

Consider the problem of proving that  $\forall n \geq 0, 1 + 2 + \dots + n = \frac{n(n+1)}{2}$  by induction.

Define the statement  $S_n = "1 + 2 + \dots + n = \frac{n(n+1)}{2}"$ . We want to prove  $\forall n \geq 0, S_n$ .

## 1 An Inductive Proof

**Base Case:**  $\frac{0(0+1)}{2} = 0$ , and hence  $S_0$  is true.

**I.H.:** Assume that  $S_k$  is true for some  $k \geq 0$ .

**Inductive Step:** We want to prove the statement  $S(k+1)$ . Note that

$$\begin{aligned} 1 + 2 + \dots + k + (k+1) &= \frac{k(k+1)}{2} + (k+1) && \text{(by I.H.)} \\ &= (k+1)\left(\frac{k}{2} + 1\right) \\ &= \frac{(k+1)(k+2)}{2}. \end{aligned}$$

And hence  $S_{k+1}$  is true.

## 2 Common Errors and Pitfalls

1. ( $S_n$  is a statement, not a value) You cannot make statements like  $S_k + (k+1) = S_{k+1}$ , much the same as you cannot add  $k$  to the statement "The earth is round".

**Mistake:**

I.H.: Assume that  $S_k$  is true.

Inductive Step:

$$\begin{aligned} \sum_{i=1}^{k+1} i &= k+1 + \sum_{i=1}^k i \\ &= k+1 + S_k \\ &= \dots \end{aligned}$$

Logical propositions like  $S_k$  can't be added to numbers. Please don't equate propositions and arithmetic formulas.

2. (*Proof going the Wrong Way*) Make sure you use  $S_k$  to prove  $S_{k+1}$ , and not the other way around. Here is a common (wrong!) inductive step:

**Mistake:**

Inductive Step:

$$\begin{aligned}1 + 2 + \dots + k + (k + 1) &= (k + 1)(k + 2)/2 \\k(k + 1)/2 + (k + 1) &= (k + 1)(k + 2)/2 \\(k + 1)(k + 2)/2 &= (k + 1)(k + 2)/2.\end{aligned}$$

The proof above starts off with  $S_{k+1}$  and ends using  $S_k$  to prove an identity, which does not prove anything. Please make sure you do not assume  $S_{k+1}$  in an effort to prove it!

3. (*Assuming too much*) Make sure you don't assume *everything* in the I.H.

**Mistake:**

I.H.: Assume that  $S_k$  is true for all  $k$ .

You *want to* prove the statement  $S_n$  true for all  $n$ , and if you assume it is true, there is nothing left to prove! (Remember that the " $S_n$  is true for all  $n$ " is the same as saying " $S_k$  is true for all  $k$ ".)

**Correct Way:**

I.H.: Assume that  $S(k)$  is true for some  $k$ .

or, if you want to use all-previous ("strong") induction

I.H.: Assume for some  $k$  that  $S(j)$  is true for all  $j \leq k$ .

4. (*The case of the missing  $n$* ) Consider the following I.H. and inductive step:

**Mistake:**

I.H.: Assume that  $S_k$  is true for all  $k \leq n$ .

Inductive Step: We want to prove  $S_{k+1}$ .

*What is  $k$ ? Where has  $n$  disappeared?* The induction hypothesis is saying in shorthand that  $S_1, S_2, \dots, S_{n-1}, S_n$  are all true for *some*  $n$ . Note that rewriting the I.H. in this way shows that  $k$  was a red herring: you really want to prove  $S_{n+1}$ , not  $S_{k+1}$ .

**Correct Way:**

I.H.: Assume that  $S_k$  is true for all  $k \leq n$ .

Inductive Step: We want to prove  $S_{n+1}$ .

5. (*Extra stuff in the I.H.*) Consider the following I.H.

**Mistake:**

I.H.: Assume that  $S_k$  is true for all  $k \leq n$ . **Then  $S_{n+1}$ .**

Note that entire thing has been made part of the hypothesis, including the bolded part. The second part “Then  $S_{n+1}$ ” is what you want to show in the inductive step; it is *not* part of the induction hypothesis. You need to distinguish between the *Claim* and the *Induction Hypothesis*. The Claim is the statement you want to prove (i.e.,  $\forall n \geq 0, S_n$ ), whereas the Induction Hypothesis is an *assumption* you make (i.e.,  $\forall 0 \leq k \leq n, S_k$ ), which you use to prove the next statement (i.e.,  $S_{n+1}$ ). The I.H. is an assumption which might or might not be true (but if you do the induction right, the induction hypothesis will be true).

**Correct Way:**

I.H.: Assume that  $S_k$  is true for all  $k \leq n$ .

6. (*The Wrong Base Case.*) Note that you want to prove  $S_0, S_1$ , etc., and hence the base case should be  $S_0$ .

**Mistake:**

Base Case:  $\frac{1(1+1)}{2} = 1$ , and hence  $S_1$  is true.

Even if the rest of the proof works fine, you would have shown that  $S_1, S_2, S_3, \dots$  are all correct. You haven't shown that  $S_0$  is true.

7. (*Assuming too little: Too few Base Cases.*)

Suppose you were given a function  $X(n)$  and need to show that the statement  $S_n$  that “the Fibonacci number  $F_n = X(n)$ ” for all  $n \geq 0$ .

**Mistake:**

Base Case: for  $n = 0$ ,  $F_0 = X(0)$  blah blah. Hence  $S_0$  is true.

I.H.: Assume that  $S_k$  is true for all  $k \leq n$ .

Induction Step: Now  $F_n = F_{n-1} + F_{n-2} = X(n-1) + X(n-2)$  (because  $S_{n-1}$  and  $S_{n-2}$  are both true), etc.

If you are using  $S_{n-1}$  and  $S_{n-2}$  to prove  $T(n)$ , then you better prove the base case for  $S_0$  **and**  $S_1$  in order to prove  $S_2$ . Else you have shown  $S_0$  is true, but have no way to prove  $S_1$  using the above proof— $S_0$  is not a base case, and to use induction, we'd need  $S_0$  and  $S_{-1}$ . But there is no  $S_{-1}$ !!!

Remember the domino principle: the above induction uses the fact that “if two consecutive dominoes fall, the next one will fall”. To now infer that *all* the dominoes fall, *you must show that the first two dominoes fall*. And hence you need two base cases.