (S_{01} \times \{1\}) = D_4$ . Thus,  $S_{00} = \{000, 010\}$  and  $S_{01} = \{100, 101\}$ . Since the restriction of  $S$  obtained after 0-restricting coordinates 1 and 5 is not isomorphic to  $D_3$ , it follows that  $(S_{10} \times \{0\}) \cup (S_{11} \times \{1\}) = D'_4 \triangle e_4$ . So,  $S_{10} = \{101, 111\}$  and  $S_{11} = \{010, 011\}$ :



Observe however that the 1-restriction of  $S$  over coordinates 2, 3 is not 1-resistant, a contradiction.  $\diamond$

Thus,  $S \cong D_3 \times \{0, 1\}^2$  or  $C_8 \times \{0, 1\}$  by Lemma 7.1 (ii). It can be readily checked that  $S$  must be in fact equal to  $C_8 \times \{0, 1\}$ , as required.  $\square$

We are now ready to prove Theorem 4.2, stating the following:

Take an integer  $n \geq 3$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}, F_1, F_2, F_3$  minor. If  $S$  has a  $D_3$  minor, then either

- $S \cong C_8 \times \{0, 1\}^{n-4}$ , or
- $S \cong D_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ .

*Proof.* Among all projections of  $S$  with a  $D_3$  restriction, pick the one  $S' \subseteq \{0, 1\}^\ell$  of largest dimension  $\ell \in \{3, \dots, n\}$ . We may assume, after a possible relabeling, that  $S'$  is obtained from  $S$  after projecting away coordinates  $[n] - [\ell]$ . It follows from Lemma 7.1 (ii) that, after a possible twisting and relabeling,  $S' = C_8 \times \{0, 1\}^{\ell-4}$  or  $S' = D_3 \times \{0, 1\}^{\ell-3}$ .

**Claim.** If  $S' = C_8 \times \{0, 1\}^{\ell-4}$ , then  $\ell = n$ .

*Proof of Claim.* This follows immediately from Lemma 7.3 and the maximal choice of  $S'$ .  $\diamond$

Thus, if  $S' = C_8 \times \{0, 1\}^{\ell-4}$ , then  $S \cong C_8 \times \{0, 1\}^{n-4}$ . Otherwise,  $S' = D_3 \times \{0, 1\}^{\ell-3}$ . In this case, a repeated application of Lemma 7.2 (ii) implies that  $S \cong D_k \times \{0, 1\}^{n-k}$  for some  $k \in \{\ell, \dots, n\}$ , thereby finishing the proof of Theorem 4.2.  $\square$

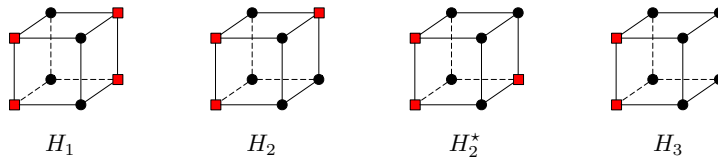
## 8 Infeasible hypercubes and Theorem 4.3

Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . In this section, we will prove the following statement:

Assume that  $S$  is 1-resistant, has no  $R_{1,1}, F_1, F_2, F_3$  minor and no  $D_3$  minor. Take a point  $x$  and distinct coordinates  $i, j \in [n]$  such that  $x$  is infeasible while  $x \Delta e_i, x \Delta e_j, x \Delta e_i \Delta e_j$  are feasible.

Then the infeasible component containing  $x$  is a hypercube.

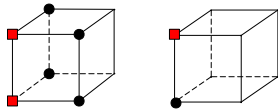
Proving this statement requires three technical lemmas. Let  $H_1 := \{100, 010, 101, 011\} \subseteq \{0, 1\}^3$ ,  $H_2 := \{100, 010, 101, 011, 110\} \subseteq \{0, 1\}^3$ ,  $H_2^* := \{100, 010, 101, 011, 111\} \subseteq \{0, 1\}^3$  and  $H_3 := \{100, 010, 101, 011, 110, 111\} \subseteq \{0, 1\}^3$ , as displayed below:



Given  $i \in \{0, 1\}$ , denote by  $S_i \subseteq \{0, 1\}^{n-1}$  the  $i$ -restriction of  $S$  over coordinate  $n$ .

**Lemma 8.1.** *Let  $S \subseteq \{0, 1\}^4$  be a set that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3, D_3$  minor. If  $S_0 \in \{H_1, H_2, H_2^*, H_3\}$ , then  $|\{000, 001\} \cap S_1| \neq 1$ .*

*Proof.* Suppose, for a contradiction, that  $H_1 \subseteq S_0 \subseteq H_3$  and  $|\{000, 001\} \cap S_1| = 1$ . After twisting coordinate 3, if necessary, we may assume that  $000 \in S_1$  and  $001 \in \overline{S_1}$ . So  $S$  may be displayed as below:

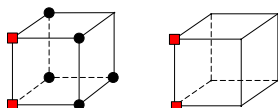


Since the 0-restriction of  $S$  over coordinate 1 is not isomorphic to either  $F_1$  or  $F_3$ , we get that  $011 \in \overline{S_1}$ , and since this restriction is not isomorphic to  $D_3$ , we get that  $010 \in \overline{S_1}$ . By the symmetry between coordinates 1, 2, we get that  $\{100, 101\} \subseteq \overline{S_1}$ . But then the 0-restriction of  $S$  over coordinate 3 is isomorphic to either  $P_3, R_{1,1}, F_1$  or  $F_2$ , a contradiction.  $\square$

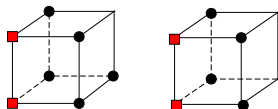
**Lemma 8.2.** *Let  $S \subseteq \{0, 1\}^4$  be a set that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3, D_3$  minor, where  $S_0 \in \{H_2, H_2^*, H_3\}$  and  $\{000, 001\} \cap S_1 = \emptyset$ . Then the following statements hold:*

- (i)  $S_1 \in \{H_1, H_2, H_2^*, H_3\}$ , and
- (ii) if  $S_1 = H_1$ , then  $S_0 = H_3$ .

*Proof.* (i) After twisting coordinate 3, if necessary, we may assume that  $S_0 \in \{H_2, H_3\}$ . We may therefore display  $S$  as:



Since the 0-restriction of  $S$  over coordinate 1 is 1-resistant, it follows that  $|\{010, 011\} \cap S_1| \neq 1$ , and since the 0-restriction of  $S$  over coordinate 2 is 1-resistant, it follows that  $|\{100, 101\} \cap S_1| \neq 1$ . Thus, as the 0-restriction of  $S$  over coordinate 3 is 1-resistant, either  $\{010, 011\} \subseteq S_1$  or  $\{100, 101\} \subseteq S_1$ . After relabeling coordinates 1, 2, if necessary,  $\{010, 011\} \subseteq S_1$ . Since the 0-restriction of  $S$  over coordinate 3 is not isomorphic to  $D_3$  or  $F_3$ , it follows that  $\{100, 101\} \subseteq S_1$  also:



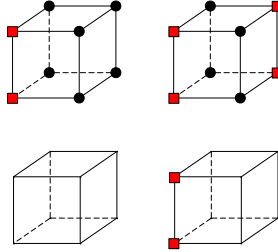
Hence,  $S_1 \in \{H_1, H_2, H_2^*, H_3\}$ . (ii) If  $S_1 = H_1$ , then as the 1-restriction of  $S$  over coordinate 1 is not isomorphic to  $F_3$ , it follows that  $111 \in S_0$ , so  $S_0 = H_3$ , as required.  $\square$

Given that  $n \geq 2$  and  $i, j \in \{0, 1\}$ , denote by  $S_{ij} \subseteq \{0, 1\}^{n-2}$  the restriction of  $S$  obtained after  $i$ -restricting coordinate  $n - 1$  and  $j$ -restricting coordinate  $n$ .

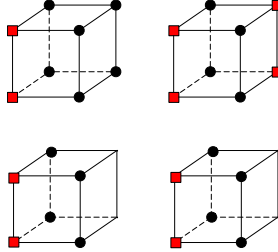
**Lemma 8.3.** *Let  $S \subseteq \{0, 1\}^5$  be a set that is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3, D_3$  minor, where  $S_{00} = H_3, S_{10} = H_1$  and  $\{000, 001\} \cap S_{11} = \emptyset$ . Then the following statements hold:*

- (i)  $S_{01}, S_{11} \in \{H_1, H_2, H_2^*, H_3\}$ , and
- (ii) if  $S_{11} = H_1$  then  $S_{01} = H_3$ , and therefore  $S_1 = S_0$ .

*Proof.* (i) For  $i, j \in \{0, 1\}$ , denote by  $R_{ij} \subseteq \{0, 1\}^5$  the restriction of  $S$  obtained after  $i$ -restricting coordinate 3 and  $j$ -restricting coordinate 5.



Notice that  $R_{00} = R_{10} = H_2$  and  $001 \notin R_{01} \cup R_{11}$ . It therefore follows from Lemma 8.1 that  $000 \notin R_{01} \cup R_{11}$ . We get from Lemma 8.2 (i)-(ii) that  $R_{01}, R_{11} \in \{H_2, H_2^*, H_3\}$ :



As a result,  $S_{00}, S_{11} \in \{H_1, H_2, H_2^*, H_3\}$ . (ii) If  $S_{11} = H_1$ , then  $R_{01}$  and  $R_{11}$  must be equal to  $H_2$ , implying in turn that  $S_{01} = H_3$ , as required.  $\square$

We are now ready to prove the first main result of this section:

**Proposition 8.4.** *Take an integer  $n \geq 1$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$  that has no  $R_{1,1}, F_1, F_2, F_3$  and no  $D_3$  minor. Take a point  $x$  and distinct coordinates  $i, j \in [n]$  such that  $x$  is infeasible while  $x \triangle e_i, x \triangle e_j, x \triangle e_i \triangle e_j$  are feasible. Then the infeasible component containing  $x$  is a hypercube.*

*Proof.* We prove this by induction on  $n \geq 2$ . The base case  $n = 2$  holds trivially. For the induction step, assume that  $n \geq 3$ . Let  $K$  be the infeasible component of  $S$  containing  $x$ . If every neighbor of  $x$  belongs to  $S$ , then  $K = \{x\}$  and we are done. Otherwise, we may assume that  $x \in \{0, e_3\} \subseteq K$  and  $i = 1, j = 2$ . For each  $y \in \{0, 1\}^{n-3}$ , let  $S_y := S \cap \{x : x_{3+i} = y_i, i \in [n-3]\}$  and choose an appropriate  $R_y \subseteq \{0, 1\}^3$  such that  $S_y = R_y \times \{y\}$ . Notice that  $\{000, 001\} \subseteq \overline{R_0}$ , and either  $\{100, 010, 110\} \subseteq R_0$  or  $\{101, 011, 111\} \subseteq R_0$ . Since  $R_0$  is 1-resistant and not isomorphic to  $D_3, F_3$ , it follows that  $R_0 \in \{H_2, H_2^*, H_3\}$ . In particular, if  $n = 3$ , then  $K = \{0, e_3\}$  and the induction step is complete. We may therefore assume that  $n \geq 4$ .



Let  $S'$  be the projection of  $S$  over coordinate 3. Then  $S'$  is 1-resistant and has no  $R_{1,1}, F_1, F_2, F_3, D_3$  minor. Hence, since  $\mathbf{0} \in \overline{S'}$  and  $\{e_1, e_2, e_1 + e_2\} \subseteq S'$ , the induction hypothesis implies that the infeasible component of  $S'$  containing  $\mathbf{0}$ , call it  $K'$ , is a hypercube. Notice that the set of points in  $\{0, 1\}^n$  projecting onto a point in  $K'$  belong to  $K$  and form a hypercube whose dimension is larger by one.

Therefore, it suffices to show that  $K$  consists precisely of the points in  $\{0, 1\}^n$  projecting onto  $K'$ . Suppose otherwise. Then there must exist points  $z, z + e_3 \in \{0, 1\}^n$  projecting onto a point  $z' \in \{0, 1\}^{n-1}$  such that

- $z'$  belongs to  $S'$  and is adjacent to a point in  $K'$ , and
- $|\{z, z + e_3\} \cap S| = 1$ .

Notice that  $|\{z, z + e_3\} \cap K| = 1$ .

Call a point  $y \in \{0, 1\}^{n-3}$  *involved* if

- $R_y \in \{H_2, H_2^*, H_3\}$ , and
- $00y \in K'$ .

Notice that  $\mathbf{0} \in \{0, 1\}^{n-3}$  is involved. Now, pick a point  $t' \in \{0, 1\}^{n-1}$  minimizing  $\text{dist}(t', z')$  subject to

- $t' \in K'$ , and
- there exists an involved  $y \in \{0, 1\}^{n-3}$  such that  $t' = 00y$ ,

in this order of priority. We may assume that  $t' = \mathbf{0} \in \{0, 1\}^{n-1}$ . Since  $z' \notin K'$ , we get that  $\text{dist}(\mathbf{0}, z') \geq 1$ . It follows from Lemma 8.1 that  $\text{dist}(\mathbf{0}, z') \geq 2$ . Since  $K'$  is a hypercube, there exist an integer  $d \geq 2$  and distinct coordinates  $j_1, j_2, \dots, j_d \in [n] - \{3\}$  such that  $z' = \sum_{i=1}^d e_{j_i}$  and

$$\sum_{i=1}^k e_{j_i} \in K' \quad k = 1, \dots, d-1.$$

Notice that

$$\sum_{i=1}^k e_{j_i} \in K \quad \text{and} \quad e_3 + \sum_{i=1}^k e_{j_i} \in K \quad k = 1, \dots, d-1.$$

Thus, since  $R_{\mathbf{0}} \in \{H_2, H_3\}$ , we have  $j_1 \in [n] - \{1, 2, 3\}$ . We may therefore assume that  $j_1 = 4$ . Since  $R_{\mathbf{0}} \in \{H_2, H_3\}$  and  $\{000, 001\} \cap R_{e_1} = \emptyset$ , it follows from Lemma 8.2 (i) that  $R_{e_1} \in \{H_1, H_2, H_2^*, H_3\}$ . Our minimal choice of  $t' = \mathbf{0}$  implies that  $R_{e_1} = H_1$  (otherwise,  $t' = e_4$  contradicts the minimality of  $t' = \mathbf{0}$ ). We now get from Lemma 8.1 that  $d \geq 3$ , and from Lemma 8.2 (ii) that  $R_{\mathbf{0}} = H_3$ . Since  $j_2 \in [n] - \{1, 2, 3, 4\}$ , we may assume that  $j_2 = 5$ . So  $e_4 + e_5 \in K'$ . As  $\mathbf{0}, e_4, e_4 + e_5 \in K'$  and  $K'$  is a hypercube, it follows that  $e_5 \in K'$ . Since  $\{000, 001\} \cap R_{e_1+e_2} = \emptyset$ , we get from Lemma 8.3 that either

- $R_{e_1+e_2} \in \{H_2, H_2^*, H_3\}$ , or
- $R_{e_2} = H_3$  and  $R_{e_1+e_2} = H_1$ .

The first case is not possible as it contradicts the minimal choice of  $t' = \mathbf{0}$ , for  $t' = e_4 + e_5$  would be a better choice. However, the second case is not possible either as it also contradicts the minimal choice of  $t' = \mathbf{0}$ , for  $t' = e_5$  would be a better choice. This finishes the proof of Proposition 8.4.  $\square$

## 8.1 Proof of Theorem 4.3

We are now ready to prove Theorem 4.3, stating that

Take an integer  $n \geq 1$  and a 1-resistant set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}, F_1, F_2, F_3$  minor. If  $S$  is connected and has no  $D_3$  minor, then either

- $S$  is a hypercube, or
- every infeasible component of  $S$  is a hypercube.

*Proof.* Assume that there is an infeasible component  $K$  that is not a hypercube.

**Claim 1.** *Take a point  $x$  and distinct coordinates  $i, j \in [n]$  such that  $x \in K$  and  $x \triangle e_i \in S$ . If  $x \triangle e_i \triangle e_j \in S$ , then  $x \triangle e_j \in K$ .*

*Proof of Claim.* For if not,  $x \triangle e_j \in S$ , so by Proposition 8.4, the infeasible component of  $S$  containing  $x$ , which is  $K$ , is a hypercube, a contradiction.  $\diamond$

This claim has the following subtle implication:

**Claim 2.** *The points in  $S$  agree on a coordinate.*

*Proof of Claim.* Take a point  $y \in K$  and a direction  $i \in [n]$  such that  $y \triangle e_i \in S$ . We may assume that  $y = \mathbf{0}$  and  $i = 1$ . As  $S$  is connected, it follows from Claim 1 that  $S \subseteq \{x : x_1 = 1\}$ , as required.  $\diamond$

As  $S$  is 1-resistant, it follows from Lemma 1.12 that  $S$  is a hypercube, thereby proving Theorem 4.3.  $\square$

## 9 Every $\pm 1$ -resistant set is strictly polar.

We will need the following immediate remark:

**Remark 9.1.** *Take an integer  $n \geq 1$  and a set  $S \subseteq \{0, 1\}^n$ . If  $S$  is strictly polar, then so is  $S \times \{0, 1\}$ .*

We will also need the following variant of Lemma 2.5:

**Lemma 9.2.** *Take an integer  $n \geq 1$  and a nonempty set  $S \subseteq \{0, 1\}^n$  without an  $R_{1,1}$  restriction, where every infeasible component is a hypercube. Then*

- $|S| \geq 2^{n-1}$ , and
- if  $|S| = 2^{n-1}$ , then  $S$  is either a hypercube of dimension  $n - 1$  or the union of antipodal hypercubes of dimension  $n - 2$ .

*In particular,  $S$  is strictly polar.*

*Proof.* We prove this by induction on  $n \geq 1$ . The base cases  $n \in \{1, 2\}$  are clear. For the induction step, assume that  $n \geq 3$ . For  $i \in \{0, 1\}$ , let  $S_i \subseteq \{0, 1\}^{n-1}$  be the  $i$ -restriction of  $S$  over coordinate  $n$ . If one of  $S_0, S_1$  is empty, then the other one must be  $\{0, 1\}^{n-1}$ , so  $S$  is a hypercube of dimension  $n - 1$  and the induction step is complete. We may therefore assume that  $S_0, S_1$  are nonempty. Since every infeasible component of both  $S_0, S_1$  is a hypercube, we may apply the induction hypothesis. Thus,  $|S_0| \geq 2^{n-2}$  and  $|S_1| \geq 2^{n-2}$ , implying in turn that  $|S| = |S_0| + |S_1| \geq 2^{n-1}$ . Assume next that  $|S| = 2^{n-1}$ . Then  $|S_0| = |S_1| = 2^{n-2}$ , so by the induction hypothesis, each  $S_i$  is either a hypercube of dimension  $n - 2$  or the union of antipodal hypercubes of dimension  $n - 3$ . If one of  $S_0, S_1$  is a hypercube, then as every infeasible component of  $S$  is either a hypercube,  $S$  is either a hypercube of dimension  $n - 1$  or the union of antipodal hypercubes of dimension  $n - 2$ . Otherwise, each one of  $S_0, S_1$  is the union of two antipodal hypercubes of dimension  $n - 3$ . As  $S$  has no  $R_{1,1}$  restriction, it must be that  $S_0 = S_1$ , implying in turn that  $S$  is the union of antipodal hypercubes of dimension  $n - 2$ , thereby completing the induction step.  $\square$

We are now able to prove Theorem 1.8, stating that every  $\pm 1$ -resistant set is strictly polar:

*Proof of Theorem 1.8.* Take an integer  $n \geq 1$  and a  $\pm 1$ -resistant set  $S \subseteq \{0, 1\}^n$ . Then by Theorem 1.5, either

- (i)  $S \cong A_k \times \{0, 1\}^{n-k}$  for some  $k \in \{2, \dots, n\}$ ,
- (ii)  $S \cong B_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ ,
- (iii)  $S \cong C_8 \times \{0, 1\}^{n-4}$ ,
- (iv)  $S \cong D_k \times \{0, 1\}^{n-k}$  for some  $k \in \{3, \dots, n\}$ ,
- (v)  $S$  is a hypercube, or
- (vi) every infeasible component of  $S$  is a hypercube, and every feasible point has at most two infeasible neighbors.

Observe that  $\{A_k : k \geq 2\}$ ,  $\{B_k, D_k : k \geq 3\}$  and  $C_8$  are strictly polar sets. As a result, in cases (i)-(iv), the set  $S$  is strictly polar by Remark 9.1. A hypercube is strictly polar, so in case (v),  $S$  is also strictly polar. For the last case (vi), as  $S$  has no  $R_{1,1}$  restriction by Remark 3.1, Lemma 9.2 implies that  $S$  is strictly polar.  $\square$

## Acknowledgements

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