

# CRYPTOGRAPHY

## Cryptography: A land of counterintuitive possibilities

- Alice and Bob can agree on a secret key over a public channel
- Alice can convince Bob she knows something – say proof of twin prime conjecture – with Bob learning nothing about the proof
- Anyone can publicly send an encrypted message to Bob that only he can decrypt, without any pre-agreed upon secret

## Cryptography: A land of counterintuitive possibilities

- One can delegate computation of any function on encrypted data without revealing anything about the inputs
- Millionaires' Problem: Alice and Bob can find out who has more money without revealing anything else about their worth
- One can learn a piece of data from a database without the database learning anything about your desired query
- ....

## Private/Symmetric Key Encryption

### One Time Pads



This meeting  
will be  
at the town hall  
at midnight.  
  
Bob, with your  
secret key.

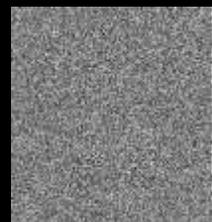


Add (XOR) a secret key, shared between sender & receiver, to the message.

### One Time Pads

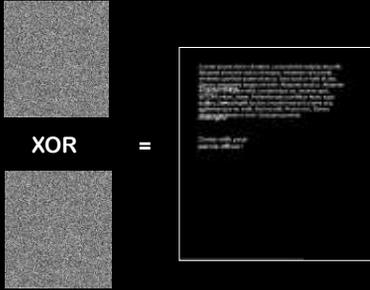


This meeting  
will be  
at the town hall  
at midnight.  
  
Bob, with your  
secret key.



**Gives perfect security!**  
For random shared key,  
leaks no information about message

But reuse is bad



## Agreeing on a secret

One time pads rely on having a shared secret!

Need a separate secret for each pair of communicating parties.

Does this require private communication to agree on the secret?

Can Alice and Bob agree on a secret over a public conversation?

## Diffie-Hellman Key Exchange

Alice: Picks prime  $p$ , and a generator  $g$  in  $Z_p^*$   
 Picks random number  $a \in \{1, 2, \dots, p-1\}$   
 Sends over  $p, g, g^a \pmod{p}$  to Bob

Bob: Picks random  $b \in \{1, 2, \dots, p-1\}$  and sends over  $g^b \pmod{p}$  to Alice

Now both can compute the shared "secret"  
 $g^{ab} \pmod{p}$

## It's good there are hard problems!

Given  $g^a$ ,  $a$  is uniquely determined.

So why is this secure?

Alice: Picks prime  $p$ , and a value  $g$  in  $Z_p^*$   
 Picks random  $a$  in  $\{1, 2, \dots, p-1\}$   
 Sends over  $p, g, g^a \pmod{p}$

Bob: Picks random  $b$  in  $\{1, 2, \dots, p-1\}$ , and sends over  $g^b \pmod{p}$

Secret:  $g^{ab} \pmod{p}$

**Discrete Log** intractability assumption:

Given input a large prime  $p, g$  in  $Z_p^*$ , and  $x = g^a$ , it is hard to compute  $a (= \log_g x)$

Crypto needs hard problems to keep bad guys at bay (**privacy**)  
 But good guys should be able to achieve desired **functionality**  
 This delicate balance is the challenge and beauty of crypto

## Hard algebraic problems

Hardness to keep bad guys at bay (**privacy**)  
 Easiness for good guys to operate (**functionality**)

Algebra (groups, number theory) is a great source of problems meeting these demands.

## What about Eve?

If Eve's just listening in, she sees  $p, g, g^a, g^b$

Diffie-Hellman assumption  
 computing  $g^{ab} \pmod{p}$  from  $p, g, g^a, g^b$  is hard

Alice: Picks prime  $p$ , and a value  $g$  in  $Z_p^*$   
 Picks random  $a$  in  $\{1, 2, \dots, p-1\}$   
 Sends over  $p, g, g^a \pmod{p}$

Bob: Picks random  $b$  in  $\{1, 2, \dots, p-1\}$ , and sends over  $g^b \pmod{p}$

Secret:  $g^{ab} \pmod{p}$

To say Eve learns *nothing* about the shared secret (eg. its first bit) need  $g^{ab} \pmod{p}$  to be look like a random element of  $Z_p^*$   
 (This is *Decisional Diffie-Hellman* (DDH) assumption; not valid for  $Z_p^*$  but there are other candidate cyclic groups)

## Why these assumptions?

Discrete-Log: Given  $p$ ,  $g$ ,  $g^a \pmod{p}$ , compute  $a$

Finding discrete logarithms seems hard, but *proving* the hardness seems even harder!

Proving intractability of Discrete-Log is harder than the P vs. NP problem

Complexity-theoretic cryptography relies on assumptions on the presumed intractability of some (classes) of problems.

- Information-theoretic crypto: no hardness assumptions (eg. one time pad)

Diffie Hellman key exchange requires both parties to exchange information to share a secret

Can we get rid of this assumption?

Can someone who I have never spoken to send me a message over a public channel that is only intelligible to me

## Public Key Encryption



## Public Key Encryption [Diffie-Hellman]

Goal: Enable Alice to send encrypted message to Bob *without their sharing any secret*

*Anyone* should be able to send Bob a message in encrypted form.

*Only* Bob should be able to decrypt.

*Anyone* can send Bob a message in encrypted form.  
*Only* Bob should be able to decrypt.

HOW ???

Bob has to be "special" somehow...

Bob holds a special "secret key" that only he knows and that enables him to decrypt

- (Hopefully) decryption intractable without knowledge of this secret.
- Physical analogy: key to a locked box

Bob holds a "secret key" (known only to him) that enables him to decrypt

- Physical analogy: key to a locked box

Encryption (Physical analogy):

- Place message in a locked box with a "lock" that only Bob's key can open.

How to get hold of such lock(s)?

**Bob "gives them" to everyone!!**

Bob has a "**public key**", known to everyone, which can be used for encryption.

## Public Key Encryption

Pair of fns. (Enc,Dec) for encryption & decryption

Bob generates a (PK,SK) pair.

- Publishes PK.
- Holds on to SK as a secret

Encryption of message  $m$ :  $\text{Enc}(m, \text{PK})$

- Anyone can encrypt (as PK is public)

Decryption of ciphertext  $c$ :  $\text{Dec}(c, \text{SK})$

- Bob knows SK so can decrypt.

Of course, must have  $\text{Dec}(\text{Enc}(m, \text{PK}), \text{SK}) = m$

## Take 1

Alice, who has never spoken to Bob, wants to send him message  $m$  in encrypted form  $\text{Enc}(m)$

Recovering  $m$  from  $\text{Enc}(m)$  should be a hard problem

How about  $\text{Enc}(m) = g^m \text{ mod } p$   
(where  $g, p$  are public knowledge)

Discrete log hardness  $\Rightarrow$  privacy from eavesdropper

But how will Bob figure out  $m$  ??

- He has to solve the same discrete log problem!
- Seems tricky to give him an egde

## The RSA Cryptosystem

### Modular Arithmetic Interlude #3

## Modular arithmetic

Defn: For integers  $a, b$ , and positive integer  $n$ ,

$a \equiv b \pmod{n}$  means

$(a-b)$  is divisible by  $n$ , or equivalently

$a \text{ mod } n = b \text{ mod } n$  ( $x \text{ mod } n$  is remainder of  $x$  when divided by  $n$ , and belongs to  $\{0, 1, \dots, n-1\}$ )

Fundamental lemmas mod  $n$ :

Suppose  $x \equiv y \pmod{n}$  and  $a \equiv b \pmod{n}$ . Then

1)  $x + a \equiv y + b \pmod{n}$

2)  $x * a \equiv y * b \pmod{n}$

3)  $x - a \equiv y - b \pmod{n}$

*So instead of doing +, \*, - and taking remainders, we can first take remainders and then do arithmetic.*

## ~~Fundamental lemma of powers?~~

If  $x \equiv y \pmod{n}$   
Then  $a^x \equiv a^y \pmod{n}$  ?

**NO!**

$2 \equiv 5 \pmod{3}$ , but it is  
not the case that:  
 $2^2 \equiv 2^5 \pmod{3}$

## (Correct) rule for powers.

If  $a \in \mathbb{Z}_n^*$  and  $x \equiv y \pmod{\phi(n)}$   
then  $a^x \equiv a^y \pmod{n}$

Equivalently, for  $a \in \mathbb{Z}_n^*$ ,  $a^x \equiv a^{x \text{ mod } \phi(n)} \pmod{n}$

Euler's theorem: for  $a \in \mathbb{Z}_n^*$ ,  $a^{\phi(n)} \equiv 1 \pmod{n}$

If  $x = q \phi(n) + r$ ,  
Then  $a^x = a^{q \phi(n)} a^r \equiv a^r \pmod{n}$

### Example...

$$5^{121242653} \pmod{11}$$

$$121242653 \pmod{10} = 3$$

$$5^3 \pmod{11} = 125 \pmod{11} = 4$$

Why did we take mod 10?

$$343281^{327847324} \pmod{39}$$

Step 1: reduce the base mod 39

Step 2: reduce the exponent mod  $\Phi(39) = 24$

NB: you should check that  $\gcd(343281, 39) = 1$  to use lemma of powers

Step 3: use repeated squaring to compute  $3^4$ , taking mods at each step

### RSA prepwork I: computing in $Z_n^*$

Computing in  $Z_n^*$

- Multiplication: easy, just multiply mod n
- Exponentiation: To compute  $a^m$ , do "repeated squaring"  $\approx \log_2 m$  multiplies mod n
- Inverses: To compute  $a^{-1}$
- use extended Euclid algorithm to compute r,s such that  $ra + sn = 1$ .
  - Then  $a^{-1} = r \pmod n$ .

### How do you compute...

$$5^8 \quad \text{using few multiplications?}$$

First idea:

$$5 \quad 5^2 \quad 5^3 \quad 5^4 \quad 5^5 \quad 5^6 \quad 5^7 \quad 5^8 \\ = 5 * 5 \quad 5^2 * 5$$

### How do you compute...

$$5^8$$

Better idea:

$$5 \quad 5^2 \quad 5^4 \quad 5^8 \\ = 5 * 5 \quad 5^2 * 5^2 * 5^4$$

Used only 3 mults instead of 7 !!!

Repeated squaring calculates  $a^{2^k}$  in k multiply operations

compare with  $(2^k - 1)$  multiply operations used by the naïve method

## How do you compute...

$$5^{13}$$

Use repeated squaring again?

$$5 \quad 5^2 \quad 5^4 \quad 5^8 \quad \cancel{5^{16}}$$

too high! what now?

assume no divisions allowed...

## How do you compute...

$$5^{13}$$

Use repeated squaring again?

$$5 \quad 5^2 \quad 5^4 \quad 5^8$$

Note that  $13 = 8 + 4 + 1$

$$13_{10} = (1101)_2$$

$$\text{So } a^{13} = a^8 * a^4 * a^1$$

Two more multiplies!

## To compute $a^m$

Suppose  $2^k \leq m < 2^{k+1}$

$$a \quad a^2 \quad a^4 \quad a^8 \quad \dots \quad a^{2^k}$$

This takes  $k$  multiplies

Now write  $m$  as a sum of distinct powers of 2

$$\text{say, } m = 2^k + 2^{i_1} + 2^{i_2} \dots + 2^{i_t}$$

$$a^m = a^{2^k} * a^{2^{i_1}} * \dots * a^{2^{i_t}}$$

at most  $k$  more multiplies

Hence, we can compute  $a^m$

while performing at most  $2 \lfloor \log_2 m \rfloor$  multiplies

## How do you compute...

$$5^{13} \pmod{11}$$

First idea: Compute  $5^{13}$  using 5 multiplies

$$5 \quad 5^2 \quad 5^4 \quad 5^8 \quad 5^{12} \quad 5^{13} = 1 \ 220 \ 703 \ 125$$

$$= 5^8 * 5^{12} * 5$$

then take the answer mod 11

$$1220703125 \pmod{11} = 4$$

## How do you compute...

$$5^{13} \pmod{11}$$

Better idea: keep reducing the answer mod 11

$$5 \quad 5^2 \quad 5^4 \quad 5^8 \quad 5^{12} \quad 5^{13}$$

$$\begin{array}{cccccc} & 25 & & 81 & & 36 & & 15 \\ \equiv_{11} & 3 & \equiv_{11} & 9 & \equiv_{11} & 4 & \equiv_{11} & 3 & \equiv_{11} & 4 \end{array}$$

Hence, we can compute  $a^m \pmod n$  while performing at most  $2 \lfloor \log_2 m \rfloor$  multiplies where each time we multiply together numbers with  $\lfloor \log_2 n \rfloor + 1$  bits

$$\mathbb{Z}_{15}^* = \{1 \leq x \leq 15 \mid \gcd(x, 15) = 1\} = \{1, 2, 4, 7, 8, 11, 13, 14\}$$

$$\phi(15) = 8$$

*	1	2	4	7	8	11	13	14
1	1	2	4	7	8	11	13	14
2	2	4	8	14	1	7	11	13
4	4	8	1	13	2	14	7	11
7	7	14	13	4	11	2	1	8
8	8	1	2	11	4	13	14	7
11	11	7	14	2	13	1	8	4
13	13	11	7	1	14	8	4	2
14	14	13	11	8	7	4	2	1

### RSA prework

Theorem: If  $p, q$  are *distinct* primes then  $\Phi(pq) = (p-1)(q-1)$

Proof: We need to count how many numbers in  $\{1, 2, 3, \dots, pq-1\}$  are relatively prime to  $pq$ .

Let us count those that are not, and subtract from  $(pq-1)$ .

These are

- (i) the multiples of  $p$ :  $p, 2p, 3p, \dots, (q-1)p$
- (ii) the multiples of  $q$ :  $q, 2q, 3q, \dots, (p-1)q$

$$\text{Total} = q-1 + p-1 = p+q-2$$

$$\text{So } \Phi(pq) = pq-1 - (p+q-2) = pq-p-q+1 = (p-1)(q-1)$$

### RSA Cryptosystem



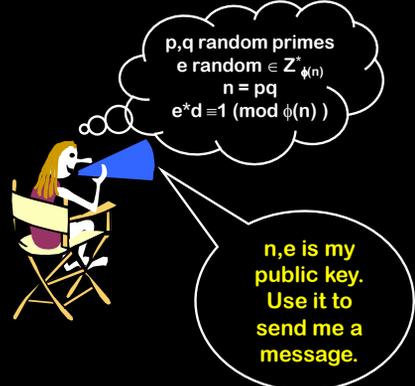
Rivest, Shamir, Adleman: 2002 A.M. Turing Award

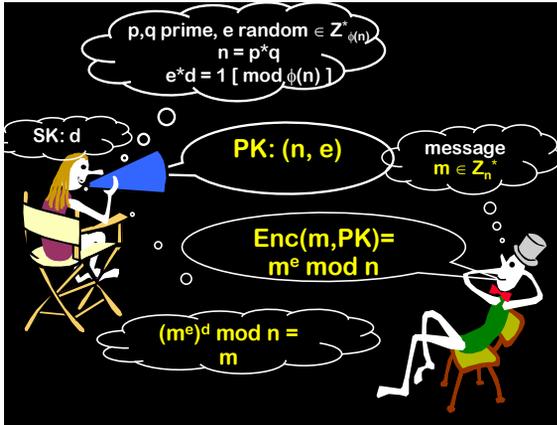
### The RSA Cryptosystem

Pick secret, random large primes:  $p, q$   
 Multiply  $n = p \cdot q$   
 "Publish":  $n$

$\phi(n) = \phi(p) \phi(q) = (p-1)(q-1)$   
 Pick random  $e \in \mathbb{Z}_{\phi(n)}^*$   
 "Publish":  $e$

Compute  $d = \text{inverse of } e \text{ in } \mathbb{Z}_{\phi(n)}^*$   
 Hence,  $ed \equiv 1 \pmod{\phi(n)}$   
 "Private/secret Key":  $d$





## RSA: Simple example

### How hard is breaking RSA?

If we can factor products of two large primes, can we crack RSA?

If we can compute  $\phi(n)$  from  $n$ , can we crack RSA?

How about the other way? Does cracking RSA mean we must be able do one of these two?

We don't know this...

### What does (breach of) security mean?

Certainly complete recovery of  $m$  by bad guys

But also learning partial information about  $m$

- eg. value of  $m$  (say salary info) up to  $\pm$  \$1000

How to define security to capture the requirement that **no information** about  $m$  is leaked?

### Information-theoretic perfect secrecy

For the one time pad solution, the eavesdroppers have no clue about  $m$ , regardless of computing power

- The distribution of ciphertexts does not depend on  $m$*
- Say adversary knows either  $m_0$  or  $m_1$  was sent, and sees the ciphertext.
  - Still can't tell which of  $m_0$  or  $m_1$  was sent better than 50-50 guessing
  - Thus seeing the ciphertext has **no** bearing on adversaries abilities to learn  $m$

### What about computational security in Public Key Encryption?

## Great Definitions & Solution Concepts: Semantic Security, Probabilistic Encryption



Goldwasser, Micali:  
2012 Turing Award

Both Ph.D. advisees  
of now CMU Professor  
Manuel Blum

## Semantic Security

Given ciphertext and message length, adversary cannot determine any partial information about the message with success probability non-negligibly larger than when he only knows the message length (but not the ciphertext)

Equivalent to following:

- Let  $m_0$  and  $m_1$  be any two messages of equal length (known to all).
- Adversary is presented  $\text{Enc}(m_b, PK)$  for random  $b$
- The adversary shouldn't be able to find  $b$  with probability non-negligibly better than 50-50

## Probabilistic Encryption

Semantic security: Adversary shouldn't be able to tell apart  $\text{Enc}(m_0, PK)$  from  $\text{Enc}(m_1, PK)$

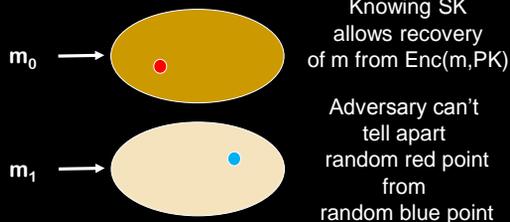
But anyone (including the adversary) can compute  $\text{Enc}(m, PK)$  from  $m$  ....

How can  $\text{Enc}(m, PK)$  hide  $m$  in above strong sense?

Have many possible encryptions for each  $m$   
 $\text{Enc}(m, PK)$  should be a *randomized encryption* of  $m$

## Probabilistic Encryption

$\text{Enc}(m, PK) =$  random ciphertext from many possible encryptions



## Goldwasser-Micali Public Key Encryption

- Probabilistic encryption scheme
- Semantically secure under certain "quadratic residuosity" intractability assumption (which is related to hardness of factoring)

## Key Generation

1. Pick large primes  $p, q$  with  $p, q \equiv 3 \pmod{4}$
2. Compute  $n = pq$

Public Key:  $n$   
Secret Key:  $p, q$

Remark: Integers  $n$  of above form are called Blum integers (after CMU professor Manuel Blum)

For a Blum integer  $n$ ,  
 $(n-1)$  is a **quadratic non-residue**  
which means  $x^2 \equiv (n-1) \pmod{n}$  has no solutions

## Encryption by Alice

Scheme encrypts bits (for longer messages, break into bits and apply encryption to each bit separately)

Enc(b, PK=n):

1. Pick a random  $y \in \mathbb{Z}_n^*$
2. Output  $(n-1)^b y^2$

**Fact:** Enc(b,n) is a quadratic residue mod n if and only if  $b=0$

## Decryption by Bob

Ciphertext  $c = \text{Enc}(b, n)$  is a quadratic residue mod n (i.e.,  $\exists x$  s.t.  $x^2 \equiv c \pmod{n}$ ) if and only if  $b=0$

How can Bob (who has the secret key) determine if c is a quadratic residue mod n

**Bob's advantage:** He knows the factors p, q of n

Exercise 1: c is a quadratic residue mod n if and only if c is a quadratic residue modulo *both* p, q

Exercise 2: c is quadratic residue mod prime p if and only if  $c^{(p-1)/2} \equiv 1 \pmod{p}$

## Eavesdropping by Eve

What does the adversary see?

$\text{Enc}(b, n) = (n-1)^b y^2 \pmod{n}$  for a random  $y \in \mathbb{Z}_n^*$

For encryption of bit 0,

- a random quadratic residue mod n

For encryption of bit 1,

- a random quadratic non-residue\* mod n

\* actually random quadratic non-residue c such that  $n-c$  is a quadratic residue  $\pmod{n}$

## Semantically secure?

Given large  $n = pq$  with unknown factorization, it is believed that distinguishing random quadratic residues from random quadratic non-residues is hard

**This assumption implies semantic security of the GM scheme**

Remark (nice exercise): Finding square roots of quadratic residues modulo  $n=pq$  enables finding the prime factors p, q of n

## The Elgamal Encryption Scheme

- Another probabilistic public key encryption scheme
- Based on hardness of Discrete Log (Diffie-Hellman assumption)

## The Elgamal Encryption Scheme

- Public key: prime  $p$ , generator  $g$  of  $\mathbb{Z}_p^*$ , and  $h = g^x \pmod{p}$
  - Private key:  $x \in \{1, 2, \dots, p-1\}$
- Encryption:** To encrypt  $m \in \mathbb{Z}_p^*$ :
- Pick  $y \in \{1, 2, \dots, p-1\}$  at random
  - Output  $(g^y \pmod{p}, m h^y \pmod{p})$

Public key: prime  $p$ , generator  $g$  &  $h = g^x \pmod p$   
 Private key:  $x$

**Encryption:** To encrypt  $m \in \mathbb{Z}_p^*$ :

- Pick  $y \in \{1, 2, \dots, p-1\}$  at random
- Output  $(g^y \pmod p, m h^y \pmod p)$

**Decryption:** To decrypt  $(c_1, c_2)$  with private key  $x$ :

- Compute  $s = c_1^x \pmod p$   
 (this is the “shared secret” for this message)
- Output  $m = c_2 s^{-1} \pmod p$

## Theorems about breaking Elgamal

If discrete log is easy, then easy to decrypt

Assuming that  $g^y$  is hard to compute given  $g, g^x, g^y$  (CDH assumption), encryption is hard to invert.

Assuming one can't tell apart  $g^{xy}$  from a random element even when given  $g, g^x, g^y$  (DDH assumption), stronger “semantic security”.

## Operating on Ciphertexts

For RSA, given ciphertexts encrypting  $m_1$  and  $m_2$ , one can compute ciphertext encrypting the product  $m_1 m_2$  (i.e., there is no need to decrypt, can directly multiply in the encrypted world)

$$(m_1 m_2)^e \equiv m_1^e m_2^e \pmod n$$

Same holds for Elgamal scheme also

$$(g^y, m_1 h^y) * (g^y, m_2 h^y) = (g^{y+y}, m_1 m_2 h^{y+y})$$

For Goldwasser-Micali, one can compute encryption of  $b \oplus b'$  given ciphertexts for  $b$  and  $b'$

$$(n-1)^b y^2 (n-1)^{b'} z^2 \equiv (n-1)^{b \oplus b'} (yz)^2 \pmod n$$

## Partially malleable encryption

These encryption schemes allow us to perform either addition or multiplication directly on ciphertexts.

Rivest, Adleman, Dertouzos 1978 wondered: Is there an encryption scheme that would allow one to **both** add and multiply within the encrypted world?

They foresaw that such a completely malleable encryption scheme allowing arbitrary computations on encrypted data would have amazing applications (eg. today think of delegating computation to the cloud without revealing your inputs)

However, finding such a plausible scheme, which these days we call “fully homomorphic encryption” (FHE) remained open for over 30 years



Craig Gentry in 2009 gave the first candidate FHE scheme

[Picture from his 2014 MacArthur Fellowship announcement]

**Very high level & sketchy idea behind approach:**

- Encrypt by noisy encoding of message as per some error-correcting code
- Decrypt by removing noise (which requires a secret nice representation of the code)
- Add and multiply operations increase the noise by a small amount
- When noise gets too large, “refresh” ciphertext

Curious? : see this beautiful recent survey:

<https://eprint.iacr.org/2014/610>

## Non-malleable encryption

Sometimes, we actually *don't* want ciphertexts to be malleable

- Eg. if you are submitting bidding  $D$  dollars in encrypted form, you don't want someone to encrypt  $(D+1)$  dollars based on your bid

Candidates of such non-malleable encryption schemes are also known (starting with Dolev, Dwork, Naor 1991)

## Summary

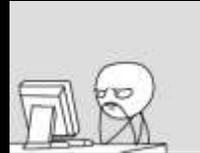
Cryptography is a field with a variety of questions and challenges, rich underlying theory, and profound applications.

It hinges on structured hard computational problems

**Algebra and number theory form a fertile ground of such problems**

One time Pad

Diffie-Hellman Key Exchange



Study Guide

Public Key Cryptography

Modular arithmetic:  
Fundamental lemma of powers

RSA encryption

Probabilistic encryption

Goldwasser-Micali and ElGamal encryption schemes

## Supplementary Material: Primality Testing

### How do we generate large primes?

These encryption schemes require large prime numbers

- eg.  $n = p \cdot q$  for RSA for large primes  $p, q$

Primes are reasonably dense ( $1/n$  of  $n$ -bit numbers of are prime).

⇒ If we can efficiently test if a number is prime, then we can generate primes fast (by selecting a few random numbers and checking those for primality).

Answer: The Miller-Rabin primality test [1976,80]  
(Gary Miller is one of our professors.)

### Primality testing

Given  $n$ , output if it is prime.

Naïve method: Try all numbers  $2, 3, \dots, \sqrt{n}$   
If any of them divide  $n$ , output NO,  
else output YES.

Problem: Huge runtime (think of  $n$  as a 500 digit number...)

Goal: To do this efficiently, with runtime scaling well with # digits of  $n$ .

### Primality testing

Given  $n$ , output if it is prime.

By Fermat's little theorem, if  $n$  is prime,

$$a^{n-1} \equiv_n 1 \quad \text{for all } a \text{ in } [1, n-1].$$

So, here's a possible test:

- pick random  $a \in \{1, 2, \dots, n-1\}$
- Check if  $a^{n-1} \equiv_n 1$ , if so output prime, else output composite.

1. Can repeat with say 50 random choices of  $a$ .
2. If  $n$  is prime, algo. definitely outputs prime.

## Primality testing

1. pick random  $a \in \{1, 2, \dots, n-1\}$ ; if  $\gcd(a, n) \neq 1$ , output composite
2. If  $a^{n-1} \equiv_n 1$ , output prime, else output composite.

Key to analysis: Number of "witnesses"  $a \in \mathbb{Z}_n^*$  satisfying  $a^{n-1} \not\equiv_n 1 \pmod n$  when  $n$  is not prime.

Lemma: If just one witness exists, then in fact at least  $\frac{1}{2}$  the  $a$ 's must be witnesses.

Proof: Let  $w \in \mathbb{Z}_n^*$  be such that  $w^{n-1} \not\equiv_n 1 \pmod n$ .

Define  $B = \{b \mid b^{n-1} \equiv_n 1\}$  (these are the "non-witnesses")

Key observation: If  $b \in B$ , then  $wb \notin B$ .

Injection from  $B$  to  $B^c$ . So  $|B^c| \geq |B|$ .

## Carmichael numbers

Unfortunately, there are composite numbers  $n$ , called Carmichael numbers, such that

$$a^{n-1} \equiv_n 1 \text{ for all } a \in \mathbb{Z}_n^*$$

In fact, there are infinitely many of them, smallest one being  $561 = 3 * 11 * 17$

On these numbers, our Fermat's Little Theorem based test fails ☹

The Miller-Rabin test gives a correct version that works for all  $n$ .

## Idea behind Miller-Rabin test

- Another way to prove  $n$  is composite is to exhibit a "fake square root" modulo  $n$ 
  - $x$  such that  $x^2 \equiv 1 \pmod n$ , but  $x \pmod n \neq \pm 1$
- Why does such an  $x$  prove that  $n$  is not prime?

## Miller-Rabin test

Say we write  $n-1$  as  $d * 2^s$  where  $d$  is odd.

Consider the following sequence of numbers mod  $n$ :

$$a^d, a^{2d}, a^{4d}, \dots, a^{d*2^{(s-1)}}, a^{d*2^s} = a^{n-1} \equiv_n 1$$

Each element is the square (mod  $n$ ) of the previous one.

If  $n$  is prime, then at some point the sequence hits 1 and stays there from then on.

What is the number right before the first 1 ?  
If  $n$  is prime this MUST BE  $n-1$ . (WHY?)

$$a^d, a^{2d}, a^{4d}, \dots, a^{d*2^{(s-1)}}, a^{d*2^s} = a^{n-1} \equiv_n 1$$

If  $n$  is prime, then at some point the sequence hits 1.  
The number before the first 1 must be  $n-1$

### Miller-Rabin Test

pick a random  $a$  and generate the above sequence.

If the sequence does not hit 1, then  $n$  is composite.

If there's an element before the first 1 and it's not  $n-1$ , then  $n$  is composite.

Otherwise  $n$  is "probably prime".

Theorem (we won't prove this):

If  $n$  is composite, at least  $\frac{1}{2}$  the  $a$ 's "catch" its compositeness via the above test.

## Miller-Rabin Analysis

If  $n$  is composite, then with a random  $a$ , the Miller-Rabin algorithm says "composite" with probability at least  $\frac{1}{2}$  (in fact at least  $\frac{3}{4}$ )

So if we run the test 50 times and it never says "composite" then  $n$  is prime with "probability"  $1-2^{-50}$

In other words it's more likely that you'll win the lottery three days in a row than that this is giving a wrong answer.

## But if $2^{-50}$ keeps you awake...

[Agrawal-Kayal-Saxena]

(in 2002, when last two authors were undergraduates)

Deterministic primality test that is guaranteed to give the correct answer with 100% certainty.

Based on a generalization of Fermat's Little Theorem:

If  $n$  is prime, and then for all  $a \in \{1, 2, \dots, n-1\}$

$$(X + a)^n \equiv X^n + a \pmod{n}$$